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*Burkhard Büdel, Thomas Friedl (Eds.)*

# LIFE AT ROCK SURFACES

LIFE IN EXTREME ENVIRONMENTS

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Burkhard Büdel, Thomas Friedl (Eds.)

**Life at Rock Surfaces**

Life in Extreme Environments

# **Life in Extreme Environments**



Series Editor  
Dirk Wagner

## **Volume 9**

# Life at Rock Surfaces



Challenged by Extreme Light, Temperature and  
Hydration Fluctuations

Edited by  
Burkhard Büdel and Thomas Friedl

**DE GRUYTER**

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# Preface

Planet Earth has a total surface area of 510,000,000 km<sup>2</sup>, of which 360,570,000 km<sup>2</sup> (70.7%) belong to the water expanse and 149,430,000 km<sup>2</sup> (29.3%) refer to the land surface of the planet. Although often a prominent part of the land surface, the proportion of geological rock formations on the land surface area is not really known. Even the amount of bedrock is not clear. Although it is undoubtedly possible to estimate mountain regions' base area, it seems almost impossible to include all types of rock surfaces, such as inselbergs, whose dimensions range from a few square meters to square kilometers. The entire rock surface of mountains is magnitudes larger than their base area because of altitudinal elongation and distinct relief formation by strong erosive forces driven by inclination and climate. Therefore, rock surface is an enormously extensive and important habitat for life on Earth. However, rock surfaces provide a challenging habitat for a broad diversity of micro- and small-sized organisms. They interact with each other, forming complex communities, and with their substrate, causing biodeterioration of the rock. Extreme fluctuations in light, temperature, and hydration are the main factors that determine the rock surface habitats. The rock surface habitat includes various more differentiated microhabitats. Epilithic organisms thrive on the surface without penetrating the rock. Endolithic organisms live just beneath the surface using a thin layer of the rock surface for protection against the environment's adverse conditions (e.g., light protection and storage of water). Chasmoendolithic organisms use fractures of the rock surface for a more habitable environment. In contrast to soils, rock surfaces are solid and last much longer, depending on rock type and climate. Thus, in all climatic regions on Earth, rock surfaces are a substratum challenging for all organisms. One of the significant limitations on rock surfaces of all climate zones for organisms is the absence of soil as a water buffer. Consequently, for any life on rock surface, water availability is the main limiting factor. Rock surfaces, therefore, may be the domain of poikilohydric organisms. They already live for the moment of water availability, and the total amount of water limits their presence on the rock surface. The water availability regime varies within a vegetation period, whatever a vegetation period may be in the Earth's different climatic zones. Poikilohydry is the strategy of microorganisms, including lichens, to adapt to the extremely water-limited habitat. In vascular plants, there is even a secondary reversion to poikilohydry. Vascular plants on rock are mostly limited to shale depressions or rock pools of any size where water accumulates after precipitation events. The erosive activity of microorganisms resulting in a rough rock surface provides the ground for vascular plant species to colonize even steep rock surfaces. Also, biogenic rock weathering mediated by microorganisms further facilitates the establishment of plants on rock surfaces. Therefore, the rock surface habitat draws an illustrative example for the coexistence and collaboration of very diverse organisms, which results in a mutually beneficial strategy for adaption to an extreme habitat.

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Other limiting factors for life on rock are rock stability, rock chemistry, and rock surface temperature and insolation. From the tropics to the boreal zone, fully exposed rock surfaces' temperature can reach up to 60°C. Even in Antarctica's polar climate, rock surface temperatures may reach up to 23°C. Photosynthetic active light on exposed rock surfaces ranges from 2,700  $\mu\text{mol photons} \cdot \text{m}^{-2} \cdot \text{s}^{-1}$  in the tropics to 2,200  $\mu\text{mol photons} \cdot \text{m}^{-2} \cdot \text{s}^{-1}$  in the Dry Valleys of Antarctica. These are the landmarks for life on rock surfaces and illustrate the challenges organisms have to cope with when living on rocks. It explains why exposed rock surfaces are extreme habitats for life.

The book will provide an overview of the various organismal groups, from microorganisms to vascular plants, and survey organism-mediated interactions with the rock surface.

Burkhard Büdel and Thomas Friedl

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**Note:** Most of the book chapters were written during the COVID-19 pandemic time. Because of the impact on their families, two authors could not finish their chapters. We deeply regret that and hope that their families will fully recover.

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# 1 Functionality





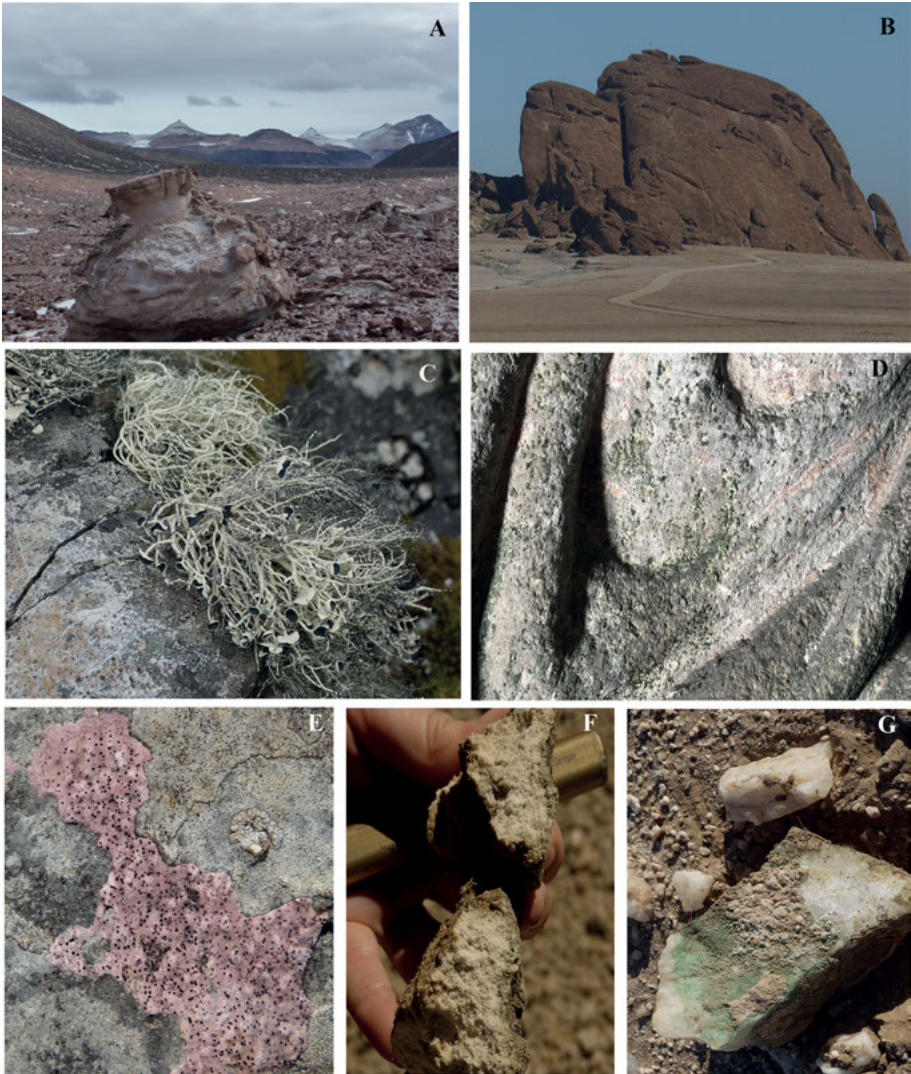
## 1.1 The interface of rocks and microorganisms

Microorganisms inhabiting rock substrates, called lithobionts, have the ability to attach to rock surfaces but also to exploit protected microhabitats within or beneath rocks. The core of the chapter focuses on reviewing appropriate research strategies and techniques to characterize these different rock-microorganism interfaces using microscopy images and the combination with analytical and molecular biology techniques. Light microscopy techniques, including laser confocal, scanning, and transmission electron microscopy as well as atomic force microscopy, have all been considered, as well as emerging techniques such as compositional mapping, in which microscopy is combined with spectroscopy. These combined approaches allow to visualize lithobiontic communities as mixed polymicrobial aggregates embedded in a biopolymer matrix where microbial cells and minerals interplay. Hence, the main microbial-mineral interactions such as mineral precipitation and mineral weathering are also described here. This chapter summarizes the framework for deciding on suitable approaches to *in situ* characterization of lithobiontic communities.

### 1.1.1 The lithobiontic niche

Rocks are widely distributed and are a very good substrate for microbial colonization. In fact, microorganisms colonize most of the rock substrates around the world, acting as arbitrators in interactions that occur at the interfaces between the lithosphere, on the one hand, and the hydrosphere and atmosphere on the other [1]. Well attached to external surfaces or lodged inside hard mineral substrates, microorganisms have had the ability to exploit a wide range of lithic microhabitats since their appearance on Earth (Fig. 1.1).

Microorganisms inhabiting rock substrates in terrestrial, marine, and freshwater environments are called lithobionts. The term was coined by Golubic et al. [2] to include rock dwelling organisms present on and within rock substrates, epiliths, and endoliths, respectively. However, hypoliths, which are organisms and microorganisms occupying the interface between rock and soil, have also been considered later as lithobionts [3, 4] because of their close relationship with lithic substrates [5]. The lithobiontic niche is not restricted to rocks (Fig. 1.1A–E); lithobionts are also present in pedogenic soil crusts (Fig. 1.1F) and biogenic calcareous substrates. Depending on



**Fig. 1.1:** (A) University Valley at McMurdo Dry Valleys (Continental Antarctica). (B) Rock boulders at Namib Desert (Namibia). (C) Epilithic lichen community at Livingston Island (Maritime Antarctica). (D) Epilithic community dominated by cyanobacteria from Spanish heritage assets (Santiago de Compostela, Spain). (E) Endolithic lichen (*Baglietia marmorea*) inducing biopitting in calcareous rock (Cuenca, Spain). (F) Gypsum crust from Namib Desert in cross-sectional view showing endolithic growth. (G) Upturned quartz pebble from Namib Desert showing hypolithic colonization.

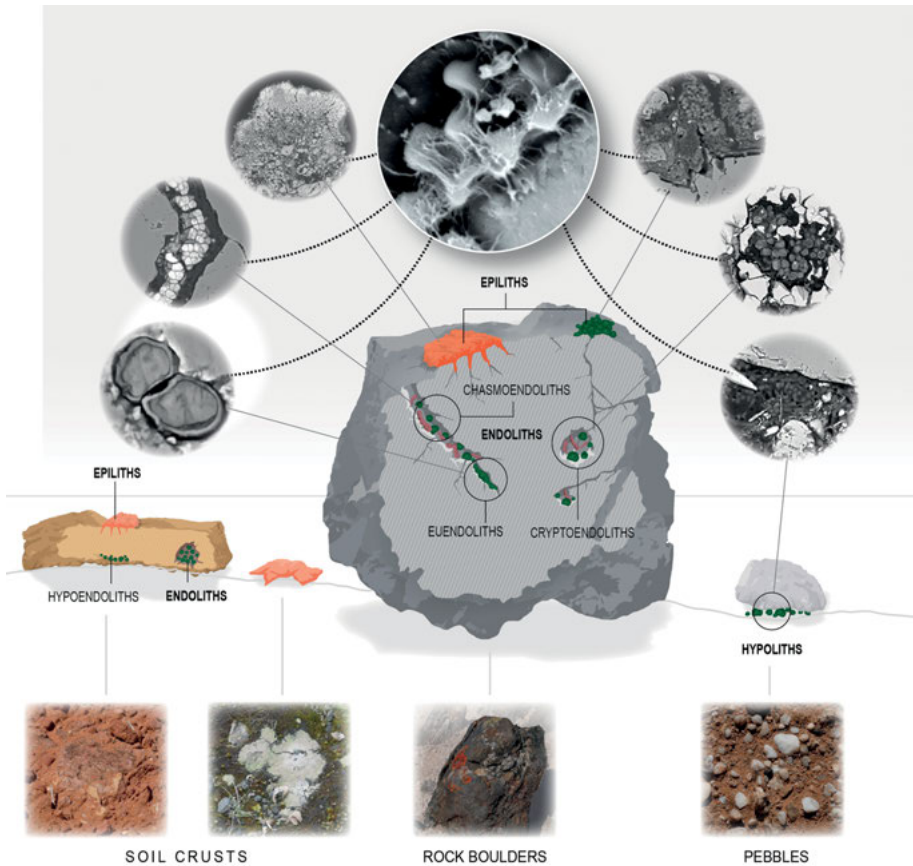
their relation to the substrate, we can therefore establish the following classification (Fig. 1.2):

- Epilithic microorganisms colonizing the external surface of rocks or pedogenetic soil crusts. They are associations of fungi, algae, cyanobacteria, and heterotrophic bacteria (Figs. 1.1C, D and 1.3A).
- Hypolithic microorganisms inhabiting the ventral surfaces of rocks, especially beneath translucent pebbles (Figs. 1.1G and 1.3B). Microorganisms dominate most of hypolithic communities, but they also coexist with organisms such as mosses in these locations.
- Endolithic microorganisms occupying internal microhabitats of rocks and soil crusts. They are divided according to their ecological niche into chasmoendoliths, cryptoendoliths, euendoliths, and hypoendoliths [2, 6, 7].
  - Chasmoendoliths (arrows in Fig. 1.3C) refer to microorganisms that inhabit fissures and cracks within rocks.
  - Cryptoendoliths (arrows in Fig. 1.3D) occupy preexisting structural cavities and pore spaces within the rock or soil crusts.
  - Euendoliths (Fig. 1.3E) actively penetrate into the interior of the hard mineral substrates, creating the endolithic microhabitat themselves and forming tunnels that conform to the shape of the microbial cells.
  - Hypoendoliths settle in the underside of soil crusts, but within them [6].

Nonetheless, the different types of lithobiontic colonization are not always strictly delimited. Some microorganisms are partially epilithic and partially endolithic, such as some lichen and cyanobacteria species; others penetrate carbonate substrates as euendoliths but also colonize preexisting structural cavities within rocks; hypoliths can also be endoliths and colonize fissures of the rock above them [1, 5, 8].

The ability of rocks to become colonized, or bioreceptivity [9, 10], notably conditions the ecological niche played and the rock-microbial interfaces generated. While fissures and cracks of siliceous rocks harbor chasmoendolithic microbial communities, pores of carbonate rocks favor the formation of cryptoendolithic communities [11]. Euendoliths are present mostly in relatively soluble substrates such as carbonates and phosphates [2]. However, we cannot discard that species-specific abilities facilitate the development of certain ecological niches [12].

Lithobiontic communities can be found in a wide range of settings and environmental conditions, from polar deserts to subtropic and tropic regions, following gradients of critical environmental determinants, such as water supply and light [1]. In fact, they inhabit lithic substrates in almost every terrestrial, marine, and freshwater environment on the surface of the Earth. Lithobiontic communities are widespread in milder climatic conditions where they compete with vascular vegetation [8, 13]. However, their highest ecological importance is reached in extreme environments as they are the main colonizers there [3, 11, 14, 15, 16]. In addition, endolithic microbial communities have been detected on the seafloor and subseafloor of the upper oceanic

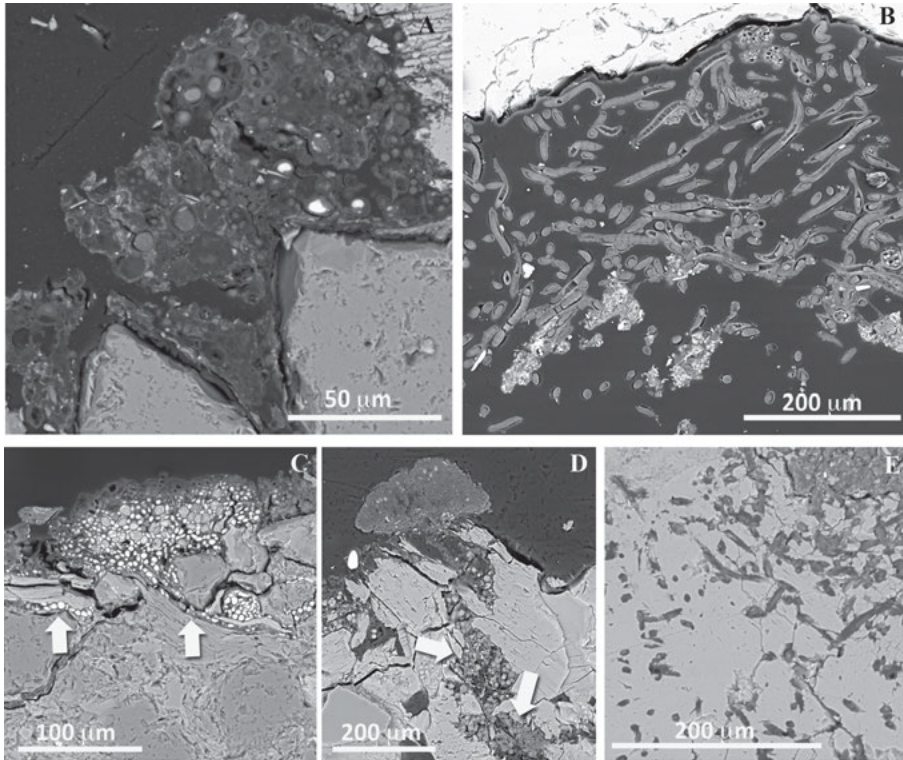


**Fig. 1.2:** Schematic representation illustrating the main lithic microhabitats occupied by lithobiotic communities: epilithic and endolithic microhabitats in rock boulders, epilithic and endolithic microhabitats in pedogenic soil crusts, and hypolithic microhabitats under translucent pebbles. Small circles at the side show scanning electron microscopy (SEM) images representing the different lithobiotic ecological niches (from top to bottom and first of the right: epilithic lichen- and epilithic cyanobacteria-dominated community, chasmoendolithic cyanobacteria community and cryptoendolithic lichen association, and euendolithic cyanobacteria and hypolithic cyanobacteria). The biggest circle located at the center above contains a low-temperature scanning microscopy (LTSEM) image showing the biofilm organization shared by the different types of lithobiotic communities.

crust at the spreading ridges and hydrothermal areas [17] and associated with rock deposits at the continental subsurface [18, 19].

### 1.1.2 Research strategies for the study of the rock-microorganism interface

In the lithobiotic habitat, microorganisms live, thrive, and create microenvironments that are difficult to characterize. Multiscale and correlative approaches are needed to



**Fig. 1.3:** (A) SEM-BSE image of an epilithic microbial community harboring algae, cyanobacteria, and heterotrophic bacteria on sandstones (Dry Valleys, Antarctica). (B) SEM-BSE image of a hypolithic community dominated by filamentous cyanobacteria under a quartz pebble at Namib Desert. (C) SEM-BSE image of fungal chasmoendolithic colonization (arrows) under an epilithic lichen thallus in granite from Livingston Island (Antarctica). (D) SEM-BSE image of a cryptoendolithic growth (arrows) under a lichen thallus in soil gypsum crust from Namib Desert (Namibia). (E) SEM-BSE image of euendolithic fungal growth located under an epilithic lichen thallus in a soil crust rich in carbonates from Namib Desert.

describe the rock-microorganism interface and the processes occurring therein. The precise description of microbial communities in the lithobiotic niche requires combining the output from several visualization and analytical techniques. Researchers using a combination of techniques will be able to answer questions about diversity and distribution of organisms within a community and what they are doing there.

### Visualization techniques

The first identification of the presence of lithobiotic communities is made using the optical microscopy approach. In the study of the interface of microorganisms and rocks or sediments, petrographic polished thin sections must be prepared for

observation with transmitted and reflected light microscopy. To achieve the necessary degree of preservation of the microbial cells, samples should be aldehyde fixed in buffered solutions, as simple drying of the specimens destroys microbial structures. After fixation, samples are gradually dehydrated by increasing concentrations of ethanol and embedded with a low viscosity resin. Finally, the resin is polymerized, and thin sections of these hardened specimens can be made following conventional procedures for preparing rocks for microscopy studies. The use of fluorescence stains based on intracellular binding sites of DNA or RNA promotes marked fluorescence enhancement for epifluorescence microscopy of the microbial communities. The objective of this approach is to discriminate the organic material from the mineral particles and to locate areas of interaction.

### Optical resolution

Microbial communities of more than 10  $\mu\text{m}$  thickness usually cannot be handled with common light microscopes because material above and below the focal plane will scatter light and interfere with the direct measurement. Such biofilms can be investigated using wide-field deconvolution epifluorescence microscopy [20] or confocal laser scanning microscopy (CLSM) [21]. CLSM allows optical sectioning of the structure of the microbial communities associated with the mineral substrate by means of fluorescence induced by glutaraldehyde fixation of organic material [22], enabling a three-dimensional reconstruction of the undisturbed sample [23]. Combining CLSM and *in situ* fluorescence hybridization using 16S rRNA targeted oligonucleotide probes (FISH) enables the detection and identification of specific groups of bacteria in biofilm and microbial mats [24]. To increase the sensibility, the fluorescence signals can be amplified by catalyzed reporter deposition–fluorescence *in situ* hybridization (CARD-FISH) [25]. In the case of microbial communities inside carbonates, the samples should be decalcified to avoid the false binding to positively charged mineral surfaces [26]. The use of specific probes labeled with fluorophores of different emission wavelengths allows researchers to visualize colocalization and can be useful in determining the architecture of the microbial community [27]. In addition, staining with live/dead fluorescence markers allows the characterization of the physiological state of microorganisms in lithobiontic communities [21, 28]. Other fluorescence stains can provide information about microenvironments such as pH measurements around the microbial cells [23, 29]. The use of fluorescent lectin-binding probes allows to detect the extracellular polymeric substance (EPS) placed around the cells, yielding information about different structural domains related to mineral nucleation [30, 31].

In the case of photosynthetic organisms, one additional parameter that can be analyzed is the fluorescent emissions at 680 to 690 nm after proper excitation, which is due to chlorophyll molecules in reaction centers of photosystem II (PS II) of *in vivo* oxygenic phototrophs (Fig. 1.4A). Wavelengths that excite PS II fluorescence depend

on associated accessory antenna pigments, which vary in different groups of oxygenic phototrophs [32]. This technique has been used to study the composition and structure of microbial communities from stromatolites [33]. The lambda scan confocal microscopy has been used to record a series of individual images obtained using a defined emission fluorescence wavelength range. This procedure has been successfully used to assess, at the single-cell level, the physiological state of endolithic photosynthetic cyanobacteria [34].

### High-resolution techniques

The analysis of the fine interaction of microorganisms with the minerals requires study via high-resolution techniques, such as SEM using secondary electron microscopy and backscattered electron (BSE) modes on flat surfaces [35]. Wierzychos and Ascaso [36] included postfixation with osmium tetroxide during the preparation of the sample, allowing to visualize the cytological aspect of the microorganisms present in close contact with the mineral substrate [37]. The analysis of finely polished sample cross sections reveals the contact between the organisms and the surrounding substrate [38] and allows to identify biochemical and biophysical weathering induced on the minerals [39]. This approach (Fig. 1.3A–E) has been used, among others, to describe the endolithic habitat of lichens [40, 41] and cyanobacteria [42] in Antarctic granites, epilithic lichens on gypsum crystals and soil crust in arid and semiarid habitats [6, 43, 44], and endolithic communities in halite and ignimbrite rocks from Atacama Desert [45, 46]. These techniques have also been used in describing endolithic microbial activity in oceanic basalts [47, 48].

LTSEM is another interesting technique to analyze lithobiontic communities (Fig. 1.4B) because it has proved to be valuable for visualizing water at the interface between microorganisms and their substrate [49] and the spatial structure of EPS matrix [6, 42], with implications in lithification in microbial mats [50]. The distribution of water in lichen-dominated soil crust was also described with this technique [51, 52], showing areas of hydrophobicity on the surface of soil crust lichens as one of the key factors controlling the variability in runoff response in semiarid habitats.

The characterization of the biogeochemical activity of microorganisms on the mineral surfaces requires the use of microscopy with higher resolution such as transmission electron microscopy (TEM) (Fig. 1.4C). In fact, to study physicochemical mineral transformations, it is advisable to use a high-resolution transmission electron microscope (HRTEM), which works at atomic resolution scale [53]. HRTEM provides simultaneous structural information at the nanometer scale via selected area electron diffraction (SAED) and chemical data through energy-dispersive X-ray spectroscopy (EDX) or by electron energy loss spectroscopy (EELS) [54–56]. TEM has been used extensively to define the architecture of the cell envelope, the location and nature of the interactions with metal ions, and the structure and composition of associated minerals [57]. Using this technique, it has been demonstrated that different



constituents of cell walls from gram-positive and gram-negative bacteria are capable of binding metal ions by means of exposure of anionic charges [58]. Difficulties inherent to producing sufficiently thin samples to allow electrons to pass through them while the organic-mineral interface is preserved intact have limited its application. However, nowadays samples can be prepared using a focused ion beam (FIB) to thin a region of interest selected under SEM observations for analysis under TEM [59, 60]. Other techniques such as atomic force microscopy (AFM) do not need to process the samples and allow the observation of the interactions of microorganisms in their native state [61, 62]. Taylor and Lower [63] have determined the thickness and surface density of layers of EPS around the cells using AFM. New instrumentation and methodologies of liquid-phase TEM and advances in cryogenic TEM are also transforming our understanding of the physical and chemical mechanisms underlying the formation of biominerals [64]. Cryo-TEM has been applied to study the dynamic processes of nucleation, self-assembly, crystal growth, and coarsening of biominerals [65, 66].

Up to this point, we have been revising different methodologies used to study microbial communities following a sequential approach, by increasing the scale of resolution from light microscopy to high-resolution TEM and AFM. Samples fixed and embedded in resin can be polished to be used for light, fluorescent microscopy, and SEM. Selected areas under SEM can be extracted by FIB and studied by TEM. This strategy of visualizing the same sample with different microscopy techniques is known as correlative microscopy. However, not all techniques are easy to combine. For instance, fluorescent probes are incompatible with classic EM sample preparation. Fluorescence reduction caused by treatment with high concentrations of osmium and by complete dehydration may be prevented by cryofixation of the samples [67]. By using Cryo-TEM, samples are preserved in a frozen hydrated state, which does not require chemical fixation or embedding, and allows for fluorescence preservation. Sample transfer between microscopes offers the possibility to use fluorescent visualization before electron microscopy visualization, enabling analyses of microbe-mineral interactions at different scales and microenvironments.

### **Analytical techniques**

An important feature of microbial communities is the spatial heterogeneity of their extracellular chemistry. The combination of visualization and chemical analysis allows us to spatially resolve these existing chemical patterns. In addition, the study of older sediments can provide us with information regarding the fossilization and diagenetic processes acting on the previously live microbial communities [22]. There are several chemical imaging techniques that can be non-invasive, such as EDX or electron probe micro-analyzer (EPMA) and Raman spectroscopy. In other techniques, the atoms or molecules are sputtered away from the sample surface, such as X-ray photoelectron spectroscopy (XPS) and secondary ion mass spectroscopy (SIMS). Selecting among techniques depends on the resolution needed and

the information sought. In some cases, additional techniques such as microsensors can be used to describe the changes at the micron scale along the daily cycle of the endolithic communities [68] or in different areas of microbial mats [69].

At the optical level, analytical imaging techniques such as Raman spectroscopy are very useful to characterize mineral-microbial interfaces. The frequency of light scattered from a molecule depends on the structural characteristics of the chemical bands, such that each peak corresponds to a given Raman shift (from the incident radiation energy) related to a specific molecular vibration and represents a particular chemical constituent of the sample. Raman requires minimal specimen preparation and can be used on the rock chips or on standard uncovered geological thin sections without the use of high vacuum conditions. The achievable spatial resolution is down to 20–50 nm when coupled with confocal microscopy, near-field optical techniques, or super resolution imaging techniques. A good example of this technique was shown on the study of endolithic phototrophic microbial communities from Atacama Desert [70, 71]. Raman imaging revealed that pigment composition within the microbial aggregates was useful to understand their adaptation strategy to survive in extreme environments [72]. This technique was also used to describe the presence of melanin pigments in the cell walls of fungi colonizing the interior of gypsum crusts [73].

As higher resolution is needed, the use of scanning transmission X-ray microscopy (STXM), a synchrotron-based X-ray spectromicroscopy that can both image and reveal oxidation states, is of great help for studying microbially associated minerals [74, 75]. FIB milling in combination with STXM analyses has been used to measure the accelerated weathering of a sheet silicate (biotite) by ectomycorrhizal fungi [76]. STXM, which uses near-edge X-ray absorption fine structure (NEXAFS) as its contrast mechanism, can be used to analyze hydrated biological samples. NEXAFS image sequences can be converted into quantitative maps of the chemical species present in biofilms [77]. With these techniques, it is possible to visualize bacteria and associated mineral phases in fully hydrated biofilms [78, 79]. The use of proton-induced X-ray emission (PIXE), a non-destructive elemental analysis technique, was used to study the differences in major element concentrations among the endolithic colonized areas in granitic sandstones [80]. Recent approaches using micro-X-ray absorption near-edge structures have revealed the distribution of elements such as P, Cl, and S in cross sections of biological soil crusts from arid and semiarid habitats [81]. This approach revealed apatite hotspots related to the presence of microorganisms and the accumulation of S and Cl containing compounds within green algae and on their outer surface. These methods, in combination with TEM, provide remarkably clear chemical state-specific images of the areas of the microorganism-mineral interface. In addition, STXM and photoemission electron microscopy (PEEM) may provide the ability to identify biogenic signatures in natural samples [59, 82, 83]. Until now, the highest precision is achieved using the NanoSIMS technique, which, using a Cs<sup>+</sup> ion beam with a size as small as 50 nm scanning over sample surfaces, is able to obtain high spatial resolution images of up to 7 isotopic species simultaneously [84, 85].

*In vitro* studies involving the purification and characterization of molecules that drive biogeochemical processes can also be very relevant to understand the mechanisms of mineral-microbial interactions. These experimental studies are important to determine which forces are operational during the adhesion of microorganisms to minerals and which macromolecules contribute to metal bioreduction [86]. Mineral-respiring bacteria use a process called direct extracellular electron transfer (DEET) to route their respiratory electron transport chain to insoluble electron acceptors on the exterior of the cell [87]. *In vivo* experiments can complement the *in vitro* studies to delve into the metabolic strategies that have been evolutionarily optimized to exploit a particular ecological niche [88]. Following these approaches, the relationships between the microbial mechanisms of energy metabolism and the physicochemical features of the environments can be established.

### **Molecular biology techniques for the detection and identification of microorganisms**

The identification of lithobiontic microorganisms using molecular biology techniques is a hard task given the difficulties of obtaining high-quality DNA, in terms of both purity and concentration, from the rocks (especially from endolithic microhabitats). To describe microbial communities at rock interfaces, it is especially relevant to combine the identification of microbial components by means of molecular biology techniques with morphological and ultrastructural characterization via microscopy without disturbing the lithic microhabitats [42, 89, 90] because with this strategy, it is possible to localize, describe, and simultaneously identify them.

The isolation and the culturing of lithobiontic microorganisms allow to easily extract DNA for polymerase chain reaction (PCR) or genomic analysis and to perform subsequent phylogenetic analysis to classify and identify them [91, 92]. One of the best methods for the isolation of lithobiontic microorganisms is crushing the part of the rock of interest and plating the rock powder on a media plate after suspension [93]. However, only a small fraction of environmental microorganisms has been cultivated because they have special growth requirements such as specific nutrients, growth signals, or dependence on other microorganisms [94].

In simple microbial communities, single taxa can be identified by DNA barcoding. The collection of small samples from the rocks allows extracting DNA and later amplify via PCR specifically targeted DNA sequences that are taxonomically informative. Sequencing of PCR products and comparison with reference databases allow identification, but lithic habitats are generally underexplored and close relatives are frequently not found in reference databases. The extension of cryptic colonization, such as endolithic lichens, can be characterized by comparing the sequences of endolithic growths with those of identifiable epilithic forms or recognizable external fruiting bodies [40]. However, most of the microbial lithobiontic communities are complex, and this strategy cannot be followed. At present, the development of

high-throughput DNA sequencing technologies allows us to simultaneously obtain information at a large taxonomic scale about all the components of the communities. The method more broadly used on lithobiontic communities is metabarcoding [89, 90, 95–97], in which short regions of one or a few genes (called DNA barcodes) from environmental DNA are mass amplified by PCR using universal primers followed by high-throughput sequencing taxa, which are later classified using reference databases. Metabarcoding is providing important new insights into the cryptic diversity of lithobiontic communities, but like all PCR-based methods, it is PCR biased. At present, metagenomic studies begin to be also applied to lithobiontic communities [98–100]. Metagenomics is based on shotgun high-throughput sequencing and avoids the PCR bias, and it also gains insight into functional diversity but leads to more complex bioinformatic work.

### 1.1.3 Composition and spatial organization of lithobiontic communities

#### Composition

Most of the lithobiontic communities harbor microorganisms from different taxonomic groups (Fig. 1.3A). Fungi, algae, and bacteria are the most conspicuous microbial components of lithobiontic communities at ground surface [3, 90, 101, 102]. Archaea have been only recently acknowledged in different lithic habitats at ground surface, predominantly from extreme environments [19, 103–105]. However, archaea are commonly found coexisting with heterotrophic bacteria on the seafloor and subseafloor of the upper oceanic crust in spreading ridges and hydrothermal areas [17, 47, 106]. Viruses are also probably important components of different lithobiontic communities, but as far as we know, they have been only analyzed in hypolithic communities [107, 108].

The lithobiontic association of heterotrophic bacteria and cyanobacteria is one of the most widely spread across different lithic substrates; they share rock microhabitats and establish intimate associations between them [23, 28, 109, 110]. These mixed bacterial communities are present at epilithic (Fig. 1.3A), endolithic (Fig. 1.4B and C), and hypolithic positions (Fig. 1.3B), forming very successful associations in extreme environments. These communities were dominated by cyanobacteria and, among others, also harbor Acidobacteria, Actinobacteria, Bacteroidetes, and Proteobacteria [111–114]. Closely affiliated microbial lineages are often shared across rocks in different geographical regions, but some endemic taxa are also frequently found [114, 115].

Lichens are another successful lithobiontic association (Figs. 1.3C, D and 1.4D), which are basically the symbiosis between specialized fungi (mycobionts), mostly Ascomycetes, and unicellular green algae and/or cyanobacteria (photobionts). In addition to the main symbiotic partners, lichen harbor diverse communities of prokaryotes and fungi as cohabitants [116]. They are primary colonists of rocks and occupy approximately 6–8% of the Earth's land surface, forming part of epilithic communities

around the world [117], also being key components of endolithic communities from extreme and temperate environments [40, 118–120].

Non-lichenized black fungi exhibiting meristematic growth are also frequent colonizers of rock surfaces in extreme environments [121, 122]. These melanized fungi belong, for the most part, to the fungal classes Dothideomycetes and Eurotiomycetes. Dothideomycetes were more frequently found in cold deserts, whereas Eurotiomycetes were more abundant in hot drylands [123]. Black fungi belonging mainly to the Dothideomycetes class have been found in lichen-dominated endolithic communities from Antarctica [124], as well as endolithic yeast from the Basidiomycota [125].

### **Spatial organization**

The structural organization of lithobiontic communities is determined not only by their microbial composition but also by the spatial configuration of the occupied lithic microhabitats [3, 11, 102]. In addition, specific microenvironments are created at these microhabitats where microorganisms, which favor or are favored by these conditions, coexist [23]. The assembly of the lithobiontic communities at rock interfaces is consequently clearly limited by microenvironmental features differing on a small three-dimensional spatial scale [46, 101, 126, 127].

The distribution of certain microorganisms in the lithic substrate is also determined by their own growth requirements, such as light, moisture, and mineral nutrients, especially in endolithic communities [11]. Cryptoendolithic microbial colonization normally occurs as different colored bands running parallel to the rock surface, which respond to a steep light gradient [46, 118, 128]. The most extensive colonization by chasmoendolithic cyanobacteria and algae has been found in near-to-surface areas of the fissures and under the more translucent minerals [42, 101]. Hypoendolithic colonization has been detected only in crusts with a microporous matrix allowing light transmittance [6, 104]. The establishment of hypolithic communities is also associated with the arrival of sunlight to the ventral area of the rocks because this colonization is not detected in fully nontranslucent rocks [129]. In fact, in opaque rocks from Polar regions, hypolithic growth has been reported only as a result of light penetration around the edges of rocks that were sorted by periglacial action [14]. In addition, cryptic rock microhabitats, such as endolithic and hypolithic ones, favor water retention in them after rainfall or fog events and allow microbial cell hydration, which is especially relevant to the persistence of microbial communities in drylands [6, 13, 46, 129, 130]. Lithic microhabitats can also be considered nutrient reservoirs. Some nutrients required for microbial growth can be obtained by *in situ* weathering of the host microhabitat, but other essential nutrients are more likely derived from allochthonous sediments such as dust, which can also become accumulated in specific lithic microhabitats [115, 131, 132].

Microorganisms colonizing the lithic substrate are not dispersed but rather form mixed polymicrobial aggregates embedded in an organic matrix resulting mostly

from the accumulation of EPS (polysaccharides, proteins, and nucleic acids), produced and secreted by the resident microbial cells [15, 23, 133]. The EPS adheres to the microbial aggregates of external (epilithic and hypolithic) and internal (endolithic) rock surfaces and configures a biofilm structure (Figs. 1.2 and 1.4B). Microbial cells are kept in proximity within the EPS matrix, thus allowing intense interactions, including cell-cell communication (Fig. 1.4C) and the formation of synergistic microconsortia [28, 134]. Other functional roles have also been attributed to the EPS; they favor water retention and sorption of organic compounds and ions and provide protection to microbial cells against freezing [28]. Minerals are also embedded within the EPS matrix, which seem closely associated with the EPS surrounding microbial cells, some of them corresponding to detached mineral fragments from the lithic substrate (Fig. 1.4B, asterisk) but also biogenic (Fig. 1.4B, white arrows) and allochthonous minerals are associated. An important chemical feature of the EPS matrix is the cation-binding ability of the negative functional groups, which plays a twofold role, either inhibiting or promoting mineral formation [135, 136]. Acidic EPS can also generate low pH in the proximity of the microbial aggregates [23].

Multiple interrelations occur within these biofilms, which involve not only the establishment of different relationships between biological components but also close interactions between the microbial cells and the immediate mineral environment in which they are found [54, 55, 137]. These microbial-mineral interactions, through their physical and chemical effects, contribute together with the EPS matrix to the formation of specific microenvironments in the biofilms. These areas have conditions different from those of the bulk, and the different microorganisms are organized depending on their physiological requirements [11, 23].

The spatial biofilm organization notably contributes to the establishment and functioning of the lithobiontic community because the arrangement of microbial communities facilitates the establishment of the close relationships among the different components and the configuration of specialized lithobiontic microconsortia [23, 28]. They are basically composed of cyanobacteria and algae as primary producers; fungi, which may be regarded as consumers; and heterotrophic bacteria as decomposers. Live, dead, or dormant algal, fungal, and bacterial cells can appear intermixed in several zones of the lithic substrate (Fig. 1.4A), favoring nutrient recyclability [21, 28]. The proportion of dead and dormant cells can be high in lithobiontic communities because they live close to the limits of their physiological potential, especially in communities of extreme environments [11].

### **Epilithic communities**

Epilithic subaerial biofilms are frequently formed on external lithic surfaces in terrestrial, marine, and aquatic ecosystems [15, 138]. Depending on the development stage and the presence of pigmented microorganisms in the epilithic communities, some aggregates form visible colored biofilms detectable by the naked eye on the

lithic substrate (Fig. 1.1D), whereas others are undistinguishable. Photosynthetic microorganisms lead the formation of green or greenish-blackish patinas on the rock in natural and man-built lithic substrates [139, 140]. Black fungi form compact, melanized colonies on bare rock surfaces in extreme and temperate climates, generating distinguishable black colorations on the rock [121, 122, 141]. The establishment of lichen symbiotic associations on rock surfaces is very common from milder to the most extreme environments, where the main symbiotic partners usually form a compact and macroscopically recognizable structure known as the lichen thallus (Fig. 1C). Mineral fragments and other microorganisms are frequently embedded in the saxicolous lichen thallus structure (Fig. 1.4D). Depending on their bioweathering capacity and the susceptibility of the substrate to biological attack, mineral fragments can be more or less relevant [142]. Calcium oxalate crystals are also frequent in epilithic and soil crust lichen, where they appear intermixed with substrate mineral fragments [40, 143].

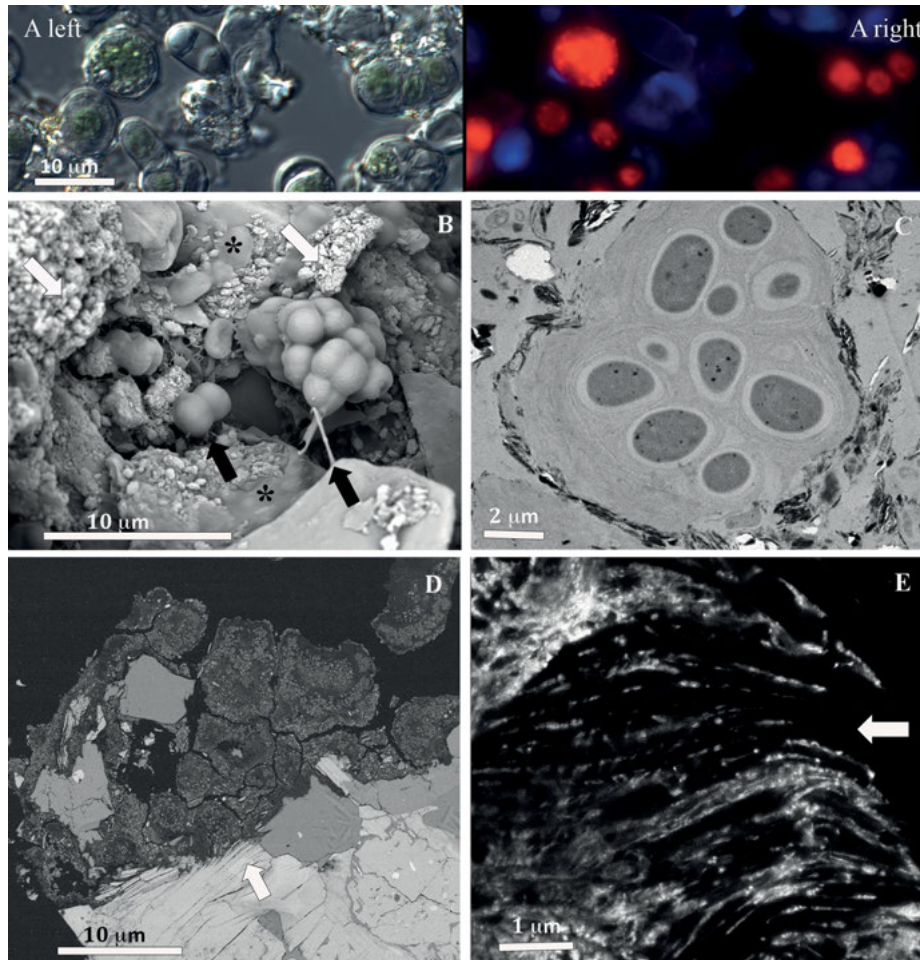
### **Hypolithic communities**

Hypolithic communities are organized in biofilms situated under translucent rocks (Fig. 1.1F), which can be dominated by different organisms such as mosses, bacteria, and fungi, and harbor mineral fragments of soil origin trapped within the EPS matrix [144, 145]. There are two main types: cyanobacteria-dominated and moss-dominated hypolithic communities. Their spatial structure was mostly determined by the dominant biological component [5]. In moss-dominated communities, the organization of the community was determined by the structure of the moss plantlets, including both live and dead tissues. In cyanobacteria-dominated hypolithic communities, the filamentous cyanobacterial cells and the surrounding EPS are the principal structural elements of a multilayered structure (Fig. 1.3B). These communities are arranged in two different layers. On the ventral rock surface, a layer harboring a higher density of mainly filamentous cyanobacteria cells is formed. Overlaying the latter, a second layer of dispersed cyanobacteria cells extending toward the soil, and trapping a higher amount of soil particles, is formed [5].

### **Endolithic communities**

Microorganisms hidden within lithic microhabitats avoid high radiation, extreme temperatures, lack of water supply, and attack by grazing organisms, making this type of colonization very successful in extreme environments [3, 5, 13, 146]. Physicochemical features of the lithic substrate, especially textural properties, considerably limit the settlement of endolithic communities and determine their extension and organization [147, 148]. Microbial aggregates are accommodated in the empty spaces within the rock created during rock formation (primary porosity) or after rock formation (secondary porosity). An extensive porosity system and the preexistence of a weathering structure facilitate endolithic colonization [23, 149]. The porosity system

(mainly extent and type) notably conditions the spatial organization of cryptoendolithic (Fig. 1.3D) and chasmoendolithic (Fig. 1.3C) communities. Epilithic growth can also induce the formation of fissures that are colonized by chasmoendolithic microorganisms [8]. Euendolithic colonization involves an active process of dissolution,



**Fig. 1.4:** (A) Correlative light microscopy (left) and UV fluorescent microscopy (right) images of living (red in panel A, right) and dead (blue in panel A, right) endolithic algae from Antarctic granite at Miers Valley (Antarctica). (B) LTSEM image of an endolithic biofilm formed by cyanobacteria embedded in an EPS matrix (black arrows) harboring mineral fragments (asterisks) and fine biomineral crystals (white arrows) from Dry Valleys (Antarctica). (C) TEM image of endolithic cyanobacteria in gypsum from Sorbas (Almería, Spain). (D) SEM-BSE image of epilithic *Caloplaca* sp. thallus in granite from Dry Valleys (Antarctica), inducing mica separation and exfoliation on the granite surface (arrow). (E) CLSM image of glutaraldehyde fixed endolithic fungal hyphae (white) colonizing interlayer spaces of mica (white arrow).



which allows boring into the substrate and the formation of tunnels [150]. Hence, the boring abilities of euendolithic microorganisms determine their distribution and organization. In intertidal marine carbonates (calcareous substrates formed by living organisms and those formed by geological processes) or carbonate crusts (Fig. 1.3E), euendolithic cyanobacteria and fungi occupy broad layers under the surface [151, 152]. Endolithic lichen with symbionts showing euendolithic ecological niches can also become established in marine intertidal environments [12].

#### 1.1.4 Microbial-mineral interactions

Since the mechanism of bacterial surface reactivity was described in detail [153], it was established that the close spatial association of active cells with minerals creates chemical gradients that drive the formation of minerals through recrystallization, solid-state transformation, and/or heterogeneous nucleation [154], including reactions on mineral surfaces and cell components that serve as templates [82, 155, 156]. The action of microorganisms on minerals may be attained by slow dissolution processes mediated by biogenic organic acids or complexants [157], or by chemical transfer between cell surface components and mineral [158]. The specific environmental conditions and adaptation mechanisms may induce changes in cell surface chemistry, modifying the adsorption properties of the cell walls. These changes in the production and/or arrangement of EPS can have significant influence on the fractions of complexing elements present in the microenvironment surrounding the cells [159]. The lithobiontic community growing on the rock surface potentially functions initially as a weathering agent (through the production of organic acids or ligands that facilitate mineral dissolution) and later as reactive surfaces for the nucleation of secondary clay phases [22].

#### Mineral precipitation

The surfaces of the microbial cells are interfaces where the precipitation of metal ions and the development of fine-grained minerals take place [160, 161]. Although the chemical structure of these minerals may be identical to that of minerals produced by geochemical mechanisms, their biological origin can be traced by their isotope concentrations [162, 163]. The formation of mineral precipitates in microbial communities can be either biologically induced as a result of microbial activities or biologically influenced if cells and EPS act as passive nucleation templates [135]. On the other hand, organomineralization, as the mineralization process mediated by organic molecules or particles independent of the living organisms that produce them [164], occurs also in natural environments. Biologically induced and influenced mineral precipitation and organomineralization reactions often occur at the same time in natural environments and are difficult to discriminate. Furthermore, it was stated that

the production of EPS and decay of microbial biofilms are critical for the development of localized conditions that favor mineral precipitation.

### Silicates

Field studies have led to recognize that microorganisms can mediate the formation of clay minerals. The inorganic phases develop in a predictable manner, beginning with the adsorption of cationic ions to the anionic cellular surfaces by supersaturation of the proximal fluid. Then, the nucleation and precipitation of a precursor hydroxide phase on the cell surface, is followed by reaction with dissolved silica and aluminum, and eventually the growth of an amorphous claylike phase. Ferris et al. [155, 165] initially described complex (Fe, Al)-silicates on bacterial cells growing in metal-contaminated lake sediments. Colloidal species of (Fe, Al)-silicate composition that are either present or form by weathering initially in the water column may react directly with the outermost cellular layers. These precipitates ranged from amorphous gel-like, poorly ordered capsular material to fine-grained (nm size particles) authigenic mineral as complex (Fe, Al) silicates of variable composition [166]. Some clays form as replacement products from the alteration of primary minerals as was described in cryptoendolithic lichen communities in sandstones from the Antarctica McMurdo Dry Valleys. Cells became mineralized by epicellular deposition of these primary minerals [41]. L veill  et al. [167] similarly documented the formation of kerolite (Mg-silicates) in association with biofilms colonizing the surfaces of walls and ceilings within basaltic sea caves on the northern coast of Kauai, Hawaii. Similar associations of Mg-silicates to cell walls of algae and cyanobacteria were described in other cryptoendolithic habitats such as Mono Lake pinnacles [168], Yellowstone geothermal environments [115], biofilms in acidic waters from Rio Tinto [169], cryptoendolithic communities in gypsum crust from Atacama Desert [170], and biosedimentary structures in lakes [85]. Handley et al. [171] described silicification of biofilm EPS in hot springs in Champagne Pool, New Zealand, where EPS provided direct templates for silica precipitation.

### Carbonates

Calcite precipitation often starts on cell walls and sheath harboring EPS. This mode of calcite precipitation is related to crystal nucleation on cell sheath material [172] or to increased saturation induced by photosynthesis [173, 174]. The EPS have negatively charged radicals, such as carboxyl groups, that can absorb calcium ions [135]. Other studies have also shown inhibition of calcite precipitation by EPS [175–177]. This inhibition was related to differences in the biochemical composition of the EPS of different microorganisms or different physiological states. Kawaguchi and Decho [178] found that EPS from the unlithified layers of stromatolites had higher uronic acid and carbohydrate content than the EPS from lithified layers.

In addition to calcite, other carbonates can precipitate as a result of microbial activity. Vasconcelos et al. [179] showed the precipitation of dolomite at low

temperatures in the presence of sulfate-reducing bacteria (SRB). Warthmann et al. [180] also isolated a halotolerant SRB bacterium, which mediates dolomite formation. Other natural environments, under both anoxic and oxic conditions, have been described as promoting dolomite formation [181]. García del Cura et al. [182] described calcite and dolomite precipitation in close association with EPS of coccoid microbial cells and filamentous cyanobacteria in springs. Visscher et al. [183] described the precipitation of aragonitic needles associated with the activity of SRB within Bahamian stromatolites. EPS produced by *Desulfovibrio* have calcium ions binding and buffering capacities that influence carbonate mineral precipitation [184]. In addition, other laboratory studies [185] reported the precipitation of vaterite by the soil bacterium *Myxococcus xanthus*. When minerals precipitate surrounding microbial cells, they can preserve remnants of organic matter and microbial cast, long after the cells have been degraded [186]. Furthermore, calcite precipitated in the presence of organic compounds often has distinct shapes [187], although abiotic conditions can also form the same shapes.

The precipitation of phosphate minerals such as apatite or struvite has been reported in batch culture studies [188, 189]. Phosphate biomineralization has been reported in association with EPS in natural environments [190–192]. Calcium phosphate precipitation was also described in sedimentary phosphatic stromatolites associated with microbial mats [193]. In older sediments, the initial precipitation of metastable carbonates and phosphates (vaterite, aragonite, and high-Mg calcite) tends to be an overgrowth of crystals of more stable phases (low-Mg calcite and dolomite), followed by the disappearance of the initial structure.

### Iron and sulfur precipitation

The formation of intracellular iron sulfide minerals in magnetotactic bacteria is one of a few occurrences of a genetically mediated microbial-mineral formation [194]. Other biogenic iron oxides can form as a consequence of microbial metabolism, when bacteria oxidize Fe (II) to Fe (III) under oxic conditions. Ferris et al. [155] proposed that the surface of microorganisms may provide templates for the binding of Fe (II) and for the subsequent nucleation and growth of iron sulfide minerals, Fe(III) oxyhydroxides, in the presence of oxygen or Fe(II) sulfide minerals under anoxic conditions [58, 195]. In addition, the presence of iron sulfide minerals forming at the surface of microbial cells has been described in several experimental studies [196]. Iron oxides have been observed to form in bacterial EPS, as well as directly on cell walls as amorphous or nanocrystalline [197, 198]. Tazaki et al. [199] analyzed the structures and mineralogy of pisoliths from a hot spring and found that there were Fe-rich layers precipitated in the EPS of bacterial cells. Chan et al. [82] found that mine waters contained iron oxide precipitates at the center of which were elongated akagenite pseudocrystals. It was shown that the akagenite formed around extracellular polymeric fibers extruded by the microbes. Similar precipitations associated with Mn oxides have also been described [200].

Sedimentary metal sulfide mineral formation takes place in low-temperature environments and requires the production of free sulfide by sulfate-reducing microorganisms under anoxic conditions [201, 202]. Pyrite formation in the laboratory does not seem to occur readily in the presence of SRB. However, the metastable solid phases mackinawite (FeS) and greigite (Fe<sub>3</sub>S<sub>4</sub>) are rarely preserved in the sedimentary rock record but are abundant in culture experiments. It has been suggested that the presence of organic substances under culture conditions could inhibit pyrite formation and produce greigite [203]. Moreau et al. [204] studied biofilms of SRB and found that they contained spheroidal biogenic ZnS nanocrystals. Cellular encrustations and associations between EPS and minerals present in dissolution can lead to the preservation of microbial organic compounds and casts of the organisms with iron hydroxides [169, 205].

### Mineral weathering

The detection of bioweathering processes is not always easy because weathering induced by abiotic agents is prevalent in all habitats. Hence, it is necessary to precisely characterize microsites at the interface between living organisms and their substrate [56]. The bioweathering activity of microorganisms such as cyanobacteria, free-living algae, and fungi and lichen symbionts on rocks has been reported across a wide range of microenvironments and climatic regimes [206]. The distribution and extension of induced bioweathering processes is extremely complex, being a multifactorial process strongly controlled by microenvironmental conditions. A common bioweathering-related depositional feature in arid regions is rock varnish related to bacteria [207]. Bioweathering in rock boulders and soils crusts can contribute to pedogenic processes and play a key role in biogeochemical cycles through the liberation of nutrients [208]. However, bioweathering induced by lithobionts colonizing stone in cultural heritage generates negative effects, biodeterioration, because it contributes to the deterioration of the cultural assets [49, 209].

The bioweathering effects of lithobionts on their mineral substrates can be attributed to biogeophysical and biogeochemical processes [210]. Biogeophysical action is reflected by the mechanical disruption of rocks caused by different processes. Adhesion, penetration, and growth, especially of filamentous microorganisms, generate enlargement of the pores and widening of fissures (Fig. 1.3C), inducing the loss of mineral particles from the substrate and the incorporation of mineral fragments into the biofilms (Fig. 1.4B and D). Expansion and contraction of biofilms in response to hydration/desiccation cycles, as well as changes in volume due to freezing processes, also induce mechanical damages in the substrate [49]. As regards biogeochemical action, metabolic products and other substances produced by the microbial communities can generate chemical effects on the colonized substrate, including dissolution and chelating processes. The dissolution of rock minerals can be achieved by organic acids released from cells, chemical impact of respiratory CO<sub>2</sub>, H<sup>+</sup>, and redox reactions

with metal ions. The chemical effects comprise the solubilization and mobilization of elements from the minerals resulting in the weathering of minerals (silicates, phosphates, carbonates, sulfides, and oxides) and the formation of new deposits (carbonates, oxides, sulfides, and phosphates).

### **Silicates**

Experimental studies of bioweathering processes have been very valuable to understand the mechanisms and the role of microorganisms in lithic substrate degradation. Fungi are generally more effective in releasing elements from silicates than bacteria or archaea [211]. Weed et al. [212] experimentally showed the alteration of mica to vermiculite by fungi. The ability of specific lichen substances to chelate Al, Fe, Ca, and Mg from granitic rocks and their minerals was investigated early by Ascaso and Galván [213]. When the lichen-rock interface was studied with infrared spectroscopy (IR) [214], it was observed that bands that were present in the rock disappear in the interface, whereas other new bands appear. Ascaso and Wierzchos [39] and Barker et al. [215] showed that mineral surfaces covered with EPS, or where cells were attached, appeared more extensively etched than the surrounding uncolonized surfaces. Vandevivere et al. [216] showed that the presence of gluconic acid from glucose utilization appeared to correlate to an increase in bacteria-mediated mineral dissolution. Organic acids, such as oxalic acid, were also related to the weathering of minerals by lichen [217] and has been reported as a strong solubilizing agent [218]. Metabolites of the citric acid cycle are excreted in addition to the production of CO<sub>2</sub> by respiration by fungi [219]. This acidolysis by fungi is a well-known mechanism [220], and quartz etching can be explained by biochemical weathering through organic acid secretion. Organic acids are also strong chelators of trivalent metals as Al<sup>3+</sup> and Fe<sup>3+</sup> [221], and by metal removal by chelation, the dissolution of the mineral may be promoted [222]. This implies that microorganisms promoted dissolution predominantly in minerals that contain interlayer ions [223] and in minerals with redox-sensitive metals. The separation and exfoliation of mica layers (Fig. 1.4D and E, white arrows) as a result of these dissolution processes is a common process in granite bioweathering [40, 137]. A different bioweathering pattern was observed in endolithic cyanobacteria in a tropical climate. In this case, the alkalization of the microenvironment around the living photosynthetic cells was reported as responsible for the dissolution of the binding material and the presence of etch marks on the quartz grains [68]. Bundeleva et al. [224] also showed forsterite dissolution to be promoted by the complexation of Mg<sup>2+</sup> ions by cyanobacterial EPS. The bioweathering of phyllosilicates was also described in a coculture of alkaliphilic anaerobic bacteria [225]. In addition, olivine dissolution and hydrous Mg carbonate and silicate precipitation have been related to the presence of a consortium of photo-autotrophic and heterotrophic bacteria [226]. In the mineral weathering by bacteria, it is unclear whether this interaction is simply the coincidental result of microbial metabolism or a competitive ecological advantage. Bennet et al. [227]

indicated that, in the subsurface, silicate weathering by bacteria is sometimes driven by the nutrient requirements of the microbial consortium.

### Carbonates

The clearest signal of bioweathering in carbonate rocks is the development of biopitting on rock surfaces (Fig. 1.1E) associated with the colonization and/or activity of endolithic and epilithic microorganisms. This process is especially relevant on monumental stone because it induces the disaggregation of the surface and biodeterioration processes, which can affect the preservation of the heritage asset [162, 228–230]. The porosity of carbonate rocks facilitates the microbial colonization (Fig. 1.3D) and the development of close mineral-microbial interactions [142]. The dissolution of sedimentary  $\text{CaCO}_3$  minerals is driven in oxic environments by microbially mediated processes associated with the oxidation of organic material [153]. Euendolithic microorganisms such as fungi, cyanobacteria, and microalgae penetrate carbonates (Fig. 1.3E) through active processes of dissolution [2, 231]. It has even been recently demonstrated that euendolithic cyanobacteria are able to fix carbon liberated during mineral dissolution [163]. Photosynthetic activity of endolithic lichens also creates a distinctive exfoliating weathering pattern of sandstones [118] by the dissolution of the carbonate cementing material. The respiration process of endolithic lichen was also related to the bioweathering of limestones [232]. Cámara et al. [147] associated the disaggregation of dolostones with fungal colonization in a sequential process. First, fungi colonize the intercrystalline porosity, and second, they penetrate the crystals by means of physical and chemical activities. The formation of calcium oxalate patinas as a consequence of microbial colonization of calcareous rocks has been reported by Krumbein [233] as the main bioweathering action. Although there is indisputable evidence of mineral disintegration and chemical modification of carbonates by lithobionts, other studies have attributed bioprotective activities to them. It has been even recently proposed that the growth of microbial biofilms and various biomineralization phenomena can lead to the formation of layers that can stabilize surfaces and protect them from further weathering [234].

### Sulfides and metals oxides

Studies on the dissolution of sulfides come under the general topic of bioleaching, which is increasingly used by the mining industry. Pyrite alteration is often mediated by the activity of iron-oxidizing bacteria and archaea, which are able to oxidize  $\text{Fe}^{2+}$  [235]. The presence of EPS has been observed to enhance bioleaching, either in an industrial context during ore processing or in natural settings during the production of acid rock drainage. The complexation and concentration of metal ions in the EPS layer is thought to aid the oxidative dissolution of the rock matrix by concentrating adsorbed  $\text{Fe}^{3+}$  ions within the EPS layer [236]. In other cases, the presence of EPS inhibited the dissolution rate by irreversibly binding to mineral surfaces [237]. In addition

to bacteria, fungi bioaccumulate heavy metals in their fruiting bodies due to enzymes or organic acids chelating metals ions and actively transporting them into cells [238]. Siderophores, which are secondary metabolites that scavenge iron and other elements from the environment and deliver it to cells via specific receptors [239], are often synthesized and exuded by fungi and bacteria under iron-limiting conditions favoring mineral etching and dissolution [220]. In addition, an increasing number of microorganisms have been isolated, which are capable of using transition metal ions such as Fe and Mn as electron acceptors or donors. In their most oxidized form, Fe(III) and Mn(IV) exist predominantly as metal oxides with low solubility in neutral pH environments. The reduced forms of Fe and Mn can also exist as mineral solids, and some neutrophilic Fe-oxidizing microorganisms can use some of these phases as electron donors. DEET is a form of microbial respiration that transfers electrons from cytochromes localized on the exterior face of the outer membrane to metal ions on the surface of minerals [240]. Other microorganisms are able to form highly conductive networks of filaments that transfer electrons along their length to the minerals [241]. These mechanisms of microbial electron transfer to and from minerals will affect biogeochemical processes that control element cycling and the formation and weathering of geological materials.

### 1.1.5 Concluding remarks

The characterization of the interfaces between rocks and microorganisms needs multiscale approaches based on the combination of different techniques of molecular biology and high-resolution microscopy and analytical techniques. The objectives are to identify not only the microorganisms involved but also the specific microbial-mineral interactions occurring in the systems and their spatial distribution. Geomicrobiology is now a broad discipline with a great potential to solve previous open questions by means of the application of the most advanced techniques developed in the last decades. At present, proper multiscale approaches can be performed since microanalytical and microscopy techniques are now working at nanoscale resolution. These new techniques allow delving into the mechanisms of physicochemical mineral transformations induced by microorganisms and their consequences at different scales. In addition, recent progress in molecular biology techniques enables the simultaneous detection of a wider range of microorganisms and, together with the possibility of labelling soluble molecules, allows the retrieval of phylogenetic and metabolic information. High-resolution analysis of spatial structures and microbial-mineral interactions can complete the characterization. Only researchers using a combination of complementary techniques can properly map the presence, activity, and consequences of lithobiotic colonization and, consequently, the processes occurring at the interface between rock and microorganisms.

The close association existing between the lithobionts and the lithic substrate induces numerous and relevant microbial-mineral interactions, which drive their contributions to global biogeochemical cycles. These interactions play key roles in the settlement and development of lithobiontic colonization but also have a beneficial influence on the establishment of other biological communities in the ecosystem. Lithobiontic colonization can have a great influence on the diversity and functioning of edaphic communities, as well the development of soil crusts. However, the bio-weathering processes that positively contribute to soil formation and the liberation of relevant mineral nutrients to the surroundings in natural environments also generate negative effects when colonization takes place in rocks or stones from our cultural heritage because they favor their deterioration. To design and evaluate treatments targeted to eliminate, reduce, or avoid this harmful lithobiontic colonization, it is necessary to properly characterize the interface between microorganisms and the colonized lithic substrate and the changes induced by the treatments in this interface.

To conclude, the phenomena driven by biologic interactions at the interface of rock and microorganisms are significant to major environmental and geoscience research areas, including rock weathering and soil formation; environmental mineralogy; biogeochemical cycling of elements, biotransformation of organic and inorganic contaminants, and environmental sustainability; biodeterioration and conservation of cultural heritage.

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## **1.2 The hypolithic habitat: microbial communities under translucent rocks**

Hypoliths, cryptic assemblages found below translucent rocks, are widely distributed across both hot and cold deserts. In these deserts, a combination of environmental stressors such as katabatic wind episodes, high ultraviolet radiation, and substantial temperature fluctuations are expected to result in simple food webs. These food webs are thought to be disproportionally driven by microbial assemblages, which are remarkably phylogenetically diverse despite these restrictive environmental conditions. Previous studies on hypoliths have demonstrated that these niches harbor a wide array of genes linked to the sequestration of carbon, nitrogen, and phosphorus. These studies also suggest that, by exerting substantial metabolic control, hypoliths play important roles at local, regional, and global scales. In this chapter, we discuss key aspects regarding the ecology and distribution of hypolithic communities across hot and cold deserts. We describe both biotic and abiotic drivers of phylogenetic diversity and how these factors may affect hypolithic evolutionary processes. This review also evaluates recent advances in our understanding of functional processes in hypolithons, highlighting the regulatory roles played by bacteriophages. We conclude by synthesizing current insights on microbial community interactions and explore several key questions regarding the ecology of hypolithons.

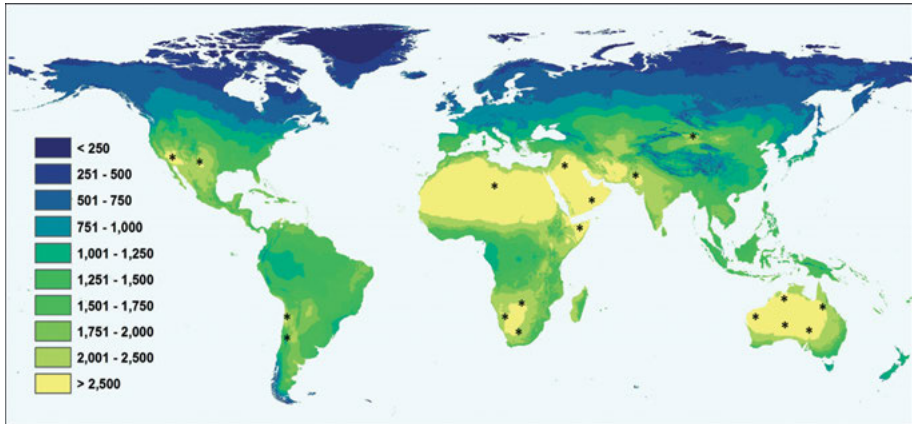
### **1.2.1 Climate change, desertification, and refuge niches**

Climate change poses a real threat to marine and terrestrial ecosystems [1]. To limit these ecosystem threats, substantial reductions in anthropogenic carbon emissions are urgently required [2–4]. The Paris Climate Agreement has set a series of ambitious targets, including aspirations to hold the increase in the global average temperature to below 2°C above preindustrial levels and reducing increases to 1.5°C above preindustrial levels [5].

The precise effects of changing climatic regimes on biodiversity and ecosystem services are still being quantified. However, studies suggest that increasing temperatures are likely to result in substantial losses in biodiversity and diminished capacity to provide crucial ecosystem services [6–8]. In the oceans, increased acidification and calcification have been directly attributed to rising anthropogenic CO<sub>2</sub> levels [9, 10]. In terrestrial ecosystems, a direct effect of climate change is the gradual transition toward greater aridity (desertification) [11–15].

Approximately 33% of the planets biomes may be classified as deserts [16, 17] (Fig. 1.2.1). In areas classified as hyperarid, where the precipitation to potential

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**Fig. 1.2.1:** Map showing the aridity gradient globally. Four key zones of aridity are further defined: subhumid ( $P/PET = 0.5-0.65$ ), semiarid ( $0.2-0.5$ ), arid ( $0.05-0.2$ ), and hyperarid ( $<0.05$ ) (Barrow 1992). A star denotes the location of a major desert system (excluding the Antarctic Dry Valleys). The map was sourced from Antonio and Robert [26].

evapotranspiration ( $P/PET$ ) ratio is below 0.05, environmental conditions are often inhospitable. These conditions, including the prevalence of strong winds (which destabilize surface soils) and severe temperature fluctuations (which pose a severe challenge to thermal controls and adaptation), substantially limit the diversity of higher plants and animals [18, 19]. Consequently, desert life is often found in lithic-associated microhabitats (also called soil rock-surface communities), which are widespread in hot and cold deserts. The rocks provide a “shelter” for biological organisms, buffering the ecological stressors found in deserts. This biology is often microbial and dominated by bacteria, fungi, archaea, and viruses. These microorganisms possess the metabolic versatility required to rapidly adapt to challenging environmental stressors [17, 20–25].

We have previously reviewed the different lithic substrates and niches found in both hot and cold desert environments [27]. In this chapter, we focus exclusively on hypolithic communities. We provide an overview of hypoliths and their biogeography and discuss their phylogenetic diversity. We also detail their functional processes and nutrient sequestration capacity. We highlight the roles played by bacteriophages in the hypolithic niche and conclude by discussing possible areas for future research.

### 1.2.2 Hypoliths and their quartz substrate

Hyperarid regions represent an extreme challenge to life on earth [28–32]. These biomes are characterized by a combination of stressors that push life to the fringes of survival and subsistence [33, 34]. As such, these inhospitable landscapes are dominated by microbial communities that have adapted to a lifestyle in cryptic,



**Fig. 1.2.2:** The depauperate desert landscape in a cold and hot desert. (A) The Taylor Valley in the McMurdo Dry Valleys of Antarctica. (B) The Namib Desert landscape in Southern Africa. (C) Sample collection from the McMurdo Dry Valleys showing the distinctive desert pavement structure. (D) A quartz rock with hypolithic colonization in the Namib Desert.

protected niches. One such niche is the ventral surface of semitranslucent rocks, and the microbial communities that subsist in it are termed hypoliths [35] (Fig. 1.2.2). Semitranslucent and translucent stones (such as quartz) are a common feature in desert pavements [16], and consequently hypoliths represent a significant proportion of life in these extreme environments [17, 36]. For instance, hypoliths were found to be widespread in the Canadian high Arctic [37, 38] as well as in the Antarctic continent [23, 39], whereas in the Namib Desert, hypoliths colonized 98% of quartz stones in quartz-dominated sites [40].

Quartz and other translucent stones provide an environment where microbial communities are physically shielded from various environmental stressors [27]. Studies have shown that translucent quartz harboring hypoliths significantly reduce the sunlight transmitted through the rock, between 60% and 99.9%, depending on the thickness and translucence of the rock [40, 41]. In addition, colonized semitranslucent rocks were shown to filter out most of light transmission at the UV-B/C range of the light spectrum, thus shielding underlying communities from UV-driven DNA damage [42]. The stone substrates also provide some degree of temperature isolation and moisture retention. In the coastal Antarctic Miers Valley, hypolithic communities have been shown to undergo smaller temperature fluctuations compared with



open soils, while exhibiting higher relative humidity (RH) levels [43]. Similarly, in the Mojave Desert, hypolith communities were found to be cooler during the day and warmer during the night compared with open soil, whereas the mean RH in the hypolithic community was 30% higher than in the open soils [44]. Although most of these studies found a wide variety of stone sizes in which colonization was possible, one study in particular [40] described a depth threshold (around 5 cm) below which colonization was limited because of the lack of light penetration, highlighting the importance of photoautotrophy for the establishment of hypolith communities.

### 1.2.3 Phylogenetic diversity under rocks

Hypolith communities have been classified into two dominant types [43, 45], depending on distinct morphological characteristics. Type I communities were characterized by dominant cyanobacterial growth, whereas the relatively rare type II communities were dominated by mosses. Most studies on the biodiversity of hypoliths have been conducted on type I communities, which contain a plethora of photoautotrophic Cyanobacteria, with two genera in particular, *Chroococidiopsis* and *Phormidium*, dominating most structures [46]. It has been hypothesized that the global distribution of different *Chroococidiopsis* is a reflection of ancient lineages that predate contemporary climatic selective pressures [47]. Other diazotrophic Cyanobacteria showing variable abundances across hypolith communities include *Leptolyngbya* [48], Nostocales [49], *Oscillatoria*, and *Synechococcus* [50].

Four other bacterial phyla, Proteobacteria, Bacteroidetes, Chloroflexi, and Actinobacteria, have been shown to consistently present in hypolith communities across different biomes, although not as highly abundant as Cyanobacteria [46, 48, 49, 51–54]. Interestingly, most of the studies to date on the biodiversity of hypoliths are based on the use of terminal restriction fragment length polymorphisms (TRFLPs), and recent studies using next-generation sequencing (NGS) have since contested the dominance of cyanobacterial taxa. For instance, a comparative metagenomics of desert hypoliths from Namib and Antarctica deserts revealed that Actinobacteria and Proteobacteria were more dominant than the cyanobacterial population [54]. Another study focusing on the active fraction of the hypolith communities in the Namib Desert [55, 56] showed that Firmicutes, rather than the Cyanobacteria, were the dominant population, and Actinobacteria and Proteobacteria also represented a significant portion of the hypolithic diversity. Results from the same study also suggested that Alphaproteobacteria, particularly Shingomonadales and Rhizobiales, might play a disproportionately important role as drivers of the community structure. Although NGS and TRFLP methods have been shown to be comparable in capturing the composition and abundances of microbial communities [57], the former achieves a higher resolution in terms of taxonomy and community diversity [58–60]. Therefore, it is likely that some of the earlier studies on hypoliths might have not captured the full diversity of

hypolith communities. Lacap-Bugler et al. [46] showed that the global distribution of cyanobacteria in hypoliths and its ratio to heterotrophic bacteria (the producer/consumer ratio) varied across a precipitation gradient, so that wetter areas such as the Taklimakan Desert, China, were dominated by heterotrophic bacteria, whereas in hyperarid Antarctic soils, Cyanobacteria accounted for 70% of the community. Very few studies have so far documented the eukaryotic diversity in hypoliths, partially due to their poor representation in such microenvironments [61]. Studies conducted on the hypoliths from Miers Valley, Antarctica, revealed that most hypoliths were dominated by the fungal phylum Ascomycota [62, 63], particularly *Acromonium*, whereas protists Amoebozoa and Cercozoa were present in both cyanobacteria-dominated and moss-dominated hypoliths [63].

#### 1.2.4 Drivers of hypolith development

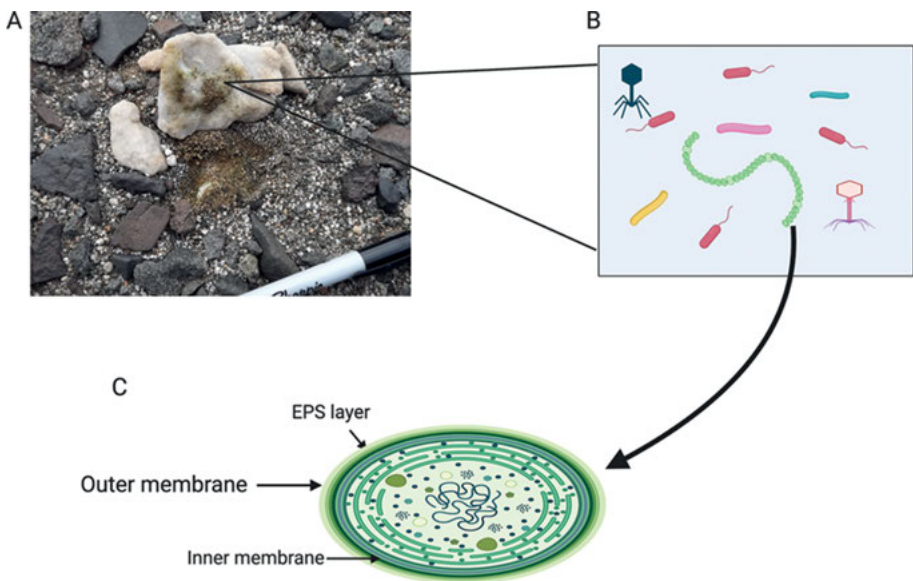
As suggested by Lacap-Bugler et al. [46], water is a major limiting factor to hypolith colonization. Mapping hypolith communities across the water gradient in the Atacama Desert, Warren-Rhodes et al. [64] demonstrated that water availability, rather than the chemical characteristics of the surrounding soils, was strongly correlated with the community diversity and functional capacity of quartz hypoliths. In coastal desert systems such as the Namib Desert, water is available in the form of dew, fog, and rain [65]. Dew has been shown to play a negligible role in hypolith colonization [66], whereas fog supports the hypolith communities only in specific coastal deserts (i.e., the Namib and Atacama deserts [40, 67]). A survey of hypoliths in the McMurdo Dry Valleys [43] showed that the diversity and type of hypolith communities varied with altitude, which was interpreted as a proxy for moisture.

In addition to water availability and light penetration, other factors have been shown to play a role in shaping hypolith development. For instance, hypolithic communities in the Namib Desert were found to be dependent on selective recruitment from the local soil microbiome, suggesting that the development of these communities is partly shaped by the heterogeneous nature of the underlying soil community and by dispersal limitation [53]. Studies have also highlighted environmental stochasticity as playing a significant role in shaping the microbial composition of hypoliths at both global and local scales [68, 69]. Makhalanyane et al. [52] suggested that this stochasticity becomes less important as hypolith communities develop over time, from cyanobacterial dominated to moss dominated. There is still much to be understood regarding the abiotic and biotic drivers of hypolith community development, as most studies to date have focused on only a small number of variables. The microenvironmental variability between hypoliths and the temporal biotic relationships within communities are still not well documented, and these will be crucial for an understanding of hypolith evolution and development.

### 1.2.5 Functional activity in environmentally challenging habitats

The combined effects of pronounced seasonal variability and extreme environmental stressors do not appear to limit the diversity (species richness) in Antarctic soil microbiomes. By providing access to the large majority of microbial taxa, which are difficult to culture under standard laboratory conditions, modern culture-independent approaches have revealed unprecedented species diversity in a range of Antarctic habitats (Fig. 1.2.3). These habitats include aquatic [70–73], terrestrial [74–76], and rock-associated niches [22, 23, 27, 48, 62, 77, 78]. Irrespective of the habitat, these studies appear to indicate similar levels of phylogenetic diversity at phylum and class levels. However, the implications of this broad phylogenetic composition on functional activity are less clearly understood.

The expectation is that Antarctic hypolithic microbial communities may play analogous functional roles as those from less extreme environments. By harboring phyla that have previously been shown to mediate carbon and nitrogen cycling, these microbiomes are expected to provide similar ecosystem services. Providing definitive evidence to support this proposal is difficult because of logistical restrictions and the inability to set up long-term *in situ* experiments in Antarctica. Without such



**Fig. 1.2.3:** (A) Hypoliths are a mixture of phylogenetically diverse groups found below quartz rocks. (B) These groups include morphologically distinct Actinobacteria, Bacteroidetes, Firmicutes, Proteobacteria, and Cyanobacteria. Recent studies have shown that several tailed phages are found in hypoliths and that these likely control metabolic interactions in hypoliths. (C) The cyanobacteria found in hypoliths have capacity to exhibit a wide range of metabolic processes. These functional roles include nitrogen assimilation, carbon sequestration, and other photoautotrophic processes.

experiments, it is impossible to gain a true mechanistic understanding of the relationship between microbial phylogeny and functional traits.

Some functional gene evidence does appear to, at least in part, confirm a capacity to provide these ecosystem services [43, 54, 78, 79]. Previous studies have shown the presence of genes linked to major primary metabolic pathways [78]. These pathways include mechanisms for autotrophic, heterotrophic, and diazotrophic metabolism in Antarctic soils, endoliths, and hypoliths [78].

Chan et al. [78] showed that Antarctic terrestrial niches, including hypoliths, harbor a wide array of enzymes linked to carbon fixation pathways, including ribulose-1,5-bisphosphate carboxylase/oxygenase, in the Calvin-Benson-Bassham cycle; propionyl-CoA/acetyl-CoA carboxylase (*pcc*) in the 3-hydroxypropionate/malyl-CoA cycle, the ATP citrate lyase (*acI*B); and carbon monoxide dehydrogenase in the reductive acetyl-CoA pathway. These functional genes were correlated to the several phyla, including Actinobacteria, Chloroflexi, Gammaproteobacteria, and Spirochaetes [78].

There is both quantitative and qualitative evidence for nitrogen cycling in Antarctic hypolithons [23, 43, 54, 80, 81]. In addition to sequence data (amplicon-based approaches and shotgun metagenomics), a previous study on hypoliths also provided quantitative nitrogen fixation estimates [43]. Using *nifH* gene clone libraries, the study showed a diverse assemblage of taxa with capacity to fix nitrogen. Cyanobacteria with *nifH* sequences similar to those reported from *Nostoc*, *Tolypothrix*, *Scytonema* spp., and several uncultured nitrogen-fixing cyanobacteria were dominant [43]. In addition, *nifH* genes similar to those described in several *Proteobacteria* including *Azotobacter vinelandii* were also identified. The use of acetylene reduction assays in hypolithic samples provided the first evidence showing direct ecosystem services to underlying soil communities. Estimates suggest that hypolithic communities contribute approximately 14,200 mmol N (0.38 kg N) to the three valley systems investigated [43]. These data suggest that hypolithic communities are substantial contributors to the total nitrogen budgets in oligotrophic Antarctic soils. This finding is surprising because of the limited nitrogen in Antarctic soils [76] but highlights the importance of cryptic niches to the local and regional ecology.

A study by Le et al. [54] used metagenomes from Antarctic hypoliths and other biomes to demonstrate that these communities harbor a range of stress response genes. These genes include those implicated in replication, recombination, and DNA repair in both hot and cold desert hypoliths [54]. Several genes linked to oxidative stress and oxygen limitation were also found in Antarctic hypolithons, supporting the proposed diversity of functional traits.

In addition to well-known genes linked to carbon cycling, nitrogen acquisition, and stress response, a study by Guerrero et al. [79] provided evidence of remarkable functional versatility in phototrophic proteins in hypolithons. Previous studies have shown that a limited number of edaphic [82, 83] and marine [84, 85] microbiomes harbor a genetic capacity to synthesize rhodopsins. This capacity appears to be largely restricted to oligotrophic environments, which are known to select and shape

microbial communities. In such habitats, microbial genomes often undergo genome miniaturization [83, 86]. Microbial rhodopsins translocate ions through cell membranes using solar energy to generate a proton motive force [84, 87]. Guerrero et al. [79] showed the presence of functional rhodopsin systems in hypolithic communities. These include several novel putative H<sup>+</sup>, Na<sup>+</sup>, and Cl<sup>-</sup> pumping rhodopsins, associated with Proteobacteria, Bacteroidetes, Actinobacteria, and Cyanobacteria. In the first application of genome-resolved metagenomics in Antarctic soils, Guerrero et al. [79] showed that these genes could be linked to high-quality Proteobacteria and Bacteroidetes draft genomes [54]. The correlations between functional genes show that these abundant taxa also appear to drive key functional processes in hypolithic communities.

The considerable body of evidence appears to show that numerically dominant taxa drive key ecosystem services in hypoliths [17, 25, 55, 88, 89]. This is in contrast to evidence from other systems, which suggest that ecologically rare taxa may play key functional roles [90–94]. Although there are no direct report of co-occurrence analyses from Antarctic hypoliths, insights on Namib Desert hypoliths appear to confirm this assertion [56, 95].

### 1.2.6 Viruses as drivers of diversity in hypoliths

Viruses have been hypothesized to play an important role in hypolith community structure by actively challenging hosts in a predator-prey association [96]. In the only study to date documenting the viral diversity of hypoliths, Zablocki et al. [97] described a wide range of viral families targeting the main bacterial phyla described above, as well as dsDNA eukaryotic viruses such as the giant Mimiviridae, which target amoebae. These studies also showed a high proportion of tailed phages from common soil families, including Siphoviridae, Myoviridae, and Podoviridae. Although it is difficult to gain a mechanistic understanding of interactions between bacteria and viruses in environmental systems, a recent study has provided some insights. A study on hypolithic communities by Bezuidt et al. [96] has recently revealed that these communities harbor widespread antiphage innate immunity systems. This study provides the first (albeit indirect) evidence of a dynamic and continuous interaction between bacterial hosts and phages in hypoliths. The immune systems include the DISARM and the BREX mechanisms, which have not been reported previously in cold desert soils.

Several key areas for future research include studies aimed at testing hypotheses linked to metabolic reprogramming by viruses in hypolithic communities [98]. Studies in other systems have shown that bacteriophages access functional capacities from their hosts through the acquisition of auxiliary metabolic genes [99, 100]. The accumulation of metagenomic sequence data makes such studies more feasible, allows us to directly link bacteriophages to their hosts, and tests the evolutionary trajectory of hypolithic host-encoded alleles.

### 1.2.7 Concluding remarks

The past three decades of research have revealed remarkable insights into the diversity and functional gene repertoire of hot and cold desert hypolithic communities [17, 55, 56, 95]. These studies have shown, in contrast to expectations, that the harsh environmental conditions do not severely limit phylogenetic diversity in these niches [80]. In fact, recent 16S rRNA gene and ITS-region amplicon-based analyses have shown that the diversity in hypoliths mirrors that of more mesic edaphic systems [80]. These communities also appear to harbor a wide range of mechanisms for sequestering carbon and nitrogen and supporting other important biogeochemical cycles [101]. For instance, the demonstration of a suit of genes linked to the synthesis of microbial rhodopsins has added another dimension to the capacity of phototrophic processes in these niche communities [79].

The combined insights into the structure and functional processes in hypolithons have provided some clarity on the evolutionary ecology of microbial communities in extreme environments. Until recently, the diversity of viruses and bacteriophages in Antarctic hypoliths was unknown. However, recent metavirome studies have shown that these communities harbor phylogenetically diverse viruses and bacteriophages [97]. These studies suggest that bacteriophages may play an important role in controlling and regulating bacterial communities in Antarctic hypolithic environments, although this suggestion is yet to be experimentally verified.

Although these recent studies have clarified microbial community interactions and functional capacity in cold desert hypolithons, there are still many unanswered questions. First, the potential for microbial gene flow from the rest of the world into Antarctic soils remains underexplored. Recent aeromicrobiology studies have provided some hints regarding such gene flow processes [102]. Second, although detailed assessments of phylogeny are important for understanding the ecology of hypolithic systems, we lack a sufficiently comprehensive baseline for testing the effects of global change on Antarctic microbiomes, including hypolithic systems. It will be important to understand how community structures, and the interactions between taxa within microbiomes, are likely to change under augmented water availability, increased temperatures, and altered nutrient regimes [103]. Third, despite the accumulation of amplicon sequence data from surveys of hypolithic communities, we yet have little understanding of the functional roles of many taxa across the tree of life. The metagenomic data acquired so far have not been sufficient for large-scale binning of nonbacterial genomes. For example, we lack a detailed understanding of archaeal phylogenetic and functional diversity. Recent Antarctic soil microbiome studies have shown that amplicon-based approaches may substantially underestimate archaeal diversity [89, 104, 105]. Metagenome assembled genomes (MAGs) of functionally important taxa, such as Thaumarchaeota lineages, will facilitate phylogenomic analyses and may provide insights into critical biogeochemical cycling processes in hypoliths. Finally, the extent of metabolic functionality in hypolithic

microbiomes is unclear. Although a combination of functional gene approaches [78, 79] and acetylene reduction assays [43] have provided some insights into carbon and nitrogen cycling in hypoliths, the true extent of these metabolic processes, and how they respond to changing local environmental conditions, is unknown. In Antarctic desert hypoliths, it has been suggested that metabolic activity is restricted to the austral summer period [80]. However, there is little evidence to support such predictions, and detailed quantitative data on the temperature- and water activity-dependent rates of carbon and nitrogen fixation are essential for an accurate estimation of the annual productivity in these communities.

Recent studies showing a wide range of carbon and energy (trace gas) scavenging mechanisms in Antarctic soils, combined with evidence of microbial community activity at subzero temperatures, suggest that some metabolic activities may continue during the austral winter [106, 107]. Assessing the extent to which atmospheric trace gases support primary production in hypolithic communities may extend our understanding of metabolic activity and food webs in these niches.

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## 2 Organisms



Claudia Coleine and Laura Selbmann

## 2.1 Black fungi inhabiting rock surfaces

Fungi represent one of the most diverse and ancient branches of the tree of life and have a worldwide distribution. They successfully colonize every biome, including extreme environments such as polar and desert regions, playing a pivotal role in global biogeochemical processes. In the fungal kingdom, “black fungi” (or microcolonial fungi or meristematic fungi) are a slow-growing group that reproduces mostly asexually; it includes ascomycetous taxa that are phylogenetically quite heterogeneous in the classes Dothideomycetes, Eurotiomycetes, and Arthoniomycetes.

In contrast to the majority of fungi, which live more or less comfortably inside their hosts or substrates, few black fungal lineages have evolved and adapted to thrive on bare naked rock surfaces (e.g., in the Mediterranean basin or in hot and cold dry deserts) and are therefore referred to rock-inhabiting fungi (RIF). For their ability to thrive in harshest conditions such as drought, temperature and pH extremes, excessive radiation, and oligotrophy, RIF are accounted as the most resistant eukaryotic organisms known to date. In this chapter, we will provide an overview of the diversity, taxonomy, occurrence, and ecology of RIF colonizing both worldwide natural rocks and stone monuments, also exploring the future perspectives, including their biotechnological, bioremediation, and radioprotection potential.

### 2.1.1 Introduction

The fungal kingdom includes millions of species [1], ranging from yeast to mushrooms, and represents one of the most diverse and ancient branches of the tree of life, being critical in both terrestrial and aquatic ecosystems. Based on current knowledge, nineteen phylum-level clades can be defined, as reported by Wijayawardene et al. [2]. Fungi have a worldwide distribution because of their small size and their cryptic lifestyle in soil, and decomposing matter, as symbionts with algae, bryophyte, plants, and animals [3, 4]. They are found in every biome such as polar, temperate, and tropical environments, as well in the bottom of the Dead Sea, where they play an essential role in global geological processes, namely, “geomycology,” including organic and inorganic transformations and element cycling, bioweathering, and mycogenic mineral formation [5]. Fungi are highly resilient and capable of successfully occupying extreme environments.

In contrast to the majority of fungi, which live more or less comfortably inside of hosts or substrates, black fungal lineages have evolved and adapted to thrive on bare naked rock surfaces in nutrient-deprived conditions and excessive radiation, among other challenging stressors. The phenotypes of these commonly called “black fungi” have evolved in different highly adapted and specialized lineages of ascomycetes;

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they are phylogenetically more diverse than previously thought and primarily belong to the classes Dothideomycetes, Eurotiomycetes, and Arthoniomycetes.

This chapter will first provide an overview of the current understanding of RIF. We will then describe the diversity, taxonomy, occurrence, and ecology of black fungi colonizing both worldwide natural rocks and stone monuments, with a particular focus on insights into the whole-genome sequencing approach. We will finally explore the future perspectives, including the biotechnological, bioremediation, and radioprotection potential.

### 2.1.2 Rocks as substratum for fungi

Rock represents the earliest terrestrial niche for life since the time when Earth was inhabited exclusively by microbes. Subaerial biofilms (SABs) are microbial communities that develop on mineral surfaces exposed to the atmosphere and are mainly composed of algae, bacteria, cyanobacteria, and fungi [6]. Lithic-associated microhabitats and their communities' inhabitants are termed "lithobionts" [7]; this lifestyle allows microbes to withstand environmental stressors such as oligotrophic conditions, high or low and changing temperatures, UV radiation, and osmotic stress. Thus, rock-inhabiting microbes are a major focus of many investigations of life in harsh environments or studies with astrobiological implications [8]. Although rocks are habitable places for microbes in extreme environments, microbial diversity on the lithic substratum is still underestimated. Lithic microbial colonization has been recorded in various sedimentary rocks such as sandstone [9], limestone [10], gypsum [11], dolomite [12], carbonate [13], and halite [14].

Rock-inhabiting communities can be epilithic, hypolithic, or endolithic [15]. Epiliths occur on the surface of rocks and building stone; hypoliths are found under and attached to pebbles, particularly in hot and cold deserts; and endoliths inhabit the rock subsurface, sometimes forming distinct masses or brightly colored layers. Endolithic microorganisms can occur as (i) chasmoendoliths, growing in preexisting cracks and fissures within rocks; (ii) cryptoendoliths, growing inside cavities and among crystal grains and cannot be observed from the rock surface; and (iii) eueoliths, which can actively penetrate into rocks. The irregular system of pores and fissures provides microbes with an efficient protective network, promoting, to some extent, water retention [16, 17].

Physical properties (e.g., porosity) and elemental composition of the host rock (e.g., carbon, phosphorus, potassium, sulfur, and metal content) as well as environmental factors such as climatic exposure, nutrient sources, and water availability may govern initial establishment, growth, and survival of microbial communities [18]. The bioreceptivity is the ability of a material to be colonized by living organisms, and it is directly dependent on petrographic parameters [19].

Many rock-dwelling microbes have evolved symbiotic strategies to thrive in extreme environments with lichens, a symbiotic relationship between a fungus and a photobiont (either algae or cyanobacteria) being the best-known example. However, free-living heterotrophic bacteria, fungi, and non-lichenized algae and cyanobacteria are also frequently found in rock surfaces where they can survive as biofilms [5]. Fungi-colonizing rocks can be split into two groups that are ecologically and taxonomically different: (i) hyphomycetes of soil and epiphytic origin [20] and (ii) black (highly melanized) slow-growing, typically with meristematic development, fungi (Ascomycetes, mainly orders Chaetothyriales, Dothideales, and Capnodiales) that form peculiar, compact microcolonies [21–25]. The former dominates under favorable conditions and proliferates during milder seasons, and when in higher humidity, abundant nutrients are present. They might be considered occasional colonizers that may be secondarily adapted to stone surfaces when conditions become permissive due, for instance, to the proximity to the soil. Rock hyphomycetes, as *Aureobasidium pullulans* or *Hormonema*-like fungi reported on marble artworks in Sicily, have been ascribed as derived from surrounding vegetation (leaves, barks, or soils) [20]. *Phoma* species have been frequently isolated from rock surfaces in humid as well as in semiarid areas [26] and also reported together with *Epicoccum* species from monument surfaces in Vienna and historical quarry in a rural area [25, 27]. *Phoma* species form highly resistant chlamydospores and dictyochlamydospores, which resemble the growth of microcolonial fungi (MCF) in form and in function that may enable this species to endure, by chance, on rock surfaces even if they do not represent their favorite niches. There is a presence of monument alteration because the melanin excreted causes evident discoloration on surfaces [23]. Conversely, black meristematic fungi prevail under harsh and hostile environments where they are not outcompeted by fast-growing competitive fungi [21, 28–31]. They have been variously named as black yeasts, meristematic, MCF, or RIF to evocate perfectly adapted organisms to life on and in the rocks and are being described in detail in the paragraph below.

### 2.1.3 Black meristematic RIF

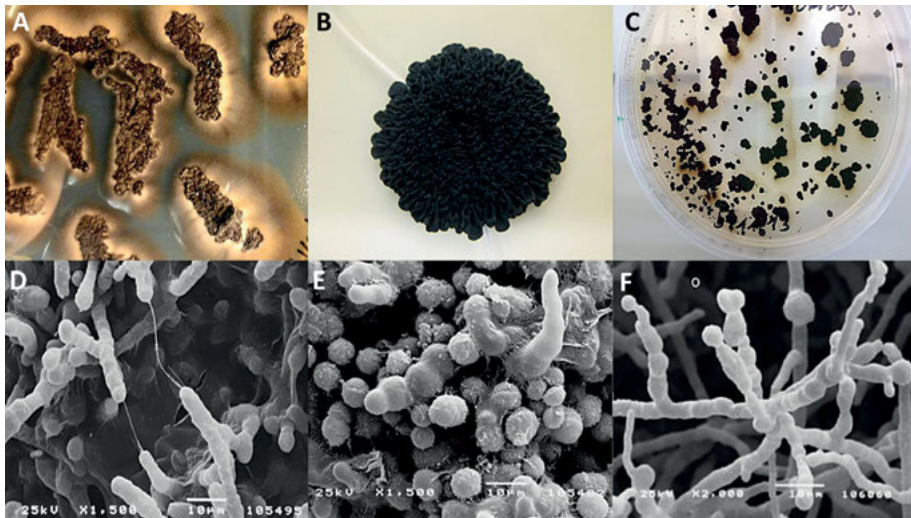
RIF belong to the morphoecological group of “black meristematic fungi,” “black yeasts,” or simply “black fungi,” characterized by basic traits such as thick cell wall, yeast-like polar budding, ability to reproduce by unicellular growth, deep melanization, meristematic growth, at least for a part of their life-cycle, and usually a very slow growth rate [32, 33].

Black fungi in general are exceptionally skilled to exploit practically all kinds of extremes, including saltpans, hydrocarbon-contaminated sites, glaciers, deserts, high

mountains, solar panels, building roofs, and exposed rocks, even in Polar regions (e.g., 34–41). Some black fungi can colonize human environments like dishwashers and steam bath or sauna facilities, whereas others have been isolated from a silicone seal and in tap water [42–45]; some of them may switch to opportunism, which makes them important model organisms also with respect to clinical mycology. They are also able to survive chemical and physical stresses such as extreme pH, extreme temperature, UV and ionizing radiation, alpha particles, and even space conditions [46–50]. An excellent capacity to resurrect from dry conditions has been observed [e.g., 51]; dried fungal colonies are metabolically inactive and can survive up to 120°C, whereas temperatures between 35°C and 75°C are lethal for hydrated colonies [52]. In some particularly resistant species, vitality may also be unaffected after 1-h exposition to 90°C [53].

Their exceptional skill to cope with stresses sits on their ability to switch to meristematic development optimizing the volume/surface ratio [6] (Fig. 2.1.1). Many species of black fungi also encompass the ability to switch between filamentous and yeast growth forms depending on the environmental conditions, namely, phenotypic plasticity [54]. Moreover, in addition to melanins as protective pigments, these fungi tend to accumulate other protective compounds such as mycosporines, trehalose, polyalcohols, betaine, and carotenoids that confer them additional ability to endure stresses [55].

Despite morphological and ecological homogeneity, they are phylogenetically quite diverse and comprise lineages mainly in two classes of Ascomycota:



**Fig. 2.1.1:** Cultures grown on Petri dishes. (A) *Exophiala mesophila* CCFFEE 6314; (B) *Knufia petricola* A95 [56]; (C) *Cryomyces antarcticus* [57]. Microscopic images. (D) *Cryomyces minteri* CCFFEE 5187 [31]; (E) *Friedmanniomyces endolithicus* [31]; (F) *Recurvomyces mirabilis* CCFFEE 5264 [58].

Dothideomycetes and Eurotiomycetes; they have also been retrieved in the class Arthoniomycetes from Antarctica and the Mediterranean but never formally described [59].

Rock is a recurrent substratum for black fungi, and the highest diversity has been detected right in rocky environments. It has been hypothesized that RIF may represent the ancestors of black fungal lineages, which later evolved into other lifestyles [60], including lichens. Within Dothideomycetes, the order Capnodiales is particularly rich in extremotolerant black fungal species and is exceptionally recurrent as rock colonizers, from Antarctic rocky communities [31, 61], high-altitude mountains [38], and hot deserts [62], whereas representative species are more barely found in Pleosporales, Myriangiales, and Dothideales. Black fungi in Eurotiomycetes are mainly found in the order Chaetothyriales [60]. Although they are mostly known as saprophytic and pathogenic fungi [63], they also comprise a relevant number of species able to spread also on rocks as, for instance, the genera *Knufia*, *Bradomyces*, *Cladophialophora*, and *Capronia* [25, 65–67]. It has been postulated that black fungi in Eurotiomycetes are ancestral to present lineages of pathogenic and lichenized fungi in Chaetothyriales and Verrucariales [68] because they appear particularly diverse in early diverging lineages of these orders.

RIF must be accounted as the most persistent inhabitants of perennially exposed subaerial rock surfaces [69–71]. They are surprisingly common but have long been overlooked because all blackish material on stone was considered to be fly ash particles or dirt [24]. Their interactions with other rock inhabitant settlers as well as with the mineral substrate are complex and drive the development of these communities. For example, even if RIF occurring directly on exposed rocks do not necessarily form associations with algae, they may be able to do so in culture [72, 73]. The genus *Lichenothelia*, for instance, may inhabit rocks or lichens or grow loosely associated with algae as borderline lichens [62]; for this reason, it has been hypothesized as a link between rock-inhabiting and lichenized fungi [74, 75]. To thrive on the rocks, RIF evolved a very fast rehydration and upregulation of the metabolic activity [76] as this extreme environment is particularly characterized by extreme changes from humidity to long periods of desiccation and extreme temperature fluctuations. Any atmospheric change influences life on the rocks, which in turn affects atmospheric composition through its metabolic activity and biologically induced weathering. In Zacharova et al. [77], the ability to survive after desiccation and the speed of rehydration as well as the changes of the whole cell protein pattern have been proved; unlike the mesophilic strains, the extreme-tolerant Antarctic RIF *Cryomyces antarcticus* does not show any response to desiccation but seems just to downregulate its metabolism.

RIF form black, clumplike colonies consisting of isodiametrically dividing cells in cracks, pores, and fissures of the rock and in micropits, created by their own deteriorative activity. RIF are also defined as poikilotrophes, i.e., able to deal with varying microclimatic conditions [70].

On bare rock surfaces with a limited and discontinuous presence of liquid water, black fungi must be able to exploit a wide range of carbon sources deposited by dust,

water, or in the form of volatile organic compounds [78]. For instance, *Knufia petricola* (Chaetothyriales, Eurotiomycetes) has been proved to tolerate and grow on media containing monoaromatic compounds, confirming its capacity to use recalcitrant carbon sources eventually spurned by other microorganisms [79]. It was also observed, using  $^{14}\text{C}$ -labeling, that black fungi isolated from Antarctic desert may actually uptake  $\text{CO}_2$  [80]. The authors supposed that Antarctic fungi may actually incorporate  $\text{CO}_2$  by carboxylation of pyruvate [81]. A similar process was reported earlier for a number of fungi; such mechanisms might be irrelevant for fungi living in nutrient-rich habitats but could represent a life-sustaining option for slow-growing oligotrophic RIF in the far extreme oligotrophic environments [82, 83].

Rock fungi from cold environments usually also produce a high amount of extracellular polymeric substances (EPS) to increase their resistance against freeze-thaw damage and to retain water over long periods, characteristics that are critical to the maintenance of life under desiccation [39]. The interactions of MCF with the rock substrate can lead to a variety of surface coatings or varnishes through the accumulation of metals and minerals in cell walls and extracellular materials [5, 6, 84]. Indeed, MCF can cause “micropitting” (the formation of lesions in a size range of up to 2 cm in diameter and depth on stone) in rocks, which leads to cavities that can contain the fungal colonies [24].

### **The protective role of melanin in black fungi**

Melanins are an ancient group of diverse pigments of high molecular mass, which are usually dark brown or black and found in all kingdoms of life. Melanins are widely distributed, from microorganisms to plants and animals, and in the fungal kingdom, melanization is observed across all phyla. Some fungal species are constitutively melanized (i.e., melanotic fungi) whereas others only under specific developmental phases (i.e., conidia, yeast filamentous growth), in response to environmental stresses (i.e., *Cryptococcus neoformans*) [85]. Fungal melanins, regardless of their precursor, may share similar functional groups and comparable physicochemical properties [86] while the structure remains scarcely understood. Melanin production in fungi is a result of three pathways known as the polyketide, 3,4-dihydroxyphenylalanine (L-DOPA), and L-tyrosine degradation synthetic pathways, which produce two chemically different compounds with similar properties [87]. The main unifying characteristic of black fungi is the accumulation of melanins, representing an important virulence factor, especially in Eurotiomycetes (e.g., *Exophiala dermatitidis*) [88]. Beyond pathogenicity, melanin is a major contributing factor in the survival of black fungi under various physical and chemical stresses, making them polyextremophiles. The best-known function of melanins in black fungi is to protect them against UV radiation, which is of particular importance for the RIF thriving on bare rock surfaces. Melanins also provide radiation resistance [89], and protection against enzymatic lysis [90], extreme temperatures [91], solar radiation [92], oxidizing agents,

desiccation [93], osmotic stress, and extremely high NaCl concentrations that are typical of hypersaline environments (e.g., *Hortaea wernneckii* isolated from salterns) [94]. Similar to other light-harvesting biological pigments (i.e., chlorophylls and carotenoids), microbial melanins can absorb radiation energy and transduce it onto energy harvesting [46]. In 2007, Dadachova et al. [95] provided experimental evidence that fungal melanin mediates an energy transduction process from harmful ionizing radiation into useful metabolic energy.

Melanotic fungi inhabit some of the most extreme environments known, and it is generally believed that the presence of melanin contributes to survival through a variety of mechanisms in the harshest environments. For instance, the reactor at Chernobyl and the surrounding soils that host a large population of melanotic fungal species are perhaps the best-known example of fungi living in a high-radiation environment. Even the Antarctic rocks, where many black rock fungi thrive exposed on the surface and interior of rocks, are also a high-radiation environment [39], especially during the long austral summer and considering the recent historical weakening of the atmospheric protection in the southern hemisphere through ozone depletion.

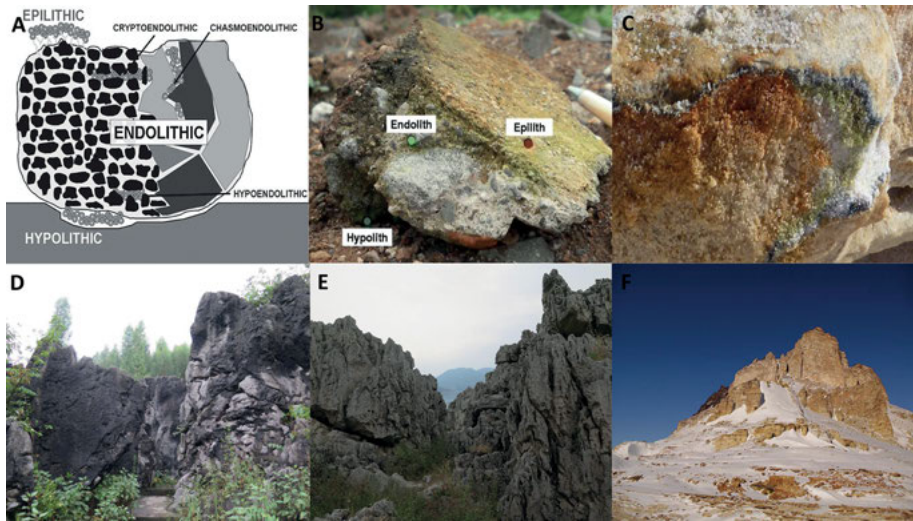
#### **2.1.4 Natural rocks as main substrate for black fungi**

Freshly exposed rock surfaces are easily colonized by microbial biofilms, which comprise algal, fungal, bacterial, and cyanobacterial associations, which are normally succeeded by macroscopic vegetation [6]; yet, under permanent extreme conditions, microbes remain the only settlers of this peculiar niche. Rocks, as the most abundant natural substrate of oligotrophic black fungi, are colonized in all climatic zones, including the harshest environments on Earth such as the McMurdo Dry Valleys in Antarctica, the Atacama Desert, and the high Alpine mountains (Fig. 2.1.2).

The RIF biodiversity is enormous and still largely neglected: to date, only a relatively small number of species have been described. Nevertheless, several hundred strains have been documented, and numerous novel genera have been discovered, particularly from the Antarctic desert, although our knowledge from worldwide rocky communities remains patchy and scattered [96].

##### **Black fungi on natural rocks of the Antarctic desert**

The extremely low temperature, low water availability, frequent freeze-thaw cycles, low annual precipitation, strong winds, high sublimation, and evaporation together constitute limiting factors for most life forms in Antarctica. In addition, life on exposed rocks in Antarctic ice-free areas must to cope with strong solar radiation and UV radiation; during the austral summer, the exposition is more intense than under hot conditions because of the ozone hole persisting at the South Pole. Abundance and diversity of organisms decrease from the maritime to the continental Antarctic zone



**Fig. 2.1.2:** (A, B) The diagrams indicate the possible lithobiontic habitats of microorganisms: epilithic (rock surface), hypolithic (rock underside in contact with the soil), and endolithic (further divided into cryptoendolithic, chasmoendolithic, and hypoendolithic) [15]. (C) Endolithic communities at Linnaeus Terrace, McMurdo Dry Valleys, Southern Victoria Land, and Continental Antarctica. (D, E) Natural rocks in the Karst landform area in Guizhou Province, China [97]. (F) Linnaeus Terrace, McMurdo Dry Valleys, Southern Victoria Land, and Continental Antarctica. Photo credit for panels C and F: Italian National Program for Antarctic Researches (PNRA).

because of harsher conditions. There, epilithic growth becomes rare and represented by lichens only until almost complete disappearance, whereas endolithic colonization becomes predominant [98] and microbial life finds more buffered and stable environmental conditions inside rocks [99–101].

In particular, the McMurdo Dry Valleys (Southern Victoria Land) are among the most extreme ice-free environments known on Earth and accounted as the closest terrestrial Martian analogue and were thought to be lifeless until the discovery of these cryptic life forms [102]. Both eukaryotic and prokaryotic endolithic communities have been reported [103], but the most widely distributed in the ice-free areas of continental Antarctica is the cryptoendolithic “lichen-dominated community” found in sandstone [34]. This community appears under the rock crust as a conspicuous zone up to 10 mm deep, formed by parallel and differently colored bands, composed by a black zone under the crust followed by a white, a green, and sometimes a blue-green zone. In these endolithic ecosystems, black fungi play a primary role in the protection of the whole community forming a black “sunscreen” barrier just above the other microbial members [104].

The biodiversity, the co-occurrence, and the ecology of black fungi in Antarctic endolithic communities have been recently reviewed by Coleine et al. [96], highlighting that RIF in Dothideomycetes, together with lichenized fungi, are the most abundant

whereas Eurotiomycetes are found less frequently [105–107]. Several endemic taxa of black meristematic fungi such as *Cryomyces antarcticus*, *C. minteri*, *Rachicladosporium antarcticum*, *R. murdoi*, *Extremus antarcticus*, *Meristemomyces frigidus*, *Vermiconia antarctica*, and *Oleoguttula mirabilis* have been described so far [31, 58]. Other species such as *Recurvomyces mirabilis* and *Elasticomyces elasticus* show a wider distribution [38, 61].

The endemic *Friedmanniomyces endolithicus* is the most widespread and abundant species in these ecosystems, suggesting a high degree of specialization and adaptation.

Because of their exceptional stress resistance and adaptations that enable them to establish in and explore hostile environments, Antarctic RIF were selected as eukaryotic models for astrobiological studies that contributed to shed light into lithopanspermia theory, planetary protection, and habitability [108, 109]. Several experiments, in the frame of European Space Agency–funded programs, have been performed to test survival and tolerance of *C. antarcticus* studies to extreme conditions ranging from high and low temperatures, desiccation, and lack of nutrients to lethal doses of ionizing and UV radiation (Martian-simulated and space conditions [110–112]). This species was also identified as “core” taxa of these communities, suggesting that they may have a wider distribution in the Continental Antarctica than previously hypothesized [113–115].

RIF belonging to *Exophiala* spp. were also found in the milder environmental conditions of the Argentine Islands in Antarctica Peninsula [116], whereas *Lichenothelia antarctica* was isolated from rocks collected in the Signy and Lynch Islands [117].

Together with black fungi, lichenized fungi dominate these communities with the orders Lecanorales, Verrucariales, and Acarosporales [107]. The role of primary producers of algae living in obligate association with lichenized fungi and the protective role played by black fungi create the appropriate conditions for the settlement of other microbial species, including fungi, that are not, as a rule, associated with rocks. In particular basidiomycetous and ascomycetous yeasts are recurrent rock inhabitants in these communities, such as species in the genera *Cryptococcus*, *Naganisha*, and *Taphrina* [118].

Conversely, investigations on functionality of Antarctic RIF are still in its infancy. A recent work based on untargeted metabolomics compared the adaptation strategies of two Antarctic endolithic communities collected in the same locality of the McMurdo Dry Valleys (accounted as the closest the Martian analogue on Earth) but subjected to very different environmental pressure because of different sun exposure. Given the significant differences in stress responses, the communities expressed metabolites strictly related to protection from the solar irradiation of photosystems (allantoin) in the north exposed surface, whereas protectants to multiple stresses (melanins) are in the south exposed surface [119].



### Black fungi on natural rocks worldwide

RIF remained for a long time just a subject for a few specialists, at first mainly focused on the biodeterioration of artworks. Pioneering studies were therefore devoted in isolating and identifying black fungi from stone monuments and building surfaces [120, 121]. With time, they have become an ever-expanding field of study, offering research opportunities in many basic fields, such as microbial ecophysiology, evolution, and adaptation to extremes, as well as applied research as human pathogenicity, bioremediation, and astrobiology, and the research interest also moved therefore on natural rocks as reservoirs of unexplored genetic resources of potential biotechnological interest. The first broad surveys on natural rocks beyond Antarctica were carried out by Ruibal and coworkers, who have performed an extensive sampling of rock formations in Mallorca and central mountain system in Spain [64, 65]. These works clearly highlighted the huge presence of black fungi on rocks with an unexpected and still undescribed biodiversity. Some of these taxa were later formally described as new genera and species (i.e., *Constantinomyces*, *Lapidomyces*, *Hyphoconis*, *Petrophila*, and *Recurvomyces*) [40, 58, 61].

First reports of microcolonial rock fungi from inhospitable environments such as hot dry deserts of Arizona and Negev were published by Nash and colleagues [122] and, a few years later, by Staley et al. [21]. Black fungi commonly colonize rocks of highest mountain peaks worldwide and have been reported from the Alps (where the new genus *Saxomyces* was described) [38], Andes, Indian Himalayan, and Agoncagua [61]. Bare rocks in arid and semiarid climates in China harbor a bewildering biodiversity of RIF that has been overlooked until recently. Huge surveys, where thousands of RIF isolates were obtained, revealed a conspicuous presence of undescribed biodiversity unrelated with what was described at the moment. Two new dothideomycetous genera *Spissiomyces* and *Rupestriomyces* (with the species *S. aggregatus*, *S. ramosus*, *R. sinensis*, *R. ampulliformis*, and *R. torulosus*) and one new genus *Anthracina* in Chaetothyriales were described [97, 123]. Notably, some RIF species show a worldwide distribution while some others seem to occur in specific restricted areas. For instance, the genera *Elasticomyces* *elasticus* and *Recurvomyces* *mirabilis* have been reported from Antarctica, Andes, and Himalaya and from Antarctica and Alps, respectively; however, most of the species show a quite restricted distribution and occur in specific areas: *Bradymyces* *alpinus*, for instance, has been recorded from Alpine rocks at high altitude only, and *B. yuannensis* has been described from rocks in China. *Lithohypha* *guttulata* occurs in the Mediterranean area and *Lithohypha* *catenulata* from Tibet; the species *Knufia* *petricola*, *K. marmoricola*, *K. karalitana*, *K. vaticanii*, and *K. perforans* occur in the Mediterranean area, whereas *K. separata* and *K. calcareola* are from limestone in Beijing and from sandstone in Yunnan province (China), respectively. The species *C. antarcticus* and *C. minteri* were found in Antarctic desert only, whereas the species *C. funiculosus* and *C. montanus* are from

rocks above 3000 m asl in the Alps only. In some cases, the limited distribution encompass the whole genus, such as *Oleoguttula* and *Friedmanniomyces* (Antarctica), *Monticola* (Alps), *Perusta* (Spain), *Saxomyces* (Alps), *Spissiomycetes*, *Rupestriomyces*, and *Anthracina* (China).

The reason for such a different ability to spread is still to be elucidated. None of these fungi may produce airborne, easily dispersed conidia; rather, they disseminate through active, mechanical weathering of rocks, and colonized powdered stones act as a means of dispersal. Therefore, dispersal appears to be managed through the same equally efficient mechanism in different areas.

### Insights on black RIF whole genomes

In the last decades, the sequencing of fungal genomes has become routine and straightforward, enabling these data as the starting point for an increasing number and types of research [4]. Sequencing of large numbers of fungal genomes, in particular of extremophilic fungi, will allow us to understand the diversity of genes encoding enzymes and pathways that produce several novel compounds [63]. With the rapid accumulation of sequenced fungal genomes, the observed diversity of genes, their pathways known to be critical against different types of stress preserving cells, for instance from both UV and desiccation damages [124], has increased exponentially. The 1000 Fungal Genomes Project by Spatafora et al. had considerably implemented the number of fungal genomes throughout the Fungal Tree of Life, and to date, more than 1500 reference genomes are available [125] and several lineages remain neglected, including the extremophiles and extreme-tolerant fungi inhabiting rocks. In particular, sequencing the whole genomes of these fungi will shed light into the understanding of processes that govern their success in the extremes. Although we are only beginning to interpret the details of the biology of RIF with a genomics approach, several efforts have been performed to untangle the genetic traits of this intriguing group of fungi.

To date, the genomes of eight RIF from Antarctic endolithic communities have been sequenced: *Rachicladosporium antarcticum* CCFEE 5527, *Rachicladosporium* sp. CCFEE 5018 [126], *Hortaea thailandica* CCFEE 6315, *Friedmanniomyces endolithicus* CCFEE 5311, *F. simplex* CCFEE 5184 [127], *C. antarcticus* CCFEE 534 [57], and *C. minteri* CCFEE 5187 belong to the class Dothideomycetes, whereas *Exophiala mesophila* CCFEE 6314 belongs to the class Eurotiomycetes [128].

In Coleine et al. [127], *F. endolithicus* genome was sequenced and compared with a few representatives black fungi (*F. simplex*, *Acidomyces acidophilus*, *Baudoinia panamericana*, *H. thailandica*, and *H. werneckii*) isolated from different extreme environments. The 45- to 50-Mb genomes of *Friedmanniomyces* spp. were larger than those of the currently available black fungi genomes sequences [63, 129]. Moreover, the similar genome size between *H. werneckii* (49.9 Mbp) and the two *Friedmanniomyces* spp. (45–50 Mbp) strains might suggest evolutionary advantages because of a

large-scale genome duplication in the Antarctic species' genome to adapt and survive to the hostile conditions of the Antarctic desert. A few genomic features were unique for *Friedmanniomyces* spp. strains only, such as responses to X-rays radiation, DNA damage, and salt tolerance stress, whereas genetic traits associated with meristematic growth and cold adaptation were unique for *F. endolithicus* that may allow this species to be particularly adapted to such harshest conditions and the most widespread in the endolithic communities along the Victoria Land [105, 107].

Conversely, the genome of the hyper-adapted Antarctic endolithic RIF *C. antarcticus* showed a relatively small size (24 Mbp), and the comparative analysis did not reveal any significant deviations of this genome from comparative species and mesophilic hyphomycetes [57]. These findings may result from a poor-quality assembly; whole-genome sequencing based both on Illumina (short-reads) and PacBio (long-reads) is planned for further comparative analysis.

A few years ago, the genome of *Knufia petricola* (synonym, *Knufia chersonesos*, order Chaetothyriales) was obtained from red sandstone collected in the Arctic [130]. The genus *Knufia* is a relatively small clade prevalently accommodating extremotolerant fungi inhabiting bare rock surfaces. In particular, *K. petricola*, originally isolated from marble in Crimea [131] and frequently isolated in a wide range of extreme environments from the Mediterranean region to the Polar regions], has been previously proposed as a model organism to elucidate its rock lifestyle as well as stress survival. Furthermore, the ability to degrade aliphatic-aromatic copolyesters [132] makes *K. petricola* a suitable candidate for bioremediation and biotechnology. In the case of the *K. petricola* MA5789 (i.e., the melanized strain), a 2778-Mbp genome was generated, whereas the size of the nonmelanized strain (MA5789) genome was 27.73 Mbp. As *K. petricola* is the only species with a nonmelanized pink mutant that spontaneously originated under laboratory conditions, further studies based on genome and transcriptome sequence can help to shed light on melanin function.

Ametrano et al. [133] used a phylogenomic approach to resolve relationships in Dothideomycetes, focusing on two genera of melanized, extremotolerant RIF belonging to the widespread worldwide dothidealean genera *Lichenothelia* (*L. convexa* L1844 isolated from shale and *L. intermixta* L2282 isolated from limestone in Mojave Desert) and *Saxomyces* (*S. alpinus* CCFEE 5470 isolated from Italian Alps and *S. americanus* L1853 isolated from Mojave desert), which have been suggested to be early diverging lineages.

Further studies on black fungi are needed to elucidate the evolution, adaptation, and processes that govern their outstanding success in the extremes. The project "Shedding light in the Dark Lineages of the Fungal Tree of Life" (<http://www.stres-blackfungi.org/>) funded by the Department of Energy–Joint Genome Institute (DOE JGI) aims to sequence up to 92 species of black fungal reference genomes, mostly from unsampled lineages, including RIF, for a comprehensive study of evolutionary and adaptation processes. Moreover, as it is still not possible to directly infer the involvement of genes in particular functions by using DNA sequences only, information

obtained from genome sequencing will be complemented with transcriptomics and metabolomics experiments.

### 2.1.5 Monuments and role of black fungi in deterioration

Rock and mineral substrates used in buildings, monuments, statues, gravestones, and other constructions of cultural heritage are subject to fungal colonization and transformation termed “geomycology” [5]. Biological greyish-black patina is one of the most common SABs widespread on the surfaces of calcareous substrates and responsible for the serious phenomena of biodeterioration of outdoor exposed artworks. Microbial attack not only causes aesthetic changes but also produces mechanical and chemical damages affecting both surfaces and inner zones. The most frequent stone-colonizing agents are algae, cyanobacteria, bacteria, fungi, and lichens. In particular, epilithic and endolithic fungi play a major role in the weathering of monuments made of rock, ranging from discoloration and staining to biodeterioration and the formation of new biogenic minerals and rock coatings. Indeed, RIF as well as free-living filamentous species and lichens may significantly change the rock structure and appearance. A high bioreceptivity together with favorable environmental conditions can induce a rapid biological colonization. When living on monuments, some RIF are prone to cope with sun exposition, prolonged periods of drought, and nutrient deficiency, whereas others have a competitive advantage to thrive in polluted environments as monuments are often located in urban environments, developing the ability to assimilate toxic hydrocarbons [78]. Most of the blackening and brown patinas on marbles and limestones in monuments are primarily caused by air pollution, fly ash, and the oxidation of manganese and iron [134] as well by the presence and activity of melanotic fungi that have the capacity to settle on the surface of rocks, to attach firmly to the surface, and to penetrate deeper into the rock [135, 136], producing biopitting (the formation of lesions in a size range of up to 2 cm in diameter and depth on stone). Besides, the presence of melanin in the cell wall has been hypothesized to enhance the fungal turgor pressure, conferring penetration potential to these microorganisms. Moreover, EPS produced by fungi facilitates fungal biofilm formation and the attachment to the rock and increases mechanical pressure, giving rise to shrinking and swelling [137].

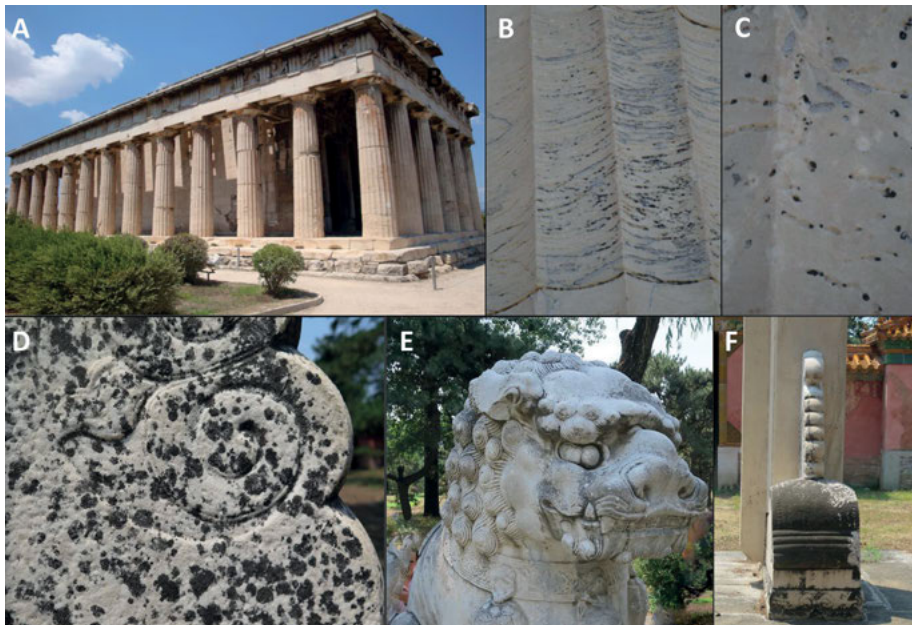
RIF represent a challenge for restorers because of the high resistance to many types of chemical treatment [138]; moreover, using chemical formulates with biocidal action may induce risks for the substrate, operator, and environment.

Synthetic materials used for the treatment of stone during conservation practices can favor the growth of fungi, representing an increase in nutrient sources. However, the application of biocide in combination with these compounds can reduce the risk of fungal colonization [139].

Sterflinger et al. [140] and Burford et al. [137] reported some lists of common RIF species isolated from building stone and rocks (sandstone, marble, granite, limestone, soapstone, quartzite, andesite, and basalt) in various geographical and climatic zones (Figs. 2.1.3 and 2.1.4).

In particular, the Mediterranean Basin represents an elective site for these investigations due to both the richness in cultural heritage and the conditions of temperatures and sun exposition that are particularly favorable for RIF development. RIF have been found in historical sites in Greece [140], more recently from Ukraine and Turkey [136], and, to a lesser extent, Italy [141–144]. These fungi have also been barely isolated from tropical climates [145, 146] and the Qinghai-Tibet plateaus [97]. *Hortaea*, *Exophiala*, *Coniosporium*, *Sarcinomyces*, *Capnobotryella*, and *Pseudotaeniolina* have been isolated from small black colonies visible on and inside the monuments, often occurring in close association with lichens [147].

A wide sampling of MCF has been from Italian monuments in historical sites characterized by air pollution and salt concentrations due to the proximity of the sea, including the Cortile della Pigna, the Vatican Museum and St. Peter's colonnade (Vatican City State), the monumental cemetery of Bonaria, and other monuments in the city of Cagliari. Based on multilocus phylogeny, the new genus and species *Lithohypha guttulata* and five new species *Knufia marmoricola*, *K. vaticanii*,



**Fig. 2.1.3:** Rock-inhabiting fungi (RIF) colonizing natural rock and historical stone monuments. (A–C) Temple of Hephaestus in Athens, Greece. (D–F) Monuments in Beijing in Guizhou Province, China [97].

*K. karalitana*, *K. mediterranea*, and *Exophiala bonariae* have been described in Chaetothyriales, whereas a new genus and species *Saxophila tyrrhenica* and two new species *Vermiconia calcicola* and *Devriesia sardiniae* have been described in Capnodiales [148].

In particular, the genus *Knufia* includes ecologically diverse species ranging from lichenicolous taxa as *K. peltigerae*, human opportunists as *K. epidermidis* [149], insect-associated species as *K. aspidioti* [150], and plant pathogens as *K. cryptophialidica* and *K. endospora* [151], whereas *K. petricola* was described as the remains one of the most recurrent species on stone monuments in the Mediterranean area [152]. *Exophiala* genus belongs to the family Herpotrichiellaceae that includes many human opportunists [153]. The ability of fungi in this family to metabolize hydrocarbons, particularly alkylbenzenes, may explain their success in colonizing anthropogenic polluted habitats [154]. *Vermiconia* genus exclusively comprises saxicolous species and has a worldwide distribution on high altitude in Spain, at the Alps, and in the Antarctic desert [61]. In similar environments, MCF in Eurotiomycetes were isolated from two very valuable statues exposed in Florence, the “Ratto delle Sabine” and the “Copia del David” [143], from the Vallerano cave [144], and from a marble statue exposed in the Gardens of the Quirinal Palace in Rome [142]. Other famous monuments covered and deteriorated by black fungi are the Acropolis of Athens, the marble monuments of the Crimea, and the antique temples of Delos [23]. Recently, members of both Capnodiales and Chaetothyriales have been recorded from 149 tombstones across three continents using high-throughput sequencing [155].

Although much data were recently accumulated, a lot remains to be done in terms of knowledge of the actual wideness of RIF biodiversity. Physical control methods, such as ionizing radiation, gamma radiation, and UV radiation, were applied on several artworks [156], as an alternative for the conservation of stone colonized by biodeteriogens. An innovative new physical controlling method has been proposed by Cuzman et al. [157] that used microwave radiation (nonionizing radiation), for the first time on *Sarcinomyces* sp., *Pithomyces* sp., and *Scolecobasidium* sp., revealing a loss of vitality heating for 3 min at 65°C. A multidisciplinary approach based on Dimethyl sulfoxide gel has been demonstrated to be efficient with no undesired side effects on the substrate and exhibited a number of advantages in the field as reported by Toreno et al. [158]. One important reason why fungi are a great problem for conservation of cultural heritage is a lack of information and training for restorers, curators, and other museum personnel. Nowadays, multidisciplinary approaches, based on applied mycology and biotechnology, represent invaluable tools to understand fungi thriving in stone monuments for present and future preservation and restoration of cultural heritage. Nevertheless, the use of modern -omics techniques for the detection of fungi on and in materials of cultural heritage will provide a deeper insight into and understanding of fungal community structures and their consequences for the material [159].



**Fig. 2.1.4:** Map of worldwide distribution of rock-inhabiting fungi (RIF). Map has been created using Quantum GIS v3.4.15 (<https://qgis.org/en/site/forusers/download.html>).

### 2.1.6 Potentialities

RIF have developed the unique ability to not only survive in the extremes environment (i.e., the Polar Regions, the damaged nuclear reactor in Chernobyl and oil-contaminated soil) but also actually thrive in such severe conditions. Indeed, melanization is associated with protection and adaptation to multiple chemical and mechanical stressors such as temperature, radiation, humidity, and toxicity by different pollutants, making melanin-producing microorganisms particularly useful to provide new tools for achieving a sustainable future and protecting humans from pollutants and radiation damage [160, 161]. Research on environmental melanotic fungi has far-reaching implications on numerous topics, including survival in extreme conditions, response to nuclear disasters, space travel, and global ecology,

and it may have a huge application in different fields, including radioprotection, bioremediation, and biomedical applications.

### **Astrobiology, radioprotection, and biomedical application**

Although the radiation-shielding performance of fungal melanins remains to be further untangled, our current understanding suggests that they present several advantages for protection against various forms of ionizing radiation and reducing toxicity. Electromagnetic radiation is both necessary (e.g., photosynthesis) and potentially harmful for life, depending on the frequency and time of exposure. Many deleterious effects are associated with exposure to high-energy electromagnetic wavelengths or ionizing radiation, including gamma, X-rays, and ultraviolet frequencies. Depending on the type and length of exposure, radiation can result cytotoxic reactive oxygen species (ROS) that damage intracellular molecules (i.e., DNA, proteins), likely leading to serious short- and long-term health problems to astronauts, including cancer, cataracts, acute radiation sickness, and neurological damage [162]. The role of melanins as photoprotective agents is widely known in the human skin, being capable of not only absorbing light but also dissipating the energy within the structure [163], making them unique in terms of energy harvesting [95]. Indeed, similar to the tanning of human skin, fungal melanogenesis is also stimulated by exposure to ionizing radiation and resulting in melanized strains, which are more resistant to radiotoxicity than their albino counterparts [164, 165]. Black fungi, indeed, can survive ionizing radiation levels that are lethal to the most life forms [108, 109, 166] with regard to the limits of life.

Melanin mediates radioprotection by both (i) absorbing radiation energy and dissipating it in the form of heat while limiting the generation of ROS and/or (ii) trapping and neutralizing the free radicals or ROS generated by the ionization of molecules. The first experimental evidence demonstrating a direct role of fungal melanin in radioprotection was provided by Dadachova et al. [46] in a study comparing survival rates of melanized and nonmelanized yeasts after exposure to very high doses of gamma radiation (1,600,000 times higher than the average lethal dose to humans). However, the photoprotection capacity may differ among melanin types, radiation frequencies, and types of irradiation exposure. It is also possible that photoprotection can turn into photodamage after a certain threshold of irradiation where melanin itself can produce cytotoxic radical species.

The discovery of melanized fungi in extreme environments has prompted researchers to consider whether melanotic fungi can survive in space and to test this in simulations as well as on actual space missions. The capacity of melanins to absorb or screen multiple types of ionizing radiation makes those biomolecules strong candidates for biomaterials for incorporation into irradiation protection in future manned space missions [167]. Melanized fungi have been found growing on the Mir Space Station and the International Space Station. These studies informed us on implications



for space travel and colonization, extraterrestrial life, and habitability [168]. Zakharova et al. [77] demonstrated that black fungi, including both the Antarctic RIF *C. antarcticus* and *K. perforans* and *Exophiala jeanselmei*, were able to not only survive in Martian-simulated conditions but also undergo essential metabolism under such conditions. A recent study compared melanized and nonmelanized forms of *Cryptococcus neoformans* and *C. antarcticus* after irradiation with deuteron  $2\text{H}$  particles and X-rays; the results show that melanized forms of both species are more resistant to 1.5 kGy deuteron dose (300,000 times higher than the human lethal dose) [169]. Understanding the molecular composition of fungal melanin could help to understand this peculiar capability. The melanin extracted from *C. antarcticus* has been recently characterized by various chemical, spectrophotometric, and spectroscopic techniques. Results demonstrated that, despite having a specific type of melanin as the majority of fungi, this black fungus possesses the ability to produce both DHN and L-DOPA melanins, opening interesting scenarios for the protection role against radiation [170].

Future space missions, including round trips to Mars, will require effective materials for protection against various forms of ionizing radiation. Existing solutions to these problems are often expensive, unscalable, and/or use materials that deplete natural resources. As melanin is a natural product, the abovementioned studies have largely encouraged the use of this pigment in applications in radioprotection of humans [171].

Finally, broadening our understanding of how melanin mediates its effects on biological systems is important for various reasons. By exploiting the shielding properties of melanin, this pigment could be used as cost-effective, sensitive biological detectors of nuclear accidents or protect individuals undergoing radiation treatments or exposed to nuclear accidents. Besides, uncovering the capacity for melanin-related energy transduction in fungi will allow us to consider the role that the fungi could contribute as energy generators, which could be of great importance as our global environment changes in a global warming scenario.

### **Bioremediation**

Aromatic hydrocarbons like benzene, toluene, ethylbenzene, and the xylene isomers (collectively known as BTEX) from industrial processes present a major hazard, and their removal from the environment is difficult and costly [172]. Sustainable biological processes such as gas biofiltration present several advantages over conventional methods (i.e., physicochemical adsorption, condensation, and incineration), including the relatively lower costs of investment, performance, and maintenance. In recent decades, promising and cost-effective biological alternatives to physicochemical environmental remediation have emerged. For instance, the group of black fungi, particularly those species belonging to Chaetothyriales (Eurotiomycetes), have begun to be recognized for their bioremediation potential because these microorganisms can

potentially bind radionuclides and many other toxic substances. Indeed, melanized fungi can tolerate acidic and dry conditions, making them attractive biocatalysts in air biofilters, as well as in the *in situ* bioremediation of polluted soils in extreme environments. Besides, the chelating properties of melanins toward free radicals and metals mediate protection and pose this group of fungi as powerful tools in bioremediation and other biotechnologies [173].

As mentioned above, the ability to metabolize aromatics seems to be restricted to the black yeasts belonging to the family Herpotrichiellaceae (order Chaetothyriales); the same group harbors numerous species able to cause infections in immunocompetent human hosts [78]. In these fungi, the assimilation of phenolic compounds and hydrocarbons may act as additional virulence factor because it may enable to infect the central nervous system, which has a high content of monoaromatic catecholamine neurotransmitters and aliphatic amino alcohols.

An ecological connection between aromatic hydrocarbon assimilation and human pathogenicity has been previously hypothesized [78]. Elucidation of ecological and evolutionary parameters is of utmost significance to prevent biohazard in engineered bioremediation applications that understand those factors of habitat choice that seem to enhance opportunism on the human host [174].

Several slow-growing black fungi related to rock-inhabiting species have been isolated from different highly polluted sources such as industrial spills, car gasoline tanks, railway sleepers, and air biofilters and can capture and degrade volatile aromatic hydrocarbons, including toluene, ethylbenzene, and styrene [175, 176]. These findings support the hypothesis that the presence of fungi inhabiting black rock in polluted sites is still underestimated. They may thrive in the polluted environments because of their polyextreme tolerance, scavenging carbon sources from the gas phase as they do when they colonize the rocky niches. Besides, a few species are able to use these compounds as their sole carbon source for growth [177]. The genera *Exophiala* and *Cladophialophora* have a high potential to grow in polluted environments and to metabolize hydrocarbons as the sole source of carbon and energy [175]. In particular, the genus *Exophiala* has frequently been isolated from sites polluted with hydrocarbons or with the aid of alkyl benzene enrichment [178] as well as from Antarctic endolithic ecosystems [128] and has been proven to be potential causative agents of human or animal disorders [179]. Therefore, it has been of paramount importance to develop and optimize isolation and screening methods for black fungi to control and prevent the spread of dangerous biohazard species. For instance, Isola and coworkers [154] developed a new isolation method and a screening technique based on a 2,6-dichlorophenolindophenol assay for black fungi, with potential use in bioremediation. Fifty-six black fungal strains (e.g., *Coniosporium uncinatum*, *Exophiala equina*, *Exophiala mesophila*, *Exophiala oligosperma*, *Exophiala sideris*, *Exophiala xenobiotica*, *K. epidermidis*, and *Ochroconis humicola*) were isolated from hydrocarbon-polluted sites and indoor environments (gasoline car tanks and washing machine soap dispensers). Some of the strains tested were able to use toluene as

a carbon source. Among the studied strains, the authors proposed *E. xenobiotica* as the best model for such studies because of its phylogenetic position, its competence to degrade xenobiotics, and its ability to colonize humans, human-dominated environments, as well as rocks. More recently, Blasi et al. [176] developed a fast and efficient prescreening method with the aim of finding new fungal species with pollutant degradation abilities. The authors found that >150 strains of black yeastlike fungi, chosen as representatives of relevant environmental pollutants, were screened for the ability to grow on hexadecane, toluene, and polychlorinated biphenyl 126 as the sole carbon and energy source. In particular, *E. mesophila* (CBS 120910) and *Cladophialophora immunda* (CBS 110551), isolated from a patient with chronic sinusitis and a polluted soil sample, showed a high potential to grow in polluted environments and to metabolize hydrocarbons as the sole source of carbon and energy [180]. Zhao et al. [178] applied an enrichment technique based on solid state–like incubations in a controlled atmosphere containing monoaromatic volatile hydrocarbons, usually toluene, as sole carbon source, whereas a high-throughput methodology based on the solid state–like enrichment on volatile monoaromatic hydrocarbons for the isolation of black fungi has been proposed recently by Quan et al. [181].

The biological processes responsible for the xenobiotics-degrading ability of these fungi have not yet been studied with next-generation sequencing approaches. To shed light into the mechanisms of toluene tolerance and degradation, Blasi et al. [176] proposed the first genomic and transcriptomic analysis of *C. immunda* upon growth with toluene as sole carbon and energy source. The genes involved in toluene degradation and several stress response mechanisms, which allowed the fungus to survive the toluene exposure, were identified, and their expression levels during the toluene exposure were assessed. The comparison of the fungal and bacterial toluene degradation pathways revealed that a few genes in *C. immunda* involved toluene degradation; moreover, proteins involved in complex carbohydrates degradation and sugar transport are overrepresented, suggesting that this species evolved in an oligotrophic and polluted environment. Toluene further triggered the expression of antioxidants as well as genes involved in cell detoxification (e.g., glyoxylase II, glutathione S-transferase, and ascorbate peroxidase).

The rock-associated *Knufia chersonesos* has the ability to resort to recalcitrant carbon sources, making it an interesting candidate for degradation purposes. A secretome screening toward polyesterases was carried out, for the first time, for this fungus and its nonmelanized counterpart, grown in the presence of the synthetic polyester polybutylene adipate terephthalate (PBAT) as additional or sole carbon source, and it resulted in the identification of novel esterolytic and lipolytic enzymes across the established cultivation conditions.

Further studies, based on -omics high-throughput approaches can provide new insights into the ecophysiology of polymer degrading fungi and ultimately aid in the identification of novel enzymes with potential application in polymer processing, recycling, and degradation.

### 2.1.7 Conclusions and future research directions

Research on black RIF has implications for multiple fields such as microbiology, astrobiology, ecology, medicine, biotechnology, and bioremediation.

To date, the diversity, origin, and ecology of this fascinating group of fungi are beginning to be understood comprehensively by a combination of -omics approaches. In particular, further transcriptomic and metabolic analyses coupled with genomic and comparative genomic data may untangle their differential gene expressions and metabolic activity variations under stress (e.g., how rapidly the transcriptional responses occur under fluctuating hydration conditions).

Continued studies on RIF ecophysiology, resistance, and adaptation strategies will provide valuable insights into the origins and limits of Earth's life and shed light on the evolution of extremophiles.

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## 2.2 Cyanobacteria on rock surfaces

Despite their obvious presence on terrestrial rock surfaces worldwide, rock-inhabiting cyanobacteria are not very well known. In their habitats, these cyanobacteria represent important primary producers and often prepare the ground for further organisms. In this contribution, 53 cyanobacterial genera that include representatives of rock-inhabiting species are itemized in a determination key, and the genera are described on a global scale.

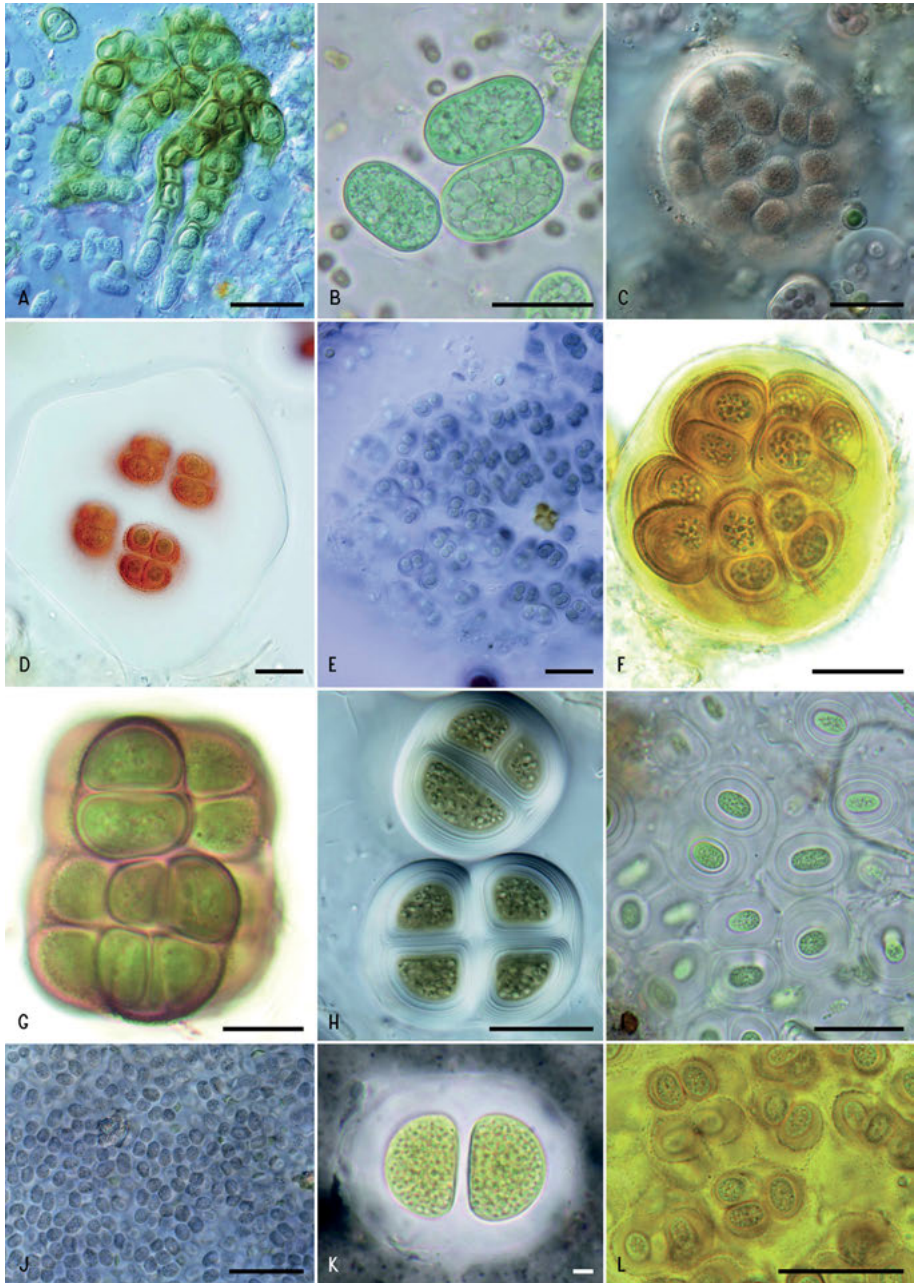
### 2.2.1 Short introduction

In comparison with the giant amount of studies on planktic types, the quantity of papers on rock-inhabiting cyanobacteria is rather low. It is understandable, for most people, that this kind of cyanobacteria represent less important entity. Nevertheless, in their habitats, these cyanobacteria represent important primary producers as their aquatic counterparts. In terms of aesthetics, however, aerial cyanobacteria surpass their water relatives: the variety of morphological shapes competes with the variety of photoprotective pigments (Figs. 2.3.1 and 2.3.2).

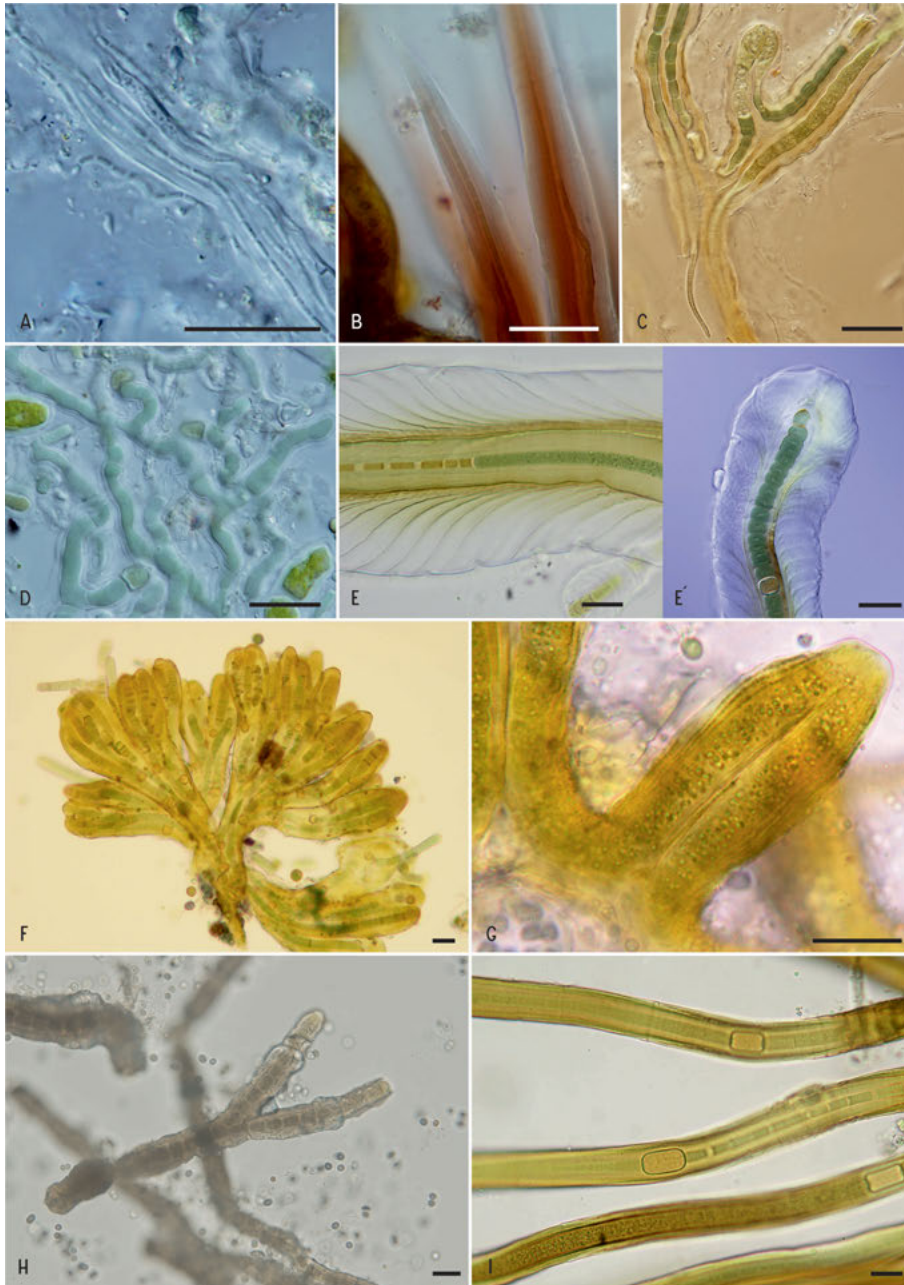
This chapter provides a guide for the determination of (sub)aerial cyanobacteria living on rocks and stones. Different aspects of their lives are either described in other chapters of this book or in a review [1]. Currently, it is highly important to accompany reviews like this with the following information: molecular-biological methods have brought many new impulses to the taxonomy and phylogenetics of cyanobacteria. They especially demonstrated the limits and incompleteness of our knowledge of the real diversity of this group. Various big genera fall into smaller ones (*Leptolyngbya*, *Phormidium*, and *Nostoc*), and various completely new taxa emerge (*Chalicogloea*, *Inacoccus*, *Lightfootiella*, and many others). So far, only a minority of cyanobacterial genera have undergone thorough modern evaluation; we are still very far away from the detail knowledge of the evolution of this group. It is even more true for the aerial taxa than for the planktic ones. We already know that the taxonomic importance of many morphological traits should not be overestimated; however, at the same time, the morphological traits are the only clue available for the routine floristic-ecological survey. The following determination compendium thus reflects the current state of knowledge on diversity in the dynamically evolving cyanobacterial world of the 21st century. Listed are the most typical aerial epilithic representatives.

The following text includes cyanobacteria from various “rocks” in full ecological meaning of this word—not only “common rocks” but also specific atmophytic (in reach of water vapor from thermal springs) or cave communities, as well as communities of marine splashing zones (supralittoral).

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**Fig. 2.2.1:** (A) *Hyella balani* (courtesy of Alžběta Vondrášková). (B) *Cyanothece aeruginosa*. (C) *Asterocapsa* sp. (courtesy of Zuzana Hůlková). (D) *Gloeocapsa ralfsii*. (E) *Gloeocapsa atrata*. (F) *Gloeocapsa rupestris*. (G) *Gloeocapsopsis chroococcoides*. (H) *Chroococcus tenax*. (I) *Gloeotheca tepidariorum*. (J) *Aphanothece* sp. (K) *Chroococcus spelaeus*. (L) *Gloeotheca rupestris*. Scale bar length: 20  $\mu\text{m}$ .



**Fig. 2.2.2:** (A) *Schizothrix* sp. (B) *Schizothrix latissimus*. (C) *Kyrtothrix dalmatica* (courtesy of Alžběta Vondrášková). (D) *Mastigocoleus testarum* (courtesy of Alžběta Vondrášková). (E) *Petalonema alatum* (E', terminal part of the filament). (F) *Tolypothrix elenkinnii*. (G) *Petalonema incrustans*. (H) *Geitleria calcarea*. (I) *Scytonema* sect. *Myochrotes* sp. Scale bar length: 20  $\mu\text{m}$ .



The chapters are divided to sections of coccoid, simple trichal, and heterocytous taxa for practical reasons only. For the real phylogenetic system, see Komárek et al. [2].

### 2.2.2 Key to genera

In cases where there are only molecular differences, we note all possible genera.

#### Coccoid types

<b>1a</b>	Solitary cells with or without thin mucilaginous envelopes, never forming colonies or pseudofilaments	2
<b>1b</b>	Cells forming colonies or pseudofilaments, often with mucilaginous envelopes	5
<b>2a</b>	Cells spherical or hemispherical, dividing in two plains	<i>Synechocystis</i>
<b>2b</b>	Cells oval or cylindrical, dividing in one plain	3
<b>3a</b>	Cells cylindrical, at least twice as long as wide, sometimes bent	<i>Synechococcus/Thermosynechococcus</i>
<b>3b</b>	Cells not distinctly elongated	4
<b>4a</b>	Cells widely oval to short cylindrical, usually wider than 10 µm, often with net-like structured cell content	<i>Cyanothece</i>
<b>4b</b>	Cells cylindrical to widely oval with distinctly lengthwise-striated protoplast	<i>Cyanobacterium</i>
<b>5a</b>	Cells more or less elongated, heteropolar, usually with distinct sheath, attached to the substrate by their base, dividing in one plane, sometimes forming colonies	<i>Chamaesiphon</i>
<b>5b</b>	Cells not heteropolar	6
<b>6a</b>	Cells ± cylindrical, oval, ellipsoid, or rod shaped, arranged in spherical to amorphous but not polarized colonies, never forming pseudofilaments	7
<b>6b</b>	Cells (except for cell division) and colonies of other shapes	13
<b>7a</b>	Cells ellipsoid to oval, forming net-like colonies	<i>Lithococcus</i>
<b>7b</b>	Colonies other than net-like	8
<b>8a</b>	Cells elongated, cylindrical with not attenuated rounded ends, forming tube-like colonies	<i>Bacularia</i>
<b>8b</b>	Colonies are other shaped, cells are not arranged in one direction within the colony	9
<b>9a</b>	Cells solitary or in pairs on top of stratified mucilaginous stalks	<i>Hormothece</i>
<b>9b</b>	Cells in ± spherical or amorphous colonies	10
<b>10a</b>	Colonies as well as individual cell envelopes spherical with granular to spinylike surface, cells widely oval to irregular	<i>Asterocapsa</i>
<b>10b</b>	Colonies nor cell envelope's surface structured	11

- 11a** Cells widely oval to rod shaped, usually with granules near both poles, mucilaginous envelopes, if present, always around particular cells, not common *Gloeobacter*
- 11b** Cells never rod shaped, common mucilage present at least in part of the colony 12
- 12a** All cells with distinct, often stratified, individual envelopes *Gloeotheca*
- 12b** All cells in common mucilage, only those in colony margin with  $\pm$  distinct individual envelopes *Aphanotheca*
- 13a** Cells spherical, only after division hemispherical, mucilaginous envelopes around cells and subcolonies never stratified 14
- 13b** Cells of different shapes or mucilaginous envelopes around cells or subcolonies stratified at least in part of colony 16
- 14a** Flat, single-layered colonies with  $\pm$  regularly arranged cells *Merismopedia*
- 14b** Cells forming other than flat, single-layered colonies 15
- 15a**  $\pm$  cubic colonies with regularly arranged cells and subcolonies *Eucapsis*
- 15b** Amorphous colonies *Aphanocapsa*
- 16a** Cell always clearly elongated, spindle-like or at least attenuated at their ends, not forming tubelike colonies *Rhabdogloea*
- 16b** Cells and colonies of different appearance 17
- 17a** Cells spherical (hemispherical to oval during cell division), distant one from each other within the colony, with individual, usually stratified, mucilaginous envelopes, often colored 18
- 17b** Cells, colonies, and envelopes of other appearance 19
- 18a** Colonies spherical to amorphous, subcolonies  $\pm$  spherical, gelatinous envelopes colored (yellow, red, blue, violet, black) in colonies/colony parts exposed to light, arthrospores are produced *Gloeocapsa*
- 18b** Colonies spherical to amorphous, subcolonies  $\pm$  spherical, gelatinous envelopes colored (orange to red), arthrospores are not produced *Lightfootiella*
- 19a** Colonies  $\pm$  hemispherical, subcolonies  $\pm$  polygonal, densely attached to each other, gelatinous envelopes yellow to yellow-brown, cells spherical *Chondrocystis*
- 19b** Cells and colonies of different shapes 20
- 20a** Cells spherical, only after division hemispherical, dividing in two or more plains, on top of mucilaginous stalks *Cyanostylon*
- 20b** Cells not placed on top of mucilaginous stalks 21
- 21a** Individual cells spherical, in colonies polygonal, densely packed, colonies spherical, with colorless or slightly golden envelopes, no baeocytes production *Sinocapsa*
- 21b** Cells and/or colonies of other shapes 22
- 22a** Cells polygonal to almost spherical, colonies irregular, without common envelopes *Chalicogloea*
- 22b** Cells and/or colonies of other shapes 23

<b>23a</b>	Cells polygonal to hemispherical, colonies colored (red, yellow, violet) or colorless (then the cells are intensely blue)	<i>Gloeocapsopsis</i>	
<b>23b</b>	Colonies not colored		24
<b>24a</b>	Cell kidney shaped, colonies spherical with thick hyaline outer envelope	<i>Nephrococcus</i>	
<b>24b</b>	Cells and/or colonies of other shapes		25
<b>25a</b>	Cells spherical in basal, amorphous parts of colonies, cells in apical, pseudofilamentous parts of colonies ± oval to elongated, sheaths in apical parts open	<i>Epilithia</i>	
<b>25b</b>	Cells and/or colonies of other shapes		26
<b>26a</b>	Cells spherical to irregular with thin, delimited, unstructured sheaths, densely arranged in ± spherical colonies with thin firm envelopes	<i>Chroococcidiopsis</i>	
<b>26b</b>	Cells and/or colonies of other shapes		27
<b>27a</b>	Colonies ± spherical to lobate, usually hollow, ± spherical to irregular cells arranged near surface of the colonies	<i>Placoma</i>	
<b>27b</b>	Cells and/or colonies of other shapes		28
<b>28a</b>	Cells spherical, with individual envelopes, distant from each other, arranged in colonies in form of parallel rows	<i>Lithocapsa</i>	
<b>28b</b>	Cells and/or colonies of other shapes		29
<b>29a</b>	Cells spherical to oval, arranged in irregular rows in marginal parts of ± spherical to irregular colonies	<i>Chlorogloea</i>	
<b>29b</b>	Cells and/or colonies of other shapes		30
<b>30a</b>	Colonies polarized, tree shaped	<i>Cyanoarbor</i>	
<b>30b</b>	Colonies of other shapes		31
<b>31a</b>	Colonies slightly polarized, amorphous, when old composed of subcolonies arranged in irregular rows, cells spherical to irregular with individual envelopes	<i>Entophysalis</i>	
<b>31b</b>	Cells and/or colonies of other shapes		32
<b>32a</b>	Epilithic types, never forming tube-like or pseudofilamentous colonies		33
<b>32b</b>	Epilithic and endolithic types forming tube-like, sac-like, or pseudofilamentous structures (morphology of epilithic and endolithic part of one thallus may differ!)		35
<b>33a</b>	Packet-like colonies with thin, firm, delimited envelopes, cells spherical, hemispherical to irregular, adjacent tightly, no baeocytes production	<i>Cyanosarcina</i>	
<b>33b</b>	Cells and/or colonies of other shapes		34
<b>34a</b>	Cells ± hemispherical to polygonal, in colonies arranged radially	<i>Pseudocapsa</i>	
<b>34b</b>	Young cells spherical, later hemispherical, mucilaginous envelopes following cell outlines, often stratified, cells in colonies not arranged radially	<i>Chroococcus/Inacoccus</i>	

<b>35a</b>	Epilithic, amorphous colonies composed of drop- or pear-shaped cells in stratified mucilaginous envelopes	<i>Ercegovicia</i>
<b>35b</b>	Cells and/or colonies of other shapes	36
<b>36a</b>	Epilithic crustose colonies with mono- or polyseriate pseudofilamentous, sometimes pseudodichotomously branched protuberances, cells irregular	<i>Pleurocapsa</i>
<b>36b</b>	Cells and/or colonies of other shapes	37
<b>37a</b>	Endolithic, sac-like colonies of up to 12 oval to irregularly rounded cells	<i>Cyanosaccus</i>
<b>37b</b>	Colonies of different shape	38
<b>38a</b>	Epilithic, ± oval to packet-like colonies composed of subcolonies, cells rounded polygonal to irregular	<i>Myxosarcina</i>
<b>38b</b>	Cells and/or colonies of other shapes	39
<b>39a</b>	Epilithic, irregular colonies of ± spherical, drop- or pear-like cells in mucilaginous envelopes, arranged in irregular rows, marine types	<i>Podocypsa</i>
<b>39b</b>	Cells and/or colonies of other shapes	40
<b>40a</b>	Pseudofilaments creeping on substrate or boring into it, clearly polarized; when old, forming amorphous part of the thallus	<i>Hyella</i>
<b>40b</b>	Thalli of different appearance	41
<b>41a</b>	Endolithic part of thalli uniseriate, with cells considerably distant from each other enclosed in gelatinous stalks, epilithic part of thalli in form of calcified pseudofilaments	<i>Solentia</i>
<b>41b</b>	Thalli of different appearance	42
<b>42a</b>	Endolithic part of thalli mostly multiseriate, epilithic part of thalli in form of amorphous gelatinous mass	<i>Dalmatella</i>
<b>42b</b>	Thalli of different appearance	43
<b>43a</b>	Cells in endolithic part close to each other, epilithic parts reduced	<i>Tryponema</i>
<b>43b</b>	Cells in endolithic part distant from each other epilithic part well developed	<i>Hormathonema</i>

### Simple trichal types

<b>1a</b>	Trichomes maximally 8–16 celled, constricted at cross walls	<i>Borzia</i>
<b>1b</b>	Trichomes longer if not fragmented and/or not constricted	2
<b>2a</b>	Trichomes moniliform, without individual envelopes, enclosed in spherical mucilaginous colonies, cells mostly spherical to barrel shaped, terminal cells rounded	<i>Yonedaella</i>
<b>2b</b>	Organisms of different appearance	3
<b>3a</b>	Trichomes heteropolar, tapering toward apical ends	4
<b>3b</b>	Trichomes usually isopolar	6
<b>4a</b>	Cells always discoid, only in apical parts (terminal hair) elongated	<i>Homoeothrix</i>

<b>4b</b>	Cells ± isodiametric, shorter or longer than wide	5
<b>5a</b>	Parietal thylakoids, centro- and chromatoplasma often distinguishable	
	<i>Tapinothrix</i>	
<b>5b</b>	Irregular thylakoids, cell content ± homogenous	<i>Phormidiochaete</i>
<b>6a</b>	Several trichomes enclosed in common sheath, with or without individual sheaths	7
<b>6b</b>	One trichome per sheath (if present), without common sheath	10
<b>7a</b>	Cells always shorter than wide, usually shorter than ½ of their width	<i>Blennothrix</i>
<b>7b</b>	Organisms of different appearance	8
<b>8a</b>	Trichomes motile, densely entangled in common sheath, sheath not tapering toward ends, cells ± isodiametric, terminal cells sometimes with calyptra	<i>Microcoleus</i> (part)
<b>8b</b>	Cells distinctly longer, terminal cells never with calyptra	9
<b>9a</b>	Trichomes with individual sheath, common sheath usually tapering toward end	<i>Schizothrix</i>
<b>9b</b>	Trichomes without individual sheath, densely entangled, common sheath not tapering toward end	<i>Trichocoleus</i>
<b>10a</b>	Cells always shorter than wide, usually shorter than ½ of their width (discoïd)	11
<b>10b</b>	Cells in the trichome not always shorter than wide	12
<b>11a</b>	Trichomes without sheaths (in nature)	<i>Oscillatoria</i>
<b>11b</b>	trichomes in firm, later usually thick and lamellated, outside sometimes granular sheaths	<i>Lyngbya</i>
<b>12a</b>	Trichomes in thick, stratified and colored sheath	<i>Porphyrosiphon</i>
<b>12b</b>	Trichomes in other kind of sheath or without sheath	13
<b>13a</b>	Filaments entangled in dense, macroscopic, dark green erect fascicles	<i>Symploca</i>
<b>13b</b>	Organisms of different appearance	14
<b>14a</b>	Cells ± isodiametric or shorter than wide, with or without sheath, calyptra present in short-celled types only	<i>Phormidium</i>
<b>14b</b>	Organisms of different appearance	15
<b>15a</b>	Cells ± isodiametric, trichomes gradually narrowed toward ends, with calyptra on terminal cells	<i>Microcoleus</i> (part)
<b>15b</b>	Organisms of different appearance	15
<b>16a</b>	Cells ± isodiametric or shorter or longer than wide, terminal cells with thickened cell wall	<i>Pycnacronema</i>
<b>16b</b>	Organisms of different appearance	17
<b>17a</b>	Trichomes without sheath, but sometimes in diffluent slime	18
<b>17b</b>	Trichomes with sheath	20
<b>18a</b>	Trichomes moniliform	<i>Komvophoron</i>
<b>18b</b>	Trichomes not moniliform	19

<b>19a</b>	Trichomes distinctly constricted on cross walls	<i>Pseudanabaena</i>	
<b>19b</b>	Trichomes unconstricted	<i>Jaaginema</i>	
<b>20a</b>	Filaments richly branched	<i>Pseudophormidium</i>	
<b>20b</b>	Filaments unbranched or seldom branched		21
<b>21a</b>	Trichomes unbranched, gradually narrowed and sometimes bent at ends, terminal cells rounded, without calyptra or thickened cell wall	<i>Kamptonema</i>	
<b>21b</b>	Organisms of different appearance		22
<b>22a</b>	Trichomes with yellow-brown sheath, cells longer than wide	<i>Scytolyngbya</i>	
<b>22b</b>	Organisms of different appearance		23
<b>23a</b>	Trichomes in thin sheath, constricted at cross walls, rarely branching, cells longer than wide, terminal cells with prominent rhodopsin granule at apical end	<i>Oculatella</i>	
<b>23b</b>	Filaments thin, trichomes constricted or unconstricted, cells with variable length-width ratio	<i>Leptolyngbya</i>	
	morphologically similar genera based on molecular differences: <i>Albertania</i> , <i>Cartusia</i> , <i>Kaiparowitsia</i> , <i>Komarkovaea</i> , <i>Kovacikia</i> , <i>Lusitaniella</i> , <i>Nodosilinea</i> , <i>Pegethrix</i> , <i>Tildeniella</i> , and <i>Timaviella</i>		

#### Heterocytous types or types with akinetes

<b>1a</b>	Isopolar unbranched filaments		2
<b>1b</b>	Heteropolar and/or branched filaments		7
<b>2a</b>	Cells of variable shapes, irregularly arranged in ensheathed filaments with transition to <i>Pseudanabaena</i> -like trichomes with conical cells, akinetes single or in series, thermal type	<i>Cyanocohniella</i>	
<b>2b</b>	Organisms of different appearance		3
<b>3a</b>	Filaments (if not broken) with terminal heterocytes on both ends, akinetes often with structured epispore exclusively attached to heterocytes	<i>Cylindrospermum</i>	
<b>3b</b>	Organisms of different appearance		4
<b>4a</b>	Filaments always without heterocytes, but producing akinetes	<i>Isocystis</i>	
<b>4b</b>	Organisms of different appearance		5
<b>5a</b>	Amorphous colonies up to 2 mm in diameter composed of tightly entangled filaments arranged in irregular spheres distant from each other	<i>Compactonostoc</i>	
<b>5b</b>	Filaments not tightly entangled		6
<b>6a</b>	Amorphous colonies with firm periderm on surface, filaments often with terminal heterocytes, less often with intercalary heterocytes	<i>Nostoc</i>	
<b>6b</b>	Amorphous colonies without firm periderm on surface, filaments with common terminal and intercalary heterocytes	<i>Desmonostoc</i>	
<b>7a</b>	Isopolar filaments with ± frequent false branching		8

<b>7b</b>	Heteropolar and/or true-branched filaments	12
<b>8a</b>	Trichomes distinctly tapering toward ends	<i>Scytonematopsis</i>
<b>8b</b>	Trichomes not tapering toward ends, but sometimes widening	9
<b>9a</b>	Filaments containing one or more trichomes, high number of hormogonia, cells capable of division in two planes	<i>Rexia</i>
<b>9b</b>	Filaments containing just one trichome, cell divide in one plane only	10
<b>10a</b>	Sheath very thick (several times thicker than cell width), funnel-like stratified, in apical part yellowish	<i>Petalonema</i>
<b>10b</b>	Sheath present, but not several times thicker than cell width, yellow-brown to brown	11
<b>11a</b>	Heterocytes distinctly “golden”, vegetative cells ± violet	<i>Brasilonema</i>
<b>11b</b>	Heterocytes and vegetative cells ± olive green	<i>Scytonema</i>
<b>12a</b>	Heteropolar filaments with basal heterocytes and false branching	13
<b>12b</b>	True branching types	28
<b>13a</b>	Trichomes distinctly tapering toward apical end	14
<b>13b</b>	Trichomes not tapering or only slightly tapering toward apical end, base may be widened	22
<b>14a</b>	Trichomes never tapering to terminal hair	15
<b>14b</b>	Trichomes tapering into terminal hair (may be broken off)	17
<b>15a</b>	Cells always shorter than wide	<i>Toxopsis</i>
<b>15b</b>	Cells of variable length within one trichome	16
<b>16a</b>	Sheath thin, barely visible; growing on volcanic substrates	<i>Goleter</i>
<b>16b</b>	Sheath very thick, brown; growing on limestone	<i>Sacconema</i>
<b>17a</b>	Trichomes distinctly U-bent, arranged in one-layered colonies	<i>Kyrtuthrix</i>
<b>17b</b>	Trichomes not distinctly U-bent	18
<b>18a</b>	Trichomes branched, daughter trichomes usually remain attached to parent trichome	19
<b>18b</b>	Trichomes unbranched or seldom branched, branches shift off the parent trichome	20
<b>19a</b>	Bushlike colonies, never ± hemispherical, trichomes in thin, of the yellow-brownish individual sheaths	<i>Dichothrix</i>
<b>19b</b>	± hemispherical, distinctly layered colonies, often CaCO <sub>3</sub> incrustated	<i>Rivularia/Cyanomargarita</i>
<b>20a</b>	Trichomes with both single and double branching	<i>Nunduva</i>
<b>20b</b>	Trichomes with single branching only	21
<b>21a</b>	Basal heterocytes single only	<i>Calothrix</i>
<b>21b</b>	Basal heterocytes usually in pairs	<i>Macrochaeta</i>
<b>22a</b>	Trichomes widening toward ends	23
<b>22b</b>	Trichomes not widening toward ends	24
<b>23a</b>	Cells in apical part up to 8 µm wide, at the base > 8 µm wide, on wet limestone rocks	<i>Croatella</i>

<b>23b</b>	Cells in apical part up to 4 $\mu\text{m}$ wide, at the base <1 $\mu\text{m}$ wide, in limestone caves	<i>Fortiea</i>
<b>24a</b>	Filaments aggregated into dense fascicles, not enclosed in common sheath	25
<b>24b</b>	Filaments not aggregated in fascicles	26
<b>25a</b>	Branching very rare, all cells of $\pm$ same appearance	<i>Streptostemon</i>
<b>25b</b>	Branching common, cell morphology may substantially differ along the trichome	<i>Seguenzaea</i>
<b>26a</b>	Filaments distinctly crimped, growing from amorphous mass	<i>Borzinema</i>
<b>26b</b>	Filaments straight or arcuate, but not crimped	27
<b>27a</b>	Filaments arcuate, branching very common, single-sided, cells always distinctly shorter than wide to discoid	<i>Hassallia</i>
<b>27b</b>	Filaments branched to both sides, cells not exclusively shorter than wide	<i>Tolypothrix/Dactylothamnos</i>
<b>28a</b>	Filaments uniseriate, completely enclosed in crust composed of latticelike limestone crystals, main and lateral branches not indistinguishable, no heterocytes known	<i>Geitleria</i>
<b>28b</b>	Filaments encrusted in different way or not encrusted	29
<b>29a</b>	Filaments uniseriate, heterocytes located at ends of $\pm$ short branches	30
<b>29b</b>	Heterocytes never located at ends of branches	32
<b>30a</b>	Trichomes of same width along whole length, not constricted at cross walls; cave type	<i>Baradlaia</i>
<b>30b</b>	Trichomes at least in part of thalli constricted	31
<b>31a</b>	Branches always tapering, marine type	<i>Mastigocoleus</i>
<b>31b</b>	Branches of variable morphology, usually macroscopic gelatinous colonies; subaerial non-cave type	<i>Nostochopsis</i>
<b>32a</b>	Both true and false branching present in one thallus	33
<b>32b</b>	True branching only types	37
<b>33a</b>	Young branches distinctly narrower than old filaments	<i>Spelaeonaias</i>
<b>33b</b>	Main filaments and branches of $\pm$ same width	34
<b>34a</b>	Thallus composed mostly of erect filaments arranged in fascicles, heterocytes mostly solitary, cells of $\pm$ same shape in both main filaments and branches	<i>Spelaeopogon</i>
<b>34b</b>	Filaments not joined into fascicles	35
<b>35a</b>	Thallus layered	<i>Handeliella</i>
<b>35b</b>	Thallus not layered	36
<b>36a</b>	Filament incrustated with $\text{CaCO}_3$ ; cave type	<i>Iphinoe</i>
<b>36b</b>	Filament not incrustated; non-cave type	<i>Schmidleinema</i>
<b>37a</b>	Branches distinctly morphologically different (trichome shapes, cell shapes) from main filament	38
<b>37b</b>	Branches morphologically $\pm$ similar to main filament	40
<b>38a</b>	Both lateral and intercalary heterocytes present	<i>Pelatocladus</i>



<b>38b</b>	Lateral heterocytes never present	39
<b>39a</b>	Trichomes uniseriate, sheaths not lamellated, reproduction by hormocytes often joint into groups, without arthrospores	<i>Leptopogon</i>
<b>39b</b>	Trichomes in main filaments often polyseriate, sheath thin, I older filaments widened and sometimes lamellated	<i>Fischerella</i>
<b>40a</b>	Heterocytes absent (in whole population)	41
<b>40b</b>	Heterocytes present	43
<b>41a</b>	Trichomes, not or slightly constricted at cross walls, enclosed in wide, diffluent mucilaginous envelopes	<i>Adrianema</i>
<b>41b</b>	Trichomes distinctly constricted at cross walls, enclosed in delimited sheath	42
<b>42a</b>	Trichomes T- and ± dichotomously branched	<i>Colteronema</i>
<b>42b</b>	Trichomes T-branched only	<i>Albrightia</i>
<b>43a</b>	Groups of trichomes enclosed in common, delimited, mucilaginous envelopes	<i>Brachytrichopsis</i>
<b>43b</b>	Groups of trichomes not enclosed in common, delimited enveloped	44
<b>44a</b>	Filaments arranged ± parallel into fascicles; thermal-atmophytic types	<i>Thalpophila</i>
<b>44b</b>	Filaments not arranged ± parallel into fascicles	45
<b>45a</b>	Trichomes cylindrical, uniseriate, not or slightly tapered	46
<b>45b</b>	Organisms of other appearance	50
<b>46a</b>	Gelatinous, spherical colonies, up to 1 mm in diameter, filaments arranged ± radially at periphery of colonies; on calcareous rocks	<i>Voukiella</i>
<b>46b</b>	Organisms of other appearance	47
<b>47a</b>	Most cells distinctly longer than wide, sheath thin, reproduction by terminal hormocytes	<i>Westiella</i>
<b>47b</b>	Organisms of other appearance	48
<b>48a</b>	Cells ± isodiametric, sheath thick, lamellate	<i>Loriella</i>
<b>48b</b>	Organisms of other appearance	49
<b>49a</b>	Sheaths always colorless, lamellate; cave type	<i>Loriellopsis</i>
<b>49b</b>	Sheaths yellow-brownish when older, not lamellate	<i>Symphyonema</i>
<b>50a</b>	Filaments uniseriate or polyseriate, trichomes never tapering, reproduction mainly by hormogonia, trichomes with T branching only	<i>Stigonema</i>
<b>50b</b>	Organisms of other appearance	51
<b>51a</b>	Terminal parts of trichomes strongly narrowed; marine types	<i>Brachytrichia</i>
<b>51b</b>	Sheath thick, delimited, lamellate, noncave inhabitant	<i>Herpyzonema</i>

### 2.2.3 Coccal taxa

#### ***Aphanocapsa* Nägeli (Synechococcales, Merismopediaceae)**

Colonies micro- or macroscopic, many-celled, irregular, usually amorphous, gelatinous. Cells spherical, after division hemispherical, arranged irregularly, normally without own mucilaginous envelopes. Mucilage of colony colorless, yellowish, brownish, bluish, or red; fine; formless but delimited.

*Aphanocapsa fusco-lutea* with cells 1–1.5  $\mu\text{m}$ ; *Aphanocapsa muscicola* 2–3 (4.5)  $\mu\text{m}$ ; *Aphanocapsa parietina* 4–7  $\mu\text{m}$ , on limestone; *Aphanocapsa testacea* 7.7–9.5  $\mu\text{m}$ , on granitic rocks [3]. Outside temperate zone was described *Aphanocapsa intertexta* 2.4–2.6  $\mu\text{m}$ , different rocks, Puerto Rico [4].

#### ***Aphanothece* Nägeli (Chroococcales, Aphanothecaceae) (Fig. 2.2.1)**

Colonies micro- or macroscopic, many-celled, irregular, usually amorphous, gelatinous. Cells oval, cylindrical or rodlike, straight or slightly curved, arranged irregularly, cells facultatively in the margin of colonies with own envelopes. Mucilage of colony colorless or near the surface yellowish, yellow-brownish or reddish.

*Aphanothece marina*—wet limestone in splashing zone of Mediterranean sea; *Aphanothece bullosa* atmophytic with macroscopic colonies (to 15 cm) cosmopolitan; *Aphanothece saxicola* cells 1.5–4.5  $\mu\text{m}$  long and 1–2.3  $\mu\text{m}$  wide, colonies green or olive green, noncalcareous biotopes; *Aphanothece castagnei* cells 3.8–8  $\mu\text{m}$  long and 2–4.8  $\mu\text{m}$  wide, colonies brownish, cells with own envelopes at the margin of colony, on limestone; *Aphanothece pallida* 5.5–10 (16)  $\mu\text{m}$  long and 3.2–8  $\mu\text{m}$  wide, cells on margin often with own yellow-brown envelopes. Outside temperate zones are known *Aphanothece cylindracea* 10–14  $\mu\text{m}$  long and 6–7.5  $\mu\text{m}$  wide from Puerto Rico [4] and *Aphanothece granulosa* 7–12(13)  $\mu\text{m}$  long 4.5–5  $\mu\text{m}$  wide from Central America, limestone rocks (or metaphyton [5]).

#### ***Asterocapsa* Chu (Chroococcales, Chroococcaceae)**

Colonies micro- or macroscopic, more or less spherical or irregular, common sheath of colony thick, in part of life cycle often with granular or spiny surface, colorless, yellow, pink, or brown. Cells spherical, subspherical, oval, or more or less irregular, with individual sheath, sometimes lamellate. Taxonomically unclear genus; several species possibly could be resting stage of *Gloeocapsa* or *Gloeocapsopsis*; by contrast, many of unknown taxa of *Asterocapsa* (Fig. 2.2.1C) are probably often determined as *Gloeocapsa* sp.

Definition of many existing species are unclear. There are three morphotypes:

- a. small colonies (2–4 celled) or solitary cells with firm sheath, e.g., *Asterocapsa badia* from Himalaya [6].
- b. colonies with many cells, sheath firm, more or less spherical, sometimes with spinelike structure on surface, nanocyte reproduction, e.g., *Asterocapsa divina*, south and Central America [6].
- c. irregular-shaped cells, spiny sheaths, gelatinous mats, e.g., *Asterocapsa masayuki-watanabei*, Himalaya [6].

*Bacularia* Borzì (Synechococcales, Synechococcaceae)

Colonies microscopic, up to macroscopic, many-celled, tubular, usually tapering to one or both ends, sheaths colorless, usually homogeneous. Cells cylindrical, rod shaped, formed to irregular row in central part of colony, oriented more or less in the direction of a tubus of colony.

*Bacularia caerulescens*—marine splash zone, Mediterranean Sea [7].

***Chalicogloea* Roldán, Hernández-Mariné and Komárek (Chroococcales, Chroococcaceae)**

Colonies microscopic, few celled, irregular, with densely aggregated cells, without common envelopes, sometimes only solitary cells. Cells polygonal, rounded or oval, with light centroplasma, individual envelopes colorless, homogenous or lamellated. Cell division in irregular planes, nanocyte or resting stages not observed. Thylakoid fasciculated.

*Chalicogloea cavernicola*—known from deep cave in Spain only [8].

***Chamaesiphon* Braun (Synechococcales, Chamaesiphonaceae)**

Solitary, in group of cells or colonial. Cells spherical to cylindrical, heteropolar, basal part attached to the substrate, from apical part separate exocytes (daughter cells). Sheaths (pseudovagina) colorless, open at the apex.

Almost all species occur in water, as subaerophyte only sometimes *Chamaesiphon niger* (in small cell group only, blue, with violet or black sheath) or *Chamaesiphon oncobyroides* (colonial, slightly orange sheath), both known only from Europe; attached to stones or filamentous algae [3].

***Chlorogloea* Wille (Chroococcales, Entophysalidaceae)**

Colonies micro- or macroscopic, irregular, gelatinous, in outline more or less spherical or amorphous. Mucilage colors or colored. Cells more or less spherical, distant from one another, surrounded often in individual envelopes, sometimes arranged in indistinct radial rows. Cells divide in three or more planes in successive generations, known also are planocyte and nanocyte formations.

*Chlorogloea novacekii*—only one typical aerophytic species of the genus, growing on limestone, on shady localities as caves, etc., known only from central Europe. *Chlorogloea rivularis* from Central European mountain waterfalls occur rare on the rock close to water, not on limestone [3].

***Chondrocystis* Lemmermann (Chroococcales, Chroococcaceae)**

Colonies micro- or macroscopic; irregular, gelatinous, with numerous cubic or polygonal subcolonies. Cells spherical, with their own slightly layered envelopes. Taxonomically almost unknown genus.

*Chondrocystis dermochroa*—one aerophytic species of the genus, usually on limestone [3].

***Chroococcus* Nägeli (Chroococcales, Chroococcaceae)**

Colonies usually microscopic, only few celled, more or less spherical, rarely many celled, macroscopic. Mucilage colorless or colored, homogeneous or lamellated, cells

often with individual envelopes. Cells spherical (young), later hemispherical or in a form of a sector of a sphere. Cell division in three directions perpendicular each to another in first successive generations.

Atmophytic localities: *Chroococcus membraninus*—3–8 µm, homogenous sheath; *Chroococcus globosus*—6–16 µm, homogenous, wide fine sheath; *Chroococcus thermalis*—slightly lamellated sheath, 5–10 (32) µm; *Chroococcus subsphaericus*—6–6.5 µm, purple-gray, Puerto Rico [4]

Common rocks: colorless sheath, nonlamellated: *Chroococcus varius*—cells 2–4 µm, colony few celled; *Chroococcus bituminosus*—small colonies, 2–3.5 µm; *Chroococcus cohaerens*—large colony, cells 2–5 (6) µm; *Chroococcus refractus* 4–8.5(12), cells subquadrate, triangular or multiangular, yellowish or brownish (known from the USA only [9]), *Chroococcus pallidus*—5–8 (13) µm; *Chroococcus turicensis*—13–15 µm, cells blue-green or yellowish, noncalcareous biotopes; *Chroococcus multicoloratus*—20 µm, cells yellowish-green, bluish-green, yellowish, brown, or blackish (known from the USA only[9]); *Chroococcus spelaeus*—15–30 µm, cells blue-green, olive green or slightly pinkish-violet, limestone (Fig. 2.2.1K). Sometimes is recorded from wet rocks *Chroococcus turgidus* too.

Colorless sheath, slightly lamellated: *Chroococcus helveticus* cells 4–9 µm; *Chroococcus westii*—13–27 µm, violet cells.

Colorless sheath, lamellated: *Chroococcus ercegovicii*—cells 4–11 (28) µm, limestone; *Chroococcus tenax*—15–21 µm (Fig. 2.2.1H).

Yellow or yellow-brown sheath of old colony: *Chroococcus lithophilus*—limestone; *Chroococcus montanus*—granite or other acidic rocks [3].

Purple—violet sheath: *Chroococcus muralis*—1.5–2 µm, Central America [4]

Granular sheath: *Chroococcus verrucosus*—Cameroon [10]

### ***Chroococciopsis* Geitler (Chroococciopsidales, Chroococciopsidaceae)**

Unicellular or microscopic irregular colonies, common sheath, thin, and colorless. Cells spherical or irregularly spherical. Cell division in different planes in succeeding generations (daughter cells usually do not grow into the original shape and size before next division) or by baeocytes. Unclear genus, maybe polyphyletic.

Many of extremophilic cyanobacteria as hypo- and endolith of hot or cold deserts are determined as *Chroococciopsis* sp. Described were species *Chroococciopsis fissurarum*—chasmoendolith of marine splashing zone; *Chroococciopsis supralitoral*—freshwater splashing zone, Lake Kineret [11]; *Chroococciopsis kashayi*—desert caves; *Chroococciopsis muralis*—caves from Hawaii [12]; *Chroococciopsis umbratilis*—shaded stone wall, Israel [11].

### ***Cyanoarbor* Wang (Chroococcales, Enthophysalidaceae)**

Colonies macroscopic, polarized, erected from substrata, with clear pseudodichotomous or irregular ramification (“tree-like”). Cells in colonies arranged irregularly or indistinctly radially. Cells irregular, spherical to ellipsoidal. Sheaths narrow, distinct, colorless.

Only one species *Cyanoarbor rupestre* has been described from wet rocks among mosses in China [13], types similar to aquatic species *Cyanoarbor himalayensis* was found on concrete wall Brazil [14].

***Cyanobacterium* Rippka and Cohen-Bazire (Chroococcales, Cyanobacteriaceae)**

Solitary or in irregular groups, oval or shortly cylindrical, without or with very fine sheaths. Visible lengthwise position of thylakoids—cells are with lengthwise striation. Only one aerophytic species, *Cyanobacterium cedrorum* from bark of tree and wet rocks, has this striation almost invisible (it is more “central granule”); maybe this species does not belong to genus *Cyanobacterium* [3].

***Cyanobium* Rippka et Cohen-Bazire (Synechococcales, Synechococcaceae)**

Solitary cells (or in pairs after division), oval, ellipsoid to shortly rod shaped, 1–2 (4)  $\mu\text{m}$  long and 1 (–3)  $\mu\text{m}$  wide, without visible sheath, usually with well-visible chromatoplasm (parietal thylakoids). Division in one plain. *Cyanobium parvum* in only one species, which occur among other thing, aerophytically [15].

***Cyanosaccus* Lukas and Golubić (Pleurocapsales, Hyellaceae)**

Solitary, in pairs or in clusters with up to eight cells. Mostly endolithic, in intertidal marine splashing zone. Cells more or less pear shaped or club shaped, on both ends rounded, enclosed within ends of mucilaginous fingerlike branches of thallus, oriented by their narrowed ends to the surface of the substrate.

*Cyanosaccus aegaeus*—thallus divaricated without formation of basal cells, cells 14–27  $\mu\text{m}$ ; *Cyanosaccus piriformis*—basal cells occasionally, 32–52  $\mu\text{m}$ ; *Cyanosaccus atticus*—basal cells present, 12–16 [3].

***Dalmatella* Ercegović (Pleurocapsales, Hydrococcaceae)**

Young thallus epilithic, forming amorphous mass with irregular small cells, in groups or forming irregular rows of cells or pseudofilaments. Older, endolithic pseudofilaments grow parallelly to one another and perpendicularly into the substrate, sometimes pseudodichotomously divaricate. Cells irregular, with distance from one another, bigger than in epilithic part, elongated toward endolithic apex, apical cells club shaped. Sheaths in epilithic parts are usually more delimited, colored, and lamellate than in endolithic parts, where they are colorless, more or less homogeneous. Cell division irregular in three or more planes, or by baeocytes. All known species occur on splashing zone of the sea, on/in limestone rocks.

*Dalmatella violacea*—violet thallus (rest of all yellow-brown); *Dalmatella polyformis*—calcium incrustated thallus; *Dalmatella buaensis*—epilithic cells in rows, thallus up 500  $\mu\text{m}$ , cells 3–7  $\mu\text{m}$  wide and 4–15  $\mu\text{m}$  long; *Dalmatella litoralis*—epilithic cells irregularly arranged, endolithic part to 100  $\mu\text{m}$ , cells 15  $\mu\text{m}$  wide and 23  $\mu\text{m}$  long; *Dalmatella anomala*—epilithic cells irregularly arranged, endolithic part longer than 100  $\mu\text{m}$ , cells wide 4–10  $\mu\text{m}$  and 6–12  $\mu\text{m}$  long [16].

***Entophysalis* Kützing (Chroococcales, Enthophysalidaceae)**

Colonies microscopic to macroscopic, attached to the substrate, young often polarized, later composed of subcolonies, which are sometimes radially or serially disposed. Cells or their groups in colonies more or less in radial or erect rows, with their

own, usually layered sheath. Cells spherical to irregular, often of variable size in a colony. Cell division in three or more planes. All genus contain more than 30 epilithic species with not so clear delimitation.

Marine species: *Entophysalis deusta*—3–6  $\mu\text{m}$ , yellow-brown sheath, subcolonies, Mediterranean coasts; *Entophysalis granulosa*—2–5  $\mu\text{m}$ , yellow-brown sheath, no subcolonies, cold seas; *Entophysalis maior*—cells 6–8  $\mu\text{m}$ , sheath colorless or slightly yellowish at the edge, warm seas [3].

Nonmarine: *Entophysalis atrovioacea*—5–9  $\mu\text{m}$ , sheath dark violet or black, Europe; *Entophysalis willei*—1.5–2.5  $\mu\text{m}$ , sheath violet, Puerto Rico, China [4, 17]; *Entophysalis arboriformis*—macroscopic colonies, red, South America [14, 18].

#### ***Epilithia* Ercegović (Pleurocapsales, Xenococcaceae)**

Colonies microscopic, irregular, basal part forms “Gloeocapsa-like” agglomerations of more or less spherical or hemispherical cells, upper part is pseudofilamentous, with short rows of oval to cylindrical cells. Sheaths of colony colorless, unlamellated, open at the apex, cells usually with own colorless unlamellated sheaths. Little known genus; only one species, *Epilithia adriatica*, from calcareous supratidal rocks from Croatian marine coast [19].

#### ***Ercegovicia* De Toni (Pleurocapsales, Hyellaceae)**

Colonies microscopic, composed from short irregular pseudofilaments with basal groups of irregular rounded cells; larger cells are at the base of the pseudofilaments, a few apical cells smaller, mostly droplike.

*Ercegovicia litoralis*—splashing (marine) zone of Mediterranean Sea [20].

#### ***Eucapsis* Clements and Shantz (Synechococcales, Merismopediaceae)**

Colonies microscopic, more or less cubic (three-dimensional) or irregular, common sheaths of colony diffuent and colorless. Cells spherical or slightly oval arranged into perpendicular rows, later sometimes slightly irregular, sheaths nonlamellated or slightly lamellated.

*Eucapsis alpina*—cyanobacterium, which morphologically fits to this species is quite common on wet rocks, mostly on sandstone, in tropical to boreal zone, over the whole world, identity with typical *E. alpina*, which is originally known from clear freshwaters, needs molecular evaluation [3].

#### ***Gloeobacter* Rippka, Waterbury and Cohen-Bazire (Gloeobacterales, Gloeobacteraceae)**

Solitary or in irregular groups, rod shaped or widely oval, with thin, fine and indistinct sheaths. Cells with almost colorless content and solitary granules, in masses violet. Thylakoids and phycobilisomes absent.

*Gloeobacter violaceus* (incl. syn. *Aphanothece caldariorum*, *Gloeothece coerulea*, *Gloeothece linearis* [21] on rocks, mainly calcareous, Europe; *Gloeobacter kilauensis*—cryptic species, Hawaii [22].

***Gloeocapsa* Kützing (Chroococcales, Microcystaceae)**

Colonies microscopic, later macroscopic, gelatinous, spherical to amorphous, composed of irregular groups of cells, usually with wide and concentrically lamellated sheaths, colored or colorless. Cells spherical, after division hemispherical, with their own wide and sometimes lamellated sheaths. During vegetation, cycles develop morphologically different stages, depending on ecological conditions (e.g., arthrospores, resting stages with firm cell wall). Cell division in three perpendicular planes in successive generations; nanocytic cell division known.

Exist more than 80 taxa, almost all aerophytic. Most common species are as follows [3]:

Colorless sheaths: nonlamellated sheath: *Gloeocapsa punctata*—noncalcareous rocks, 1.8–3 µm; *Gloeocapsa coracina*—sandstone, 3–6 µm; *Gloeocapsa atrata*—mostly calcareous rocks, 2–5 µm, cells blue-green (Fig. 2.2.1E); *Gloeocapsa bituminosa*—calcareous rocks, 2–6 µm, cells olive green, violet or pinkish; *Gloeocapsa minutula*—1.7–2 µm, atmophytic.

Lamellated sheaths: *Gloeocapsa aeruginosa*—calcareous rocks, 2–3 µm; *Gloeocapsa caldariorum*—3–4.5 (8) µm.

*Gloeocapsa gelatinosa*—atmophytic species in hot springs.

Sheaths blue or violet: *Gloeocapsa compacta*—sheaths almost transparent, outer layer wide, usually almost colorless, 2–2.5 (3.5); *Gloeocapsa nigrescens*—lowland to mountain, 3–5 µm; *Gloeocapsa alpina*—high mountain, 4–6 (8) µm; *Gloeocapsa violascea*—sheaths almost not transparent, 3–4 (7) µm.

Sheaths red: *Gloeocapsa thermalis*—atmophytic in hot springs.

*Gloeocapsa ralfsii*—outer envelopes very widened, only slightly colored, noncalcareous high mountains (Fig. 2.2.1D); *Gloeocapsa rupicola*—2–3 (3.5) µm, noncalcareous; *Gloeocapsa sanguinea*—cells pale blue-green, 4–6.5 µm, noncalcareous high mountain; *Gloeocapsa novacekii*—3.5–9 µm, olive green cells, serpentinic or noncalcareous rocks; *Gloeocapsa multisphaerica*—3–3.8 µm, spherical colonies, young colony colorless, later pink to brick red, China [17].

Sheaths orange: *Gloeocapsa reicheltii*—2.5–3.5 µm; *Gloeocapsa shuttleworthiana*—6–8 µm;

Sheaths yellow or brown: *Gloeocapsa deusta*—marine calcareous splashing zone; *Gloeocapsa fusco-lutea*—1.5–2 µm, noncalcareous; *Gloeocapsa biformis*—0.8–3 µm, calcareous; *Gloeocapsa kuetzingiana*—3–5 µm, noncalcareous, high mountain; *Gloeocapsa rupestris*—(5) 6–8 (11) µm (Fig. 2.2.1F), lowland to mountain [3].

***Gloeocapsopsis* Geitler ex Komárek (Chroococcales, Chroococcaceae)**

Colonies microscopic, later macroscopic, composed by groups of irregular packets of cells. Sheaths of individual cells are packets of the whole colony, mucilage firm, lamellated or not lamellated, and usually colored. Cells hemispherical, spherical, later polygonal. Cell division in different directions in succeeding generations, the cells do not grow into the original form before the next division. Occasional reproduction by spherical nanocytes.

*Gloeocapsopsis crepidinum*—sheath yellow–yellow-brown, supralittoral of sea or inland saline, 4–8 µm; *Gloeocapsopsis pleurocapsoides*—sheath yellow–yellow-brown, 5–11 (20) µm, dry or wet rocks; *Gloeocapsopsis dvorakii*—sheath rusty red or orange-red, occasionally lamellated, xenotherm, on serpentinite, sandstone, or volcanic rocks; *Gloeocapsopsis magma*—bloody red, wet, mostly acidic rocks, high mountain; *Gloeocapsopsis polyedrica*—inner sheath intensely violet, limestone (known only from Croatia); *Gloeocapsopsis chroococcoides*—sheath colorless or blackish (Fig. 2.2.1G); *Gloeocapsopsis cyanea*—sheath colorless, cells blue, sandstone, known only from Crete [3].

### ***Gloeothece* Nägeli (Chroococcales, Aphanothecaceae)**

Unicellular, or in micro- or small macroscopic gelatinous colonies, common sheaths colorless or colored, with irregularly arranged cells. Cells widely oval, oval or rodlike, cells or group of cells with own individual sheaths, usually concentrically lamellated, colorless or colored. Cell division (binary fission) crosswise, perpendicular to the long axis of elongated cells in successive generations.

Exist more than 50 taxa, majority aerophytes. Most common or characteristic species [3]:

Rod-like cells (*Gloeothece linearis*—rocks with low pH; *Gloeothece violacea*—caves or shady places; *Gloeothece vibrio*—curved cells, New Caledonia; *Gloeothece filiformis*—cells 1.8–2.5 µm wide and 5–20 µm long, lamellated sheath, Brazil) [23].

Oval cells: colorless, lamellated sheaths (*Gloeothece tepidariorum*—in glasshouses in Europe, Fig. 2.2.1I; *Gloeothece membranacea*—rare on wet rocks, mainly submerged); colorless, nonlamellated sheaths (*Gloeothece krigeri*—1.5 µm wide, Papua New Guinea [24]; *Gloeothece confluens*—1.6–2.3 µm; *Gloeothece palea*—2.5–4.5 µm); blue or violet (*Gloeothece cyanochroa*—noncalcareous rocks, 1.4–4 µm long; *Gloeothece ustulata*, 15–50 µm long); cells dark violet individual sheath, sheath of whole colony colorless—*Gloeothece endochromatica*, Central America [4]; orange, limestone—*Gloeothece dubia*; yellow-orange—brown *Gloeothece fusco-lutea* (width 4.5–5.5 µm); *Gloeothece rupestris* (width 3.6–6 (10) µm) (Fig. 2.2.1L).

### ***Hormathonema* Ercegović (Pleurocapsales, Hydrococcaceae)**

Colonies microscopic, mainly epilithic (pseudofilamentous, irregular uni- or more-seriate rows or irregular groups of spherical cells (more or less), enveloped by their own mucilaginous, sometimes widened and slightly lamellated envelopes); smaller endolithic part is pseudofilamentous and in limestone substrate in the form of uniseriate rows of cells, which are distant one from another (with thick, mucilaginous, usually crosswise lamellated sheath). Cells spherical, irregular or polygonal, slightly elongated in endolithic pseudofilaments, usually more widened at their ends. Cells divide irregularly in different planes, nanocytes not observed.

All species occur in marine splashing zone mostly on coastal limestone rocks; *Hormathonema sphaericum*—colorless sheath, almost oval cells; *Hormathonema luteo-bruneum*—yellow–yellow-brown sheath, epilithic part wide to 20 µm, endolithic



short to 9  $\mu\text{m}$  length; *Hormathonema longicellulare*—yellow–yellow-brown sheath, epilithic part to 8  $\mu\text{m}$  wide, endolithic long to 40  $\mu\text{m}$  length; *Hormathonema epilithicum*—violet or dark violet sheath, cells 4–5  $\mu\text{m}$ , distant, few celled pseudofilament only; *Hormathonema violaceo-nigrum*—violet or dark violet sheath, cells 2–5  $\mu\text{m}$ , many celled pseudofilamentous [4, 25].

***Hormothece* Jao (Chroococcales, Aphanothecaceae)**

Colonies microscopic, irregular in form of thick mucilaginous stalks, which are colorless, usually more or less transversally lamellated (additional growth zones), at the bases confluent and sometimes pseudodichotomously branched. Cells in stalks solitary, in pairs or loosely arranged in short rows, widely oval to cylindrical.

*Hormothece banyolensis*—wet rocks above water level in clear lake in Spain, 2–3  $\mu\text{m}$  wide  $\times$  4–5  $\mu\text{m}$  long cells, stalks with slight striation; *Hormothece cylindrocellularis*—tropical wet rocks, long stalks without striation, cells 2–3  $\times$  6  $\mu\text{m}$ ; *Hormothece rupestris*—densely lamellated sheath, short thallus, calcareous rocks, China, 8–10  $\times$  5–5.5  $\mu\text{m}$ ; *Hormothece jaoi*—stalks with transversal striation, cells 2.2–2.5  $\times$  5  $\mu\text{m}$ , China [3, 26].

***Hyella* Bornet and Flahault (Pleurocapsales, Hyellaceae)**

Colonies pseudofilamentous, microscopic; irregular rows of cells creeping on the substrate or boring into it, clearly polarized. Initial (surface) stages are cell groups enveloped by colorless sheaths (chroococcalean stages). Pseudofilaments, growing into the stony substrate, uniseriate or polyseriate, laterally pseudobranched, with sometimes layered sheaths; terminal cells distinctly elongated. Cells divide irregularly in different planes, sometimes forming nanocytes (usually in the surface, oldest parts of thallus).

Terrestrial is only *Hyella terrestris*, mostly from soil surface, all freshwater species are submerged. Rest of species are from marine splashing zone, almost euendolithic, with unclear morphological differences and without modern molecular study. Most frequent species are *Hyella balani*—branches frequent and short (Fig. 2.2.1A); *Hyella tenuior*—pseudofilament more or less with the same width, cells in pseudofilament distinctly separate; *Hyella gigas*—cells 40  $\mu\text{m}$   $\times$  2–5  $\mu\text{m}$ , pseudofilament to 400  $\mu\text{m}$  long; *Hyella dalmatica*—cells 30  $\mu\text{m}$   $\times$  2–8  $\mu\text{m}$ , pseudofilament to 300  $\mu\text{m}$  long [3].

***Inacoccus* Gama, Rigonato, Fiore, and Sant’Anna (Chroococcales, Chroococcaceae)**

Solitary or few celled. Cells rounded or hemispherical after cell division. Sheaths colorless or often intense red, homogeneous, or lamellated. Thylakoids fasciculate, reproduction by binary fission in three irregular planes and by nanocytes present (“Chroococcus—like cells with nanocyte and intensely red-colored sheaths” [27]).

*Inacoccus carmineus*—rocks and concrete, known only from South Brazil.

***Lightfootiella* Hašler, Pentecost, Jahodářová, Dvořák, and Pouličková  
(Chroococcales, Chroococcaceae)**

Colonies microscopic (spherical, hemispherical, or irregularly lobate), but forming thick mucilaginous layers up to 1 cm in thickness. Colonies disintegrate into subcolonies after gelatinization of layered, orange, or red envelopes. Thalli appear dark red in color often with an irregular ropelike morphology, weakly attached or loose lying on the substratum. Sheaths wide, consisting of distinct layers closely and often with external layer of amorphous mucilage. Cells spherical, hemispherical, oval. Reproduction by binary fission in three planes.

*Lightfootiella montana*—aerophytic to subaerophytic, on quartzite or granitic stones, sometimes among mosses, in mountains of Scotland, Wales, Faroe Islands, probably in Scandinavia [28].

***Lithocapsa Ercegović* (Chroococcales, Enthophysalidaceae)**

Cells ellipsoid to oval, forming linear colonies, parallelly arranged colonies

Colonies microscopic, composed of parallel and fasciculated rows of cells. Cells more or less spherical, after division subspherical, distant from one another; enveloped by wide, slightly lamellated, colorless gelatinous envelopes around each cells; common mucilage around the colony is missing.

*Lithocapsa fasciculata*, epilithic on limestone rocks, marine splashing zone, Croatia [29]. Little known taxon.

***Lithococcus Ercegović* (Synechococcales, Synechococcaceae)**

Colonies microscopic, composed by irregular net like rows of cells in mucilaginous pseudofilament, endolithic. Sheaths homogeneous and colorless. Cells (hemi)spherical to ellipsoidal, distant from one another.

*Lithococcus ramosus*—endo- and epilithic on limestone rocks, marine splashing zone, Croatia [29]. Little known taxon.

***Merismopedia Meyen* (Synechococcales, Merismopediaceae)**

Colonies microscopic (in several nonaerophytic species also small macroscopic), table-like, flat, with cells situated in one plane, in rows more or less perpendicular one to another, square or rectangular, common envelopes almost invisible, colorless, homogenous. Cells spherical or widely oval (before division), after division hemispherical. Cell division in two planes in successive generations, perpendicular one to another.

*Merismopedia minima*— the only known aerophytic species from the genus, cells 0.4–1 µm in diameter, colonies few celled (4–32), among other algae in wetted localities [3].

***Myxosarcina Printz* (Pleurocapsales, Hyellaceae)**

Colonies microscopic to macroscopic, packet like, with subcolonies, cubic rounded or irregular. Common envelopes narrow, colorless or yellow-brown, sometimes slightly lamellated. Cells irregular spherical, later irregular, more or less polygonal. Division of cells in three or more directions in successive generations; the daughter

cells do not grow into original shape of the mother cell; develops motile baeocytes in old colonies.

*Myxosarcina tatica*—known from High Tatra Mountains only; *Myxosarcina gloeocapsoides*—coastal supralittoral rocks, Croatia; *Myxosarcina decolorata*—over concrete and bricks, India [3]; several unclear species as *Myxosarcina rubra*, *Endospora mellea*, *Endospora nigra*, and *Endospora olivacea* (probably *Myxosarcina* species) were described from Puerto Rico [4].

#### ***Nephrococcus* Li (Chroococcales, Chroococcaceae)**

Colonies micro- or macroscopic (with subcolonies), spherical. Cells oval to kidney shaped, with lamellated or nonlamellated sheath.

*Nephrococcus shilinensis*—cells 6.5–9 µm wide and 13–15 µm long, carbonate rocks, China; *Nephrococcus serbicus*—1.5–6.5 µm, limestone cave, Serbia; *Nephrococcus* sp.—5–8 µm wide, up to 10 µm long, Himalaya [30].

#### ***Palikiella* Claus (Chroococcales, Cyanobacteriaceae)**

Colonies microscopic, pseudofilamentous, in form of isopolar uniseriate rows of cylindrical cells. Cells distant from one another, with visible centro and chromatoplasma, with pairs of granules. Sheaths homogeneous, colorless, closed at both ends. Very unclear genus (three species), not found after description [31], Drouet [32] considers it as Rhodophyta.

#### ***Placoma* Schousboe ex Bornet and Thuret (Chroococcales, Enthophysalidaceae)**

Colonies micro- and macroscopic, spherical, irregular spherical, slightly lobate or vesiculose with waved surface, usually hollow. Cells more or less spherical, in outline irregular or elongated, in one or several layers near the surface of a colony, arranged irregularly, radially or in irregular rows or groups, with or without individual envelopes. Sheath of colony colorless, yellow-brownish or pinkish. Little known genus.

*Placoma vesiculosum*—splashing zone of European Atlantic and Mediterranean coast, envelopes yellow-brown, cells about 4 µm; *Placoma violaceum*—splashing zone of Pacific coast of the USA, cells about 4 µm in diameter, violet envelopes; *Placoma regulare*—macroscopic red colonies, cells to 30 µm, New Zealand; *Placoma willei*—cells 2–2.4 µm, Puerto Rico and China [3].

#### ***Pleurocapsa* Thuret in Hauck (Pleurocapsales, Hyellaceae)**

Pseudofilamentous; irregular groups of cells, from which grow uni- or multicellular, irregular, or radial rows of cells, sometimes irregularly branched, more or less short. Crust like colonies. Without distinct space between cells. Cells divide mostly perpendicularly or irregularly, produced already baeocytes, forming in old part of pseudofilament, sometimes in masses.

Nonmarine: *Pleurocapsa muralis*, cells 4–6 µm, baeocytes 3–4 µm, South America; *Pleurocapsa minor*—cells 3–9 (12) µm, baeocytes 0.8–3 µm.

Marine splashing zone: sheaths dark brown or blackish: *Pleurocapsa brevissima*, cells 3–5 µm wide, basal cells not elongated; *Pleurocapsa minuta*—cells 3–7 µm, basal cells elongated.

Sheath yellow-brown: *Pleurocapsa mucosa*—pseudofilament to 80  $\mu\text{m}$ ; *Pleurocapsa hansgirgiana*—pseudofilament to 150  $\mu\text{m}$  and sometimes with violet or yellow cell content.

Sheath colorless: *Pleurocapsa fuliginosa*—cells 5–20  $\mu\text{m}$ , yellowish, yellowish-red, or dirty violet; *Pleurocapsa crepidinum*—cells 5–25  $\mu\text{m}$ , blue-green; *Pleurocapsa magna*—cells 18–36  $\mu\text{m}$ , tropical Asia [3].

#### ***Podocapsa Ercegović* (Pleurocapsales, Hyellaceae)**

Short irregular pseudofilaments (4–6 cells), larger cells at apices of the pseudofilament: narrowed toward the “basal” end and more widened in the upper part, terminal cells sometimes irregular spherical, pear shaped, droplike, or club shaped.

*Podocapsa pedicellata*—splashing zone on Mediterranean Sea, on limestone [33].

#### ***Pseudocapsa Ercegović* (Chroococcales, Chroococcaceae)**

Colonies micro- or later macroscopic, cells forming packetlike aggregates with tightly, radially and “fanlike” oriented cells (with the “conical” part oriented into the center of a colony), later irregular. Sheaths thin, colorless, usually firm, sometimes slightly lamellated.

*Pseudocapsa dubia*—wet limestone rocks, especially shaded [29].

#### ***Rhabdogloea Schröder* (Synechococcales, Synechococcaceae)**

Colonies microscopic, often few celled. Cells elongated, narrowed to both ends, distant from one another, sometimes oriented more or less in one direction in the colony. Envelopes of colony colorless, not lamellated.

*Rhabdogloea brasiliica*—on rocks splashing zones of the sea, known only from south of Brazil [34].

#### ***Sinocapsa Wang and Li* (Sinocapsaceae, *incertae sedis*)**

Cells irregularly aggregated or form dense colonies with rigid colorless or golden common envelopes. Cells spherical or irregular rounded, without individual envelopes. Thylakoids irregular. Cell division in three or more planes by binary fission.

*Sinocapsa zengkensis*—crust samples in an exposed depression of rough concrete surface from a rural house roof. Known only from the type locality [35].

#### ***Solentia Ercegović* (Pleurocapsales, Hyellaceae)**

Pseudofilamentous, with epilithic and endolithic part. Epilithic part simple, short uniseriate or multiseriate rows of spherical or slightly elongate, irregularly disposed cells, intensely calcified. Endolithic pseudofilaments common, long, with cells enclosed within widened mucilaginous stalks, within which is an uniseriate row of solitary, usually elongate cells, which are distinctly distant one from another; at the ends of pseudofilaments one enlarged, oval, obovoid, club-shaped, or irregular terminal cell. Cell division in different planes, usually crosswise apical cells sometimes divide into baeocytes.

All species in marine splashing zones. *Solentia sanguinea*—red cells, tropical; *Solentia intricata*—thin pseudofilament, 3–9  $\mu\text{m}$  wide; *Solentia achromatica*—terminal cells 7–16  $\mu\text{m}$  wide and 15–90  $\mu\text{m}$  long; *Solentia paulocellulare*—terminal

cells maximum 45 µm long, endolithic pseudofilament 3–25 µm wide; *Solentia stratososa*—terminal cells maximum 45 µm, endolithic pseudofilament to 40 µm wide; *Solentia foveolarum*—terminal cells maximum 45 µm, endolithic pseudofilament to 60 µm wide [3].

***Synechococcus* Nägeli (Synechococcales, Synechococcaceae)**

Cells solitary or agglomerated (not in common colony), cylindrical or rodlike, sometimes curved, in suboptimal conditions with filament-like involution cells. Cell division by perpendicular binary fission, in the same plane in successive generations.

*Synechococcus elongatus*—on the wet walls and soil close to water level (or in the water), 1.2–3 µm width and 2–9 µm length; *Synechococcus sciophilus*—on calcium carbonate (calcareous rocks), 3.5–5.5 µm width and 6–14 length µm; *Synechococcus brunneolus*—noncalcium carbonate substrata, 5–11 µm width and more than 30 µm length [3].

***Synechocystis* Sauvageau (Synechococcales, Merismopediaceae)**

Cells solitary or agglomerated (not in common colony), spherical, or slightly widely oval before division, after division hemispherical. Individual sheaths very fine, colorless, almost invisible. Cells often with visible chromatoplasma (parietal thylakoidal position). Cell division (pinching) always in two perpendicular planes in successive generations.

*Synechocystis primigenia*—on limestone rock, 0.7–1 µm in diameter; *Synechocystis pevalekii*—marine splash zone in Croatia, 2.3–3.5 µm in diameter [3].

***Thermosynechococcus* Katoh, Itoh, Shen, and Ikeuchi (Synechococcales, Synechococcaceae)**

(nom. inval: its taxonomic description is invalid according to all codes).

This genus differ from *Synechococcus* only by molecular markers and partly with ecology. Cells solitary or agglomerated, cylindrical, or rodlike, sometimes curved. Cell division by perpendicular binary fission, in the same plane in successive generations.

*Thermosynechococcus elongatus*—atmophytic, near hot springs [36].

***Trypanema* Ercegović (Pleurocapsales, Hydrococcaceae)**

Pseudofilamentous, endolithic, with cells irregularly elongated, oval, or cylindrical, thallus consist with distinct rows of cells, in mucilaginous envelopes. Cells divide by perpendicular binary fission. Cells sometimes divaricated and forming later branches from pseudofilaments. Terminal cells rounded.

*Trypanema endolithicum*—limestone in marine splashing zone [25].

#### 2.2.4 Simple trichal taxa

***Blennothrix* Kützing ex Anagnostidis and Komárek (Oscillatoriales, Oscillatoriaceae)**

Trichome long, 8–40 (60) µm wide, uniseriate, more or less waved, isopolar, cells several times shorter than wide, slightly constricted or unconstricted at the cross

walls, not attenuated toward ends, terminal cells widely rounded, sometimes with a narrow calyptra.

Filaments solitary or in colonies or mats, (1)2 to several trichomes within the common sheath. Sheath colorless, sometimes slightly lamellated, open at the apex; filaments (not trichomes), isopolar or more or less polarized, sometimes specifically branched.

All taxa era from marine splash zone. *Blennothrix lyngbyacea*—trichomes 8–16  $\mu\text{m}$  wide; *Blennothrix glutinosa*—trichomes 16–19  $\mu\text{m}$  wide, gelatinous thallus, terminal cells widely rounded, and capitate; *Blennothrix vermicularis*—trichomes 14–20  $\mu\text{m}$  wide, fasciculate thallus, terminal cells widely conical, and truncate [37].

#### ***Borzia* Cohn ex Gomont (Oscillatoriales, Borziaceae)**

Trichome short, maximally 8–16 celled, 0.5–7  $\mu\text{m}$  wide, isopolar, uniserial, more or less straight or slightly curved, unbranched, constricted or not constricted at cross walls, not attenuated at the end, and terminal cell rounded. Filaments solitary or small groups of trichomes. Cells isodiametric or slightly shorter or longer than wide, cylindrical up to barrel shaped. Sheaths mostly lacking, sometimes with fine mucilage. Immotile, without necridia.

*Borzia periklei*—5–6.5  $\mu\text{m}$  wide, strictly constricted, chasmoendolith on Parthenon or epilith calcareous supralittoral rocks on Aeagean Sea shore; *Borzia sus-edana*—3.5  $\mu\text{m}$  wide, almost not constricted, calcareous rocks from Croatia, maybe belong to this genus (or *Hormoscilla*) also *Lyngbya saxicola*—6–9  $\mu\text{m}$  wide short trichomes in layered colorless sheath, living endogloeic in colonies of aerophytic *Aphanocapsa* from Pieniny Mountains in Slovakia [38].

#### ***Homoeothrix* (Thuret ex Bornet and Flahault) Kirchner (Oscillatoriales, Homoeothrichaceae)**

Trichomes long, heteropolar, with widely rounded base (up to 15  $\mu\text{m}$ ) and continually attenuated to the apex with thin cellular hair, usually attached by basal part to the substrate. Straight or slightly curved, unbranched, or slightly and rarely with false branches, solitary filament or small group of filaments. Sheaths firm, thin, homogeneous, open at the apex, colorless or colored, only one trichome in the sheath. Cells shorter than wide up to  $\pm$  isodiametric, discoid in basal and central part, at the terminal part elongated and hyaline.

*Homoeothrix rubra*—marine splashing zone [38]; *Homoeothrix muscicola*—endogloeic in mucilage of cyanobacteria on wet rocks, Korea [39].

#### ***Jaaginema* Anagnostidis and Komárek (Synechococcales, Pseudanabaenaceae)**

Trichomes long, 0.5–3  $\mu\text{m}$  wide, isopolar, usually waved or coiled, unbranched, slightly constricted or unconstricted at the cross walls, usually not narrowed to the ends, terminal cells rounded to conical rounded. Filaments solitary or in small colonies, always immotile (including hormogonia). Cells usually longer than wide,

cylindrical (up to several times), not or slightly constricted at the cell walls. Sheaths always lacking.

*Jaaginema neglectum*—rounded apical cell, slightly constricted, 0.8–1.3  $\mu\text{m}$  wide  $\times$  1–2  $\mu\text{m}$  long; *Jaaginema pseudogeminatum*—rounded apical cells, not constricted, 1.3–2.7  $\mu\text{m}$  wide  $\times$  1–2.6  $\mu\text{m}$  long; *Jaaginema kuetzingianum*—attenuated apical cell, 1.8–2  $\mu\text{m}$  wide  $\times$  2–3  $\mu\text{m}$  long; *Jaaginema litorale*—marine intertidal zone [40]; *Jaaginema geminatum*—atmophytic around hot springs, also glasshouses [38].

#### ***Kamptonema* Strunecký, Komárek, and Šmarda (Oscillatoriales, Microcoleaceae)**

Trichomes long (2.5) 3–5 (5.3)  $\mu\text{m}$  wide, isopolar, unbranched, motile, slightly constricted or unconstricted at cross walls. Terminal cells rounded, bent and hooked, without calyptra. Cells isodiametric or slightly longer or shorter than wide. Filaments solitary without sheaths, or with very fine, facultative, and diffluent sheaths. Arrangement of thylakoids is parietal or semiparietal.

*Kamptonema animale*—wet soil, glasshouses, rarely wet walls; *Kamptonema gebhardtianum*—cave in Hungary; *Kamptonema laetevirens*—marine splashing zones [41].

#### ***Komvophoron* Anagnostidis and Komárek (Oscillatoriales, Gomontiellaceae)**

Trichome short or rarely long, wide (1) 2–7 (10)  $\mu\text{m}$ , isopolar, moniliform, straight or slightly wavy, unbranched, deeply and widely constricted, not attenuated toward the ends, terminal cells rounded or with conical outer cell walls. Filaments solitary or agglomerated in clusters or in fine, mucilaginous colonies (mats). Cells more or less spherical or barrel shaped. Trichomes without sheath, but sometimes in diffluent slime, with indistinct motility.

*Komvophoron jovis*—3.4–4.5  $\mu\text{m}$  wide, thermal springs, also atmophytic; *Komvophoron tenuis*—2–3  $\mu\text{m}$  wide, thermal springs, also atmophytic [42].

#### ***Leptolyngbya* Anagnostidis and Komárek (Synechococcales, Leptolyngbyaceae)**

Trichome long, 0.5–3.5  $\mu\text{m}$  wide, isopolar, straight or slightly curved, unbranched or (rarely) falsely branched, constricted or unconstricted at cross walls, with terminal cells rounded, rarely attenuated, without thickened cell walls or calyptras. Filaments solitary or coiled into clusters and fine mats. Cells shorter to longer than wide. Sheaths thin but firm, usually colorless, opened at the apical end, mainly homogenous. Immotile, hormogonia motile [42].

Unconstricted species: *Leptolyngbya nana*—steel blue or violet, calcareous crusts; *Leptolyngbya cebenensis*—brown; *Leptolyngbya carnea*—red; *Leptolyngbya fallax*—blue-green, wide sheath 3–6  $\mu\text{m}$ , yellow-brown mats; *Leptolyngbya gracillima*—blue-green, long cells, wide sheath 2–4  $\mu\text{m}$ ; *Leptolyngbya minus*—pink rose sheath, Puerto Rico; *Leptolyngbya muralis*—false branching 1.5–1.8  $\mu\text{m}$  wide firm sheath, Puerto Rico; *Leptolyngbya tenuissima*—1.4–1.6  $\mu\text{m}$ , sheath hardly visible [38].

Constricted species, rounded terminal cell: *Leptolyngbya norvegica*—marine splash zone, often false branching; *Leptolyngbya leptotrichiformis*—1  $\mu\text{m}$ , older sheath

funnel shaped; *Leptolyngbya perforans*—cells 0.8–2 (2.5)  $\mu\text{m}$  wide, calcareous rocks, epi- or endolith; *Leptolyngbya compacta*—forming thick mats, short cells; *Leptolyngbya cataractarum*—thick mats, long cells, constriction often indistinct; *Leptolyngbya subtilissima*—solitary filaments or clusters 1–2  $\mu\text{m}$  wide; *Leptolyngbya schmidlei*—solitary filaments or clusters, 2–3  $\mu\text{m}$ ; *Leptolyngbya nigricans*—black sheath, maritime Antarctica [38].

Constricted species, plus minus conical terminal cell: *Leptolyngbya scotii*—clear conical terminal cell; *Leptolyngbya henningsii*—rounded conical, mats, 1.7–2.5  $\mu\text{m}$  wide; *Leptolyngbya maius*—solitary filaments or clusters 2.8–3.3  $\mu\text{m}$  wide [38].

Note: In last decades, a number of “Leptolyngbyoid” genera that are distinguishable from each other have been described practically only using molecular methods (cryptic taxa). Aerophytic among them are as follows:

*Albertania* Zammit (*A. skiophylla*—limestone in catacombs, Malta); *Cartusia* Mai, Johansen, and Pietrasiak (*C. fontana*—wet walls, Europe), *Kaiparowitsia* Mai, Johansen, and Bohunická (*K. implicata*—wet stone, Utah), *Komarkovaea* Mai, Johansen, and Pietrasiak (*K. angustata*—rock close waterfall, Puerto Rico); *Kovacikia* Miscoe, Pietrasiak, and Johansen (*K. muscicola*—caves, Hawaii); *Lusitaniella* Ramos, Brito, and Kaštovský (*L. coriacea*—marine splashing zone, Portugal); *Nodosilinea* Perker-son and Casamatta (four species living on rocks: *N. epilithica*—wet stones, Europe; *N. chupicuarensis*—stones of historical monuments, Mexico; *N. nodulosa*—rocks in splashing marine zone, USA; *N. signensis*—rocks on Signy Island, Antarctica); *Pegethrix* Mai, Johansen, and Bohunická (*P. bostrychoides* and *P. olivacea*—both sandstone seep wall, Utah, USA; *P. convoluta* and *P. indistincta*—both large seep wall and waterfalls, Utah, USA); *Tildeniella* Mai, Johansen, and Bohunická (*T. nuda*—wet stone, Switzerland; *T. torsiva*—limestone wall, Slovakia); *Timaviella* Sciuto and Moro (*T. circinata* and *T. carstica*—both in limestone cave, Italy; *T. obliquedivisa* and *T. radians*—both in seep wall, Utah, USA).

### ***Lyngbya* Agard ex Gomont (Oscillatoriales, Oscillatoriaceae)**

Trichomes long, 5.5–60  $\mu\text{m}$  wide, isopolar, straight or slightly waved, unconstricted or constricted at the cross walls, not attenuated or attenuated to the ends, end cell with thickened outer cell wall or with prominent calyptra. Filaments thick, in mats (macroscopic, up to several cm or dm in diameter), free clusters or rarely solitary. Cells very short, discoid tells. Sheaths wide, firm, hyaline or lamellated, colorless or yellow-brown, opened at the ends. Sporadically false branching, short branches. Not motile or slightly motile (hormogonia motile).

*Lyngbya calcarea*—travertine forming species, not constricted trichome with width 5–6  $\mu\text{m}$ , Europe, North America; *Lyngbya thermalis*—atmophytic; *Lyngbya kwangsiensis*—trichomes 5.5–6  $\mu\text{m}$ , not constricted at cross walls, hyaline thin sheath, calcareous rocks, China; *Lyngbya koreana*—trichomes 8–11.5  $\mu\text{m}$ , constricted at cross walls, lamellated colorless sheath, wet rocks, Korea; *Lyngbya patrickiana*—trichomes 5–10  $\mu\text{m}$ , not constricted at cross walls, latter lamellated wide sheath, wet rocks, USA;



*Lyngbya meneghiniana*—marine splash zone, constricted at cross walls, trichome width 6.5–8  $\mu\text{m}$ , volcanic rocks; *Lyngbya semiplena*—marine splash zone, not constricted at cross walls, trichome (5) 7–10 (12)  $\mu\text{m}$ , slightly narrowed end with calyptra; *Lyngbya confervoides*—marine splash zone, not constricted at cross walls, (8) 10–16 (25)  $\mu\text{m}$  trichome width, slightly narrowed end; *Lyngbya majuscula*—marine splash zone (and benthos), unconstricted at cross walls, 15–60 (80) width [38].

***Microcoleus* Desmazières ex Gomont (Oscillatoriales, Microcoleaceae)**

Trichome long, wide 3–12  $\mu\text{m}$ , isopolar, straight or slightly curved, unbranched, rarely simply branched, unconstricted or slightly constricted at cross walls, trichomes gradually narrowed toward ends, terminal cell attenuated, mostly with calyptra. Filaments solitary or joined in fine, thin strata or mats. Cells plus minus isodiametric. Trichomes motile, one in sheath or several trichomes enclosed in common sheath, with or without individual sheaths, sheath not tapering toward ends, colorless. Motile, reproduction by hormogonia with necridic cells [43].

Several trichomes in common sheath: *Microcoleus paludosus* cells isodiametric or longer; *Microcoleus vaginatus*—cells isodiametric or shorter, wide 6–10  $\mu\text{m}$ , terminal cell conical or rounded; *Microcoleus subtorulosus*—cells isodiametric or shorter, wide 2.5–9  $\mu\text{m}$ , terminal cell capitate or calyptrate.

One trichome in sheath: *Microcoleus crustaceus*—cells 2.8–3.7  $\mu\text{m}$  wide, terminal cell narrow, with calyptra, Ukraine only; *Microcoleus wallrothii*—cells 4–5.7  $\mu\text{m}$  wide, terminal cell narrow, with calyptra [38].

***Oculatella* Zammit, Billi, and Albertano (Synechococcales, Oculatellaceae)**

Trichomes long, 1–3  $\mu\text{m}$  wide, isopolar, straight or slightly wavy, unbranched or (rare) with false branching, slightly constricted at cross walls, not attenuated toward the ends. Terminal cells conical rounded with prominent rhodopsin granules (orange) at apical end. Filaments solitary or in reddish, sometimes greenish compact mats. Cells longer than wide. Sheaths thin but firm, colorless, opened at the apical end, homogeneous. Reproduction by hormogonia, mostly without necridic cells. All species cryptic, not recognizable morphologically [44].

*Oculatella subterranea*—Mediterranean caves and catacombs [45]; *Oculatella kauaiensis*—sea cave, Hawaii; *Oculatella cataractarum*—splashing zone close to desert waterfall, USA.

***Oscillatoria* Vaucher ex Gomont (Oscillatoriales, Oscillatoriaceae)**

Trichomes long, (4) 10–80  $\mu\text{m}$  wide, isopolar, straight or slightly wavy, not or slightly constricted at the cross walls, not attenuated or attenuated to the ends, end cell sometimes with thickened outer cell wall (calyptra). Filaments thick, in mats (macroscopic, up to several centimeter or decimeter in diameter), free clusters, or rarely solitary. Cells very short, discoid tells. In optimal condition without sheaths. Highly motile.

Taxonomical note: According to recent studies (e.g., [46]) the definition of genus *Oscillatoria* is narrower (for example, thin species are not in cluster with “true” *Oscillatoria*), but studies covering all *Oscillatoria* species are missing and this question is still open.

*Oscillatoria nigro-viridis*—marine splash zone, trichomes 7–12(13)  $\mu\text{m}$  wide, end slightly narrowed, constricted at the cross walls; *Oscillatoria funiformis*—marine splash zone, trichomes 11–16  $\mu\text{m}$  wide, very loosely, but  $\pm$  regularly screwlike coiled, not constricted at the cross walls; *Oscillatoria bonemaisonii*—marine splash zone, trichome (14) 23–30(40)  $\mu\text{m}$  wide constricted at the cross walls; *Oscillatoria rupicola*—trichome (3) 4–6 (9)  $\mu\text{m}$  wide, not constricted at the cross walls, Europe, Hawaii (sometimes is recorded similar *Oscillatoria tenuis* at wet walls too—constricted at cross walls, trichome (4) 6–11 (15)  $\mu\text{m}$  wide); *Oscillatoria vizagapatensis*—trichomes 8–10  $\mu\text{m}$  wide, apical cell hyaline, slightly thickened cell wall of terminal cell, subtropical and tropical [38].

#### ***Phormidiochaete* Komárek in *Anagnostidis* (Oscillatoriales, Homoeothrichaceae)**

Trichomes long, heteropolar, creeping on the substrate by bases, plus minus erected, straight or curved, sometimes false branched, not or constricted at cross walls, rounded at the base, continually narrowed toward ends, up into hairlike formations. Cells at the base shorter than wide, later isodiametric, and longer than wide from the middle part. Sheaths distinct,  $\pm$ wide, open at the end, sometimes lamellated. Irregular thylakoids.

Aerophytic epilithic: *Phormidiochaete balearica*—bases 2.5–9  $\mu\text{m}$  wide, calcareous rocks,  $\pm$  cosmopolitan [37].

#### ***Phormidium* Kützing ex Gomont (Oscillatoriales, Oscillatoriaceae)**

Trichomes long, wide 2–12(14)  $\mu\text{m}$ , isopolar, straight or slightly curved, unbranched, constricted or not constricted at cross walls, terminal cell usually rounded, less frequently with calyptra. Filaments solitary, in small groups or in mats. Cells always shorter than wide, with or without sheaths (usually facultative or diffluent). Motile, hormogonia separated mostly with necridic cells. Thylakoids radial or irregular.

There exist more than 200 taxa, taxonomical revision are still in the process. Most typical species are as follows:

Trichome gradually narrowed, terminal cell narrow, rounded, without calyptra: *Phormidium thwaitesii*—marine splashing zone, cosmopolitan [47].

End of trichome shortly narrowed, bent, without calyptra: *Phormidium crassivaginatatum*—trichomes 3.5–4  $\mu\text{m}$  wide, cave, Hungary; *Phormidium dudicsianum*—trichomes 7.5–11  $\mu\text{m}$  wide, cave, Hungary.

Whole trichome cylindrical, only terminal cell is distinctly conical, without calyptra: *Phormidium papyraceum*—whole filament to 6  $\mu\text{m}$  wide, cosmopolitan; *Phormidium pachydermaticum*—whole trichome cylindrical, only terminal cell is distinctly conical, filament 6–10 wide, tropical.

Whole trichome cylindrical, only terminal cell is rounded, without calyptra, sometimes thickened cell wall: *Phormidium jenkelianum*—cells shorter than wide, atmophytic; *Phormidium numidicum*—cells isodiametric or longer, atmophytic. Normal rocks: *Phormidium kuetzingianum*—trichomes 3.5–4 µm wide; *Phormidium interruptum*—trichomes 5–6.5 µm wide, trichome yellow-green, fine granulation at cross walls; *Phormidium lividum*—trichomes 5.5–7 µm wide, blue-green, without granulation at cross walls; *Phormidium calcicola*—trichomes 5.8–6.4 µm wide, terminal cell with thickened wall, India, Puerto Rico; *Phormidium durum*—sheath colorless, latter red, trichomes 12–14 µm wide, Puerto Rico.

Trichome shortly or gradually narrowed, with calyptra: *Phormidium litorale*—trichomes 3.5–4.5 µm wide, marine splash zone, Adriatic Sea; *Phormidium submembranaceum*—trichomes 5 µm wide, marine splash zone, subtropical and temperate; *Phormidium nigro-viride*—trichomes 7–11 µm wide, marine splash zone; *Phormidium schroeteri*—atmophytic; *Phormidium calcareum*—3.7–4 µm wide, cells plus minus isodiametric, limestone; *Phormidium hieronymusii*—7 µm wide, short cells, all rocks.

Cells distinctly shorter than wide, shortly attenuated toward ends, terminal cell calyptrate: *Phormidium lucidum*—trichomes 6–8 µm wide, atmophytic; *Phormidium irriguum*—trichomes 6–11 µm wide purple-gray, wet rocks [38].

### ***Porphyrosiphon* Kützing ex Gomont (Oscillatoriales, Microcoleaceae)**

Trichome long, wide 3–20 µm, isopolar, usually slightly coiled, unbranched, with or without constrictions at the cross walls, terminal cells widely rounded, sometimes with thickened outer cell wall. Filaments solitary or in microscopic to macroscopic clusters or compact mats. Cells isodiametric or slightly longer or shorter than wide. Sheaths obligatory thick, lamellated, colored, rarely colorless, open at the apex, containing one or rarely two trichomes; sheaths often characteristically widened and coiled after hormogonia release. Immotile, hormogonia motile, necridic cells present.

*Porphyrosiphon luteum*—marine splashing zone; *Porphyrosiphon lomniczensis*—trichomes 3 µm wide, filament 6 µm, sheath yellow-brown, constricted at cell walls, European Mountains; *Porphyrosiphon calciferus*—trichomes 4 µm wide, filament 9 µm, sheath brown, mats macroscopic to 2 mm thickness, incrustated by calcium carbonate, India; *Porphyrosiphon fuscus*—trichomes 4–7 µm, filament 6–10 µm wide, sheath colorless to brownish-violet, tropical or subtropical cosmopolitan; *Porphyrosiphon latissimum*—trichomes 4.5–6 (7) µm wide, filament 30–40 (50) µm, sheath yellow-brown, Venezuela [48]; *Porphyrosiphon aureofulvus*—trichome 8.5–9.5 µm, filament 10–15 µm wide, sheath yellow to brown, India, Angola; *Porphyrosiphon samoensis*—trichomes 10–11 µm, filament 11–12 µm wide, sheath red to brown, Samoa; *Porphyrosiphon robustus*—trichomes 16–20 µm, filament 30–36 µm wide, sheath colorless to rose-pink, Puerto Rico; *Porphyrosiphon notarisii*—trichomes 8–23 µm, filament up to 28 µm wide, sheath colorless, pink, orange, red, or cosmopolitan [38].

***Pseudanabaena* Lauterborn (Synechococcales, Pseudanabaenaceae)**

Trichome usually short, rarely long, 0.8–3 µm wide, isopolar, straight or slightly waved or arcuate, unbranched, constricted at cross walls, terminal cells rounded or sometimes conical, without calyptras. Filaments solitary or agglomerated in fine, mucilaginous mats. Cells cylindrical, longer, or shorter than wide. Without firm sheath, sometimes with fine, colorless, diffuent, narrow slime envelope. Motility usually indistinct and facultative.

*Pseudanabaena spelaea*—cells 0.8–1.5 µm wide, 2–3 µm long, apical cells rounded, caves, Greece; *Pseudanabaena skujae*—1.2 µm–2.3–7.5 µm, apical cell conical, caves, Hungary.

Epilithic way of life have been described in these two species only, but probably some edaphic species are able live as an epilith (*Pseudanabaena curta* from Russia, Ukraine, and central Asia; *Pseudanabaena minima* from Korea). Several times were recorded as an aerophyte some undescribed types, similar to water species as *Pseudanabaena catenata* or *Pseudanabaena lonchoides* (marine splash zone) [38].

***Pseudophormidium* (Forti) Anagnostidis and Komárek (Oscillatoriales, Microcoleaceae)**

Trichome short or long, 1–18 µm wide, isopolar, irregularly curved and coiled, richly falsely branched, constricted or unconstricted at cross walls, not attenuated or slightly attenuated to the end, terminal cell rounded or conical rounded, without calyptra. Cells isodiametrical or slightly longer or shorter than wide, barrel shaped, or cylindrical. Sheaths firm, irregularly tubelike, colorless, homogeneous or lamellated, sometimes two trichomes in a sheath. Immotile, hormogonia motile, necridic cells present.

*Pseudophormidium golenkinianum*—marine rocks, reddish (mostly submerged); *Pseudophormidium spelaea*—0.8–1.5 µm wide, 2–3 µm long, cave; *Pseudophormidium skujae*—1.2–2.3 µm wide, 3–7.5 µm long, cave

*Pseudophormidium hollerbachianum* filament 2.5–6 µm, sheath colorless (mainly soil species, but recorded as epi- or endolith too); *Pseudophormidium tenue*—filament 5–10 µm, golden yellow sheath (mainly from streams etc., but recorded subaerophytic too) [38].

***Pycnacronema* Martins and Branco (Oscillatoriales, Coleofasciculaceae)**

Trichome long, wide 4.8–8 µm, isopolar, straight or slightly curved, unbranched, not constricted or constricted at the cross walls, not attenuated toward ends, terminal cells rounded or conical rounded, with thickened cell wall. Cell content finely granular or with scattered larger granules. Filaments densely entangled, forming mats attached to the substrate. Cells ± isodiametric or shorter or longer than wide; sheaths facultative, firm, thin, colorless, and hyaline; motile.

Six species were described, from tree bark and soil crust. One not named member of this genus (designated as “Phormidium B-Tom”) is described from wet rock near Atlantic coast of Brazil [49].

***Schizothrix* Kützing ex Gomont (Synechococcales, Schizotrichaceae)** (Fig. 2.2.2A, B) Trichome long, 1–4 µm wide, filaments isopolar, but their fascicles joined often to the substrate and heteropolar in form of erect fascicles, straight or slightly curved, unbranched (filaments branched often divaricated), not constricted or slightly constricted at cross walls, terminal cells mainly conical or rounded, without calyptra. Cells cylindrical, usually distinctly longer than wide, rarely isodiametrical. Several trichomes are enclosed in common sheath, which is narrowed and bluntly pointed, usually tapering toward end, usually closed, sometimes divaricated branched, homogenous, rarely lamellated, colorless or colored, with or without individual sheaths. Whole thallus microscopic to macroscopic mats. Hormogonia motile, separating by necridic cells.

The taxonomic situation in this genus is extraordinary complicated, from approximately 150 described species in literature, 40 are almost epilithic. The differences between these species are disputable, and genus *Schizothrix* needs special modern revision [38]. The most characteristic for rocks are as follows:

Filaments solitary or in clusters, mats or fascicles, but not incrustated (subgenus *Schizothrix*): *Schizothrix septentrionalis*—marine splash zone (cold seas), cells shorter than wide; *Schizothrix fragilis*—cells shorter than wide or isodiametric, very wetted rocks; *Schizothrix calcicola*—cells 1–2 µm wide, 1.5–6 µm long, limestone; *Schizothrix cuspidata*—cells 1.9–2.3 (3.5) µm wide, 5–8 µm long, distinct constriction on very visible cell walls; *Schizothrix lardacea*—terminal cell slightly narrowed, 1.5–2 (2.5) µm wide and 2–3.5 (5) µm long, often with granulation at the cross walls; *Schizothrix delicatissima*—terminal cell acute conical, trichome width 0.5–0.8 (1.8) µm, cosmopolitan; *Schizothrix arenaria*—terminal cell acute conical, trichome width 1.3–3 µm, cosmopolitan; *Schizothrix heufleri*—terminal cells conical, sheath blackish-blue or reddish, cells 1.5–3 µm wide and 3–8 µm long; *Schizothrix fusescens*—terminal cells rounded, sheath yellow to yellow-brown, cells 2–3 µm wide and (6)8–13(15) µm long; *Schizothrix epilithica*—terminal cells rounded, cells 0.5–1.3 (1.5) µm wide and 1–2.5(3.5) µm long, south of temperate Europe.

Filaments in macroscopic hemispherical or flattened thallus, partly or totally encrusted by calcium carbonate (subgenus *Inactis*): *Schizothrix cresswellii*—marine splashing zone, sandstone; *Schizothrix lateritia*—terminal cell rounded, sheath colorless, cells 1.3–1.6 µm wide, 2–5 (12) µm long; *Schizothrix vaginata*—terminal cell rounded, sheath colorless, cells 2–3 µm wide, 1–2 µm long; *Schizothrix incrustans*—terminal cell rounded, sheath blackish, cells 1–1.5 (2) µm wide, 1.5–2.5 µm long, south of Europe (e.g., Plitvice Lakes); *Schizothrix coriacea*—terminal cells conical and pointed, sheath colorless, cells 1–2.5 µm wide, 3–6 µm long; *Schizothrix braunii*—terminal cells obtuse conical, sheath dark steel blue, cells 1.7 µm wide, 2–5 µm long [38].

***Scytolyngbya* Song and Li (Synechococcales, Leptolyngbyaceae)**

Trichome long, wide 2–2.6 µm wide, isopolar, bent, repeated false branching, branches mostly narrower than the main filaments, distinctly constricted at cross walls, not attenuated at the ends. Apical cells rounded. Filaments solitary or in mats. Cells

longer than wide, cylindrical. Sheaths initially thin, colorless, later yellow-brown, widened, homogenous. Immotile.

*Scytolynghya timoleontis*—on stones in a water treatment facility, China [50].

### ***Symploca* Kützing ex Gomont (Oscillatoriales, Microcoleaceae)**

Filaments form erected fascicules “grass-like” mats. Trichome long, 1–8 (14)  $\mu\text{m}$  wide, isopolar, straight or slightly coiled, unbranched, usually not constricted at cross walls, terminal cells rounded, without calyptra. Fascicles (not filaments) are sometimes branched. Cells isodiametric, shorter or longer than wide. Sheaths firm, thin. Thylakoids radial.

*Symploca aeruginosa*—marine splashing zone, North America; *Symploca lacrimans*—cells 1.8–2  $\mu\text{m}$  wide, dark cave, Hungary; *Symploca symbiotica*—endogloeic in sheath of *Microcoleus* on wet rocks; *Symploca cavernarum*—atmophytic in shady zone, cells 2.8–3.4  $\mu\text{m}$  wide, Yellowstone.

*Symploca thermalis*—atmophytic, cells 1.2–2  $\mu\text{m}$  wide, 1.6–6  $\mu\text{m}$  long, cosmopolitan; *Symploca dubia*—atmophytic or subaerophytic, cells 1.5–2.5 wide, 3–8(12) long, trichome pale blue-green, cosmopolitan; *Symploca meneghiniana*—1.5–2.5  $\mu\text{m}$  wide, 3–4.5  $\mu\text{m}$  long, thick mats up to 3 cm high [38].

Common rocks: *Symploca roseola*—cells 1–1.3 (2.5)  $\mu\text{m}$  wide, plus minus isodiametric, pink or red sheath, wider Caribbean region; *Symploca elegans*—1.3–2.5  $\mu\text{m}$  wide, (1) 2–4  $\mu\text{m}$  long, calcareous substrates, cosmopolitan; *Symploca parietina*—1.8–3  $\mu\text{m}$  wide, 3.5–9  $\mu\text{m}$  long, trichome pale yellow-green, tropical area and greenhouses in temperate; *Symploca erecta*—2.7–3  $\mu\text{m}$  wide, 3.5–4  $\mu\text{m}$  long, erected fascicules, calcareous substrates, Croatia; *Symploca muralis*—(2) 3.4–4 (5)  $\mu\text{m}$  wide, (1.5) 2.5–5.5  $\mu\text{m}$  long, cosmopolitan; *Symploca muscorum*—4.5–8  $\mu\text{m}$  wide, 5–11 (13)  $\mu\text{m}$  long, cosmopolitan [38].

### ***Tapinothrix* Sauvageau (Oscillatoriales, Homoeotrichaceae)**

Filaments solitary or in small fascicules. Trichomes relative long, wide 1–5 (7)  $\mu\text{m}$  heteropolar, attached to substrate with wider basis and tapering toward ends, sometimes with hyaline hairs with elongated cells, straight or slightly curved, unbranched, not constricted or constricted at cross walls. Cells isodiametric, shorter or longer than wide. Sheaths thin, firm, hyaline, or lamellated and yellowish. Thylakoids parietal, centro- and chromatoplasma often distinguishable.

*Tapinothrix janthina*—trichome at base 1–2.3  $\mu\text{m}$ , submersed or subaerophyt, mostly silicates; *Tapinothrix bornetii*—trichome at base 2.8–3.2  $\mu\text{m}$ ; *Tapinothrix clintonii*—trichome at base 3–4  $\mu\text{m}$ , known from sandstone desert seep walls, Utah only; *Tapinothrix fusca*—whole filament 7.5  $\mu\text{m}$  wide, trichome at the base 4  $\mu\text{m}$ , sheath yellow or violet, submersed or subaerophytic on calcareous and silicate substrates close to water of clear mountain lakes [51].

### ***Trichocoleus* Anagnostidis (Synechococcales, Trichocoleusaceae)**

Filaments solitary or rarely densely aggregated in mats. Trichomes long, 0.5–2.5 (3)  $\mu\text{m}$  wide, isopolar, straight or slightly curved, unbranched, constricted or not constricted at cross walls, terminal cell acute conical, obtuse or rounded, without calyptra.

Cells usually longer than wide, cylindrical. Several trichomes enclosed in common sheath, not tapering toward end, colorless, homogenous or lamellated, with or without individual sheaths. Necridic cells probably presents, probably absent.

*Trichocoleus tenerrimus*—marine splash zone; *Trichocoleus acutissimus*—filaments with 15–30 trichome, on wet rocks in tropical and subtropical countries; *Trichocoleus minor*—filaments up to 75 trichomes, Puerto Rico, Brazil [38].

#### ***Yonedaella* Umezaki (Synechococcales, Pseudanabaenaceae)**

Filaments form subspherical colonies, 30–200 µm in diameter, are concentrated freely inside of spherical mucilaginous. Trichomes short or long, 1–2.5 µm wide, isopolar, slightly curved, unbranched, constricted at cross walls, terminal cell rounded. Cells ±isodiametric, barrel shaped, ellipsoid, or almost spherical. Sheaths of the whole colony hyaline, colorless, or yellowish to brownish, trichomes without individual sheaths. Immotile.

*Yonedaella lithophila*—on calcareous rocks in splash zone in sea coasts—Mediterranean, southern Japan [52].

### **2.2.5 Heterocytous taxa**

#### ***Adrianema* DeToni (Nostocales, Symphyonemataceae)**

Solitary filaments in irregular flattened colonies spreading on substrate, and endolithic or epilithic. Trichomes isopolar, uniseriate, ± cylindrical, unconstricted or only slightly constricted at cross walls, sometimes with widened both ends, with frequent true branching. Sheaths not present, but trichomes enclosed in wide, diffluent, sometimes indistinct, mucilaginous envelopes, which are colorless to brownish, not stratified. Cells ± isodiametric to longer than wide, ± barrel shaped to cylindrical. Heterocytes not known.

*Adrianema adriaticum*—on limestone rocks in marine splash zone; Mediterranean region [53].

#### ***Albrightia* Copeland (Nostocales, Hapalosiphonaceae)**

Solitary filaments sometimes forming macroscopic colonies (mats). Trichomes isopolar, uniseriate, cylindrical, not tapering in any part, strongly constricted at cross walls, with true branching. Sheaths clearly delimited, usually not stratified, yellow, yellow-brownish to brown, always with just one trichome inside. Cells longer than wide, cylindrical, oval, or sometimes citriform. Heterocytes not known. Reproduction by hormocytes.

*Albrightia roraimae*—trichomes 4–8 µm wide, nonaquatic type known from sandstone rocks in Venezuela [54].

#### ***Baradlaia* Palik (Nostocales, Hapalosiphonaceae)**

Solitary filaments spreading on substrate. Trichomes isopolar, uniseriate, cylindrical, not constricted at cross walls, with true branching. Terminal parts of trichomes sometimes bent upward. Sheaths very thin, with strong calcification on surface. Cells

longer than wide, cylindrical, cross walls are not visible without staining. Heterocytes located at ends of short lateral braches, never intercalary, spherical to ellipsoid, wider than vegetative cells.

*Baradlaia speluncicola*—on walls in dark part of a limestone cave; known from one cave in Hungary [55].

***Borzinema* De Toni (Nostocales, Borzinemataceae)**

Solitary filaments spreading on substrate by their bases groups, small colonies, straight, later distorted. Trichomes heteropolar, ± attached to the substrate, uniseriate, cylindrical, not or only very slightly tapering toward their apical end, distinctly constricted at cross walls, with frequent false branching. Sheaths clearly delimited, usually not stratified, yellowish to yellow-brownish, always with just one trichome inside. Cells ± isodiametric or slightly longer than wide, ± barrel shaped. Heterocytes basal on branches, exceptionally intercalary, solitary, oval to almost spherical, with ± same width as the vegetative cells.

*Borzinema rupicola*—on volcanic rocks, Italy [56].

***Brachytrichia* Zanardini ex Bornet and Flahault (Nostocales, Symphyonemataceae)**

Solitary filaments to brownish, gelatinous, flat to hemispherical macroscopic colonies attached to substrate, hollow when old. Filaments sometimes arranged into horizontal layers with erected ends. Trichomes of different width along their length, uniseriate, ± cylindrical in central parts, distinctly tapering in apical parts, distinctly constricted at cross walls, richly branched. Sheaths distinct and delimited in young filaments, colorless to yellowish, older filaments enclosed in diffluent mucilaginous envelopes. Cells shorter than wide, isodiametric or slightly longer than wide (particularly in hair like ends and in oldest, creeping parts), cylindrical to barrel shaped. Heterocytes intercalary, solitary, ± spherical or barrel shaped, with ± same width as the vegetative cells. On alkaline rocks in marine splash zones; probably worldwide in warmer regions.

*Brachytrichia quoyi*—colonies up to 5 cm in diameter, width of filaments in different parts changing 4–9 µm [57].

***Brachytrichiopsis* Jao (Nostocales, Hapalosiphonaceae)**

Fasciculated or irregularly arranged filaments forming to macroscopic, black-brownish colonies attached with bases to the substrate. Trichomes ± heteropolar, uniseriate, cylindrical, constricted at cross walls, with true branching, not narrowed toward ends. Sheaths very thick, distinctly lamellate, yellow-brown. Cells ± isodiametric, barrel shaped. Heterocytes intercalary, spherical to ellipsoidal, wider than vegetative cells. Reproduction by ensheathed hormogonia.

*Brachytrichiopsis filiformis* on wet, limestone walls exposed to direct sunlight; known from China only [58].

***Brasilonema* Fiore et al. (Nostocales, Scytonemataceae)**

Solitary filaments to feltlike, fasciculated, brownish, macroscopic colonies. Trichomes isopolar, uniseriate, cylindrical, not or slightly tapering toward their end, not



constricted or constricted at cross walls, with not frequent false branching, especially geminate. Sheaths clearly delimited, usually not stratified, colorless, yellowish to yellow-brownish, always with just one trichome inside. Cells of variable length, cylindrical, with  $\pm$  violet content. Heterocytes intercalary, short discoid, solitary, cylindrical to subspherical, of  $\pm$  same width as the vegetative cells, with golden-like color. Epilithic on rocks and bigger stones, on bark of trees, and on rocks or in soil in warm regions, or in warm humid habitats outside these regions, e.g., glasshouses, industrial plants;  $\pm$  worldwide.

*Brasilonema sennae*—trichomes 6–12.5  $\mu\text{m}$  wide; *Brasilonema terrestre*—trichomes 9–15  $\mu\text{m}$  wide; *Brasilonema tolantongensis*—trichomes 12.5–20  $\mu\text{m}$  wide, *Brasilonema roberti-lamyi*—trichomes 9.5–11.5  $\mu\text{m}$  wide, terminal cell rounded to barrel shaped [59].

### ***Camptylonemopsis* Desikachary (Nostocales, Nostocaceae)**

Solitary filaments, joint into smaller clusters, attached to the substrate. Apical parts of filaments bent in upright position. Trichomes heteropolar (seemingly isopolar), uniseriate,  $\pm$  cylindrical, sometimes with widened apical part, constricted cross walls, with  $\pm$  common false branching. Sheaths relatively thin, distinctive, homogenous to stratified, hyaline, yellow-brownish or brown, always with just one trichome inside. Cells  $\pm$  isodiametric, cylindrical. Heterocytes intercalary, after trichome break basal, spherical to barrel shaped. Akinetes in central part of trichomes, but known in some species only.

Epilithic on rocks or stones, many species in soil or epiphytic.

*Camptylonemopsis boldii*—3–8  $\mu\text{m}$  wide in central part, underneath rocks in Himalaya;

*Camptylonemopsis maior*—15–19  $\mu\text{m}$  wide in central part, tidal zone in south China;

*Camptylonemopsis semiaquatica*—1.6–3.2  $\mu\text{m}$  wide in central part, on moistened pebbles, India [60].

### ***Calothrix* Agardh ex Bornet and Flahault (Nostocales, Rivulariaceae)**

Solitary filaments sometimes agglomerated to flat, felt-like macroscopic colonies attached to substrates. Trichomes heteropolar, uniseriate, tapering from the basal parts toward their ends in some species up to terminal hair, unconstricted or distinctly constricted at cross walls, not or very seldom falsely branched, sometimes with basal heterocytes in branches. Sheaths delimited, sometimes stratified, colorless, yellow to yellow-brownish. Cells usually shorter than wide, less frequently isodiametric or slightly longer than wide, discoid, cylindrical, barrel shaped, or conical. Heterocytes mainly basal, solitary, or few in small groups (rows), oval to almost spherical,  $\pm$  kidney shaped or conical, with  $\pm$  same width as the vegetative cells at the trichome base or narrower. On rocks, plants, or soil particles in both marine and nonmarine environments; worldwide. Most of the terrestrial species will be probably transferred to recently established, morphologically similar genus *Dulcicalothrix* [61].

*Calothrix aequalis*—trichomes 7–10  $\mu\text{m}$  wide in basal part, tapering very gradually toward apical ends, no akinetes; *Calothrix parietina*—trichomes 10–15  $\mu\text{m}$  wide

in basal part, sheath frayed at apical ends, no akinetes; *Calothrix parva*—trichomes 6.5–11 µm wide in basal part, no akinetes; *Calothrix tenella*—trichomes 10–12 µm wide in basal part, no akinetes [60].

***Colteronema* Copeland (Nostocales, Hapalosiphonaceae)**

Colonies in form of leathery biofilm, up to 1 mm thick, or ± filamentous, attached to the substrate. Trichomes isopolar, composed from basal, creeping filaments and ± divaricated branches, uniseriate, ± cylindrical, not tapering toward their ends, distinctly constricted at cross walls, often perpendicularly branched. Sheaths delimited, in younger parts distinctly striated, golden to yellow-brown. Cells usually longer than wide, oval to long barrel shaped, with prominent polar granules. Heterocytes nor akinetes were observed.

*Colteronema funebre*—atmophytic on soil and rocks near thermal springs, in contact with steam in temperature 36–43°C, known only from Yellowstone National Park [62].

***Croatella* Ercegović (Nostocales, Tolypothrichaceae)**

Solitary filaments, joint into crust. Trichomes heteropolar, uniseriate, cylindrical, distinctly widened in terminal part, unconstricted to slightly but clearly constricted at cross walls, with ± common single false branching. Sheaths very thick, homogenous to stratified, yellow-brownish always with just one trichome inside. Cells longer than wide in basal parts to shorter than wide apical parts, cylindrical. Heterocytes basal, ± spherical. Akinetes or arthrospores not known.

*Croatella lithophila*—on limestone walls and cave entrances, Croatia [29].

***Cylindrospermum* Kützing ex Bornet and Flahault (Nostocales, Nostocaceae)**

Solitary filaments, later forming dark green, leathery macroscopic colonies (mats). Trichomes isopolar, symmetric, uniseriate, cylindrical, not tapering to the ends, distinctly constricted at cross walls, not branched, freely crimped. Sheaths absent, but common mucilage around trichomes may be present. Cells ± isodiametric or slightly longer than wide, cylindrical to barrel shaped. Heterocytes always terminal at both ends (but often develop successively) of the trichome with adjacent akinetes, conical or oval.

On wet rocks in Spain and Australia, several soil species secondary on rocks.

*Cylindrospermum toledii*—verrucose, brown exospore; *Cylindrospermum rectangulare*—smooth, colorless exospore; *Cylindrospermum majus*—warty brown exospore, soil species; *Cylindrospermum muscicola*—smooth, brown exospore, soil species [60].

***Cyanocohniella* Kaštovský et al. (Nostocales, Nostocaceae)**

Solitary filaments forming blue-green or dark green macroscopic colonies, laying on substrate. Trichomes isopolar, uniseriate, cylindrical, slightly attenuated toward terminal parts, not constricted or constricted at cross walls, not branched, freely crimped. Sheaths lacking or fine, colorless to yellowish. Cells shorter than wide, isodiametric or longer than wide, cylindrical, oval or ± spherical, terminal cells longer than wide, oval to conical. Heterocytes intercalary, solitary, ± spherical. *Cyanocohniella calida*—atmophytic near thermal springs; known only from Czech Republic [63].

***Dactylothamnos* Fiore et al. (Nostocales, Tolypothrichaceae)**

Solitary filaments, sometimes joint into small clusters. Trichomes heteropolar, uniseriate, cylindrical, slightly attenuated toward terminal part, unconstricted to slightly but clearly constricted at cross walls, with  $\pm$  common false branching. Sheaths thin, colorless to brownish always with just one trichome inside. Cells  $\pm$  isodiametric or slightly shorter or longer than wide, cylindrical to barrel shaped. Heterocytes both basal or intercalary, solitary or in pairs, spherical to slightly barrel shaped or elongated. Akinetes or arthrospores not known.

*Dactylothamnos antarcticus*—on wet rocks in Antarctica [64].

***Desmonostoc* Hrouzek and Ventura (Nostocales, Nostocaceae)**

Solitary filaments to pale to dark green or brown, gelatinous, diffluent macroscopic colonies (mats), laying on substrate. Trichomes isopolar, uniseriate, cylindrical, not tapering in any part, distinctly constricted at cross walls, not branched, freely crimped. Sheaths diffluent, colorless to yellow-brown, without firm periderm of the whole colony. Cells shorter than wide to isodiametric or slightly longer than wide, usually barrel shaped. Heterocytes both intercalary and terminal, solitary, spherical to barrel shaped. Sometimes oval akinetes formed in rows.

*Desmonostoc muscorum*—on alkaline and acidic rocks among other algae; worldwide [65].

***Dichothrix* Zanardini ex Bornet and Flahault (Nostocales, Rivulariaceae)**

Solitary filaments to bushlike macroscopic colonies attached to the substrate, sometimes moderately incrustated with calcium carbonate. Trichomes heteropolar, uniseriate, tapering toward their ends in some species up to terminal hair, unconstricted or distinctly constricted at cross walls, commonly falsely branched. Sheaths delimited, sometimes distinctly stratified, colorless, yellow to yellow-brownish. Cells shorter than wide in basal parts, isodiametric or slightly longer than wide, discoid, barrel shaped or conical, particularly in terminal parts. Heterocytes mainly basal, particularly in branches solitary, conical to hemispherical, with  $\pm$  same width as the vegetative cells at the trichome base.

On both alkaline and acidic rocks, or soil; worldwide.

*Dichothrix gypsumphila*—trichomes 6–8  $\mu\text{m}$  wide in basal part, sheath lamellated and intensely frayed at apical ends; *Dichothrix chungii*—trichomes 9–12  $\mu\text{m}$  wide in basal part, very long terminal hair; *Dichothrix interrupta*—trichomes 2–3  $\mu\text{m}$  wide in basal part, cylindrical along almost whole length [60].

***Fischerella* (Bornet and Flahault) Gomont (Nostocales, Hapalosiphonaceae)**

Solitary filaments often forming mats, main basal filaments wider, multiseriate, spreading on substrate, commonly branched. Branches thinner, uniseriate,  $\pm$  erect. Main axes cylindrical or irregularly cylindrical, branches cylindrical walls. Trichomes in older parts of thallus constricted at cross walls, often not constricted in cylindrical young parts, especially at terminal parts of branches. Sheaths relatively thin, colorless, yellowish to yellow-brown, sometimes stratified, especially in creeping basal

parts. Cells irregular, spherical, barrel shaped or cylindrical. Heterocytes intercalary, in both main axes and branches, spherical to cylindrical, in branches cylindrical.

On wide range of substrates, often on steam exposed sites (thermal springs).

*Fischerella indica*—sheath thick, not lamellated, hyaline; *Fischerella major*—sheath thick, lamellated, yellow-brown [60].

#### ***Fortiea* De Toni (Nostocales, Fortieaceae)**

Solitary filaments to small, greenish-grayish, feltlike colonies. Trichomes heteropolar, uniseriate, cylindrical, slightly, but form thin bases distinctly widened to the apex, distinctly constricted at cross walls in apical parts, slightly constricted or unconstructed at basal parts, unbranched or with single branches. Sheaths clearly delimited, usually not stratified, colorless to yellow-brownish, always with just one trichome inside. Cells cylindrical and longer than wide in basal parts and  $\pm$  barrel shaped and shortening toward apical end. Heterocytes basal or intercalary, solitary, mostly spherical to hemispherical if basal, cylindrical if intercalary.

*Fortiea caucasica*—trichomes 2–4  $\mu\text{m}$  wide in middle part, dripping limestone walls [20]; *Fortiea subaiana*—trichomes approximately 2  $\mu\text{m}$  wide in middle part, caves [66].

#### ***Geitleria* Friedmann (Nostocales, Geitleriaceae)**

Solitary,  $\pm$ erect filaments forming feltlike or mildew-like macroscopic colonies attached to the substrate. Trichomes heteropolar, uniseriate, cylindrical, not or only very slightly tapered or widened toward their apical end, distinctly constricted at cross walls, with  $\pm$  frequent true branching. Terminal cells widened to slightly tapered. Sheaths clearly delimited, sometimes slightly stratified, usually heavily incrustated with calcium carbonate, always with just one trichome inside. Cells isodiametric or shorter or longer than wide, cylindrical, spherical to discoid. Heterocytes not sufficiently approved, akinetes not known.

In dimly lit parts of limestone caves [67, 68].

*Geitleria appalachiana*—cell length variable along the trichome, from wet temperate climate; *Geitleria calcarea*—cell length variable along the trichome, from desert climate (Fig. 2.2.2H); *Geitleria floridana*—cells distinctly longer than wide mostly along the whole trichome, terminal cell usually distinctly inflated.

#### ***Goleter* Miscoe and Johansen (Nostocales, Nostocaceae)**

Solitary filaments to gelatinous, light blue-green macroscopic colonies. Trichomes heteropolar, uniseriate, clearly tapering toward their ends, distinctly constricted at cross walls, not branched, freely crimped. Sheaths thin, indistinct. Cells  $\pm$  isodiametric in basal parts, longer than wide in apical parts, barrel shaped. Heterocytes basal, spherical, solitary. Akinetes solitary or in small groups in basal parts of trichomes.

*Goleter apudmare*—known from volcanic cave in island of Kauai only [12].

#### ***Handeliella* Skuja (Nostocales, Hapalosiphonaceae)**

Filaments often forming crustose, layered dense mats, with main filaments spreading on substrate and  $\pm$  erect branches. Trichomes heteropolar, uniseriate, constricted at cross walls, with both true and false branching. Sheaths relatively thin or thick, colorless, yellowish to yellow-brown, sometimes stratified. Cells spherical, short

barrel shaped to almost cylindrical. Heterocytes both basal and intercalary, spherical to cylindrical, of  $\pm$  the same width as the vegetative cells. On wet calcareous rocks, known from China [58, 69].

*Handeliella sparsa*—trichomes 7–10  $\mu\text{m}$  wide, filaments among other organisms; *Handeliella stockmayeri*—trichomes 10–22  $\mu\text{m}$  wide, macroscopic, blackish stratified mats.

***Hassallia* Berkeley ex Bornet and Flahault (Nostocales, Tolypothrichaceae)  
(Borzinemataceae)**

Solitary filaments feltlike, brownish, macroscopic colonies. Trichomes heteropolar, uniseriate, cylindrical, not or only slightly tapering toward their apical end, distinctly constricted at cross walls, with frequent false lateral branching. The daughter trichomes are bent to the same directions as the mother trichomes. Sheaths clearly delimited, usually not stratified, yellowish to yellow-brownish, always with just one trichome inside. Cells always distinctly shorter than wide,  $\pm$  discoid. Heterocytes basal, exceptionally intercalary, solitary, oval to almost spherical, of  $\pm$  same width as the vegetative cells.

On alkaline and acidic rocks, worldwide. Certain species occur in marine splash zones [70].

*Hassallia bouteillei*—trichomes 4–5  $\mu\text{m}$  wide, forms  $\pm$ circular mats; *Hassallia bysoidea*—trichomes 8–11  $\mu\text{m}$  wide, forms irregular mats.

***Herpyzonema* Weber van Bosse (Nostocales, Symphyonemataceae)**

Solitary filaments joined into larger groups, which sometimes form mats. Trichomes  $\pm$  heteropolar, uniseriate, tapering slightly toward their ends, constricted at cross walls, with reverse Y-true branching. Sheaths relatively thick, yellow-brown, sometimes with colorless parts, often stratified. Cells  $\pm$  spherical, short barrel shaped to almost cylindrical. Heterocytes intercalary, spherical, oval to almost cylindrical, of  $\pm$  same width as the vegetative cells.

On limestone in splash zone of seas in Southeast Asian region, one species described from caves in Spain [71].

*Herpyzonema intermedium*—trichomes ca. 4  $\mu\text{m}$  wide, marine splash zone, *Herpyzonema pulvelurentum*—trichomes 10–20  $\mu\text{m}$  wide, limestone caves.

***Iphinoe* Lamprinou and Pantazidou (Nostocales, Symphyonemataceae)**

Solitary filaments, later joined into aggregates spreading on substrate, whitish to purple. Trichomes uniseriate, cylindrical, distinctly constricted at cross walls, with both true and false branching. Sheaths distinct and delimited, colorless, incrustated with calcium carbonate. Cells shorter than wide, isodiametric or slightly longer than wide, cylindrical to barrel shaped. Heterocytes mainly intercalary, solitary,  $\pm$  spherical or barrel shaped, with  $\pm$  same width as the vegetative cells.

*I. spelaea*—in a limestone cave, Greece [72].

***Isocystis* Borzi ex Bornet and Flahault (Nostocales, Nostocaceae)**

Gelatinous, macroscopic colonies. Trichomes isopolar, uniseriate, tapering toward their ends, distinctly constricted at cross walls, not branched, freely crimped. Sheaths

diffluent. Cells  $\pm$  barrel shaped, oval, ellipsoidal or spherical,  $\pm$  isodiametric in central parts, longer than wide in terminal parts. Heterocytes lacking. Akinetes solitary or in small groups (rows) intercalary, spherical to oval, with unstructured or structured cell wall. Maybe *Pseudanabaenaceae*.

*Isocystis messanensis*—epilithic type known from a wet stony wall in Sicily [73].

#### ***Kyrtuthrix Ercegović (Nostocales, Rivulariaceae)***

Solitary filaments to bright greenish, gelatinous, macroscopic colonies attached to substrate, some species endolithic. Trichomes isopolar, uniseriate, cylindrical, tapering mainly in their apical parts, distinctly constricted at cross walls, not or very seldom branched, U-bent in their middle part and attached with this part to the substrate. Sheaths delimited, sometimes stratified, in upper parts yellow to yellow-brownish. Cells shorter than wide, isodiametric, or slightly longer than wide, barrel shaped. Heterocytes intercalary, solitary, oval to almost spherical, with  $\pm$  of the same width as the vegetative cells.

On alkaline and acidic rocks in marine splash zones; probably worldwide.

*Kyrtuthrix dalmatica*—trichome ends attenuated to a long hyaline hair (may be broken), colonies greenish [53] (Fig. 2.2.2C); *Kyrtuthrix maculans*—trichome ends attenuated, but not to a long hyaline hair, colonies blue-green to blackish colonies [74].

#### ***Leptopogon Borzì (Nostocales, Hapalosiphonaceae)***

Solitary filaments usually forming macroscopic mats, freely coiled, attached to the substrate with upright bent ends. Trichomes isopolar when young, later heteropolar, always uniseriate,  $\pm$  cylindrical, unconstricted, later constricted at cross walls, with irregular, repeated true branching, branches joined into erect fascicles. At terminal parts of branches often develop the hormocytes in rows. Sheaths thin or slightly widened, delimited, colorless to brown. Cells isodiametric to longer than wide, in older parts of trichomes oval to barrel shaped, in young parts and branches cylindrical. Heterocytes intercalary,  $\pm$  spherical, barrel shaped to almost oval, of  $\pm$  same width as the vegetative cells.

*Leptopogon intricatus*—known from glasshouses only [75].

#### ***Loriella Borzì (Nostocales, Hapalosiphonaceae)***

Filaments usually forming macroscopic fasciculated mats, freely crimped, erect, frequently branched. Trichomes heteropolar, always uniseriate,  $\pm$  cylindrical, constricted at cross walls, with irregular, repeated true branching (T). Sheaths widened, delimited, funnel-like structured, colorless, incrustated with calcium carbonate. Cells  $\pm$  isodiametric, cylindrical to barrel shaped, of  $\pm$  same shape within the thallus. Heterocytes intercalary, exceptionally also basal or terminal,  $\pm$  spherical to barrel shaped, of  $\pm$  same width as the vegetative cells. Akinetes intercalary, in rows, with brownish cell walls.

*Loriella osteophila*—on bones and calcareous rocks in Papua New Guinea [60].

***Loriellopsis* Hernández-Marine and Canals (Nostocales, Symphyonemataceae)**

Solitary filaments, later joined into aggregates spreading on substrate or partially endolithic. Trichomes uniseriate, cylindrical, distinctly constricted at cross walls, true branched. Sheaths distinct and delimited, colorless, in basal parts incrustated with calcium carbonate. Cells  $\pm$  isodiametric or slightly shorter or longer than wide, cylindrical to barrel shaped. Heterocytes intercalary, solitary,  $\pm$  spherical or barrel shaped, with  $\pm$  same width as the vegetative cells.

*Loriellopsis cavernicola*—in limestone cave; Spain [72].

***Macrochaete* Berrendero et al. (Nostocales, Rivulariaceae)**

Solitary filaments, sometimes joint into microscopic groups. Trichomes heteropolar, uniseriate, tapering toward their ends, constricted at cross walls, not or very seldom false branched, terminated usually with long hyaline hair. Sheaths delimited, sometimes slightly stratified, colorless, yellow to yellow-brown. Cells shorter than wide, isodiametric or slightly longer than wide, discoid, barrel shaped. Heterocytes mainly basal, in young trichomes single, in older trichomes in pairs, hemispherical to spherical, slightly narrower than the vegetative cells at the trichome base or narrower.

*Macrochaete psychrophila*—epilithic species described from rocks in Sao Paulo state, Brazil [76].

***Mastigocoleus* Lagerheim ex Bornet and Flahault (Nostocales, Hapalosiphonaceae) (Nostochopsidaceae)**

Solitary filaments spreading on substrate. Trichomes isopolar, uniseriate,  $\pm$  cylindrical, constricted at cross walls, with true branching—branches of two types: (1) morphologically similar to main filament ending with heterocytes and (2) tapering toward end without heterocytes. Sheaths firm, thin, not structured. Cells longer than wide or isodiametric, cylindrical. Heterocytes located at ends of short lateral branches or lateral in main trichomes, never intercalary, spherical to ellipsoid, often wider than vegetative cells.

*Mastigocoleus testarum*—on rocks in marine splash zone, worldwide outside cold regions [77] (Fig. 2.2.2D).

***Nostoc* Vaucher ex Bornet and Flahault (Nostocales, Nostocaceae)**

Solitary filaments are aggregated to bright or dark green, gelatinous, macroscopic colonies enveloped by gelatinous periderma, with firm periderm of the whole colony, sometimes attached to substrate. Trichomes isopolar, uniseriate, cylindrical, not tapering in any part, distinctly constricted at cross walls, not branched, freely coiled. Sheaths are developed sometimes around individual trichomes in central part of colonies, diffluent, in peripheral parts delimited are yellow to yellow-brownish. Cells  $\pm$  isodiametric or slightly longer than wide, barrel shaped. Heterocytes mainly terminal, less frequently intercalary, solitary, spherical to oval.

On both alkaline and acidic rocks; worldwide.

Note: Genera *Compactonostoc* [78] and *Minunostoc* [79] have been recently published, which differ from *Nostoc* sensu stricto mostly on molecular level.

*Nostoc calcicola*—cells 2.5–3  $\mu\text{m}$  wide, trichomes freely entangled, colonies irregular flat; *Nostoc ellipsosporum*—cells 4–5  $\mu\text{m}$  wide, trichomes freely entangled, colonies irregular; *Nostoc intestinale*—cells 2.8–3.5  $\mu\text{m}$  wide, trichomes freely entangled, colonies microscopic, soft; *Nostoc microscopium*—cells 5–9  $\mu\text{m}$  wide, trichomes densely entangled in young colonies, colonies  $\pm$  spherical, firm [60].

#### ***Nostochopsis* Wood (Nostocales, Hapalosiphonaceae)**

Solitary filaments or to macroscopic, hemispherical to almost spherical gelatinous colonies attached to substrate, epilithic. Trichomes isopolar, uniseriate,  $\pm$  cylindrical, sometimes narrowed toward ends, unconstricted or constricted at cross walls, with true lateral branching. Sheaths thick, diffluent, not structured. Cells longer than wide, cylindrical to long barrel shaped. Heterocytes located at ends of short lateral braches, or intercalary, spherical to ellipsoid, often wider than vegetative cells.

*Nostochopsis hansgirgii*—on rocks near streams, nonaquatic type known from India [80].

#### ***Nunduva* González-Resendis et al. (Nostocales, Rivulariaceae)**

Solitary filaments, usually joint in fascicles forming macroscopic tuft colonies. Trichomes isopolar when young (hormogonia), later heteropolar, uniseriate, not tapering or tapering toward their ends, terminated sometimes with semihyaline or hyaline hair, unconstricted or constricted at cross walls, not or very seldom false branched. Sheaths narrow or widened, delimited, diffluent at their apical ends, sometimes slightly stratified, colorless, yellow to yellow-brown. Cells of variable shape and length. Heterocytes intercalary, solitary, or in groups (rows) up to 3,  $\pm$  hemispherical, conical or cylindrical, of  $\pm$  same width as the vegetative cells.

*Nunduva fasciculata*—on rocks in marine intertidal and supratidal zones, known from Mexico [81].

#### ***Pelatocladus* Johansen and Vacarino (Nostocales, Hapalosiphonaceae)**

Solitary filaments spreading on substrate brown to blue-green tuft colonies. Trichomes isopolar, uniseriate, cylindrical, strongly constricted at cross walls, with mostly unilateral true branching. Sheaths lacking. Cells  $\pm$  spherical in main axis and basal parts of branches, longer than wide, barrel shaped to cylindrical in branches. Heterocytes intercalary in both main axes and branches, solitary, spherical, barrel shaped to cylindrical, of  $\pm$  the same width as the vegetative cells.

*Pelatocladus maniniholoensis*—known from volcanic cave in island of Kauai [12].

#### ***Petalonema* Berkeley ex Correns (Nostocales, Scytonemataceae)**

Solitary filaments or forming gelatinous, yellowish, macroscopic colonies. Trichomes isopolar, uniseriate, cylindrical, not tapering toward their end, rarely a little widened and in some species narrowed in central parts, not constricted or constricted at cross walls, with false branching, especially geminate. Sheaths very wide and distinct, clearly delimited, rarely not stratified, colorless to yellow or brownish, always with just one trichome inside, sometimes funnel-like widened at the ends. Cells of variable length, barrel shaped. Heterocytes intercalary, solitary, cylindrical



to subspherical, usually under than trichomes, rarely only with  $\pm$  same width as the vegetative cells.

On limestone rocks usually in shady places or shaded by other organisms or crumbled substrate; worldwide.

*Petalonema alatum*—funnel-like stratified sheath, heterocytes  $\pm$  spherical (Fig. 2.2.2E), *Petalonema densum*—trichomes distinctly narrowed in central parts, heterocytes oval to barrel shaped; *Petalonema incrustans*—trichomes 7–10  $\mu\text{m}$  wide, forming loops before branching, usually thick sheath [60] (Fig. 2.2.2G).

#### ***Rexia* Casamatta et al. (Nostocales, Tolypothrichaceae) (Borzinemataceae)**

Solitary filaments attached to substrate, sometimes erect. Trichomes isopolar, uniseriate, cylindrical, not or slightly tapering toward their apical end, indistinctly to distinctly constricted at cross walls, with frequent false branching. Sheaths clearly delimited, usually not stratified, thin, colorless, in cultures may contain more than one trichome inside. Cells shorter than wide, to  $\pm$  isodiametric, discoid, barrel shaped to cylindrical, able of division in two planes. Heterocytes intercalary, but with single pore,  $\pm$  hemispherical, of the same width as the vegetative cells.

*Rexia erecta*—known from one rock in Great Smoky Mountains, North Carolina [82].

#### ***Rivularia* Agardh ex Bornet and Flahault (Nostocales, Rivulariaceae)**

Solitary filaments, aggregated to hemispherical macroscopic colonies attached to the substrate, often distinctly layered and heavily incrustated with calcium carbonate. Trichomes heteropolar, uniseriate, tapering toward their ends in some species up to terminal hair, unconstricted or distinctly constricted at cross walls, commonly falsely branched. Sheaths firm, delimited, sometimes distinctly stratified, colorless, yellow to yellow-brownish. Cells shorter than wide, isodiametric or slightly longer than wide, discoid, barrel shaped or conical, in apical parts elongated. Heterocytes mainly basal in both main filament and branches, solitary, oval to almost spherical, of  $\pm$  same width as the vegetative cells at the trichome base or slightly wider. Akinetes absent.

On rocks, plants, or soil in both marine and nonmarine environment; worldwide.

Note: Genus *Cyanomargarita* is morphologically similar with species *Cyanomargarita calcarea*, as recently described by Shalygin et al. [83], but differs on genetic level.

*Rivularia atra*—colonies hard, marine; *Rivularia calcarata*—colonies incrustated, but relatively soft, up to 1 cm in diameter, nonmarine; *Rivularia haematites*—colonies incrustated, hard, up to initially hemispherical, later forming continuous crust, nonmarine; *Rivularia mesenterica*—colonies soft, marine [60].

#### ***Sacconema* Borzi ex Bornet and Flahault (Nostocales, Rivulariaceae)**

Solitary filaments, radially aggregated to amorphous to lobate macroscopic colonies attached to the substrate. Trichomes heteropolar, uniseriate, tapering toward their ends to a terminal hair, distinctly constricted at cross walls, commonly falsely branched. Sheaths very widened, delimited, closed or open, lamellated, brown. One sheath may contain more than one trichome. Cells isodiametric or slightly longer or shorter than wide. Heterocytes, solitary or in groups up to three, oval to almost

spherical, of  $\pm$  same width as the vegetative cells at the trichome base or slightly wider. Akinetes spherical at base of trichomes.

*Sacconema rupestre*—on wet rocks, known from Italy only [60].

### ***Schmidleinema* De Toni (Nostocales, Hapalosiphonaceae)**

Solitary filaments usually forming macroscopic mats, freely coiled, attached to the substrate by thin central parts with upright bent ends. Trichomes isopolar when young, later heteropolar, always uniseriate,  $\pm$  cylindrical, unconstricted, later mostly constricted at cross walls, with both rare true and common false branching, branches sometimes joined into erect fascicles. At terminal parts of branches often develop the hormocytes. Sheaths thin or widened, delimited, colorless to yellow-brown, stratified. Cells  $\pm$  isodiametric to shorter or longer than wide, in older parts of trichomes oval to barrel shaped, in young parts and branches  $\pm$  cylindrical. Heterocytes intercalary,  $\pm$  hemispherical to cylindrical, of  $\pm$  the same width as the vegetative cells.

*Schmidleinema indicum*—known from wet walls and tree trunks in India [80].

### ***Scytonema* Agardh ex Bornet and Flahault (Nostocales, Scytonemataceae)**

Solitary filaments to feltlike, brownish, macroscopic colonies (mats). Trichomes isopolar, uniseriate, cylindrical, not tapering toward their ends, but in some species slightly narrowed in central parts, not constricted or constricted at cross walls, with frequent false branching, especially geminate. Sheaths clearly delimited, usually not stratified, colorless, yellowish to brown, always with just one trichome inside. Cells of variable length, cylindrical. Heterocytes intercalary, solitary, cylindrical to subspherical, with  $\pm$  same width as the vegetative cells.

On rocks, plants or soil; worldwide.

Note: Komárek [60] separated a group of *Scytonema* species with narrowed central part of trichomes into section *Myochrotes* (Fig. 2.2.2I) Formal promotion of the section to generic level was not performed yet.

*Scytonema drilosiphon*—trichomes 5.5–10  $\mu\text{m}$  wide, filaments usually densely encrusted with  $\text{CaCO}_3$ , sheath thin, not lamellated; *Scytonema hofmanii*—trichomes 4.5–9.5  $\mu\text{m}$  wide, sheath thin, not or slightly lamellated; *Scytonema myochrouse*—cells in central part of trichome narrowed, 6–12  $\mu\text{m}$  wide, sheath distinctly layered; *Scytonema ocellatum*—trichomes 6–14  $\mu\text{m}$  wide, sheath thin, usually lamellated [60].

### ***Scytonematopsis* Kiseleva (Nostocales, Scytonemataceae)**

Solitary filaments to feltlike, brownish, macroscopic colonies (mats). Trichomes heteropolar when young, later isopolar, uniseriate, distinctly tapering toward their end (both ends in isopolar trichomes) up to a terminal hair, usually constricted at cross walls, with frequent false branching, especially geminate. Sheaths clearly delimited, usually not stratified, colorless, yellowish to brown, always with just one trichome inside. Cells of variable length, sometimes very short, isopolar up to long cylindrical in apical parts, or barrel shaped or cylindrical. Heterocytes intercalary or basal,

solitary, cylindrical to subspherical, with  $\pm$  same width as the vegetative cells. On rocks, plants or soil in both marine and nonmarine environment; worldwide.

*Scytonematopsis contorta*—filaments 14–20  $\mu\text{m}$  wide, Hawaii [84]; *Scytonematopsis starmachii*—filaments 9–18  $\mu\text{m}$  wide, granitic mountains in Europe [85].

#### ***Seguenzaea* Borzi (Nostocales, Tolypothrichaceae)**

Solitary filaments aggregated to fasciculate, brownish macroscopic colonies or flat mats. Trichomes isopolar, later heteropolar, uniseriate, cylindrical, not or only slightly tapering toward their apical ends, unconstricted (particularly in young parts) to distinctly constricted at cross walls, with infrequent true to false branching. Trichomes/filaments of two types: torulous (i.e., composed of  $\pm$  spherical cells) creeping on substrate, and cylindrical branches. Sheaths firm, clearly delimited, thin, not stratified, colorless. Cells shorter than wide, isodiametric or up to longer than wide, cylindrical, or barrel shaped, in basal parts of branches  $\pm$  spherical. Heterocytes both basal and intercalary, solitary or in short rows, oval, almost spherical to almost cylindrical, of  $\pm$  same width as the vegetative cells. On rocks among mosses [56] and on wet wall in a botanical garden [84]; temperate and tropical regions. Certain species occur in marine splash zones.

*Seguenzaea minor*—creeping filaments 6–8  $\mu\text{m}$  wide; *Seguenzaea sicula*—creeping filaments 12–14  $\mu\text{m}$  wide [86].

#### ***Spelaeonaias* Lamprinou et al. (Nostocales, Symphyonemataceae)**

Solitary filaments joined into small tufts or grayish wooly mats. Trichomes isopolar, uniseriate, cylindrical, unconstricted in young branches to constricted at cross walls in older parts of thallus, with both true (Y) and false branching. Sheaths distinct and delimited, hyaline. Cells shorter than wide, isodiametric or longer than wide, cylindrical to barrel shaped. Heterocytes intercalary or basal in germinating hormogonia, solitary, barrel shaped or hemispherical, with  $\pm$  the same width as the vegetative cells.

*Spelaeonaias floccida*—known from one limestone cave in Greece [87].

#### ***Spelaeopogon* Borzi (Nostocales, Hapalosiphonaceae)**

Solitary filaments, often joined into fascicles forming small tufts or flat, blue-green, reddish, brownish to black mats. Trichomes isopolar, uniseriate,  $\pm$  cylindrical, unconstricted or constricted at cross walls, with both true and false branching, branches do not differ morphologically from the main axis. At terminal parts of branches often develop the hormocytes. Sheaths thin or widened, delimited, not structured or lamellated, colorless or yellow to brown. Cells  $\pm$  isodiametric to shorter or longer than wide, cylindrical, or barrel shaped. Heterocytes intercalary, solitary, cylindrical,  $\pm$  barrel shaped or hemispherical, of  $\pm$  the same width as the vegetative cells.

On wet walls and stones and among mosses in caves; known from Italy [56] and Japan [60].

*Spelaeopogon cavarae*—old filaments 16–18  $\mu\text{m}$  wide, sheath thin, colorless; *Spelaeopogon koidzumianum*—filaments 15–18  $\mu\text{m}$  wide; *Spelaeopogon lucifugus*—no heterocytes, sheath thin; *Spelaeopogon sommieri*—filaments 8–10  $\mu\text{m}$  wide, sheath thin, colorless.

***Stigonema* Agardh ex Bornet and Flahault (Nostocales, Stigonemataceae)**

Solitary filaments to feltlike, brownish, macroscopic colonies. Trichomes heteropolar when young, later isopolar, uniseriate or multiseriate, usually constricted at cross walls, with frequent true branching, in some species branches differ morphologically from the main axes. Sheaths clearly delimited, not stratified or lamellated, sometimes with lengthwise surface cracks, colorless, yellowish to brown. Cells  $\pm$  hemispherical, of variable length, barrel shaped,  $\pm$  spherical or cylindrical. Heterocytes intercalary, solitary, cylindrical to subspherical, with  $\pm$  same width as the vegetative cells.

On rocks, plants or soil in; worldwide.

*Stigonema hormoides*—trichomes mostly uniseriate, cells 5.2–8.8  $\mu\text{m}$  wide; *Stigonema informe*—trichomes mostly multiseriate (up to 4–6), cells 11–15  $\mu\text{m}$  wide; *Stigonema minutum*—trichomes mostly multiseriate (1–4), cells 15–18  $\mu\text{m}$  in diameter; *Stigonema panniforme*—trichomes mostly uniseriate, cells 9.2–24  $\mu\text{m}$  wide; *Stigonema multipartitum*—trichomes mostly multiseriate (up to 8), cells 8–16  $\mu\text{m}$  wide [60].

***Streptostemon* Sant’Anna et al. (Nostocales, Tolypothrichaceae)**

Solitary filaments later joined to dense, erect fascicles, forming brownish tufts. Trichomes heteropolar to isopolar, uniseriate, cylindrical, not or slightly narrowed in their central parts, unconstricted to slightly constricted at cross walls, with very rare geminate false branching. Sheaths clearly delimited, sometimes slightly lamellated, colorless to yellow-brownish. Cells shorter than wide, isodiametric or longer than wide, cylindrical to widely rounded. Heterocytes basal or intercalary, solitary, oval, almost spherical to almost cylindrical, of  $\pm$  the same width as the vegetative cells.

*Streptostemon lutescens*—on stones in tropical rain forest, known from Brazil only [88].

***Symphyonema* Jao (Nostocales, Symphyonemataceae)**

Solitary filaments to macroscopic, cushion-shaped colonies, attached to substrate. Filaments sometimes arranged into fasciculate groups. Trichomes uniseriate, with cylindrical central parts, unconstricted to slightly constricted at cross walls, richly true branched (T- or reverse V-form). Sheaths distinct and delimited, hyaline, in older filaments indistinctly lamellated, brownish. Cells shorter than wide, isodiametric or slightly longer than wide, cylindrical to barrel shaped. Heterocytes intercalary, solitary, cylindrical to subspherical, with  $\pm$  the same width as the vegetative cells.

On limestone rocks and in caves; known from China [58] and Spain [89].

*Symphyonema cavernicola*—trichomes constricted on cross walls, chasmolithic in limestone caves; *Symphyonema sinense*—trichomes usually not constricted at cross walls, on wet limestone walls

***Thalpopphila* Borzi (Hapalosiphonaceae)**

Solitary filaments, often joined into fascicles forming flat colonies. Trichomes isopolar, always uniseriate,  $\pm$  cylindrical, slightly constricted in young parts to distinctly constricted at cross walls in older parts, with true lateral branching, branches do not differ morphologically from the main axes, when more developed growing in the

same direction like the main axes. Sheaths firm, slightly widened, delimited to diffluent, lamellated. Cells  $\pm$  isodiametric to longer than wide, cylindrical, or barrel shaped. Heterocytes intercalary, solitary, cylindrical, or  $\pm$  barrel shaped, of  $\pm$  the same width as the vegetative cells.

*Thalpophila cossyrensis*—on wet walls near thermal springs; known only from Sicily [56].

***Tolypothrix* Kützing ex Bornet and Flahault (Nostocales, Tolypothrichaceae)**

Solitary filaments to feltlike, brownish, macroscopic colonies. Trichomes heteropolar, uniseriate, cylindrical, not or only very slightly tapering toward their apical ends, unconstricted to distinctly constricted at cross walls, with frequent false branching. The daughter trichomes are bent to both, i.e., the same and the opposite, directions as the mother trichomes. Sheaths clearly delimited, usually not stratified or slightly stratified, colorless, yellowish to yellow-brownish, sometimes may contain more than one trichome inside. Cells shorter than wide, isodiametric, or longer than wide, cylindrical to barrel shaped. Heterocytes basal, exceptionally intercalary, solitary or in short rows, oval, almost spherical to almost cylindrical, of  $\pm$  the same width as the vegetative cells.

On  $\pm$  wet alkaline and acidic rocks; worldwide. Certain species occur in marine splash zones. Recent taxonomic study published by Hauer et al. [90].

*Tolypothrix elenkinii*—trichomes 5–7  $\mu\text{m}$  wide, filaments up to approximately four times wider, sheath very thick, yellow (Fig. 2.2.2F); *Tolypothrix fasciculata*—trichomes  $\pm 8 \mu\text{m}$  wide, sheath thin; *Tolypothrix pseudorexia*—trichomes 10–20  $\mu\text{m}$  wide, sheaths pale brown.

***Toxopsis* Lamprinou et al. (Nostocales, Godleyaceae)**

Solitary filaments to yellow-green to dark green macroscopic colonies. Trichomes isopolar when young, heteropolar when old, uniseriate,  $\pm$  cylindrical, not or only very slightly tapering toward their apical ends, distinctly constricted at cross walls, with frequent false lateral branching. Sheaths thin, clearly delimited, usually not stratified. Cells shorter than wide, shortly barrel shaped. Heterocytes basal (especially in branches), exceptionally intercalary, solitary, oval or bluntly conical, of  $\pm$  same width as the vegetative cells.

*Toxopsis calypsus*—known only from one cave in Greece [91].

***Voukiella* Ercegović (Nostocales, Symphyonemataceae)**

Solitary filaments to hemispherical, firm, yellow-brown, macroscopic colonies, in peripheral part of colonies filaments arranged  $\pm$  radially. Trichomes heteropolar, always uniseriate,  $\pm$  cylindrical, constricted at cross walls, with true branching (V or T-type), branches do not differ morphologically from the main axes. Sheaths wide, delimited, slightly lamellated. Cells isodiametric to longer than wide, barrel shaped. Heterocytes basal or intercalary,  $\pm$  spherical, of  $\pm$  the same width as the vegetative cells.

*Voukiella rupestris*—known from limestone rocks in Croatia only [29].

### ***Westiella Borzi* (Nostocales, Hapalosiphonaceae)**

Solitary filaments, freely coiled. Trichomes isopolar, always uniseriate, ± cylindrical, unconstricted or constricted at cross walls, with irregular true branching (T-type); branches do not differ morphologically from the main axis. The terminal parts of branches often develop the hormocytes, sometimes in short rows. Sheaths are finely thin, delimited, not structured. Cells ± isodiametric to longer than wide, cylindrical. Heterocytes intercalary, cylindrical, of ± the same width as the vegetative cells.

*Westiella intricata*—epilithic species from rock wall facing the steam from a thermal spring [56].

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Patrick Jung and Burkhard Büdel

## 2.3 Lichens as pioneers on rock surfaces

Lichens can be understood as multiorganismic complex organisms with a symbiotic character that represents a self-sustaining ecosystem formed by the interaction of an exhabitant fungus (sometimes more) and an extracellular arrangement of one or more photosynthetic partners, as well as an indeterminate number of other microscopic organisms. Lichens are among the first microbial organisms colonizing barren surfaces as, for example, rocks, where they have various benefits that make them superior to other organisms and thus they are considered pioneers. Although the greatest proportion of lichens colonize the rock's surfaces (saxicolous lichens), others prefer to colonize deeper structures underneath the rock's surface (endolithic lichens), which interact with the lithomatrix. Here, we discuss the ecology of lichens as pioneers from various biomes, their adaptations to a life on rocks, and the succession of lichen communities and give insights into their interactions with the lithomatrix.

### 2.3.1 Lichens: a self-sustaining ecosystem

Carl Linnaeus described lichens as “rustici pauperimi,” which could be translated as miserable mob of vegetation [1]. At that time, he did not know that lichens represent an obligate mutualistic ectosymbiosis between at least one photosynthetic eukaryotic green algal or prokaryotic cyanobacterial species (photobiont) and one (in most cases) fungal ascomycete or, more rarely, basidiomycete species (mycobiont). During this relationship, the primary photobiont does not need to be restricted to a single strain of algae, but rather a coexistence of multiple strains may contribute to the resilience of lichens [2]. These multiorganismic interactions are so complex that it took until 2016 to find out that the “one lichen–one fungus” paradigm, which lasted over 140 years, seemed to be wrong or is at least not the full story about lichens [3]. Instead of being composed of the known ascomycete and the photosynthesizing partner, unexpectedly specific basidiomycete yeasts embedded in the cortex seem to play a crucial but rather unknown role within this intimate association. Meanwhile, a plethora of heterotrophic bacteria, protists, and even viruses have been discovered in association with lichens [4, 5], highlighting the complex character of lichens of any kind. As early as 1976, Farrar [6] suggested that lichens might have evolved as open systems, sometimes with special structures to facilitate gaseous exchange, and thus might be interpreted as miniature ecosystems, including a variety of organisms operating at different trophic levels. This progressive concept has only recently been accepted and lead to a new definition of lichens. According to that, the lichen symbiosis should be understood as “a self-sustaining ecosystem formed by the interaction of an exhabitant

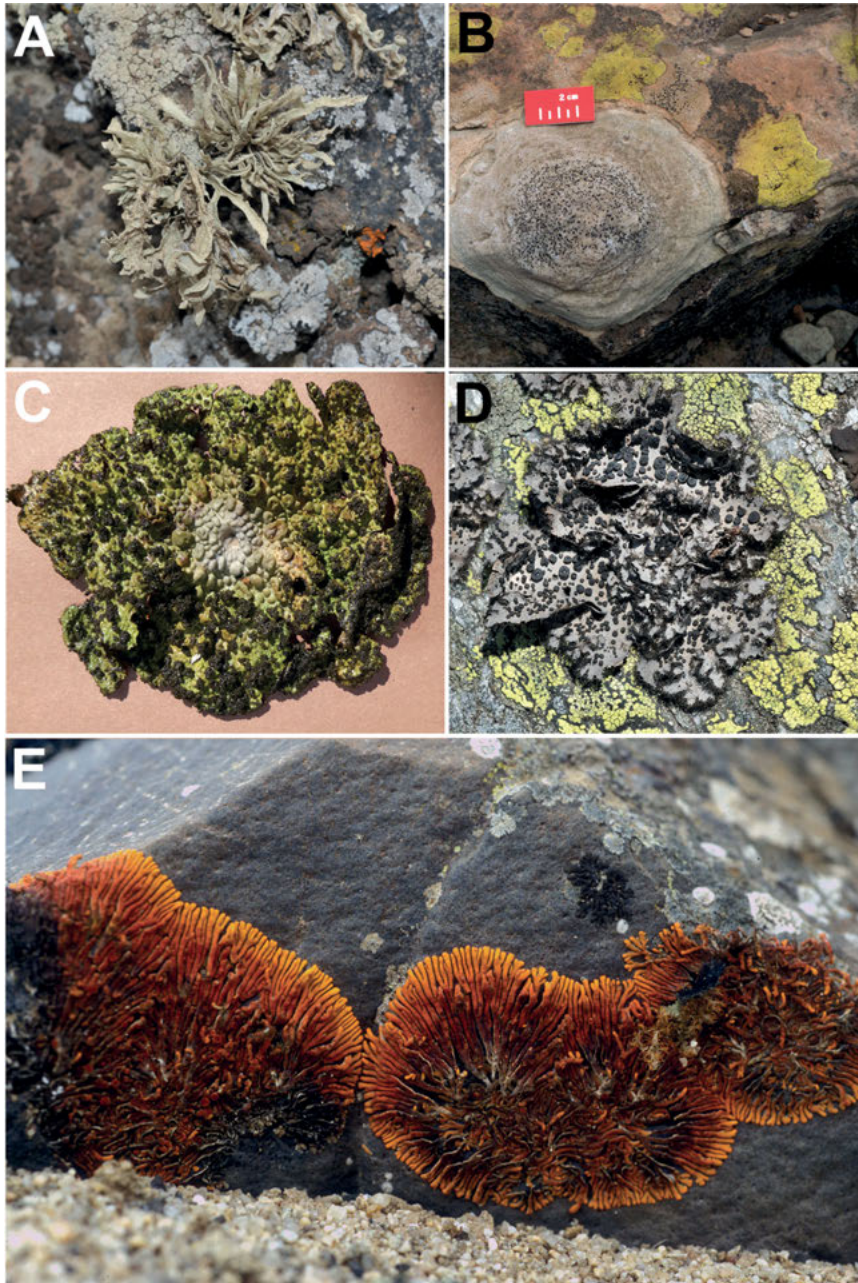
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fungus and an extracellular arrangement of one or more photosynthetic partners and an indeterminate number of other microscopic organisms” [7], finally making a point of a lichen being a multiorganismic complex, a microecosystem in itself.

### 2.3.2 Ecology and diversity of rock lichens

Lichens grow naturally on all substrates, including very nutrient-poor ones such as rocks or even artificial surfaces. Their ability to take up most nutrients from the air or ambient water enables them to colonize even rather hostile microhabitats that are mostly devoid of other vegetation. Enormous amplitudes of variation in water availability, surface temperature, or wind speed over very short time spans are just some of the diverse stresses that an organism conquering rock or mineral surfaces faces. Because of their poikilohydric nature, they are only active during periods of water availability in their environment, and thus, they are poor competitors compared with vascular plants and tend to favor open habitats such as bare soil and stony substrates. Besides pro- and eukaryotic algae, as well as few fungi, the majority of the biodiversity on rocks usually consists of lichens except for submerged or heavily shaded rocks. Besides those growing as epiphytes on other plantlike organisms, the majority of lichens seem to be saxicolous (rock inhabiting), living in most parts of the world. Despite lichens on natural rocks, we encounter them every day on artificial substrates such as concrete, cobblestones, or walls of houses wherever we go. Some might even grow on glass surfaces. Most of them appear to be as colorful as one could think. They do this on purpose just to excessively demonstrate how resiliently they can live on pure rock surface. Saxicolous lichens include members of the three main traditional morphological groups showing crustose, fruticose, and foliose growth forms (Fig. 2.3.1), each having a different way of attaching themselves to the substrate, beside other traits. Fruticose lichens appear to be bushlike and are only slightly attached to the substrate such as the *Ramalina* species (Fig. 2.3.1A), whereas crustose thalli form a tight crustlike structure that is inseparably bound to the lithic surface such as *Lecidea* or some *Acarospora* species (Figs. 2.3.1B and 2.3.3B, yellow). The leaflike flat or slightly wrinkled thalli of foliose lichens are only partly attached to the substrate via rootlike structures called rhizines (lacinate lichens) or with a central umbilicus emerging from their underside (umbilicate lichens) such as *Lassalia pustulata* (Fig. 2.3.1C) or *Umbilicaria cylindrica* (Fig. 2.3.1D).

In contrast to those saxicolous lichens that are visibly colonizing the rock surfaces, endolithic lichens are hidden a few millimeters underneath the rock surface in the zone of light penetration. They are not easily recognizable because their color is very similar to the rock and their thalli completely penetrate the lithomatrix of the stone and weaken the stone structure by altering the substratum. These endolithic lichens can withstand extreme environmental conditions by colonizing the inside of lithic substrata; thus, they can especially be found in areas where the environmental



**Fig. 2.3.1:** Growth forms of rock lichens. (A) Pioneer *Ramalina* sp. from La Palma, Canary Island. (B) Crustose lichen *Lecidea* sp. (whitish) and *Acarospora* sp. (yellow) on Clarens Sandstone from South Africa. (C) The umbilicate lichen *Lassalia pustulata* as a pioneer on silicate rocks in temperate zones (wet thallus). (D) The umbilicate lichen *Umbilicaria cylindrica* (gray) and the crustose *Rhizocarpon geographicum* on silicate rock from the alpine mountains (Hochtor), Austria, European Alps. (E) *Caloplaca elegantissima*, a pioneer on basaltic rock at Wlotzkasbaken, Namib Desert.

conditions exacerbate the colonization of rock surfaces such as, e.g., Antarctica or the Sonora Desert [8, 9].

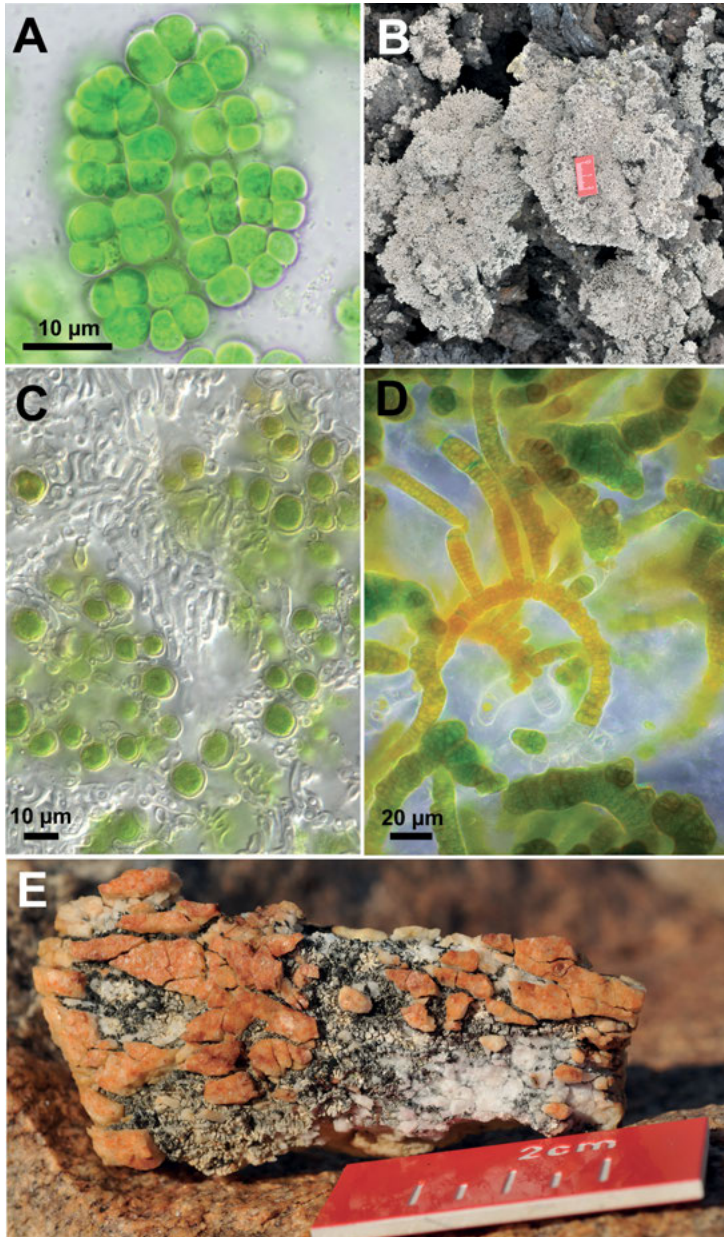
### Growth rates and specific thallus structures

One of the most abundant saxicolous lichens is *Rhizocarpon geographicum* (Fig. 2.3.1B, yellow), a frequent pioneer colonizer of newly exposed rock surfaces [10]. The thallus comprises yellow-green lichenized areolae, which develop and grow on the surface of a nonlichenized fungal hypothallus, the latter extending beyond the edge of the areolae to form a marginal ring [11]. Because of its broad geographic distribution, *R. geographicum* has been the major subject of several studies recording its radial growth rates ranging from 0.05 mm year<sup>-1</sup> in West Greenland [12], 0.5 mm year<sup>-1</sup> in Switzerland [13], to over 0.94 mm year<sup>-1</sup> in North Wales [14]. All of these measurements are possibly still considerably higher than the slowest radial growth rate yet measured for a crustose lichen by Benedict [15] in a study over 16 years of the related *Rhizocarpon superficiale* from the Front Range in Colorado, a site at which an overall average of 0.006 mm year<sup>-1</sup> was recorded. The granite rock-inhabiting *Buellia frigida* (Fig. 2.3.4C) in the Dry Valleys of Antarctica has growth rates (0.01 mm year<sup>-1</sup>) that match or slightly exceed those of *R. superficiale* [16].

Another easily recognizable saxicolous lichen is *Caloplaca elegantissima* (Fig. 2.3.1E), with its slightly dichotomous branched and radial vivid scarlet to orange-red thallus colonizing, e.g., NE-exposed sides of rocks in the Namib Desert [17]. This species prefers to grow on whitish quartz stones, and it has been shown that it has a comparably low light compensation point (the light intensity at which carbon fixation during photosynthesis equals carbon release during respiration), resulting in a longer phase of net photosynthesis that can have striking benefits in extreme ecosystems such as deserts [18]. This beneficial ecophysiological performance might also be explained by specific physiomorphological adaptations, such as algal cells that occur in thick vertical stacks (algal stacks), which are separated by vertical channels of light-transferring fungal hyphae (fungal stacks) that were also detected in *C. elegantissima* [19]. Lichens such as *C. elegantissima* with algal stacks can have both a higher photosynthetic capacity and higher dark respiration than species without algal stacks [19]. Fungal and algal stacks are not a rare feature but were also found in lichens that occur on rocks of deserts to steppe regions with high solar radiation, all over the world, especially among saxicolous lichen communities [19]. Other saxicolous representatives with these morphological features are, for example, *Diplotomma atacamae* from the Atacama Desert, *Aspicilia* species from Spain, or *Acarospora nodulosa* from Australia [19].

### Endolithic lichens

By contrast, endolithic lichens have developed even more sophisticated ways of avoiding the harsh environmental conditions that can result in morphological adaptations.



**Fig. 2.3.2:** Rock lichens and photobionts. (A) The cyanobacterium *Chroococcidiopsis*, a frequent photobiont of many cyanolichens. (B) *Stereocaulon* sp. from La Palma, Canary Island, a fruticose lichen and pioneer on cooled lava flows; the species has green algae as primary and cyanobacteria as secondary photobionts. (C) Microscopic cross section of a saxicolous *Buellia* lichen from the Atacama Desert with green algal photobionts of the genus *Trebouxia*. (D) *Stigonema* sp., a frequent cyanobacterial symbiont of some cyanolichens. (E) *Lecidea cancriformis*, an endolithic lichen on granitoid rock from the Darwin Glacier region, Antarctica.



Caliche plates commonly found in the Sonoran Desert, for example, were found to be colonized by the endolithic, whitish lichen *Verrucaria rubrocincta* [9, 20]. Here, the surface of the caliche reaches temperatures that regularly exceed 60°C during the summer and approach 0°C in the winter. Incident light intensities are high, with photosynthetically active radiation levels typically up to 2,600  $\mu\text{mol m}^{-2} \text{s}^{-1}$  during the summer [20]. The endolithic growth of *V. rubrocincta* with its rounded patches on the rock surfaces is an adaptation to both rapid evaporation on the rock surface and high light intensities [9]. Cross sections of rocks inhabited by *V. rubrocincta* showed an anatomical zonation comprising an upper inorganic micrite layer that acts as a cap to the lichen that helps to trap moisture [20]. At the same time, the micrite layer is highly reflective and reduces light intensity to the algae below and acts as an efficient sunscreen that blocks harmful ultraviolet radiation [20].

### **Pioneer lichens and their photobionts of different climatic regions**

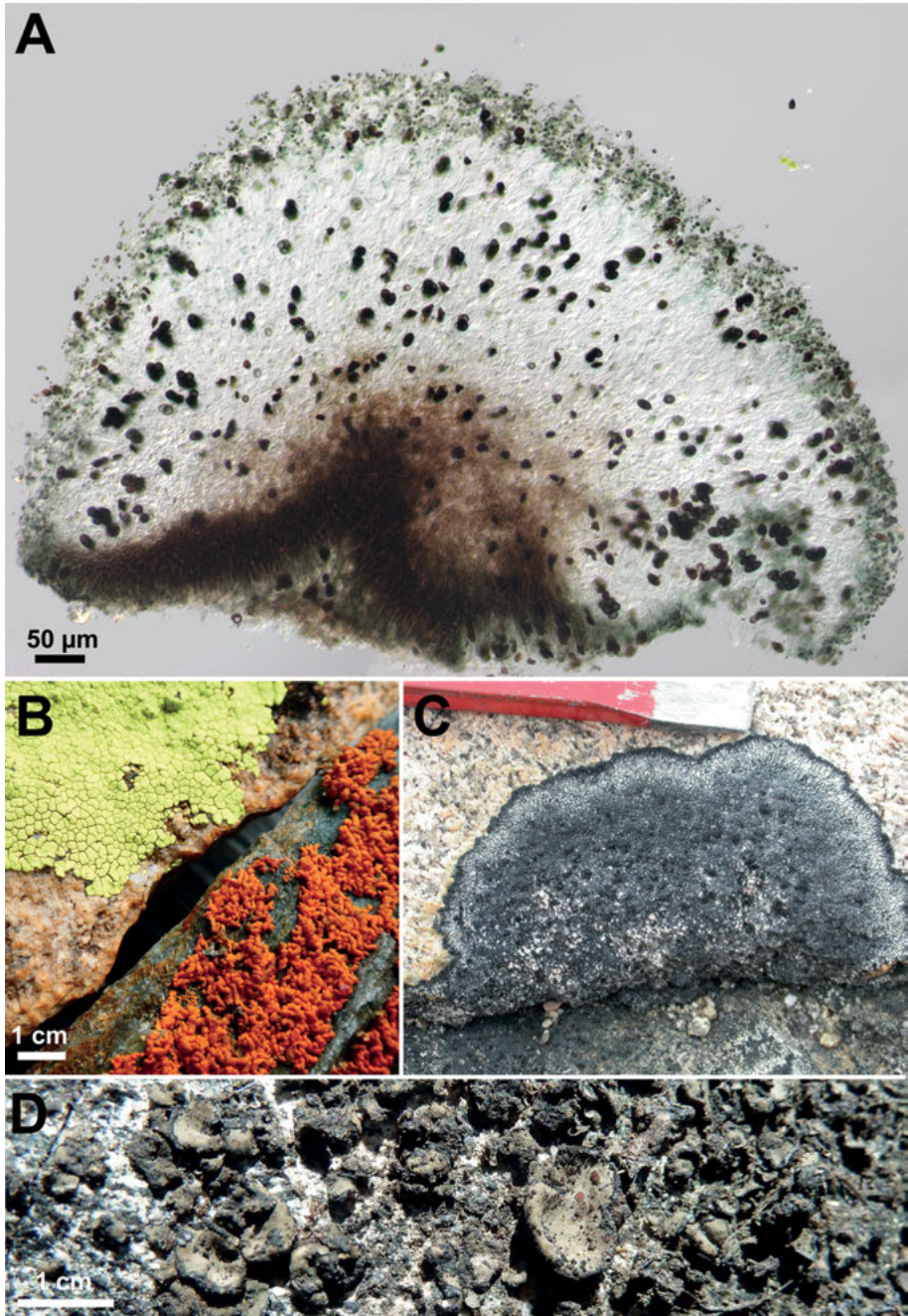
As demonstrated in various studies on rock colonizing lichens across the globe, a specific pattern seems to emerge: besides rock type (acidic or alkaline), climate regime of the geographic region defines the rock-inhabiting community of lichens with a few dominant species for each habitat. Fully exposed rock surfaces of all types of tropical biomes, for example, such as table mountains in the Guyana Uplands of South America or Inselbergs in rainforests or humid and dry savannas have been shown to be home to a surprisingly diverse community of lichens with cyanobacterial photobionts, known as cyanolichens (reviewed by Büdel [21]). Several studies revealed that the characteristic color of Inselbergs is due to a dense colonization of mainly lichens of the genus *Peltula* (Fig. 2.3.4D) and members of the cyanolichen family Lichinaceae [21]. Those lichens appear blackish due to ultraviolet-absorbing substances like scytonemin and mycosporine-glycine that are produced by their unicellular cyanobacterial photobionts such as *Chroococcidiopsis* (Fig. 2.3.2A) [22]. Some of these cyanobacterial strains have been shown to be able to fix nitrogen [23–25], which can potentially contribute to the nitrogen budget of the soils at the close surroundings of such inselbergs. This is caused by rainfall runoff and desquamation of rock material, thus supporting the growth of gallery forests around inselbergs [21].

Jackson [26] described that *Stereocaulon vulcani* (comparable to Fig. 2.3.2B) together with species of the genera *Cladonia* and *Parmelia* were among the pioneer lichen community as the initial stage of vegetation on lava flows in Hawaii up to an elevation of 1000 m. He detected that *S. vulcani* preferred regions of higher rainfall, whereas all species of *Parmelia* and *Cladonia*, together with an unidentified crustose lichen, were found only in areas of lower rainfall, demonstrating the clear ecological preference of species within a lichen community. The dominance of *S. vulcani* in such a harsh and poor environment of lava was ascribed to its ability to invade vesicles and narrow recesses in the rock, its ability to accelerate the chemical weathering of the rock, and its rapid rates of dispersal, establishment, and growth [26]. In addition,

*S. vulcani* is a green algal lichen with *Trebouxia irregularis* (comparable to Fig. 2.3.2C) as the main photobiont and the cyanobacterium *Stigonema* sp. (Fig. 2.3.2D) as the second photobiont located in cephalodia, specialized structures of some lichens. Generally, the ability of the cyanobacterial photobiont to fix  $N_2$  from the air enables the lichen consortium being an ideal pioneer on bare rock with the advantage of nitrogen supply, which could make it superior to the competing *Parmelia* and *Cladonia* species. Cyanobacterial photobionts in dominant rock pioneer lichens might also be the key for the dominance of *Placopsis gelida* and *Stereocaulon vesuvianum*, which were found to be among the first lichens on lava rock on the Island of Surtsey, about 30 km off the southern coast of Iceland, that newly emerged in late 1963 [27]. The green algal lichen *P. gelida* is characterized by a central cephalodium, harboring the filamentous, branched, and heterocytous cyanobacterium *Stigonema* sp. as a second photobiont, whereas the cyanobacteria (*Nostoc* or *Stigonema*) hosting cephalodia of *S. vesuvianum* are several per thallus. In general, the role of the photobionts seems to be striking for the establishment of rock lichens also determining their ecological preference. Recently, 232 crustose lichen specimens, collected along an elevational gradient (171–959 m a.s.l.) within the McMurdo Dry Valleys at Antarctica, were screened for their mycobiont-photobiont networks in relation to abiotic factors [28]. It turned out that the mycobionts were highly dependent on the availability of climatically adapted photobionts with elevation positively associated with water availability as the key factor explaining most of the distribution patterns of the lichens [28]. *Lecidea cancriformis* (Fig. 2.3.2E) and *Rhizoplaca macleanii*, for example, were found to be significantly more common at higher elevations and *Carbonea vorticosa* and *Lecidea polypycnidophora* at lower elevations [28]. This goes along with the clear ecological preferences and niche differentiations of lichen photobionts because some were mostly restricted to the higher elevated habitats (cold and humid) with a clearly dividing threshold at 600 m a.s.l. dividing higher and lower sites [28].

### **Making use out of growth and age: lichenometry**

The intimate relation between long living lichens and their rock substrate has been a focal point of interest for lichenometry, a technique to date, e.g., late-Holocene terminal moraines that record glacier fluctuations. Traditionally, it relies on dating curves that relate diameters of the largest lichens in a population to surface ages and can give insights into past and contemporary climate change. The inferred rates at which landscapes respond, both biologically and physically, to these climatic changes are hence dependent on the accuracy and precision of lichenometric dates, which is still a controversial debate. The basic physiology of at least some lichens might be understood, but applications of lichenometry is greatly hampered by exceptional slow growth rates of the lichens, the resemblance of dead lichens to living ones, and by the difficulty of documenting colonization by sexual or asexual propagules [29]. However, calibrated dating curves are the basis of lichenometry that relates diameters of the largest—and



**Fig. 2.3.3:** Diversity of rock lichens. (A) Microscopic cross section of an apothecium of a saxicolous *Buellia* species from the Atacama Desert with black (melanized) spores. (B) *Acarospora schleicheri* (yellow) on silicate rock and *Xanthoria elegans* (orange) on slate from the Namib Desert, South Africa. (C) *Buellia frigida* on granite from Taylor Valley, Antarctica. (D) *Peltula euploca* as pioneer species on silicate rich Amphibolite, Switzerland (courtesy of Karl Bürgi-Meyer).

presumably oldest—lichens in a series of populations with the known ages of surfaces where those populations reside. Such approaches revealed, for example, that the lichenometrically “dated” moraines of Southeast Iceland to the second half of the 19th century may actually predate this time by several decades (30–100 years), thus throwing doubt on the exact timing of maximum glaciation during the “Little Ice Age” [30]. The method has also been used to suggest ages for surfaces that were initially lichen-free, such as the moai of Easter Island, rock surfaces exposed by tool stone quarrying or other applications in archeology [reviewed in 31]. However, the method had and still has to face valid criticism and without agreement on range of utility, treatment of error, and methods of measurement, sampling, and data handling. It turned out that a major source of error seems to be the assumption that the largest lichen within a population colonized soon after deposition and will survive indefinitely (reviewed by Osborn et al. [32]). A review of literature of *Rhizocarpon* (Fig. 2.3.1D, yellow), one of the most frequently used lichens for lichenometry, summarized gaps in knowledge regarding early development, growth rate/size curve, mortality, regeneration, competitive effects, colonization, and succession on rock surfaces [33]. The authors demonstrate that these processes at least for this lichen may not be comparable on different rock surfaces, especially in regions where growth rates and thallus turnover are high.

### 2.3.3 Colonizing the rock surface

Of the many properties of a substrate, one might examine to determine what causes the particular behavior of a lichen; the texture, the water relations, and the chemistry are most important. Each rock where a lichen population occurs constitutes a well-defined unit of a patchily distributed habitat type to which lichens are attached for the greatest part of their life cycle. The smoothness, hardness, relative stability, and surface features of substrates have often been cited as factors causing the restriction of lichens to one substrate or another. This becomes clear when considered how lichens distribute: lichens can easily conquer new habitats via fragmentation, a process during which parts of the lichen containing hyphae from the mycobiont wrapped around a few photobiont cells break apart. Transported by wind or runoff water, or carried by animals or humans, those propagules are able to develop a full lichen thallus once reaching a final destination under suitable conditions. Experiments showed, for example, that thalli of *Parmelia conspersa*, *P. glabrata* ssp. *fuliginosa*, and *Buellia aethalea* are established in plots on undisturbed and newly exposed smooth slate grown from such propagules transported by runoff waters over a period of 6 years [34]. By contrast, the spore formation in, e.g., the apothecium of the mycobiont (Fig. 2.3.3A) as a second propagation strategy enables only the fungal partner of the symbiosis to reach out for new habitats. Once the fungal spore transported via wind, water, animals, or humans reaches an adequate surface, the germination of

the ascospores is followed by the formation of a prothallus-like mycelium [35]. This purely hyphal stage might be able to persist on the surface of rocks or other substrates over long periods once contact is made by a free-living compatible photobiont. This appears to be a critical stage of the early life of a lichen because, e.g., mycobionts could be nourished by, e.g., carbohydrate-rich leachates of the rocks dissolved in rain [36]. However, it is obvious that rocks are a substrate that is easy to conquer because lichen diaspores can become trapped and begin to develop on rough surfaces more easily than on smooth surfaces.

### **Succession of lichen communities on deglaciated rocks**

In most cases, a succession of lichen species colonizing such an exposed rock over time can be observed beginning with a very few pioneer species that dominate the rocks over several years up to decades before others arise. A study that assessed the pattern of primary succession on a chronosequence of five rock outcrops exposed during the past 140 years by the retreat of Glaciar Frias in the Patagonian Andes, Argentina, for example, showed that the saxicolous lichen species *Placopsis perrugosa* can be such a pioneer species [37]. This species is not only considered a successful colonizer there, with high growth rate and dispersal ability, but also frequently found dominating recently deglaciated terrains in Chile, New Zealand, and Antarctica [38–41]. This crustose lichen formed pure stands on the study rock outcrops during the first 50 years after deglaciation on the Patagonian Andes [37]. With increasing terrain age, *P. perrugosa* centers disintegrate probably because of limitations in nutrient transport from the periphery to the center of the thallus until it completely disappears [42], indicating that it has little effect of later colonizers [37]. This was also supported by others, who considered that crustose lichens were able to colonize bare surfaces early because of their ruderal life cycle traits but do not have relevant positive effects on later colonizers [43, 44]. During mid-succession, the fruticose lichens *Stereocaulon* spp. (comparable to Fig. 2.3.2B), *Cladonia lepidophora*, and *Cladonia subchordalis* followed together with mosses, 80 years after deglaciation until vascular plants and ferns took over 140 years after deglaciation together with the lichen species *C. subchordalis*, *Neofuscelia plana*, and *Buellia* sp. [37]. This example shows that the development of vegetation on rocks requires at least 100 years longer on bedrock outcrops than on unconsolidated glacial deposits and is closely related to the time required for the formation of a cryptogamic carpet starting with lichens (and algae).

Each of those lichens has to face various extreme conditions owed to the properties of rock once established. Rapid temperature shifts of rocks and therewith also of the lichen thallus are commonly happening, but it has been shown that extreme high surface temperatures do not seem to determine the presence or absence of lichen coverage on stonework. Instead, average stone surface temperatures over the course of the year seems to play a critical role in determining whether or not surfaces are receptive to lichen colonization at least on stone walls [45].

However, a study about *Caloplaca sublobulata* growing on rocks on two different islands of the South Shetland Islands of maritime Antarctica, close to the front of large glaciers, found striking differences in its colonization behavior depending on environmental conditions [46]. On the moraine of Livingston Island, rock size played an important role in lichen development, explaining most of the differences observed in the diameter of *C. sublobulata*, the number of species, and the percentage of cover among the rocks studied while on Robert Island; the distance from the glacier front was associated with the lichen cover of the rocks but not with its diameter [46]. On Robert Island, the lichen development seemed to be drastically affected by fluctuations in the persistence of snow cover after glacier front retreat [46]. The authors state that tentative associations between ice retreat and colonization, on the one hand, and changes in snow cover duration and the dynamic processes of extinction and recolonization, on the other hand, are suggested from comparison of the two zones.

### **Influences of the rock chemistry on lichens**

Lichens are also affected by the chemical milieu, and many aspects of their micro-distribution are determined by the chemistry of the rock substrate. The underlying geochemistry and mineralogy of rocks plays an important role in the occurrence of individual lichen species and the assembly of lichen communities [47]. Investigations on saxicolous lichen communities of the New Idria serpentinite mass, San Benito County, California, for example, showed that only 4 out of 119 lichen species were shared between various sites containing ultramafic and nonultramafic rocks, depending on the chemistry of the substrate [48]. The diversity of a lichen community is determined by the rock's chemistry, and it can also cause the appearance of chemosyndromes in lichen species. Lichen acids built by the mycobiont, for example, affect the acidity tolerance of lichens and, thus, the choice of their substrate [49]. Other authors showed that even secondary metabolites of saxicolous crustose lichens varied on different rock types, e.g., depending on the concentration of Ca and metals in the substrate [50]. In detail, gyrophoric, lobaric, psoromic, rhizocarpic, and stictic acids were common in crustose lichens in metal-poor habitats, whereas species with anthraquinones and lichens without any secondary metabolites were most abundant on limestone (alkalic and metal-poor) [50].

### **Slope and altitude**

However, most of the abovementioned characters seem to be set by a few superior features such as the slope of the rock surface or altitude. A study on diverse saxicolous lichen communities comprising 54 lichen species at Jonas Rockslide, Jasper National Park, Alberta, showed that the variables that were found to be most highly correlated with species distributions were altitude on the rockslide and inclination from horizontal of the rock face, thus determining temperature and water availability at the rock surface [51]. Here, direct correlations between specific lichen species and slope

were found, indicating that, for example, *Rhizocarpon eupetraeum* was found on steeper rocks, whereas *Rhizocarpon bolanderi* had a negative correlation with slope [51]. In addition, species preferring steep slopping such as *R. eupetraeum* receive little direct radiation and retain less precipitation, both rain and snow [52, 53]. Thalli of species in this group are often discrete and surrounded by uncolonized rock, which suggests that competition may be unimportant in these environments [51].

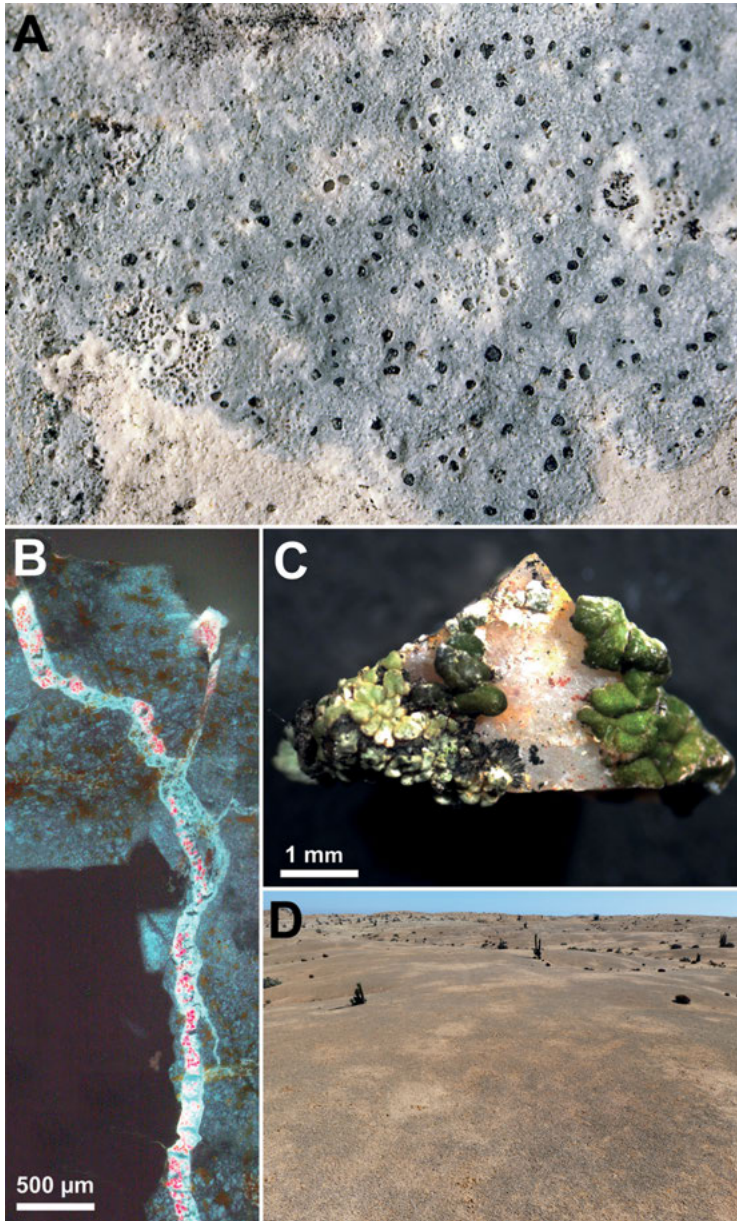
#### 2.3.4 Colonizing the lithomatrix

In contrast to epilithic lichens that colonize rock surfaces, endolithic lichens colonize the lithomatrix of stones and rocks with a loose hyphal-photobiont network beyond the extremes to which the surface is exposed. These organisms are able to colonize existing cracks and fissures (chasmoendolithic) and internal pores (cryptoendolithic) or penetrate actively into the rock (euendolithic) [54]. All of them are subjected to the most severe conditions of the terrestrial environment and are especially abundant under extreme ecological conditions, showing an extraordinary viability even there. Unfortunately, it is very difficult to study these lichens, their biology, and their adaptation to the rigors of their habitat, and even their taxonomy is as yet poorly understood.

Living under extreme conditions might make endolithic lichens unrivaled, but this is also true for the availability of potential photobionts, which are strongly limited there as well. Genetic investigations of an endolithic *Lecidea* sp. and others from Antarctica, for example, unveiled only one genotype of the photobiont green algae *Trebouxia* (comparable to Fig. 2.3.2C) [8]. These results could indicate a generally low abundance of free-living *Trebouxia* species in these harsh conditions and/or poor specificity of the mycobiont [8]. However, mycobionts less specific in their choice of photobiont are also able to survive in conditions in which only some photobiont species exist [55].

Although the interactions between the various partners of the symbiosis, mainly the relation between the mycobiont and the photobiont, are similar to those of epilithic lichens, endolithic lichens show quite some differences. Some endolithic species such as *Verrucaria marmorea*, *Opegrapha saxicola*, or *Rinodina bischoffii* contain the so-called oil hyphae in the medullary layer of the thallus [56, 57]. These hyphae of unknown function appear in different forms and accumulate unusually large amounts of oil in the form of oil globules of various sizes and shapes, which were first described in endolithic lichens by Zukal [58] and named “Sphäroidzellen”. It appears that the formation of oil hyphae and lipid production is an intrinsic characteristic of the fungal partner of some endolithic species because this feature also remains when the isolated mycobionts grow on culture medium [56].

The endolithic lifestyle is also reflected in the ecophysiological performance of some endolithic lichens such as *Acrocordia conoidea*, *Petractis clausa*, and



**Fig. 2.3.4:** Lichens and the lithomatrix. (A) The endolithic lichen *Hymenelia coerulea*, a pioneer of limestone of the European Alps, Austria. (B) Thin section of polycrystalline grit from the Atacama Desert with lichens (comparable to C) under fluorescence microscopy showing the penetration of the lichen (whitish autofluorescence of chitin) with its photobionts (red autofluorescence of chlorophyll) into deeper structures of the lithomatrix. (C) Stereomicroscopic image of a grit stone from the Atacama Desert colonized by various saxicolous lichens. (D) Landscape of the National Park Pan de Azúcar, Atacama Desert, showing blackish patterns of the grit crust, a biocenosis of lichens, algae, and fungi on and inside polycrystalline quartz stones (comparable to C).



*Rinodina immersa* from the Trieste Karst of Italy, which showed a rather small maximum photosynthetic rate ranging between 0.2 and 1.5  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ h}^{-1}$  at optimal conditions compared with, e.g., fruticose lichens [59]. In addition, they have a high resistance to  $\text{CO}_2$  diffusion with a saturation being reached only at a very large  $\text{CO}_2$  concentration, indicating that endolithic lichens are slow-growing, stress-tolerant organisms, which are rather similar in their physiology to epilithic crustose lichens [59].

Endolithic lichens have for sure the most intimate contact with the lithomatrix compared with other lichens, and it is clear that they interact with it. Observations of such bioweathering patterns on, e.g., limestone triggered by lichens have been described for multiple cases, first in 1880, when Sollas [60] observed minute hemispherical pits on exposed limestone surfaces, which were produced by the apothecia of the endolithic lichen *Verrucaria rupestris* (= *Verrucaria muralis*) [61]. Simultaneous measurements of the oxygen uptake/release and pH shift of isolated green algal photobionts of the endolithic lichens *Hymenelia prevostii* and *Hymenelia coerulea* (Fig. 2.3.4A) revealed respiration-induced acidification of the medium in the dark followed by acidification effects at higher light intensities [61]. This mechanism allows both lichen species to conquer their endolithic niche, the lithomatrix of limestone of the eastern Alpine mountains, at the Untersberg [61].

### 2.3.5 Bioweathering

Several types of interactions between the lithic/mineral substrate and the lichens are well known as bioweathering processes that can significantly influence ecosystems from the micro- to the macroscale. Unlike the situation in soil, where there are more complex and often interacting factors, the zone of contact between saxicolous lichens and their rock substrate provides an ideal environment for studying the biological weathering of minerals. The lithomatrix is the place of physical and chemical activity, presenting a complicated heterogeneity in which primary and secondary minerals, organic acids and compounds, and living organisms, including the myco- and photobionts of lichens, free-living algae and fungi, and bacteria, are all involved [62]. Several studies have shown that lichens, even in some of the most extreme ecosystems as, for example, in Antarctica, mediate bioweathering actions. Here, various lichen to mineral actions have been documented that might serve as pathways for the initial pedogenesis of soils on Earth [63]. *Xanthoria elegans*, *Lecidea lapicida*, *R. geographicum*, and *Bacidia stipata*, for example, are cosmopolitan as well as endemic lichen species from maritime Antarctica that showed biomineralization processes as well as mineral transformations of significant meaning for such a little productive ecosystem dominated by cold and therewith slow rates of activity of any kind [64].

### Bioweathering processes

One of the first bioweathering actions taking place once a lichen established on a rock surface is the penetration of the lithomatrix with fungal hyphae. These processes have been described in many studies (e.g., [65]), and often a significant pH reduction in the vicinity of cells on mineral surface attachment or a significant turgor pressure of around 10–20 MPa that is applied toward the lithomatrix can be observed [66]. This eventually leads to mineral mass loss at the interface of lithomatrices causing pits and tramlines, which was observed for ancient stones such as the Persepolis monuments, Iran [67], and also many other natural rock types (summarized by Farrar [6]). Such drillings and pits subsequently lead often to the establishment of the whole lichen in deeper parts of the stones within the lithomatrix (Fig. 2.3.2B), promoting further bioweathering processes. As mentioned before, lichens are poikilohydric and take up water from their surroundings. However, even during times without rain or fog, high air humidity or dew leads to frequent shrinking and swelling actions of single hyphae, photobiont cells, or even whole thalli within the lithomatrix. This has, for example, recently been observed within polycrystalline stones of only several millimeters in size in coastal regions of the Atacama Desert where fog leads to such swelling actions followed by the deterioration of the stones induced by the swelling action of the lichens [68]. In addition, freezing and thawing of such biological structures within stones can have similar effects [e.g., 69] as well as the swelling action of organic and inorganic salts as a by-product of other weathering processes [e.g., 70].

Besides physical forces, lichens can enhance bioweathering process also via a plethora of chemical actions. Their photosynthetic character, for example, that leads to the dissolution of respiratory  $\text{CO}_2$  in water held by lichen thalli results in the generation of carbonic acid, which advances the solubilization processes by lowering the local pH values of the thallus and the related microenvironment. For example, Jackson and Keller [71] observed that the respiratory  $\text{CO}_2$  of lichen-colonized lava flows on the Island of Hawaii effectively resulted in localized pH reduction in the microenvironment and, thus, promoted the chemical weathering rate.

In addition to respiratory  $\text{CO}_2$ , oxalic acid synthesized and secreted by the mycobiont plays a significant role in the deterioration of stone and rock material induced by lichens. Lichen plays a crucial role in the biochemical weathering of, e.g., granite via the production of oxalic acid and therewith in the formation of local soils in the cold temperate forest area of northeast China, such as northern Greater Hinggan [72]. During their experiments, the authors applied concentrations of oxalic acids similar to those within local lichens to granite powder and found great dissolving effects of granite, which significantly promoted the release of  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Al}^{3+}$ ,  $\text{Fe}^{3+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Ca}^{2+}$ , and  $\text{SiO}_3^{2-}$  [72].

The above mentioned as well as several other mechanisms of bioweathering processes have been documented in the past, but most studies present single mechanisms. Recently, it could be shown that lichens can play significant roles from their

microhabitat to the landscape in the coastal areas of the Atacama Desert. Here, various lichens together with algae and heterotrophic organisms colonize 6-mm-sized polycrystalline granitoid stones called *grits* (Fig. 2.3.4C), causing a blackish pattern on the ground (Fig. 2.3.4D) [73]. This unique biocenosis was termed *grit crust*, and the organisms involved mediate various of the abovementioned bioweathering processes simultaneously. Although the hyphae of the mycobionts penetrate the lithomatrix and embed the thallus into the lithomatrix, the photobiont gets in tight contact with mineral fragment and raises the pH, thus leading to the dissolution of quartz. In addition, fog and dew as the main regular water sources lead to the shrinking and swelling action of the lichens, which also colonize great parts of the internal structure of the grit stones, thus leading to a physical breakdown of the grits. The wetted lichen thalli on the surface of the grits can also trap dust and mineral particles from the air, which, together with the other effects, seems to lead to the accumulation of fine material as an initial stage of soil formation within the ecosystem of the coastal Atacama Desert. This is only one example highlighting the important biogeological and ecological role lichens can play as they are the first and persistent colonizers of exposed rock surfaces [68, 73].

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Christoph Scheidegger

## 2.4 High alpine lichens

Mountain regions host an overproportionate fraction of global biodiversity, supporting an estimated one-third of terrestrial biological diversity. In alpine landscapes, lichen diversity has often been reported to follow a unimodal distribution along altitudinal gradients with a midaltitudinal peak. In the European Alps, the montane belt includes the highest number of lichen species, but the mid altitudinal peak for rocks with a low calcareous content (saxicolous intermediate), terricolous and bryophilous species, and the growth form of umbilicate species peak at the subalpine belt. Alpine landscapes are strongly influenced by glacial oscillations during the Pleistocene and most prominently by the last glaciation. We hypothesize that *Umbilicaria virginis*, the only macrolichen restricted to the nival belt in the Alps, is a candidate species that survived the last glacial maximum on central alpine nunataks in the Alps and possibly also on ice-free peaks in other mountain systems. Survival on central alpine nunataks is also a likely hypothesis for a number of other alpine lichen species, including the rare saxicolous *Lecanora diaboli* and *Lecanora freyi* on rocks with a low content in carbonates, *Lecanora concolor*, and several lecideoid lichens on siliceous rocks. High alpine regions, including the nival belt, provide key habitats for extremophile lichen species that depend on cold climates, which are unavailable at lower altitudes. Nival regions of mountain systems are therefore important “museums” for a considerable number of lichens, many of them with boreal arctic-alpine distributions across diverse mountain systems. However, more studies are needed to test if high alpine and nival environments also act as “cradles” for lichen diversity, and immediate studies on threats and a possible decline of high alpine and nival lichens is needed to avoid that alpine and nival environments turn into “graves” because of the dramatic retreat of glaciers and permanent snow due to climate change.

### 2.4.1 Introduction

Mountain regions host an overproportionate fraction of global biodiversity, supporting an estimated one-third of terrestrial biological diversity [1]. Steep mountain slopes and opposite expositions dramatically influence temperature over short distances, and mountain ranges may separate humid from dry areas at a few kilometer distance [2]. At high elevations, permanent snow and glaciers limit the available habitat for plants, bryophytes, and lichens, but most of the highest peaks in the Alps and in other high mountain systems also provide ice-free habitats for lichens under the current situation and even during the last glacial maximum (Fig. 2.4.1). Over geologically short time scales, mountain regions are intrinsically unstable

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**Fig. 2.4.1:** Most of the highest peaks in the Alps (Weissshorn, canton of Valais, 4505 m a.s.l., A) provided ice-free habitats for lichens during the last glacial maximum (LGM). Also, lower peaks like Ränfenhorn (front, 3255 m a.s.l., B) were possibly ice-free, although the slightly higher Rosenhorn (right in the back, 3688 m a.s.l., B) was covered by ice during the LGM and their rock surfaces had to be colonized after the retreat of the ice.

systems, undergoing substantial changes in response to tectonic, erosional, and climatic processes that lead to splitting and subsequent isolation of species ranges, evolutionary adaptation to changing conditions, and consequently, population differentiation [3]. These biological processes create a shifting balance between speciation and extinction, in which mountains may act as “cradles” (areas of especially rapid species origination), “museums” (areas of especially long-term persistence of species), and “graves” (areas with especially high rates of extinction) for biodiversity [3–5].

## 2.4.2 Upper altitudinal limits of lichens

The upper altitudinal limit of higher plants is currently known from the north flank of Sagarmatha at 6400 m [6]. The highest records of lichens are known from Makalu (Nepal) and were reported from 7400 m [7–10], and lichen records from about 6000 m and above are known to occur in Africa, Asia, and South America (Tab. 2.4.1). In the Alps, there is no altitudinal limit for lichens from where lichens have been reported from the permanently ice-free rocks at Dufourspitze (4633 m) or Signalkuppe (4553), the type locality of *Buellia leptolepis* [11, 12].

### Species richness along altitudinal gradients

In alpine landscapes, lichen diversity has often been reported to follow a unimodal distribution along altitudinal gradients with a midaltitudinal peak. In the Himalayas, the total lichen species richness shows a unimodal relationship with elevation. The maximum modelled total richness occurred at 3100–3400 m [13], i.e., in sub-alpine landscapes where mountain forests, dwarf shrubs, meadows, and rock formations form complex land cover mosaics, regionally influenced by a long-lasting,

**Tab. 2.4.1:** Highest records of lichens in African, Asian, and South American Mountain systems according to Körner [6].

Mountain peak	Altitude (m)	Lichen taxa	Habitat	References
Makalu, Nepal	7400	<i>Carbonea vorticosa</i> , <i>Pertusaria bryontha</i> , <i>Lecanora polytropa</i> with parasitic <i>Cercidospora epiolytropa</i>	On rock and soil	T. Kunava, cited in [7–9]
K2, Karakorum, Pakistan	7000	<i>Xanthoria elegans</i>	On rock	[35]
Cachi, Andes, Argentina	6700			Halloy 1985, cited in [36]
Socompa Volcano, Andes, Argentina, and Chile	6060	Specimens lost in a lab fire: <i>Stereocaulon</i> sp., <i>Cladonia</i> sp., cf. <i>Thamnotia</i>	Warm spots around steam vents	[36]
Kilimanjaro	5896	<i>Umbilicaria</i> sp., <i>X. elegans</i>	Warm spots near active fumaroles	[37]

extensive human management. At this vegetation belt, epiphytic and lignicolous species reach their upper distribution limit because of the limit of their substrates, i.e., trees, shrubs, and dwarf shrubs with lignified trunks and branches and long-lasting, slowly decomposing lignum (i.e., phanerophytes and chamaephytes) [14]. Light-demanding, rock-inhabiting lichen communities have limited habitat availability in forest landscapes but find sufficient area in the subalpine vegetation belt and above. Therefore, crustose lichens that dominate rock surfaces peaked at a considerably higher altitude (4100–4200m) in the Himalayas, whereas foliose lichens had their maximum richness at consistently lower altitudes between 2400 and 2500 m [13].

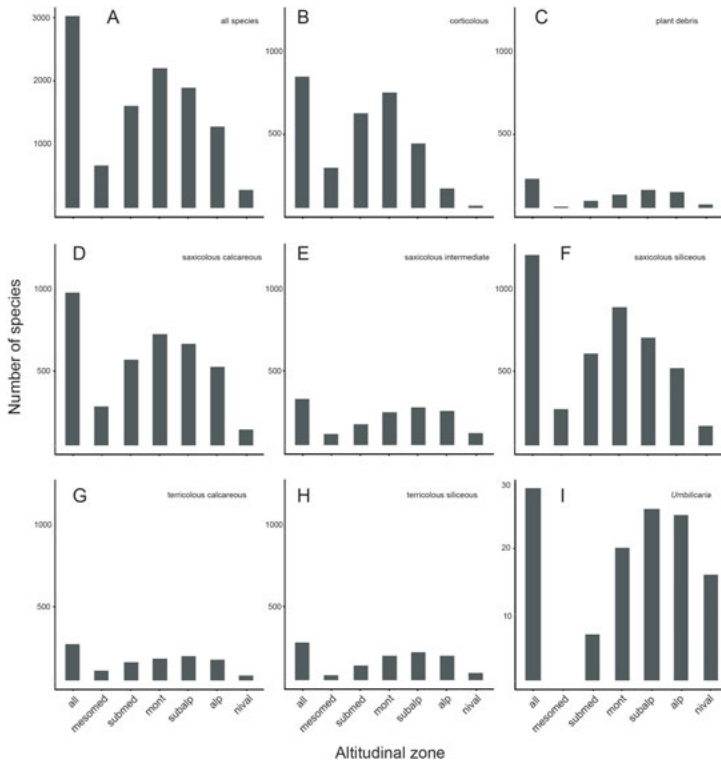
In the European Alps, Nimis et al. [12] listed 3017 lichenized taxa (excluding nonlichenized or doubtfully lichenized and particularly dubious records and extremely poorly known taxa). The delimitation of the Alps [15] includes six bioclimatic/altitudinal zones, including the mesomediterranean, submediterranean/colline, montane, subalpine, alpine, and nival belts [12].

For an analysis of the altitudinal distribution of the species of the Alps, we have assigned the presence for each of the 3017 lichenized taxa to the bioclimatic/altitudinal zones and to the major substrate types, including bark, plant debris, calcareous rock, intermediate rock with low carbonate content, siliceous rock, calcareous soil, and noncalcareous soil. Total lichen species richness showed a clear unimodal relationship with elevation. With more than 2000 species, the montane belt includes the highest number of lichen species in the Alps, followed by the subalpine, submediterranean, mesomediterranean, and nival belts (Fig. 2.4.2A).

This montane species peak is characteristic for corticolous, lignicolous, saxicolous (both calcareous, and siliceous) species, whereas saxicolous species growing on rocks with a low calcareous content (saxicolous intermediate), terricolous and bryophilous species, and the growth form of umbilicate species peak at the subalpine belt (Figure 2.4.5.2B–I).

### **Growth forms of high alpine lichens**

Of the 296 taxa occurring in the nival belt of the European Alps, 230 species are crustose (including placodioid, bullate, and endolithic) and only 20 are fruticose, 29 species are foliose, and 17 species are umbilicate. Six species are exclusively associated with cyanobacteria, 13 taxa include cyanobacteria in gall-like structures (cephalodia), and one species (*Solorina crocea*) includes a complex photobiont layer with green algae being concentrated in an upper and cyanobacteria in a lower photobiont layer (data analyzed from Nimis et al. [12], not shown). The vast majority of species include green algae as photobiont, although a considerable number of species is also loosely associated with free-living cyanobacterial microbial communities.



**Fig. 2.4.2:** Number of all lichen species of the Alps (A) and species growing on the specific substrate types bark (B), plant debris (C), calcareous rock (D), intermediate rock with low carbonate content (E), siliceous rock (F), calcareous soil (G) and noncalcareous soil (H), and umbilicate species as an example of a typically alpine growth form (I). Analyses based on Nimis et al. [12].

The majority of the species known from the nival belt has more often been reported from the alpine and even lower altitudinal zones, but five taxa are hitherto known to be restricted to the nival belt, i.e., *Lecidea leucothallina* var. *discrepans*, *Lecidea nivos*, *Myriolecis behringii*, *Polysporina limborinella*, and *Umbilicaria virginis* [12].

### 2.4.3 High alpine lichen ecology and physiology

Although lichen communities up to the alpine belt generally have a high cover percentage, in the nival belt, lichens are generally more scattered and form only rarely dense communities, e.g., on the scattered patches of vegetation debris or on windblown ridges and summits on siliceous rock. Under less favorable conditions such as N-exposed rock slopes, lichen cover is less than 5% and 0% in those areas where snowfields or ice have receded during the last decades. Nevertheless, major

parts of rock faces are colonized by a scattered lichen vegetation dominated by a relatively low number of species, often without a visible community structure, because the species pool at this altitude is considerably reduced compared with the alpine zone [16].

Lichens suitable to colonize the nival belt are thus extremophiles adapted to cope with a constantly extreme environment where the most important factors include the following:

- water stress because of desiccation during hours of low water vapor pressure in the atmosphere or because water is frozen,
- subzero temperatures during all seasons,
- intensive solar irradiation with high ultraviolet B index, and
- strong winds with sandblasting effects due to sand and ice crystals.

Nival lichens are poikilohydric organisms that are physiologically [17] and morphologically [18] adapted to frequent and strong desiccation. Switching off physiological activity during unfavorable periods and profiting from beneficial ecological periods give poikilohydric organisms an adaptive advantage over continuously active organisms under a harsh environment such as high alpine and nival areas.

Melting water is an important source for some of the most conspicuous lichen communities at the nival belt, including dense populations of the enigmatic *Umbilicaria virginis* [10, 19, 20]. This is the only macrolichen that is limited to the nival belt where it grows on ridges with frequent melting water supply during late summer (Fig. 2.4.3). With its relatively thick, leathery thallus, this species is able to take up a substantial amount of water and to maintain a high water content also during days with little or no melting water, especially during days where temperature is too low for the melting process.

Water uptake of a desiccated thallus from sources other than liquids is an important ecological strategy of lichens, especially those with green-algal photobionts [21], a process that can lead to nearly 100% of relative net photosynthesis in aerohygrophytic lichen species [18]. Even under subzero temperatures, water vapor uptake under snow can lead to a physiological reactivation of lichen thalli, a process that is especially important for substrate-hygrophytic nival lichens. However, water vapor uptake under snow-free conditions is a slow physical process that takes hours before saturation. Under the rapidly changing weather conditions at the nival belt, the importance of this process remains unclear but there are specifically alpine-nival rehydration processes that deserve further discussion. Under subzero temperature and strong wind, fog frequently forms hoarfrost on some but not all lichen growth forms. During such an event, up to 1 cm long multibranched dendrite ice crystals [22] formed on thalli of *Umbilicaria decussata* (Figure 2.4.4B), whereas several crustose lichens, including *Rhizocarpon* sp. and *Lecanora concolor*, growing close by showed no ice crystals growing on their surface. A second process involves ice condensation on hydrophilic



**Fig. 2.4.3:** (A) Highly frequented normal route to Mt. Jungfrau (4158 m a.s.l.) with the type locality of *Umbilicaria virginis* at the upper end of the rock ridge. (B) Alpinists pass less than 2 m from the luxuriant population of *U. virginis*. (C) Typical habitat of *U. virginis* at Weissmies (4017 m a.s.l.) with melting water and ice lenses hydrating the thalli during late summer. (D) Upper surface with apothecia and (E) lower surface with rhizines on pink lower cortex.

structures such as the terminal ends, e.g., of *Cladonia arbuscula* during cold nights when the relative air humidity reaches 100% and condensation starts below zero degrees during the minimum temperature at early morning hours. Under these conditions, tubular ice crystals [22] are formed at around  $-5^{\circ}\text{C}$  and develop at the very tips of fruticose lichens, e.g., at the pycnidium bearing, hydrophilic ends of

the podetia (Figure 2.4.4A). In both the *Umbilicaria* and the *Cladonia* examples, the formation of ice crystals was possible because the thalli were thermally isolated from the still relatively warm ground. In *Cladonia*, thermal isolation is caused by the fruticose growth where the 10-cm or longer thalli limit heat transport from the soil through the thallus to the tips. In *Umbilicaria*, thermal isolation from the massive rock is achieved by the foliose growth form of the lichen where the only contact of the thallus is maintained by the punctiform central holdfast of the umbilicus. In both cases, a subsequent warming above zero degrees will allow the lichen to take up the melting water from the ice crystals and rehydrate the thallus and consequently reactivate physiological activity, including respiration and photosynthesis.

Freezing processes cause various types of injury directly or indirectly associated with the freezing processes of water in tissues [23]. However, in *Umbilicaria aprina*, slow freezing of water-saturated thalli showed neither physiological nor morphological damage [24]. During slow cooling, a specimen in a freezing chamber from +5 to subzero temperatures showed an exotherm reaction at -5.4°C, indicating that this species from Antarctica leads to extracellular but intrathalline freezing of symplastic and apoplastic water, and this translocation of water to the medullary layer inside the lichen leads to a rapid dehydration of the protoplast. Structural changes of the mycobiont and the photobiont occurring during extracellular freezing are similar to processes observed during dehydration at ambient temperatures [24]. The water content of the lichen is maintained during freezing, and during a subsequent rise of the temperature above the melting point, this intrathalline water is taken up into the fungal and photobiont apoplast and symplast, leading to immediate physiological reactivation. We assume that this strategy is widespread among nival lichens of the genus *Umbilicaria* with thick thalli and possibly other lichen genera and plays an important strategy in optimizing water use in a frequently freezing and desiccating environment, especially during sunny periods.

Solar irradiation at high altitudes is strong, especially on mountain ridges and peaks where direct sunlight is available during a major part of the days. Secondary metabolites and pigments concentrated in the cortex reflect or absorb part of the irradiation and, thus, limit irradiation at the algal layer inside the lichen thallus. In addition, the thickness of the cortical and epinecral layer and the pigmentation or the content of calcium oxalate in the epinecral layer are known to vary according to light conditions of the specimen's habitat [25]. In addition to these stable adaptations to a specific solar environment, short-term changes to the activity state are known, which are related to the water content of the lichen thallus. In hydrated thalli, fungal hyphae are turgid, and their symplasts are filled with cytoplasm. During desiccation, the cellular loss of water volume is compensated by collapsing photobiont cells and cavitating fungal hyphae, especially in the cortical layer. Cavitated cortical cells still maintain their shape and size but form an air (or more precisely, a water vapor) bubble in their symplast [18], which includes some 70% to 85% of cell volume. This

cavitation bubble substantially increases the light reflectance of the lichen thallus when dry and physiologically inactive and may prevent the (physiologically inactivated) photobionts from light damage [18]. In addition to these adaptations and strategies to intensive light situations, some bullate crustose species have developed a specific adaptation to high-light conditions that was termed Fensterpflanzen [26]. The photobiont layer is protected by a very thick epicortical layer that reflects a major part of light and scatters light that enters the lichen thallus. Photobionts are not concentrated in a typical algal layer below the cortex, but they are arranged laterally along the sidewalls of the thick bullate areoles and receive only indirect light that is scattered in the epinecral layer. *Psorinia conglomerata* is a typical species that refers to this type of window lichen (Fig. 2.4.4C). The borders of the bullate areoles are strongly pigmented and often have a rim of calcium oxalate that reflects sunlight. In the center of the areole, a thick epinecral layer scatters the entering light, and the algal layer is concentrated along the margins of the areole, but not directly under the epinecral layer. Window lichens are not exclusive for the nival belt, and especially in semiarid environments, other examples have been reported [26].

#### 2.4.4 High alpine refugia during last glacial maximum

Alpine landscapes are strongly influenced by glacial oscillations during the Pleistocene and most prominently by the last (Würm) glaciation that had its last glacial maximum (LGM) around 18–20,000 years BP [27]. Biodiversity of the Alps is therefore driven by several cycles of extinction and colonization processes, species often immigrating from distant areas such as other mountain systems several thousand kilometers away [6, 28]. A high number of plant species recolonized the Alps from various peripheral refugia [29], but only a few studies have evidenced the survival of plants on central alpine nunataks during the LGM, e.g., for *Eritrichium nanum* [30–32].

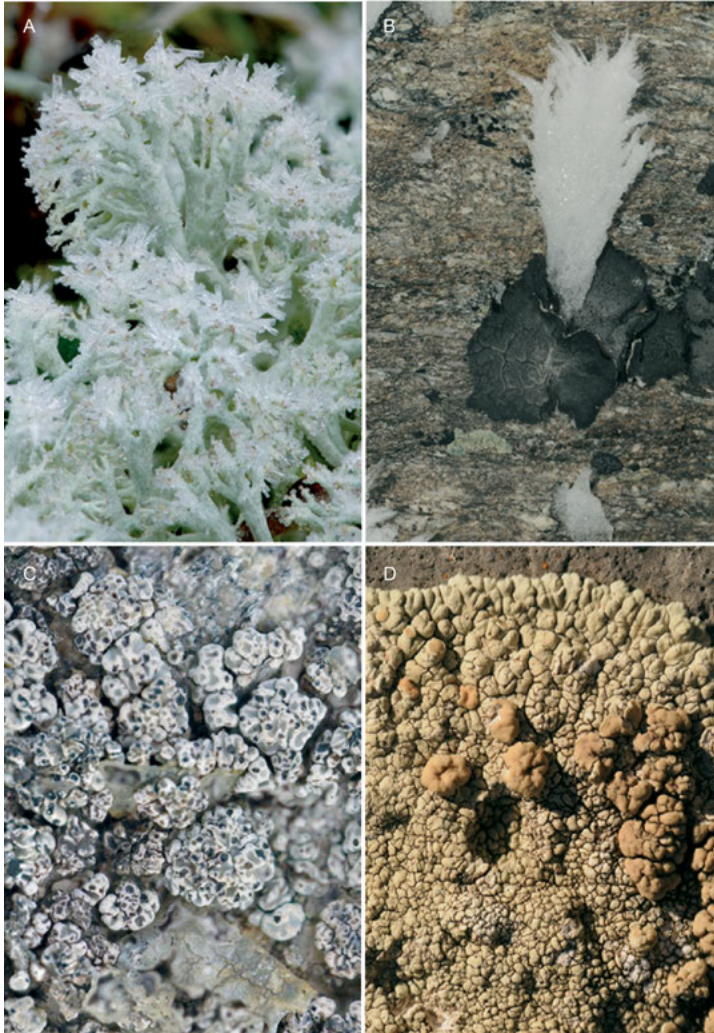
For lichens, no detailed phylogeographic analyses are available that can unambiguously specify the type of their refugia during LGM, although four alternative recolonization processes can be hypothesized, i.e., long-distance migration from remote mountain systems or warmer refugia, upwards migration from periglacial areas or peripheral refugia, and survival on central alpine and nival nunataks, respectively.

Several species, including *Peltigera rufescens*, *Physconia muscigena*, *Psora decipiens*, *Squamarina gypsacea*, and *Xanthoria elegans*, that are currently known from the nival belt colonize a broad altitudinal range from xerothermic lowland sites up to the nival belt, and we assume that their survival during the LGM was possible but did not depend on nunataks or peripheral refugia. It is likely that the majority of these species survived the LGM in periglacial areas or even in the large Mediterranean refugial areas. Other species such as *Bellemeria*



*alpina*, *Cladonia coccifera*, *Frutidella caesioatra*, *Hymenelia coerulea*, *Lecidea confluens*, *Lecidea lapicida*, *Melanelia hepatizon*, *Parmelia omphalodes*, *Rhizoplaca chrysoleuca*, and *Umbilicaria cylindrica* are frequent at montane and sub-alpine altitudes and likely have survived in peripheral refugia where relatively large habitat areas with suitable climatic conditions were available during the LGM. However, for *Umbilicaria virginis*, the only species that is currently limited to the nival belt and which has been observed relatively frequently in the Alps during the last decades, it is unlikely that suitable habitats were present in the periglacial lowland or on peripheral refugia. Based on the author's field experience, the typical habitat of the species consisting of steep rocks with seasonal water runoffs is relatively frequent also at lower altitudes where infraspecific competition mainly from other taxa of the genus *Umbilicaria* is still low to moderate. Habitat availability and low interspecific competition would allow *U. virginis* to establish at lower altitudes if ecological conditions would allow. However, *U. virginis* is limited to altitudes at or above 3000 m a.s.l. in the Alps, where 13 out of 14 localities studied by E. Frey [20] and the author are known from locations that were alpine nunataks during the LGM [33]. The remaining locality is within 500 m from alpine nunataks on a peak at 2969 m a.s.l. It is likely that temperature dependence of photosynthesis and respiration confines this species distribution to altitudes above 3000 m, making this species a truly nival element of the Alps biodiversity that is physiologically depending on a nival climate. Furthermore, a postglacial recolonization of the Alps from other high mountain systems or from Scandinavian mountains is unlikely, given the sequence differences of the nrITS between alpine specimens and specimens from other regions (Scheidegger, unpublished). We can therefore hypothesize that *U. virginis* is a candidate species that survived the LGM on central alpine nunataks in the Alps and possibly also on ice-free peaks in other mountain systems. Survival on central alpine nunataks is also a likely hypothesis for a number of other alpine lichen species, including the rare saxicolous *Lecanora diaboli* (Figure 2.4.4D) and *Lecanora freyi* on rocks with a low content in carbonates, *Lecanora concolor*, and several lecideoid lichens on siliceous rocks, including infraspecific taxa of *Lecidea atrobrunnea* [10], to name just a few species.

High alpine regions, including the nival belt, thus provide key habitats for extremophile lichen species that depend on cold climates, which are unavailable at lower altitudes. Nival regions of mountain systems are therefore important "museums" for a considerable number of lichens, many of them with boreal arctic-alpine distributions across diverse mountain systems [34]. More studies are however needed to test if high alpine and nival environments also act as "cradles" for lichen diversity, and immediate studies on threats and a possible decline of high alpine and nival lichens are needed to avoid that alpine and nival environments turn into "graves" because of the dramatic retreat of glaciers and permanent snowfields due to climate change.



**Fig. 2.4.4:** Hoarfrost formation on *Cladonia arbuscular* (A) and *Umbilicaria decussata* (B) is an important water resource in alpine and nival environments. A thick lens-shaped epinecral layer on the center of bullate areoles of *Psorinia conglomerata* (here an almost pigment deficient form of this species) characterize window lichens (C). *Lecanora diaboli* is a rare high alpine species on slightly calcareous rocks (D).

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Martin Grube and Lucia Muggia

## 2.5 Complex lichen communities inhabiting rock surfaces

Bare rocks are the oldest terrestrial substrate, but only few eukaryotic life-forms pioneer growth on their surfaces. Among those lichens are perhaps the most conspicuous and diversified group. Alpine boulders above the tree line have been colonized by lichens since thousands to perhaps even millions of years of substrate availability. In this chapter, we shed light on the formation of complex lichen communities on rock surfaces and reflect on the mechanisms how interactions among the species may influence their composition with selected examples. The common presence of algae shared among different species could facilitate the recolonization of lichen species that compete for space, and specialized parasites, either lichens or non-lichenized fungi, may have specific and varied influence on the fitness of individual host lichens in rock communities. Lichen communities on rocks are complex consortia, which are regulated over long periods of time by a network of interactions. We argue that after initial colonization, these interactions lead to a long-term steady state of species-rich communities with little fluctuation of species composition.

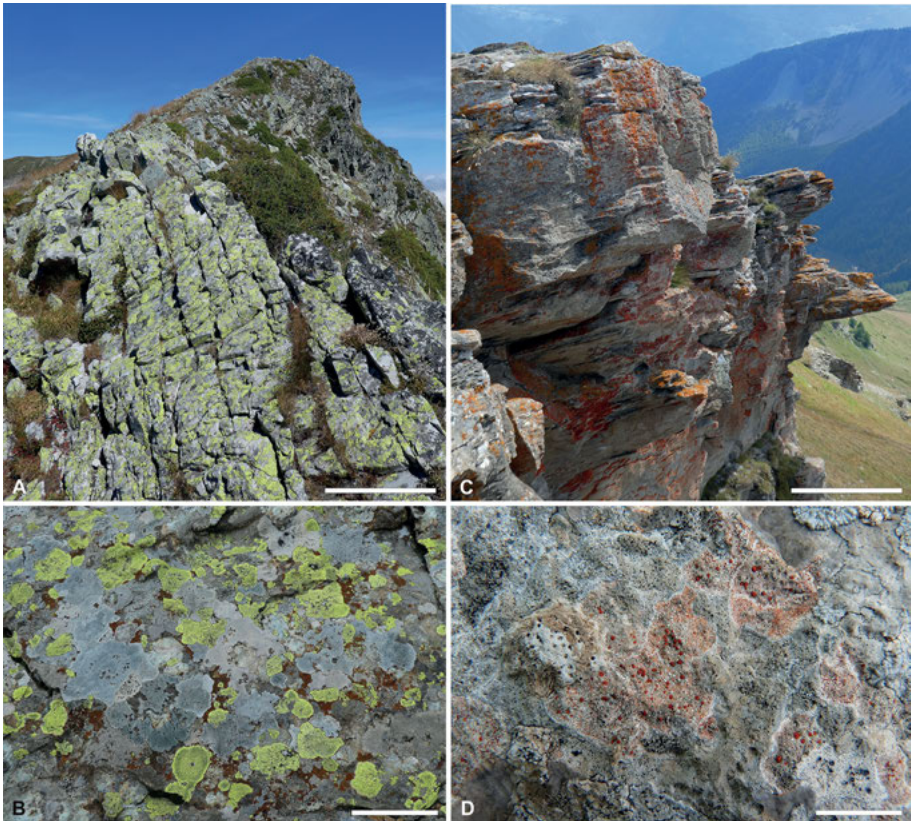
### 2.5.1 Introduction

In rock habitats with fluctuating water conditions, lichen symbioses are dominant life-forms covering the surfaces. Particularly in alpine or coastal habitats, they may decorate the rocks with characteristic tinges of colors, by which the chemical composition of rocks may be recognized already from a distance. The greenish tinge of the most common map lichens (the genus *Rhizocarpon*) characterizes the presence of siliceous rocks (Fig. 2.5.1A, B). Alternatively, red, orange, and white patches are indicative of lichen species on calcareous rocks (Fig. 2.5.1C, D). However, on these other rocks, lichens are less conspicuous, and their presence is recognized only at a closer inspection. The bare eye may then recognize a mosaic of lichens forming a community of various species and their conspecific individuals. The potential composition is finely determined by the physical and chemical conditions of the rock, and the local microclimate together with the shared presence of species inspired researchers to classify those communities and to create the research branch of lichen sociology. The actual composition of lichens on rocks also depends on the stochastic factors, the variation of weathering, the history of colonization, the competition among lichens, and the relations among species.

Before lichens are able to colonize barren rocks, a sufficient weathering layer needs to be established to develop appropriate conditions for lichen growth. Lichen

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colonization usually starts to develop after several years of surface weathering of the rocks. Although certain lichens, including *Rhizocarpon geographicum* or *Sporastatia testudinea*, can establish even on barely modified surfaces, e.g., of quartz, other species require more or less weathered surfaces, where hyphae of lichenized fungi can intrude to colonize stable microfissures from which lichenized stages emerge as thallus initials. Many tiny crust lichens can then form simultaneously to spread over the surface. Although endolithic growth is a more common phenomenon on calcareous rocks [1], siliceous rocks are colonized primarily by epilithic species of lichens (Fig. 2.5.1). Lichens with a more conspicuous crustose and epilithic thallus also comprise squamulose, lobate shapes. Crustose lichens themselves induce a range of weathering phenomena [2] because of not only the action of secreted lichen compounds but also, mechanically, the hygroscopic action of their structures.



**Fig. 2.5.1:** Lichen communities on siliceous (A, B) and calcareous rocks (C, D). Scale bars: A, B = 1 m; C = 10 cm; D = 1cm. A–C, Italy; D, Austria. Photographs by LM.

Photographic documentation for the long-term monitoring of rock lichen communities is scant, and there is still little understanding of the dynamics of whole communities over hundreds of years. An alternative approach has been tried with a mathematical model to stochastically simulate lichen tessellation of rock surface [3]. It has been shown that with the aging of the rock surface, more species with more varied requirements and growth styles settle in the lichen community. Although the modeling approach gives a first impression about the colonization patterns, it tends to neglect the biological interrelationships of species, which go far beyond mere competition for space, but include various levels of parasitism and other strategies of growth success. Richardson [4] compared the biological relations among lichen species with a “war in the world of lichens,” and Armstrong [5] also called the dynamics a “two-dimensional warfare in slow motion.” However, we think that the term “war” is perhaps too simplistic for these communities. We hypothesize they are tuned for long-term stability in their environment, which may go well beyond the average lifetimes of other terrestrial communities (e.g., plant communities).

### **2.5.2 Factors influencing the composition of lichens on rock surfaces**

The composition of lichen communities on rocks has been studied in great detail in “floristic” works, which also revealed a high correlation with rock chemistry [6, 7]. Beside physical factors, such as the difference in the coherence of chemically different rock types, chemical features are attributed to the availability of nutrients. The availability of calcium (Ca) and pH is higher in limestone than that in acidic rock situations, and additionally differences in pH levels affects solubility of nutrients. Thus, very different lichen communities occur on different types of limestones, on acidic rocks, or on gypsum, for example. In addition, lichens differ in their tolerance to transition metals present in rocks, in particular iron, which can be deposited in intracellular spaces of thalli by specialized species, which are characterized by their rusty colors. Of course, additional nutrient input also originates from sources other than the substrate. In certain habitats, masses of airborne fine particles become incorporated into the surfaces or are deposited in crevices of lichen thalli.

Using the annual growth rate and the thallus size, it has been estimated that individual lichen thalli survive for more than 1000 years [8]. However, it has been shown that growth rates may vary depending on orientation aspect of rocks or due to seasonal variation in correlation with temperature and humidity [9, 10]. Within individuals, growth rates are not constant but appear to be a function of size as they usually decline with size and age [11].

It is beyond the scope of this chapter to revise the lichen flora on various types of rocks or habitats in detail, and we only want to mention general observations here. Variation of lichen composition on the same rock type can be attributed to various



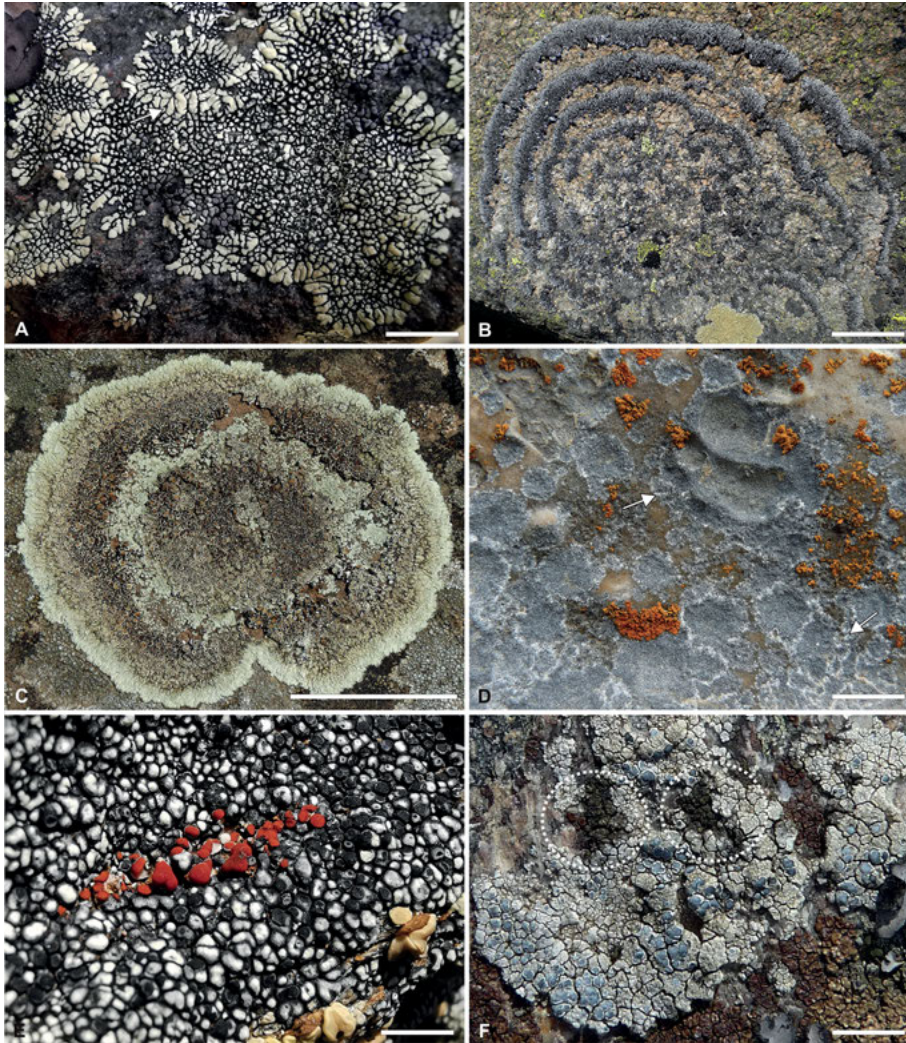
other environmental factors besides rock chemistry and large-scale nutrient input. One of the best examples is displayed by coastal rocks, where marine (littoral) and maritime (supralittoral) lichens form beltlike colored arrangements of lichens in temperate and subtemperate regions depending on the salt spray and distance to sea water [12]. In inland habitats, the inclination and exposition explain large-scale spatial patterns on rock faces. In particular, their facing to sunlight may have a significant influence on the species composition and may compensate for macroclimatic variation across latitudes, a phenomenon termed “relative habitat constancy” [13]. In addition, John and Dale [14] pointed out that smaller-scale microhabitats, including cracks and crevices of local water flows, play a role for lichen composition, whereas at smallest scales, the different ecological strategies of lichens, as discussed below, become an important factor in shaping the long-term development of the communities.

### 2.5.3 Interactions of lichens on rocks

Individuals of many species primarily compete for space, resulting in patterns delimited by their thallus fronts. This behavior does not equal the concept of competition in a narrow sense, which is usually understood as negative effects imposed to another organism by consuming or controlling access to limited resources. Individuals of other species tend to fuse more frequently (e.g., *Dimeleana oreina*; Fig. 2.5.2A). Interestingly, some species seem to develop occasional thalli, which may intrude those of other species. It is not completely clear if these are induced by environmental conditions of whether genotypic variation is responsible for more aggressive invasive strategies. Such forms are frequently observed in, e.g., *Tephromela atra*, *Lecanora polytropa*, or *Protoparmelia badia*, among others. In contrast to the transient lichen-invading behavior, lichenicolous lichens are specialized to live on other lichens, and they are usually very specific for their hosts (Fig. 2.5.2E, F) [15]. Some of the species seem to parasitize other lichens only in juvenile stages (juvenile parasites), until they mature to live independently, without any visible traces of a host lichen.

Apart from the community dynamics involving parasitic behavior among lichens, changing patterns of species may simply involve different growth strategies. As some species tend to develop thicker thalli or grow more superficially, they often may overgrow thalli of neighboring species in an unspecific manner. A good example for this behavior is *Ophioparma ventosa*, a species on siliceous rocks that has rather thick thalli. This behavior may explain the observation of secondary metabolites, which are untypical for this lichen in the medulla [16].

Epilithic lichens with squamulose, lobate, to even more surface-detached growth styles, such as foliose lichens, may represent extreme forms of this strategy



**Fig. 2.5.2:** Various growth styles of lichens on siliceous and calcareous rocks. (A) The epilithic crustose species *Dimelaena oreina*, with individuals fusing by their growing margins. (B) The foliose species *Brodoa atrofusca*, developing waves of centrifugal thallus fronts as concentric rings. (C) The epilithic *Protoparmeliopsis muralis* growing on concrete, with a regenerative central thallus part. (D) The endolithic *Caloplaca erodens* on calcareous rocks, delimited by a white margin and with younger thalli develop near the margins of the older thalli (arrows). (E) The parasitic lichen *Caloplaca epithallina* on *D. oreina*. E, *Lecanora rupicola* (whitish thallus with bluish-grey apothecia) infected by the lichenicolous lichen *Lambiella insularis* (dotted circle lines around brown thalli). Scale bars: A, D, F = 1 cm; B = 10 cm, C = 2.5 cm, E = 0.5 cm. Photographs A, B, and E by MG; C, D, and F by LM.

to escape competition and instead to literally overgrow neighboring crustose lichens. These lobate to foliose growth forms correlate with the development of an upper eucortex of the thallus that lacks algal cell wall remnants. Such a cortex seemingly acts as a flexible “skeleton,” which is presumably a mechanical prerequisite for surface-detached growth forms. By contrast, most crustose lichens develop a phenocortex, which contains gradually decaying algal cell walls. This requires the activity of specific enzymes for algal polysaccharide degradation. Comparative analyses may reveal whether such enzymes or their activities are lost in foliose lichens.

A kind of long-term self-supporting growth strategy is displayed by foliose lichens that may form concentric rings, for example, *Arctoparmelia centrifuga* or species of *Brodoa* (Fig. 2.5.2B). These species with foliose but adnate thalli grow over the surface and can withdraw access to light for those crustose lichens that are overgrown. Such and similarly growing foliose species develop “windows” in the thallus center, which facilitate recolonization, usually by the same species. As the thalli grow centrifugally, this pattern can shape into waves of thalline fronts that develop in the time course of perhaps several decades. To certain extent, the overgrowth of neighboring thalli is also a successful strategy of crustose species with lobate thalli, e.g., *Protoparmeliopsis muralis* (Fig. 2.5.2C).

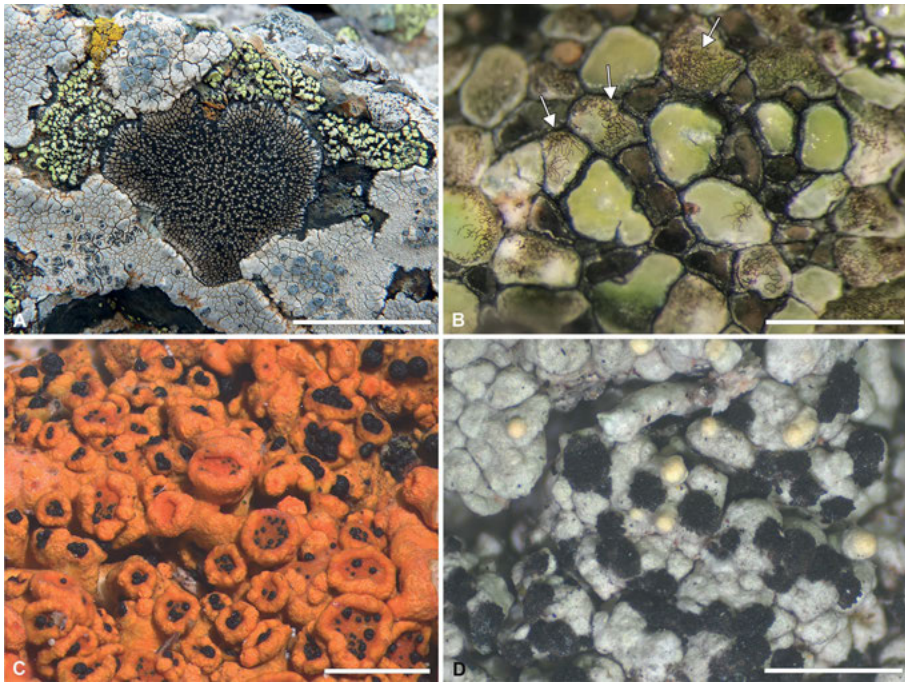
Another phenomenon to facilitate the same species, called “autoparasitism” [17], has been described for the endolithic *Caloplaca (Pyrenodesmia) erodens* (Fig. 2.5.2D). It has been observed that parts of large thalli of this species are recolonized by juvenile thalli of the same species. However, the biological relations are not completely clear so far, and an alternative interpretation of this phenomenon could as well be rearing care by sharing the algal partners with the progeny. The development of young thalli within larger and centrally aging thalli is perhaps a more widespread phenomenon of many crustose lichens. Clear parasitism often involves relationships between different species, even if these might be closely related (adelphoparasitism). Such forms of parasitism among related species might evolve from a competition for the same type of algae, yet this still needs to be tested by phylogenetic methods.

Fungal parasitism of lichens likely plays an important role in shaping the long-term development of rock lichen communities. The number of accepted lichenicolous fungi, including lichenized parasitic species, is now 2319, with 2000 obligately lichenicolous species, subspecies, or varieties; 257 lichenicolous lichens; and 62 facultatively lichenicolous taxa. These species are found in 10 different classes of Fungi (Ascomycota and Basidiomycota), 55 orders, 115 families, and 397 genera [15].

*Lambiella insularis* is an example of a lichenicolous lichen that grows on thalli of hosts representing the *Lecanora rupicola* group (Fig. 2.5.2E). It usually invades the older parts of host thalli. In later stages, only few hyphae of the latter remain detectable in the basal part of the parasite’s newly formed thallus. De los Ríos et al. [18] showed that the algae of the parasite are apparently captured from the host according to DNA sequencing after direct PCRs from various sections (without prior DNA extraction). Because the algal cells display two morphologically different types, the

mycobionts apparently influence the algal morphology differently. PCR and sequencing detected *Lambiella* hyphae also below the host and in the rock below the infected thalli. Whether rock crevices may contain a reservoir of parasitic species merits further study, but we assume that infection by spores may be a more common pathway. Infections of *Lambiella* may partially degrade the thallus of the host by time, occasionally leaving centrally degrading patches.

Many lichenicolous fungi that do not form thallus structures and rather develop infectious mycelia in the host thallus (nonlichenized lichenicolous fungi) are not aggressive parasites. They rather have a commensal to mildly parasitic lifestyle and reproduce without serious damage of the host lichen (Fig. 2.5.3B–D). Some of those impair sexual reproduction of the hosts when they occupy their fruit bodies (Fig. 2.5.3C). Only a lower number of species tend to be more aggressive by producing serious symptoms of damage, which causes the host structures to become brittle and



**Fig. 2.5.3:** Competition for space and lichenicolous fungi. (A) Mature thallus of *Sporastatia testudinea* growing on siliceous rocks between thalli of *Lecanora rupicola* (white), *Aspicilia* sp. (white), and *Rhizocarpon* sp. (yellow). (B) Thallus areoles of *S. testudinea* (immersed in water) with vital areoles having a light green tone, areoles infected by *Sphaerellothecium contextum* and with dark brown old decaying areoles (arrows). (C) Thallus and apothecia of *Xanthoria elegans* (orange red) infected by the lichenicolous fungus *Zwackhiomyces coepulonus* (black perithecia occupying the apothecia). (D) Thallus of *Pertusaria corallina*, infected by the lichenicolous hyphomycete *Sclerococcum sphaerale* (black sporodochia). Scale bars: A = 3 cm; B–D = 1 mm. Photographs A, C, and D by LM; B by MG.

decay. By disruption of the host's integrity, thallus parts tend to break apart more easily and open free rock surface for colonization by other species.

Lichens may even rejuvenate internally with the “help” of lichenicolous fungi. *Sporastatia testudinea* (Fig. 2.5.3A) is frequently colonized by minute mycelia of *Sphaerellotecium contextum*. These melanized mycelia develop in the epinecral layer, a layer of polysaccharides above the upper cortex of the host areoles. Prolonged infection of the areole leads to the formation of denser parasitic mycelia and shading effects. Subsequently, the host areoles tend to degenerate and shrink. The residual spaces are replaced by adjacent faster growing uninfected areoles (Fig. 2.5.3B). A balance seems to exist between infection and rejuvenation of areoles in this species. In other lichens, the regeneration of the same species from central (older) thallus parts appears to be more recurrent phenomenon, which does not require the involvement of lichenicolous fungi.

#### 2.5.4 Other fungi

Typical lichenicolous fungi, which develop reproductive organs on their hosts, seem to live exclusively on lichens. The preferences of diverse other fungi, which have been detected since recent years, is not completely understood. These fungi contribute to the total fungal community of lichens, namely, the lichen mycobiome. The functional significance for the fitness of the host is so far unclear. There is some evidence that the presence of such fungi may be influenced by the surrounding habitats. Also, the technical approach, the culture-dependent isolation with subsequent sequencing, or the culture-independent sequencing approach yields different spectra of lichen-associated fungi [19–22]. Here, we exclusively focus on rock lichen habitats. Culturable black fungi—colonizing lichens were studied in arid habitats by molecular methods [23]. ITS rDNA showed that the genera *Capnobotryella*, *Cladophialophora*, *Coniosporium*, *Mycosphaerella*, and *Rhinocladiella* were repeatedly found in different lichen hosts but lacked any pathogenic symptoms. A specific clade of predominantly lichen-associated strains was found for *Rhinocladiella* strains, whereas samples of the remaining genera were closely related with those from other sources (e.g., *Capnobotryella* and *Coniosporium* strains). The authors concluded that the occurrence of lichens might be opportunistic for these genera, but the high sequence divergence in strains assigned to *Mycosphaerella* does not exclude the possibility of lichen-specific species, which are distantly related to plant inhabitants. A general capacity of rock-inhabiting fungi to form mutualistic interactions with lichen algae was suggested by coculture experiments [24]. A similar result was found by Brunauer et al. [25] for a so far undescribed *Taeniolella*-like fungus isolated from *L. rupicola*. The lichen-inhabitant formed layerlike structures with the hosts photobiont in cultures and appears to be phylogenetically basal in Chaetothyriomycetidae. The genus *Lichenothelia* clearly represents a link between free-living extremotolerant melanized fungi and

algal associations [26] because it includes species growing on exposed rocks and is optionally associated with algae or lichens in the natural habitat. Although species diversity becomes better known [27, 28], these and other melanized fungi-forming associations with algae never develop typically stratified thallus morphologies either in nature or under culture conditions [29]. We hypothesize that this lack is due to the limited ability of strongly melanized hyphae to form hygroscopically flexible structures. Whether the presence of melanized hyphae could impair the hygroscopic reactions of the host under fluctuation humidity conditions needs further experimental testing.

Fernandez-Mendoza et al. [19] studied the diversity of lichen-inhabiting fungi (the lichen mycobiome) of neighboring lichens on rocks in an alpine habitat. Lichen mycobiomes reflect an overlap of multiple ecological sets of taxa, which differ in their trophic association with lichen thalli. These comprised pools of generalist environmental, lichenicolous/endolichenic, and transient species. A majority of those belong to the fungal classes Dothideomycetes, Eurotiomycetes, and Tremellomycetes, with close relatives in adjacent ecological niches. There was no evidence that phenotypically recognized lichenicolous fungi influence the occurrence of the other asymptomatic fungi in the host thalli. Fernandez-Mendoza et al. [19] suggest that lichens represent suboptimal habitats for these fungi or act as a complex spore and mycelium bank, which modulate and allow the regeneration of local fungal communities.

### 2.5.5 Rock lichens as algal metacommunities

Previous works have shown that the development of characteristic thallus structures in lichen-forming fungi requires the association with suitable photoautotrophic partners and that fungi have a specific range of compatible photobionts and selected algal strains also correlate with the habitat conditions [30]. Various studies of rock-inhabiting lichens showed that photobiont species are shared across lichens at the same site. Some lichens have a fairly wide spectrum of partners, whereas others prefer specific types of photobionts. Their selective preferences could be part of the factors determining the habitats of lichens. At the genus level, there seems to be a correlation with growth form and complexity of thallus structure as well. Lower diversity of photobionts has been observed in Verrucariaceae, developing squamulose and foliose structures (e.g., *Placidium* and *Dermatocarpon*), whereas crustose representatives have a higher diversity [31].

Interestingly, species occurring in similar habitats at different latitudes have a shared preference for their local photobionts. Although alpine species of *Tephromela atra*, *L. rupicola*, and *Lecidea fuscoatra* prefer photobionts of the *Trebouxia jamesii* group, Mediterranean or lowland samples of these species are associated with varied photobionts related to *Trebouxia arboricola* [30–33]. The alpine photobionts have

never been found in any lichens from similar habitats in the Mediterranean lowlands. We suggest that there is a shared shifting of photobiont selectivity, which works at a community level in ecologically widespread lichen species.

Lichens on rocks were also suggested to represent potential temporary niches for free-living stages of lichen photobionts, which could facilitate the establishment of other lichens in the proximal area. For this purpose, Muggia et al. [34] investigated the rock-inhabiting crust lichen *Protoparmeliopsis muralis*, a cosmopolitan species with high flexibility of algal associations. The authors analyzed the photobiont genotypic diversity within and between thalli and compared the diversity of intrathalline photobionts with that of externally associate algal communities by single-strand conformation polymorphism analyses and ITS sequence data. The results showed that photobiont populations within the lichen thalli are homogeneous, but also that multiple photobiont genotypes occur within single areoles and lobes of individual lichens, whereas the algal communities that superficially colonized the lichen thalli also included taxa known as photobionts in unrelated lichens. The old question of Ahmadjian [35], whether lichen algae exit in free-living stage, has been discussed controversially. The work of Muggia et al. suggests that lichen algae can have a habitat on thalli (particularly between areoles) of other lichens. In lichen communities, this reservoir of algae could facilitate continuous recolonization with species, thus contributing to maintenance of high diversity.

### 2.5.6 Bacterial communities of lichens

Bjelland et al. [36] studied bacterial metacommunities of several rock-inhabiting lichens, comprising the major lineages *Acidobacteria*, *Actinobacteria*, *Alpha-*, *Beta-*, and *Gammaproteobacteria*, *Bacteroidetes*, *Chloroflexi*, *Deinococcus*, *Firmicutes*, *Planctomycete*, *Tenericutes*, and *Cyanobacteria*. Also, *Crenarchaeota* were documented to be associated with rock-inhabiting lichens, although at low abundances. A higher bacterial diversity was generally observed inside the rocks than within the epilithic lichen thalli directly above. By contrast, the abundance of bacteria was higher in the lichen thalli compared with within the rock. The microbial communities of *Ophioparma ventosa*, *Pertusaria corallina*, and *Rhizocarpon geographicum* were more similar to each other, in terms of both the number and the types of different sequences, than to *Hydropunctaria maura*. This observation suggests a significant influence of habitat ecology on the prokaryotic community of rock lichens. These results demonstrated that the lichen-rock interfaces are complex habitats, and that the macroscopic lichens are specific habitats of bacterial metacommunities. The habitat influence was also demonstrated by West et al. [37]. In contrast to inlandic or other rock-inhabiting species higher up at maritime rock coasts where Alphaproteobacteria are often dominating the bacterial community, intertidal *Lichina* species are characterized by a high proportion of *Bacteroidetes*. The long-persisting (fungal)

structures of lichen thalli provide a stable organic habitat for bacterial colonization, but their growth seems to be strictly controlled, e.g., by secondary metabolites or poikilohydric conditions, which generally limit bacterial growth.

Omics approaches such as metagenomics, metatranscriptomics, and metaproteomics suggest the involvement of bacteria in multiple processes, including provision of nutrients, vitamins, and hormones [38]. Further study is needed to show to what extent living or dead bacteria could contribute to nutrient flows in the lichen system. *In situ* hybridization experiments showed a high number of bacteria in lichen structures, including lichens from rock habitats [39], which corroborates that they represent a significant factor in lichen ecology and in nutrition under otherwise nutrient-poor conditions. The total number of bacteria in lichen thalli varies to some extent, which to our observation depends on abiotic conditions and on intrinsic factors of the lichens. We observed lower abundances of bacteria on surfaces of thalli in dry habitats than in humid habitats (in contrast to fungi). The hydrophilic nature of thalline surfaces also seems to be important for bacterial colonization. Hydrophobic surfaces or melanized parts of the lichen appear to have lower and certainly different communities than hydrophilic surfaces, where biofilms of bacteria can develop. A common niche for bacterial communities, including cyanobacteria, is the spaces between areoles of crustose lichens, which tend to stay humid for longer periods than the exposed surfaces.

### 2.5.7 Conclusions

In accordance with the growth speeds of lichens, their community dynamics is very slow, certainly too slow for comprehensive documentation in a single scientific career. If we could use a time lapse camera producing pictures at intervals of 5 years over a period of several hundred to thousands of years, it would probably show considerable dynamics of thallus development on rock faces, but with overall maintenance of species composition. There is so far little evidence that climate change would lead to rapid and massive changes of rock-inhabiting lichen communities because the diversity of microhabitats and variable aspects of rocks will locally relocate species to suitable niches but less likely cause their complete local disappearing. Apart from competition for space, the community regulation also includes effects of lichen parasitism by fungi and overgrowth by faster growing lichens. Lichen thalli themselves or perhaps cryptic stages in rock fissures are reservoirs for the recolonization of surfaces, which all contribute to an intricately weaved regulatory network of communities designed for persisting throughout extremely long periods of times.

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Tatyana Darienko and Thomas Friedl

## 2.6 Eukaryotic algal communities of rock surfaces

Terrestrial eukaryotic algae are an ecological group of photoautotrophic protists that is phylogenetically enormously diverse. They conquered land many times independently. Rock surfaces are generally an unfavorable substrate for the colonization by algae because of their low nutrient content and the little water holding capacity. The rock substrate's hardness and passing light inside the stone are further limiting factors. The rock surface habitats connect the eukaryotic algae and cyanobacteria, other non-phototroph protists, and bacteria to functional assemblages of changeable community structure. Algae and bacteria in biofilms build up the first organic structures on exposed rock surfaces in arid environments. Microbial mats can cause forming cracks and fissures on the rock surface, leading to the rocks' biodeterioration. On dark hard limestone surfaces, the rock-colonizing or lithophytic algae can form either drilling or tuff-forming communities. Granite is the most challenging substrate for colonization by algae because of its density. Light cannot pass much below the surface, and it has practically no water holding capacities. By contrast, on sandstone, all ecological groups of lithophytic algae can occur because it provides a good water holding capacity, and light can pass well through the substrate beneath the surface. Lithophytic algae are mostly of coccoid organization, forming unicells or cell packages or form short filaments that can quickly become fragmented. In terms of the number of lithophytic algal species, green algae (Chlorophyta and Streptophyta), particularly of the class Trebouxiophyceae, and diatoms (Stramenopiles) are most dominant. The lithophytic algae form distinct communities dependent on whether they occur on limestone (e.g., in caves), sandstone, granite, or man-made artificial substrates such as monument surfaces. There is even a variety of formally described algal communities with different characteristic species.

### 2.6.1 Introduction

Algae comprise all eukaryotic photoautotrophic microorganisms, except the embryophytes. They conquered land many times independently from which only a single line, the Streptophyta, developed further into the land plants. Algae are phylogenetically enormously diverse. They originated several times by primary and secondary endosymbiosis in almost all major lineages of the eukaryotes. Intimately connected to the eukaryotic algae are the cyanobacteria because they gave rise to the earliest algae plastids. The terrestrial habitats, such as rock surfaces, connect eukaryotic algae and cyanobacteria, other nonphototroph protists, and bacteria to functional assemblages of changeable community structure. Because of their frequent co-occurrence with nonphototrophic microeukaryotes, the microscopic eukaryotic

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algae are often summarized under the term protists and called the photoautotrophic protists. The algae and cyanobacteria are crucial for photoautotrophic energy and C (and O<sub>2</sub>) input into young and developing terrestrial habitats like soils or biofilms on rock surfaces. There may be numerous beneficial effects of the photoautotrophic protists and cyanobacteria to their terrestrial habitats. The algae's impacts are caused by their broad biochemical diversity of pigments, photosynthetic storage products, cell walls and mucilage, fatty acids and lipids, oils, sterols and hydrocarbons, and bioactive compounds.

Terrestrial algae are an ecological group of eukaryotic algae that spend their whole life cycle in terrestrial habitats such as soil, rocks, caves, snow, and ice and can also live on animals and plants [1–4]. They occur worldwide and also colonize many extreme habitats, where often they are the only primary producers. Lithophytic algae are a specialized ecological group of algae that live on or within rocks or other hard substrate types, e.g., man-made substrates and materials and solid mineral surfaces. [2, 5–11].

## 2.6.2 The lithophytic habitats and ecological factors

### Types of lithophytic habitats

Lithophytic algae colonize those bare rock surfaces provided the availability of sufficient moisture [12, 13]. Where often, they are associated with lichens and mosses [14]. They can survive under extreme climate conditions such as hot and cold deserts, high alpine regions, and cliffy rock faces. For lithophytic algae, microhabitats and microclimatic conditions often play more important roles than the macroclimate [15–18]. Therefore, the widely accepted classification of lithophytic or lithobiontic habitats has been defined for the colonization of microniches proposed by Golubic et al. [5] (see also Chapter 2.2. in this volume). The classification distinguishes three major groups of lithophytic habitats: epilithic, hypolithic, and endolithic habitats. Epilithic algae are colonizing exposed rock surfaces. Hypolithic algae live underneath the surface of translucent rocks that are partly embedded in the soil. Endolithic algae are inhabiting the interior of rocks. There are subgroups of the latter. The chasmoendolithic algae colonize fissures and cracks open to the rock surface. Cryptoendolithic algae colonize structural cavities within porous rocks (e.g., in sandstone). The euendolithic algae can actively penetrate the rock's interior and form tunnels that conform with the shape of their body. Those rock-boring algae are common on limestone but are also dwelling on other kinds of stone ([6, 9, 13, 19] and references therein).

Often, the algal communities of lithophytic habitats are difficult to separate from those of other terrestrial habitats. For example, algal species found in soil often can also dwell on rock surfaces (Figs. 2.6.1–2.6.7). Hollerbakh and

Shtina [1] have presented a terminology for defining the various types of terrestrial algal habitats. Aerophilic algal communities are those living in habitats exposed to air, and the air is their life cycles' dominating environment.

Typical habitats for aerophilic algae are hard substrates where they live on the interface between substrate and air. The hard substrate, e.g., granite, has (almost) no physical-chemical impact on the algae. Examples may be the different kinds of rocks and stone, tree bark, and artificial man-made hard substrates. Limestone, however, can easily be influenced by interactions of algae and other organisms with their substrate. Two groups of aerophilic algal communities are recognized. Aerial algal communities receive water only in the form of rain or dew, which means unstable humidity, i.e., fluctuations in the water supply. Aquatic-aerial or pseudoaerial algal communities live at complete moisturization. However, in both cases, the algal communities are exposed to full aeration.

Lithophytic algal communities on the surfaces of dark hard limestone can either be drilling or tuff-forming communities. The drilling algae are actively dissolving their surrounding substrate. The algae live within small caverns and pores, formed by the algal excretion of substances that dissolve the limestone. The caverns and pores connect to the air. Tuff-forming algae actively produce (precipitate) carbonates around their cells. Typically, the algae live in the substrate's peripheral layers, which provide sufficient water and light, whereas the algae are successively dying in the middle. The drilling and tuff-forming algae are less exposed to the air in comparison to aerial communities.

### **Ecological factors determining lithophytic algal communities**

The most important ecological factors for the growth of lithophytic algae are light, water, temperature, and the rock surfaces' chemico-physical properties. Because the rock surfaces are the habitats, where the environmental factors are frequently changing not only seasonally but also within the day, the algae must have adapted their metabolism to relatively short vegetation periods. According to Friedmann et al. [15], it could be restricted up to a total of a few hundred hours per year in Antarctic cold deserts.

Light is a limiting factor, especially for epilithic and endolithic algae. Intense PAR illumination inhibits the growth of epilithic algae [20–22]. By contrast, endolithic algae have to cope with low light intensities. Porosity and translucence of the rock substrate are the most important properties that determine the colonization of endolithic algae [23, 24].

The rock's interior surfaces are characterized by a steep light gradient along with depth due to light attenuation by rock substrates [20]. The porosity of rock surfaces can be up to 50%. Many factors such as rock type, mineral content, and grain particle size determine it [24]. In the polar regions, the colonization of opaque rocks by the

hypolithic organisms may be promoted by local periglacial activities (the freezing and thawing of groundwater), which create the openings around the margins of quartz rocks and enable the penetration of light to the undersides [25, 26]. The decrease of light by rocks also helps organisms circumvent UVR stress in refuge lithic niches [22, 27, 28]. In hot deserts, algae cannot colonize the epilithic habitat besides the limited water availability because of inhibition by high light intensities and great temperature changes of the substrate surface with relatively cold overnight and high temperatures during the day. In hot deserts, algae on rock surfaces usually live as endoliths and hypoliths [13, 29]. Epilithic algae are reported mostly from the temperate zone or caves, primarily in limestone or sandstone [30–33].

Water availability is one of the essential requirements for the algal development in the hot as well in the cold deserts [27, 34]. The algal communities in the hot desert depend on dew and local rainfall. Water is present in solid form as ice and snow in cold deserts and, thus, only available when melted [16–18].

Temperature also plays an essential role for algal communities on rock surfaces. In hot deserts, the temperature, together with the illumination, makes the colonization of rock surfaces (almost) impossible and restricts algal life to the endolithic and hypolithic habitats [20, 35]. The colonization of the chasmolithic and cryptoendolithic habitats is an efficient way to escape overheating at the surfaces. In cold deserts, however, cryptoendolithic growth of algae prevails because the microhabitats inside the rock surface are less exposed to high temperatures than the surface (“greenhouse effect”) [12, 27, 36, 37].

### **Rock as a substrate for the colonization by algae**

Rock surfaces are generally an unfavorable substrate for the colonization by algae due to their low nutrient content (especially with nitrogen being somewhat limited) and the little water holding capacity. Besides the water availability, the hardness of the rock substrate and the ability to pass light inside the stone are significantly limiting factors. Therefore, the most favorable rocky substrates for the colonization by algae are limestone and sandstone. Limestone is porous and has a good water holding capacity, and it is soft and rich in calcium. On limestone, endoliths and epiliths can be frequently found. However, other lithophytic habitats are not accessible to algae because light cannot pass into deeper limestone layers. Sandstone provides a good water holding capacity as well. Because sandstone is rich in silicium, light can pass through the substrate up to several millimeters or even a few centimeters beneath the surface. It is porous and middle hard [7, 9]. Therefore, all ecological groups of lithophytic algae may occur on sandstone. Granite is the most challenging substrate for colonization by algae. It is very dense, i.e., light cannot pass much below the surface, very hard, and has practically no water holding capacities. Chasmoendolithic growth is most common on granite, but a few epiliths may also colonize the granite surface if it is shadowed [30].

### Biological interactions of algae with the lithic substrate

Eukaryotic algae, together with bacteria, and fungi develop subaerial biofilms, which cause biodeterioration or bioweathering, i.e., the substrate's damage by different biological agents [38–46]. The interaction with the lithic substrate and the excretion of substantial amounts of microbial extracellular polymeric substances (EPS) create a biofilm organization [47, 48]. Typically, biofilms represent a consortium of different organisms embedded in a mucilage layer formed by various EPS. EPS plays an important role in protecting microbial cells, in community organization, and in mitigating microenvironmental conditions [48]. Biofilms are known to build up the first organic structures on exposed rock surfaces in arid environments [14, 18, 49, 50]. Algae in the complex with bacteria and fungi can also colonize man-made substrates and cause different types of destruction by forming cracks and fissures. Microbial mats can cause them on the rock surface, which produces organic acids or EPS, finally leading to the rocks' biodeterioration [42, 51–59]. Eukaryotic algae being active in biodeterioration have become a popular topic for the last 30 years with various studies from biodiversity assessment to studies of structural damages in stones and other man-made substrates (see also Chapter 2.2. in this volume).

### 2.6.3 Taxonomic composition of algal communities growing on rock habitats

Lithophytic algae are mostly of coccoid organization, forming unicells or cell packages, or form short filaments that can easily become fragmented (Fig. 2.6.1). Fortunately, most lithophytic algae are easy to isolate and then maintain under laboratory conditions, which enable the study of their morphology. The identification of the lithophytic algae traditionally uses only morphological features. Sequence analyses of DNA marker molecules, like the SSU rRNA genes by metabarcoding approaches, are crucial for the correct identification (e.g., see [60–63]). However, the molecular approach is dependent on the availability of proper reference sequence. Because of the scarcity of morphological features, many lithophytic algae are difficult to identify by morphology alone, and there are frequent cases of parallelisms and convergent evolution. Methods for the cultivation of terrestrial algae, including lithophytes, have been described in Büdel et al. [50].

There are two major groups of algae in terms of the number of species known from lithophytic habitats: the green algae, which are distributed on the two divisions, Chlorophyta (Figs. 2.6.2–2.6.6) and Streptophyta (Fig. 2.6.7), and the diatoms (Diatomeae and Stramenopiles; Fig. 2.6.1). More than 80 species of diatoms may live on rock surfaces (Tab. 2.6.1) [4, 64–66]. Less rich in species are the classes Xanthophyceae and Eustigmatophyceae (Stramenopiles; Tab. 2.6.1; see Fig. 2.6.1 for overview). Besides, unicellular red algae (Rhodophyta) are known as rarely occurring lithophytes [4] (Tab. 2.6.1). They seem to prevail on rock surfaces at higher humidity and low light

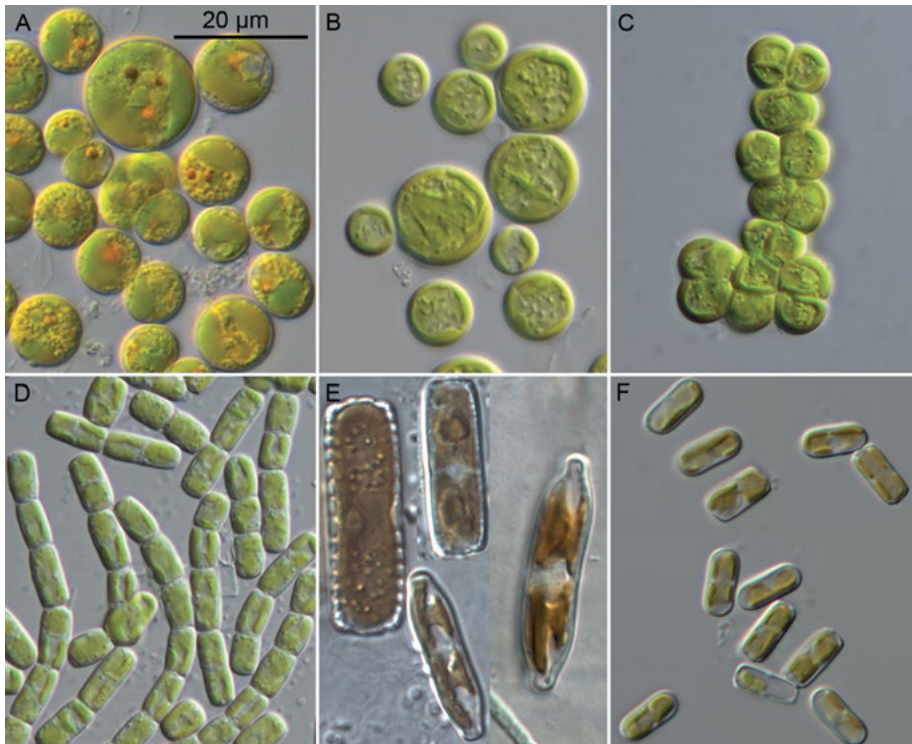


intensities only. Reports of flagellated algae as lithophytes, such as dinoflagellates (Dinoflagellata and Alveolates) [32, 67] or members of Haptista (*Ruttnera*) [4], on wet rock surfaces still need confirmation.

We will not treat diatoms in detail here but simply list diatom species most commonly reported in Tab. 2.6.1. Examples of lithophytic members of Xanthophyceae and Eustigmatophyceae are shown in Fig. 2.6.1.

### Chlorophyta

The systematics and phylogeny of green algae are still in progress. The traditional systematics based on morphology is artificial, and many new lineages were described. Most challenging is the scarcity of characteristic morphological features. Besides, there is a large amount of plasticity, i.e., variation of morphology dependent on various environmental conditions. Most members of Trebouxiophyceae (Tab. 2.6.2)



**Fig. 2.6.1:** Examples of lithophytic Stramenopiles algae in culture. (A) *Vischeria magna* (Eustigmatophyceae) isolated from quartz, La Campana, Chile. (B) *Botrydiopsis* sp. (Xanthophyceae) isolated from sandstone, Trakhtemyriv Natural Park, Ukraine. (C) *Heterococcus leptosiroides* SAG 2572 (Xanthophyceae). (D) *Xanthonema exile* strain SAG 2573 (Xanthophyceae). (E) *Hantzschia amphioxys* (Diatomeae), isolated from soil, Trakhtemyriv Natural Park, Ukraine. (F) *Humidophila contenta* (Diatomeae), isolated from granite walls of Kilmartin, Scotland.

are described from cultured material, which makes it one of the best-studied groups of green algae. The terrestrial representatives of the green algal classes Chlorophyceae (Tab. 2.6.3) and Ulvophyceae (Tab. 2.6.4) can also easily be detected using cultural methods. However, many genera have been described based on morphological observations from environmental samples, and often they have been found only once by their descriptions.

A prominent example is the genus *Chlamydomonas*. More than 500 species have been described, but less than 20% of these species are known from cultures [68]. Almost nothing is known about the molecular phylogeny of terrestrial diatoms. Molecular phylogenetic studies are available for their freshwater relatives. Therefore, there is no genotypic (molecular) evidence of whether the freshwater and terrestrial populations are identical or not.

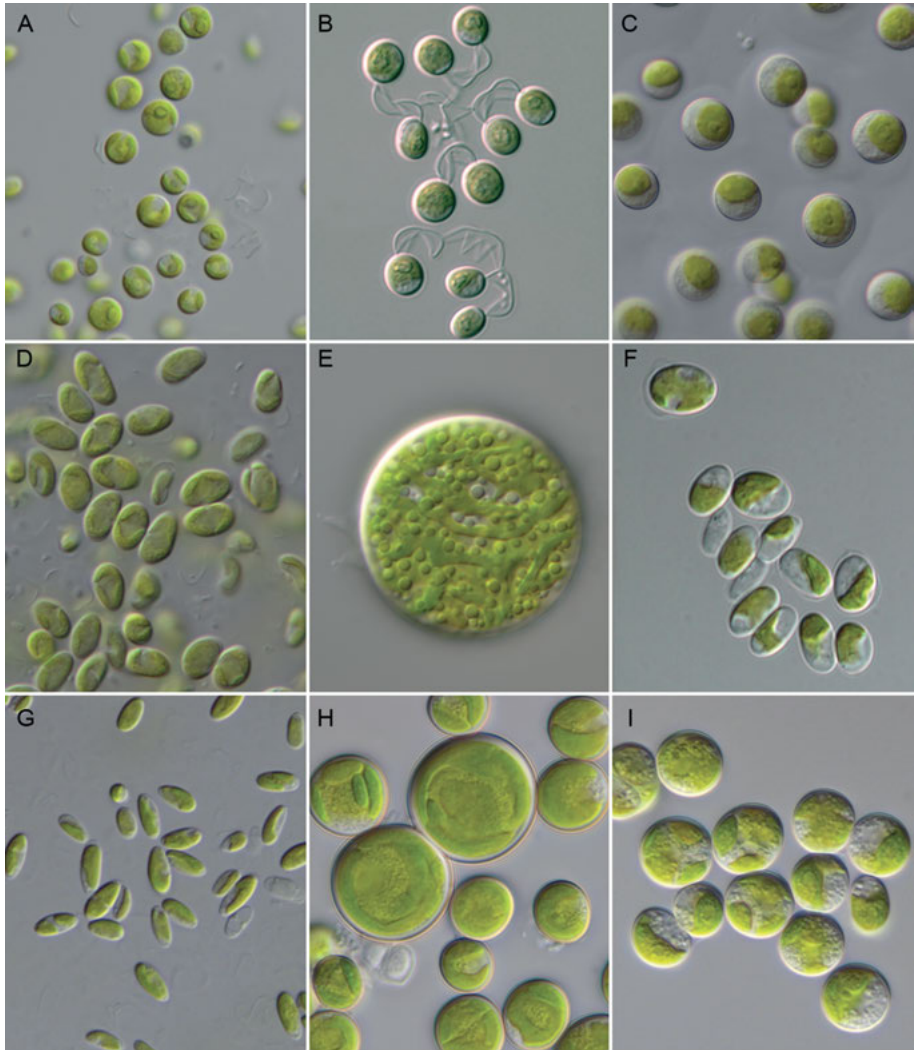
A considerable number of green algae (Chlorophyta) has adapted to life on land and rock surfaces. Representatives of three classes of Chlorophyta are typical on rock surfaces, i.e., Trebouxiophyceae (Figs. 2.6.2–2.6.4; Tab. 2.6.2), Chlorophyceae (Fig. 2.6.5; Tab. 2.6.3), and Ulvophyceae (Fig. 2.6.6; Tab. 2.6.4). The most extensive green algal diversity known from rock surfaces belongs to the class Trebouxiophyceae (Figs. 2.6.2–2.6.4; Tab. 2.6.2). Members of Trebouxiophyceae are typical for all kinds of rocks.

Members of the class Chlorophyceae (Fig. 2.6.5; Tab. 2.6.3) are less common on rock surfaces substrates than in the soil. About 21 species of lithophytic Chlorophyceae have been reported up to now. They are distributed on two major phylogenetic lineages of the class, i.e., the Sphaeropleales (or DO group) and the Volvocales (or CW group) (Tab. 2.6.3). Like with the Trebouxiophyceae, the genera are distributed on several monophyletic and well-resolved clades within the class (Tab. 2.6.3) [69–71]. The most common genera are *Bracteacoccus*, “*Chlamydomonas*”-like algae, i.e., representatives of the *Chloromonas* and *Oogamochlamys* clades, *Chlorosarcinopsis*, *Chlorococcum*, *Deasonia*, and *Scenedesmus*-like algae (Fig. 2.6.5).

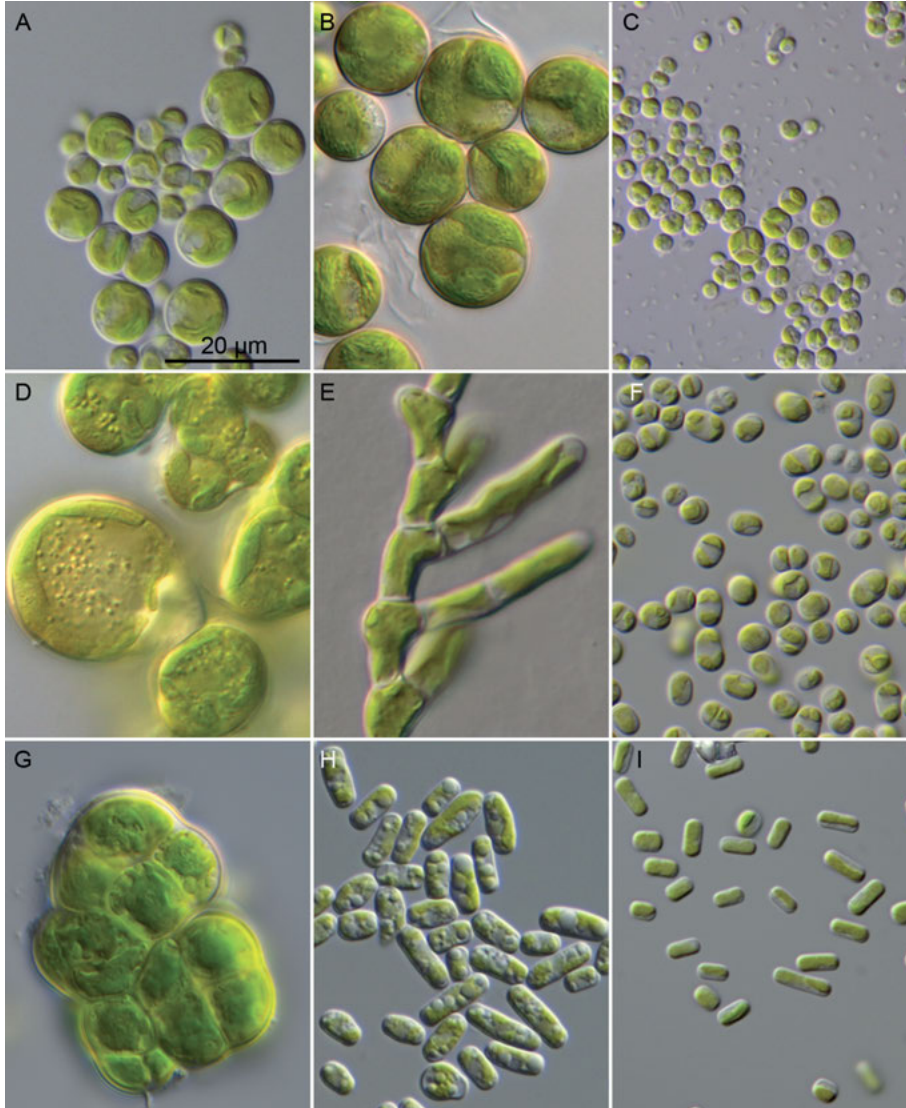
Although less common in lithophytic habitats than those of the other two green algal classes, species of class Ulvophyceae (Fig. 2.6.6) are widely distributed on sandstone surfaces. About nine genera of Ulvophyceae have been reported from rock surfaces yet (Tab. 2.6.4). They were often overlooked and misidentified as a member of the Chlorophyceae [60]. The systematics of lithophytic Ulvophyceae is still difficult because of the phenotypic plasticity of morphological features caused by different environmental conditions. Molecular phylogenies of the lithophytic Ulvophyceae disagree with the classification based on morphology (e.g., [60]). Examples of lithophytic species of Ulvophyceae are shown in Fig. 2.6.6.

### Streptophyta

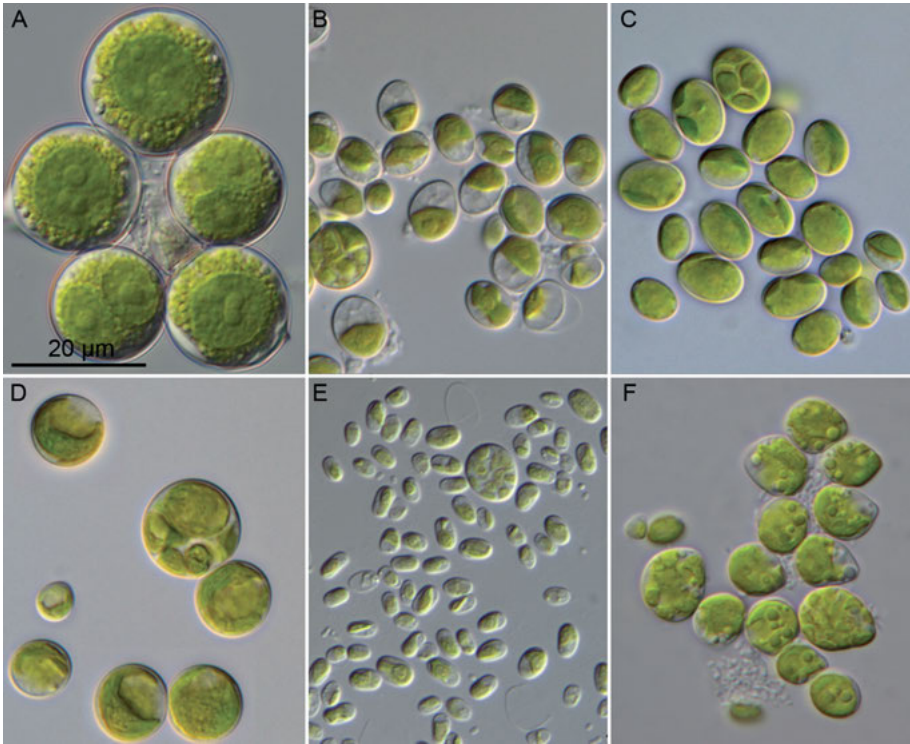
The Streptophyta is the lineage of green plants (Chlorobionta), which is sister to the Chlorophyta but developed the embryophytes and features the terrestrialization of green algae. The diversity of streptophyte green algae (Fig. 2.6.7; Tab. 2.6.5) is low in terrestrial



**Fig. 2.6.2:** Examples of lithophytic green algae of the Trebouxiophyceae (Chlorophyta) in culture, part 1. (A) *Chlorella vulgaris*, isolated from a sandstone, Obelisk, castle Schönbrunn, Vienna, Austria. (B) *Dictyosphaerium ehrenbergianum*, isolated from sandstone, Trakhtemyriv Natural Park, Ukraine. (C) *Coenochloris* sp., isolated from granite, La Campana, Chile. (D) *Neocystis brevis*, isolated from granite, La Campana, Chile. (E) *Dictyochloropsis splendida*, isolated from soil, Trakhtemyriv Natural Park, Ukraine. (F) *Coccomyxa viridis*, isolated from sandstone, Obelisk, castle Schönbrunn, Vienna, Austria. (G) *Coccomyxa simplex*, isolated from sandstone, Obelisk, castle Schönbrunn, Vienna, Austria. (H) *Elliptochloris bilobata*, isolated from granite, Nahuelbuta, Chile. (I) *Elliptochloris subsphaerica*, isolated from granite, Nahuelbuta, Chile.



**Fig. 2.6.3:** Examples of lithophytic green algae of the Trebouxiophyceae (Chlorophyta) in culture, part 2. (A) *Lobosphaera tirolensis* strain SAG 2007. (B) *Parietochloris alveolaris*, isolated from Scythian statues, granite, Khomutovsky Steppe National Natural Park, Ukraine. (C) *Muriella terrestris* strain SAG 2435. (D) *Myrmecia biatorellae*, isolated from granite, La Campana, Chile. (E) *Desmococcus olivaceus*, isolated from granite walls of Kilmartin, Scotland. (F) *Diplosphaera chodatii* strain SAG 49.86. (G) *Prasiolopsis ramosa* strain SAG 26.83. (H) *Pseudostichococcus monallantoides*, isolated from granite, Nahuelbuta, Chile. (I) *Stichococcus* sp., isolated from granite, La Campana, Chile.



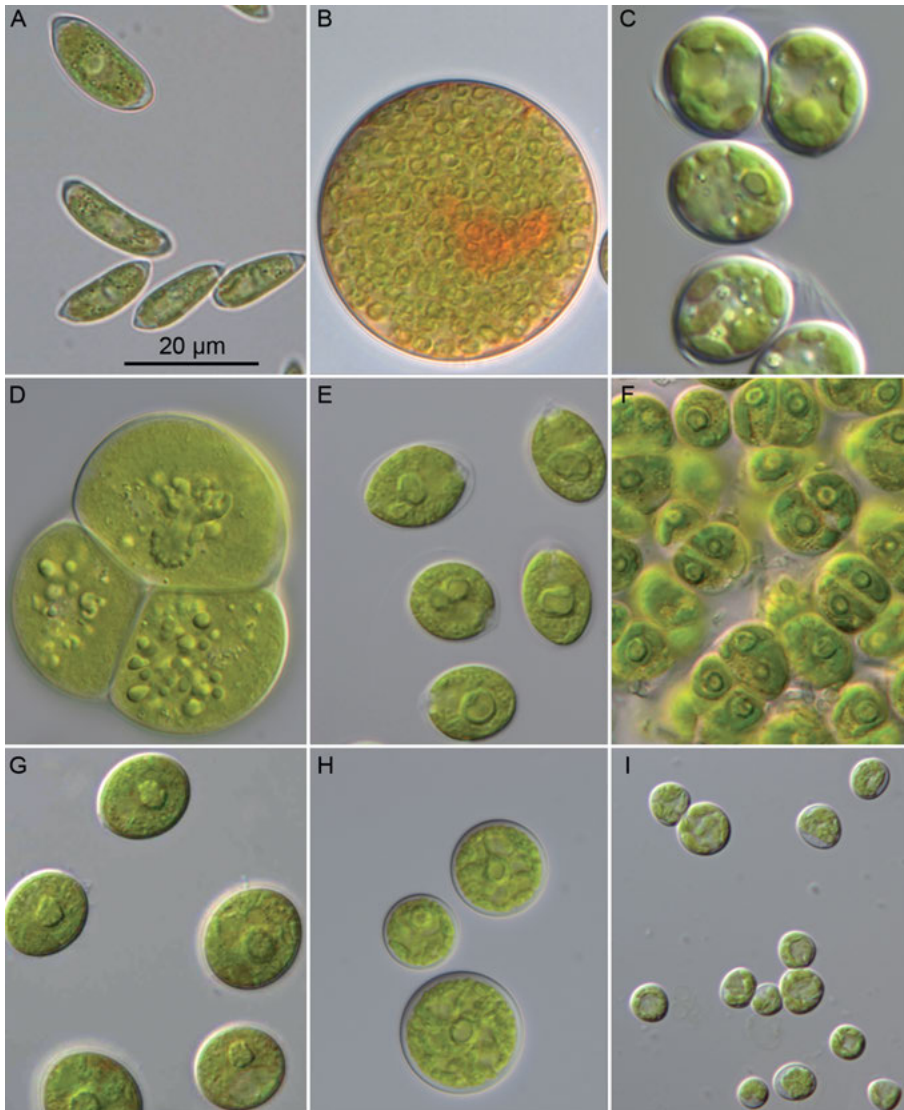
**Fig. 2.6.4:** Examples of lithophytic green algae of the Trebouxiophyceae (Chlorophyta) in culture, part 3. (A) *Trebouxia* sp., isolated from granite, Nahuelbuta, Chile. (B) *Chloroidium ellipsoideum* strain SAG 3.95. (C) *Chloroidium saccharophilum*, isolated from sandstone, Obelisk, castle Schönbrunn, Vienna, Austria. (D) *Jaagichlorella luteoviridis* strain SAG 211-2a. (E) *Watanabea borysthenica* strain SAG 2550. (F) *Watanabea alpicola*, isolated from granite, Nahuelbuta, Chile.

habitats compared with it in freshwaters. Thus, they prevail in relatively humid and shadowed microniches of the lithophytic habitats. About 10 genera of streptophyte green algae have been reported from rock surfaces so far, with members of the classes Klebsormidiophyceae and Zygnematophyceae being most common (Tab. 2.6.5). Examples of lithophytic species of the streptophyte green algae are shown in Fig. 2.6.7.

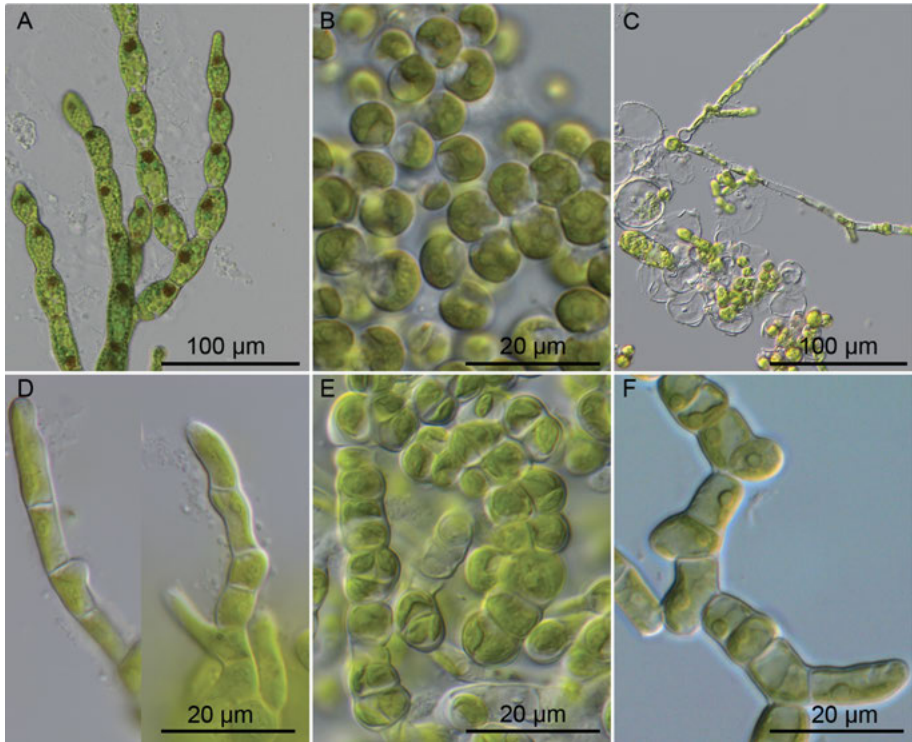
#### 2.6.4 Rock substrates and their algal communities

##### Limestone

The most prominent limestone habitats of lithophytic algae are caves, the conspicuous black crusts called “Tintenstriche”, and man-made hard surfaces like walls of buildings. Characteristics for cave habitats are high humidity levels and low

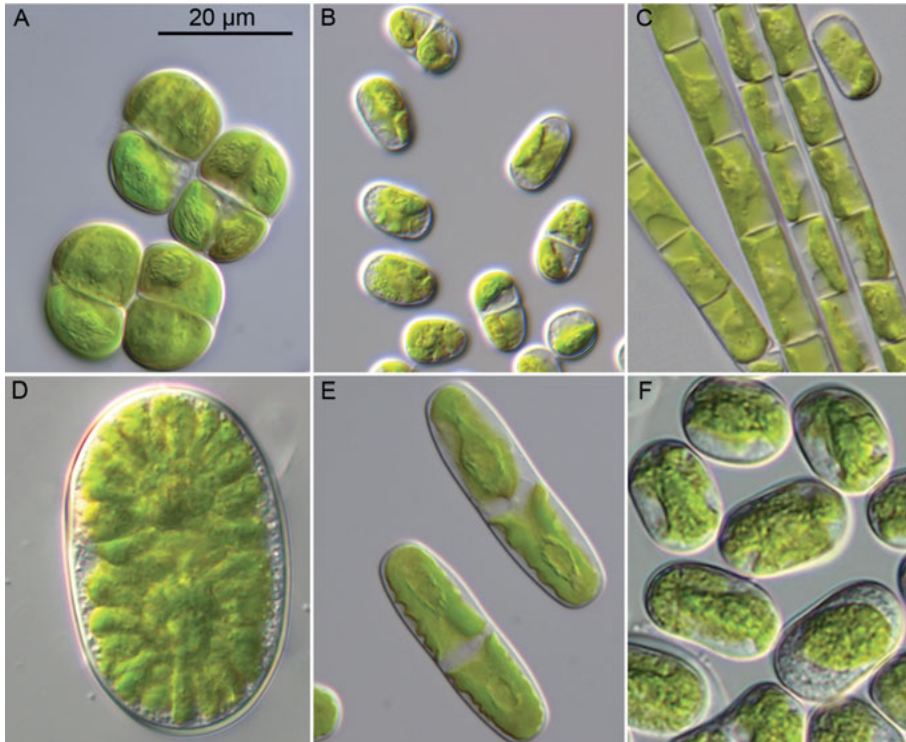


**Fig. 2.6.5:** Examples of lithophytic green algae of the Chlorophyceae (Chlorophyta) in culture. (A) *Chlorolobion lunulatum*, isolated from soil crusts of Namibia, Africa. (B) *Bracteacoccus grandis*, isolated from soil crusts of Namibia, Africa. (C) *Coelastrella oocystiformis* strain SAG 277-1. (D) *Eubrownia isobilateralis* strain SAG 95.80. (E) *Loboichlamys culleus* strain SAG 17.73. (F) *Chlorosarcinopsis arenicola*, isolated from soil, Azov-Syvash National Nature Park, Ukraine. (G) A young cell of *Deasonia* sp., isolated from soil crusts of Namibia, Africa. (H) *Spongiochloris minor*, isolated from soil, Trakhtemyriv Natural Park, Ukraine. (I) *Jenufa aeroterrestica* strain SAG 2383.



**Fig. 2.6.6:** Examples of lithophytic green algae of the Ulvophyceae (Chlorophyta) in culture. (A) *Trentepohlia* sp., isolated from granite, Nahuelbuta, Chile. (B) *Planophila laetevirens* strain SAG 465-1. (C) *Ctenocladus circinnatus*, isolated from sandstone, Bock Casemates, Luxembourg. (D) *Halofilum ramosum*, isolated from archaeological remains, Carthage, Tunisia. (E) *Paulbroadya prostrata* strain SAG 23.92. (F) *Pseudendoclonium commune*, isolated from quartz cliffs, Snake Island, Black Sea, Ukraine.

temperatures, minimal fluctuations of daily (diurnal) and seasonal temperatures, and low illumination levels. Most cave algae are cyanobacteria. Reports on eukaryotic algae are mostly limited to very few green algal genera, i.e., *Chlorella*, *Chlorococcum*, *Coccomyxa*, and *Stichococcus*. Many green algae have been left unidentified as “unicellular green algae.” Diatoms are the most abundant eukaryotic cave algae. Falasco et al. [66] provided a detailed review, which focused on the diatom flora colonizing different caves, summarizing the information published from a period of more than 100 years up to date. The most frequent diatom species reported are *Diadesmis biceps*, *Hantzschia amphioxys*, *Humidophila contenta*, *Luticola mutica*, *Luticola nivalis*, *Orthoseira roeseana*, and *Pinnularia borealis* (Tab. 2.6.1). Unfortunately, there are no phylogenetic studies of the lithophytic diatoms yet. Czerwik-Marcinkowska and coauthors studied the algae of European caves [32, 64, 65]. Using morphological and ultrastructural analyses, they recognized that epilithic



**Fig. 2.6.7:** Examples of lithophytic green algae of the Streptophyta in culture. (A) *Chlorokybus atmophyticus* strain SAG 34.98 (Chlorokybophyceae). (B) *Interfilum terricola* (Klebsormidiophyceae), isolated from granite, Nahuelbuta, Chile. (C) *Klebsormidium nitens* (Klebsormidiophyceae), isolated from granite, Nahuelbuta, Chile. (D) *Cylindrocystis crassa* strain SAG 23.97 (Zygnematophyceae). (E) *Mesotaenium endlicherianum* strain SAG 12.97 (Zygnematophyceae). (F) *Mesotaenium* sp. (Zygnematophyceae), isolated from granite, Nahuelbuta, Chile.

cyanobacteria and green algae (Chlorophyta) were almost the only components of the cave microflora.

The so-called “Tintenstriche” are often present on bare limestone rock surfaces [72]. In correlation with light intensity and humidity, Golubic [73] distinguished eight different associations dominated by either unicellular or filamentous cyanobacteria, which dominate over green algae and diatoms [2]. Jaag [72] investigated different types of limestone, dolomite, and calcareous schist in the Alps. Most common were cyanobacteria, followed by green algae especially members of the Zygnematophyceae (Streptophyta), which represented around 20% of diversity. Coccoid green algae made up about only 12% of the reported diversity. Most common were members of the Ulvophyceae, i.e., *Trentepohlia aurea*, *T. umbrina*, and *T. iolithus*, as well as Trebouxiophyceae, i.e., *Stichococcus bacillaris*, *Apatococcus* sp. (as “*Protococcus*”), *Chloroidium lichinum*, and species of *Coccomyxa*. Recent studies



**Tab. 2.6.1:** List of genera and species of red algae (Rhodophyta), diatoms, and other algae of Stramenopiles known from lithophytic habitats so far. Names in bold indicate algae shown in Fig. 2.6.1.

Division or class	Genus / species	Genus / species	Genus / species
Rhodophyta	<i>Chrootheca rupestris</i>	<i>Phragmonema sordidum</i>	
	<i>Cyanidium calcaridum</i>	<i>Rhodospora sordida</i>	
Diatomeae (Stramenopiles)	<i>Achnanthes coarctata</i>	<i>Fragilariforma</i>	<i>Nitzschia</i>
	<i>Angusticopula</i>	<i>Frustulia</i>	<i>Nupela</i>
	<i>Aulacoseira</i>	<i>Gomphonema</i>	<i>Orthoseira</i> <i>roeseana</i>
	<i>Caloneis</i>	<i>Grunowia</i> spp.	<i>Pinnularia</i> <i>borealis</i>
	<i>Cavinula</i>	<i>H. gallica</i>	<i>Placoneis</i>
	<i>Cymbella</i> spp.	<i>Halamphora</i>	<i>Platessa</i>
	<i>Cymbopleura</i>	<i>Hantzschia</i>	<i>Psammothidium</i>
	<i>Diadেসmis bicep</i>	<b><i>Hantzschia amphioxys</i></b> (Fig. 2.6.1 E)	<i>Pseudostaurosira</i>
	<i>Diatoma</i> spp.	<b><i>Humidophila contenta</i></b> (Fig. 2.6.1 F)	<i>Reimeria</i>
	<i>Diploneis</i> spp.	<i>Hygropetra</i>	<i>Rhopalodia</i>
	<i>Ellerbeckia</i>	<i>Kurtkrammeria</i>	<i>Sellaphora</i>
	<i>Encyonopsis</i>	<i>Luticola nivalis</i> , <i>L. mutica</i>	<i>Simonsenia</i>
	<i>Epithemia</i>	<i>Mayamaea</i>	<i>Stauroneis</i> spp.
	<i>Eunotia</i> spp.	<i>Meridion</i> spp.	<i>Staurosirella</i>
	<i>Fallacia</i>	<i>Microcostatus</i>	<i>Tryblionella</i>
	<i>Fistulifera</i>	<i>Navicula</i> spp.	
	<i>Fragilaria</i> spp.	<i>Neidium</i> spp.	
Xanthophyceae (Stramenopiles)	<b><i>Botrydiopsis</i></b> (Fig. 2.6.1 B)	<b><i>Heterococcus</i></b> (Fig. 2.6.1 C)	<b><i>Xanthonema</i></b> (Fig. 2.6.1 D)
	<i>Botryochloris</i>	<i>Pleurochloris</i>	
	<i>Ellipsoidion</i>	<i>Tribonema</i>	
Eustigmatophyceae (Stramenopiles)	<i>Chlorobotrys</i>	<i>Ellipsoidion</i>	<b><i>Vischeria</i></b> (Fig. 2.6.1 A)

based on molecular cloning of lithophytes colonizing limestones in the Alps (Austria and Switzerland) confirmed cyanobacteria's dominance with green algae found only occasionally [74–78].

Available information about algae on limestone walls of buildings in open space has been summarized by John [38] and Macedo et al. [57]. Green algae mostly from the Trebouxiophyceae, e.g., *Chloroidium*, *Coccomyxa*, and *Desmococcus*, as well as the streptophyte *Klebsormidium* were reported. In general, the diversity of green algae on man-made limestone substrates is still poorly known [38, 43].

**Tab. 2.6.2:** List of genera and species of Trebouxiophyceae (Chlorophyta) from lithophytic habitats, their taxonomic positions within the class. Additional genera mean those genera which have not been approved by molecular phylogeny, or whose phylogenetic position is still unclear. Names in bold indicate algae shown in Figs. 2.6.2–2.6.4.

<b>Trebouxiophyceae (Chlorophyta)</b>			
<b>clade</b>	<b>genus</b>	<b>Figure</b>	<b>genus, additional</b>
<i>Apatococcus</i> -clade	<i>Apatococcus</i>		<i>Coleochlamys</i>
<i>Chlorella</i> -clade	<b><i>Chlorella</i></b>	2.6.2 A	<i>Keriochlamys</i>
	<b><i>Dictyosphaerium</i></b>	2.6.2 B	<i>Pleurostrosarcina</i>
<i>Coenocystis</i> -clade	<b><i>Coenochloris</i></b>	2.6.2 C	<i>Podohedra</i>
	<b><i>Neocystis</i></b>	2.6.2 D	<i>Radiococcus</i>
<i>Dictyochloropsis</i> -clade	<b><i>Dictyochloropsis</i></b>	2.6.2 E	<i>Sporotetras</i>
<i>Elliptochloris</i> -clade	<b><i>Coccomyxa</i></b>	2.6.2 F, G	<i>Symbiochloris</i>
	<b><i>Elliptochloris</i></b>	2.6.2 H, I	<i>Thelesphaera</i>
	<b><i>Lobosphaera</i></b>	2.6.3 A	
<i>Lobosphaera</i> -clade	<b><i>Parietochloris</i></b>	2.6.3 B	
	<b><i>Muriella</i></b>	2.6.3 C	
<i>Muriella</i> -clade	<b><i>Myrmecia</i></b>	2.6.3 D	
<i>Prasiola</i> -clade	<b><i>Desmococcus</i></b>	2.6.3 E	
	<i>Deuterostichococcus</i>		
	<b><i>Diplosphaera</i></b>	2.6.3 F	
	<i>Edaphochlorella</i>		
	<i>Prasiococcus</i>		
	<i>Prasiola</i>		
	<b><i>Prasiolopsis</i></b>	2.6.3 G	
	<i>Pseudochlorella</i>		
	<b><i>Pseudostichococcus</i></b>	2.6.3 H	
	<b><i>Stichococcus</i> s.l.</b>	2.6.3 I	
<i>Trebouxia</i> -clade	<b><i>Trebouxia</i></b>	2.6.4 A	
<i>Watanabea</i> -clade	<b><i>Chloroidium</i></b>	2.6.4 B, C	
	<b><i>Jaagichlorella</i></b>	2.6.4 D	
	<b><i>Watanabea</i></b>	2.6.4 E, F	
<i>Choricystis</i> -clade	<i>Choricystis</i>		
<i>Leptosira</i> -clade	<i>Leptosira</i>		

### Sandstone and granite

Natural sandstone rocks have so far been studied only occasionally [79, 80]. A high diversity of green algae has been reported from sandstone surfaces in the Carpathian Mountains [80]. The most abundant have been members of Trebouxiophyceae (e.g., *Myrmecia bisecta*, *Stichococcus bacillaris*), but also some Ulvophyceae (e.g., *Ctenocladus printzii*), and the streptophyte *Klebsormidium nitens* were present. In contrast to the natural substrates, cultural monuments have actively been studied in Europe [42, 51, 54, 58, 59, 81]. Green algae of the Trebouxiophyceae like *Chlorella*, *Chloroidium*, *Elliptochloris*, and *Stichococcus* have been common on monument surfaces,

**Tab. 2.6.3:** List of genera and species of Chlorophyceae (Chlorophyta) from lithophytic habitats, their taxonomic positions within the class. Additional genera mean those genera which have not been approved by molecular phylogeny, or whose phylogenetic position is still unclear. Names in bold indicate algae shown in Fig. 2.6.5.

Chlorophyceae (Chlorophyta)					
lineage	clade	Figure	Figure	genus, additional	Figure
Sphaeropleales (DO group)	<i>Ankistrodesmus</i> -clade	<b>Chlorolobion</b>	2.6.5 A	<i>Characium</i>	
		<i>Monoraphidium</i>			
	<i>Bracteacoccus</i> -clade	<b>Bracteacoccus</b>	2.6.5 B	<i>Dictyochloris</i>	
	<i>Chaetopeltis</i> -clade	<i>Hormotilopsis</i>		<i>Hormotila</i>	
	<i>Mychonastes</i> -clade	<i>Mychonastes</i>		<i>Inoderma</i>	
	<i>Pseudomuriella</i> -clade	<i>Pseudomuriella</i>		<b>Jenufa</b>	2.6.5 I
Volvocales (CW group)	<i>Scenedesmus</i> -clade	<b>Coelastrella</b>	2.6.5 C	<i>Keratococcus</i>	
		<i>Desmodesmus</i>		<i>Macrochloris</i>	
		<i>Halochlorella</i>		<i>Phaseolaria</i>	
	<i>Chloromonas</i> -clade	<i>Chloromonas</i>			
		<i>Ostravamonas</i>		<i>Pseudodictyochloris</i>	
	<i>Moewusii</i> -clade	<i>Chlorococcum</i>			
		<b>Eubrownia</b>	2.6.5 D		
	<i>Oogamochlamys</i> -clade	<i>Desmotetra</i>			
		<b>Lobochlamys</b>	2.6.5 E		
	<i>Reinhardtii</i> -clade	<i>Radiosphaera</i>			
<i>Stephanosphaera</i> -clade	' <i>Chlorococcum</i> '		<i>Protosiphon</i>		
	<b>Chlorosarcinopsis</b>	2.6.5 F			
	<b>Deasonia</b>	2.6.5 G			
	<i>Palmellopsis</i>				
	<b>Spongiochloris</b>	2.6.5 H			

**Tab. 2.6.4:** List of genera and species of Ulvophyceae (Chlorophyta) from lithophytic habitats, their taxonomic positions within the class. Additional genera mean those genera which have not been approved by molecular phylogeny, or whose phylogenetic position is still unclear. Names in bold indicate algae shown in Fig. 2.6.6.

Ulvophyceae (Chlorophyta)			
order	clade	genus	Figure
Cladophorales		<i>Wittrockiella</i>	
Scotinosphaeriales		<i>Kentrosphaera</i>	
Trentepohliales	<i>Trentepohlia</i> -clade	<b>Trentepohlia</b>	2.6.6 A
Ulotrichales	<i>Planophila</i> -clade	<b>Planophila</b>	2.6.6 B
		<i>Rhexinema</i>	
Ulvales	<i>Ctenocladus</i> -clade	<b>Ctenocladus</b>	2.6.6 C
	<i>Halofilum</i> -clade	<b>Halofilum</b>	2.6.6 D
		<b>Paulbroadya</b>	2.6.6 E
	<i>Pseudendoclonium</i> -clade	<b>Pseudendoclonium</b>	2.6.6 F

**Tab. 2.6.5:** List of genera and species of Streptophyta (Chlorophyta) from lithophytic habitats, their taxonomic positions within the class. Additional genera mean those genera which have not been approved by molecular phylogeny, or whose phylogenetic position is still unclear. Names in bold indicate algae shown in Fig. 2.6.7.

<b>Streptophyta</b>		
<b>class</b>	<b>genus</b>	<b>Figure</b>
Chlorokybophyceae	<b><i>Chlorokybus</i></b>	2.6.7 A
Klebsormidiophyceae	<b><i>Interfilum</i></b>	2.6.7 B
	<b><i>Klebsormidium</i></b>	2.6.7 C
Zygnematophyceae	<i>Cosmarium</i>	
	<b><i>Cylindrocystis</i></b>	2.6.7 D
	<i>Euastrum</i>	
	<b><i>Mesotaenium</i></b>	2.6.7 F
	<i>Penium</i>	
	<i>Spirotaenia</i>	
	<i>Staurastrum</i>	

particularly in the Mediterranean [52]. The occurrence of *Trebouxia* and *Trentepohlia* indicated their putative involvement in lichen symbioses, initiating the colonization of stone surfaces by lichens. Endolithic growth of algae was under a black patina comprising *Chlorella*, *Stichococcus*, *Trentepohlia*, and *Klebsormidium* [52, 57].

Investigations of algal communities on the granitic substrate are scarce. Previously, the walls of monuments and castles in Spain have been studied [55, 56]. Other studies on granite surfaces have been carried out in the Alpine region by Jaag [72], and with a focus on cyanobacteria and natural granite canyons in the southern part of Ukraine by Mikhailyuk et al. [30]. Jaag [72] investigated granite outcrops in the Swiss Alps, located at an altitude up to 2700 m a.s.l. Besides cyanobacteria and diatoms, he listed species of green algae (Chlorophyta), i.e., *Coccomyxa* and *Trentepohlia*, and the streptophyte *Cylindrocystis* (Zygnematophyceae). Mikhailyuk et al. [30] have studied algae from the epilithic, chasmoendolithic, and epiphytic habitats. Members of Chlorophyta were rather diverse in the chasmoendolithic habitat. Sun-exposed granite surfaces appeared to be an extreme habitat, and the development of algae occurred only in areas protected from direct sunlight and excessive dehydration.

### **Artificial man-made hard substrates**

Different man-made artificial hard substrates in urban and suburban areas have been studied using rRNA gene sequence analyses in conjunction with establishing clone libraries and culture strains [82–85]. A variety of green algae, all from the Trebouxiophyceae, were found on various man-made substrates such as plastic switch boxes, roof tiles, and facades of buildings. The most common were species of *Apatococcus*, *Chloroidium*, *Coccomyxa*, *Coenochloris*, *Jaagichlorella*, and *Pseudochlorella*. The diversity of detected algae from crude cultures was higher than from

environmental samples. There was a shift in the abundance of specific operational taxonomic units (OTUs) between environmental samples and crude cultures. The authors assumed that members of Trebouxiophyceae might be more tolerant towards desiccation than members of the Chlorophyceae. Generally, epilithic algae's species composition on the man-made substrates was similar to those of natural stones but characterized by a lower diversity. The low water availability due to the somewhat limited water holding capacity of the artificial substrates with smooth surfaces from which the water quickly runs off may explain this. Generally, the investigations of Hallmann et al. [82–85] showed a good correlation with results obtained from traditional morphology and cultivation methods. It confirms that studies of lithophytic algae may have only a marginal bias because they are easy to culture. Cultural techniques are well developed and appropriate for biodiversity assessment, ecology, and taxonomical studies of this group of organisms.

### 2.6.5 Formally described algal communities

Eight different aerophilic algal communities have been described in the literature. Barkman [86] described several algal communities such as the *Apatococcetum*, *Trentepohlietum*, and *Prasioletum*.

The *Apatococcetum* was initially described by Barkman [86] under the name *Pleurococcetum* and later on renamed into *Apatococcetum* by Gärtner and Ingolic [87]. In the temperate and subalpine zones, the community is the most abundant. It is typical for shaded, humid sites. Characteristic community members are species of *Apatococcus*, *Desmococcus*, *Coenochloris*, and *Myrmecia*. Such communities were also investigated using molecular methods (rRNA gene clone libraries) by Hallmann and coauthors [85] as well as cultivation methods [88, 89]. The *Apatococcetum* is regarded as a toxic- and nitrate-tolerant community [90, 91]. It has also been reported from granite outcrops in Ukraine [30].

The *Trentepohlietum* occurs on tree bark of shaded and humid places in mountainous and subalpine Europe [86]. Generally, *Trentepohlia* species are distributed in the tropical regions where they are very abundant [92]. There they may cause the progressive mechanical degradation of buildings (biodegradation). In tropical areas with high humidity conditions, the damage to concrete structures caused by *Trentepohlia* spp. is considered a serious problem. In West Europe, the distribution of these communities was studied by Rindi et al. [88].

The *Prasioletum* is typical for the humid and cool living conditions of the Arctic and Antarctica [86, 89, 92, 93]. The community is also known as nitrophilic and nitrotolerant because it is common in places strongly polluted with bird feces [4]. The typical representatives are *Prasiola crispa*, *Prasiolopsis ramosa*, *Prasiococcus calcarius*, and *Schizogonium murale* Kützing. Rindi et al. [93] also reported Prasiolales from urban walls at Galway (Ireland). Some representatives of the community also were found in cracks in granite canyons in Ukraine [30].

Several types of lithophytic communities from sandstones' surfaces in Europe have been described ([2] and references therein). The *Bacillarietum* can be found on wet sandstones in the humid seasons and disappears during the dry seasons. The most common species are the diatoms *Fragilariforma virescens*, *Pinnularia borealis*, *Pinnularia appendiculata*, *Orthoseira roseana*, and *Frustulia saxonica*. The *Mesotaenietum* and the *Gloeocystetum* have been reported from a mountain valley in Central Europe [72] ([2] and references therein). They have been found at places with high humidity and light intensities. The characteristic species the green algae (Zygnematomyceae) *Mesotaenium macrococcum*, *Mesotaenium chlamydosporum*, and *Cylindrocystis crassa*. The distinct members of the *Gloeocystetum* are species of *Gloeocystis* (Chlorophyceae), *Klebsormidium* (Klebsormidiophyceae), and the dinoflagellate *Rufusiella insignis*. Species of those communities can produce massive, violet pigmented mucilage.

The *Klebsormidium* community has initially been a part of the *Prasioletum*, but later it was demonstrated that in temperate zones where air humidity is lower and temperature higher, the species of Prasiolales were exchanged by *Klebsormidium* [88]. On granite canyons in the Ukraine, the community was present on the north-west exposed shaded sampling sites [30].

The *Dilabifilum* community has been proposed by Mikhailyuk et al. [30] for wet granite sites in canyons in Ukraine, where it covered the north exposed sites of granite outcrops. The ulvophycean filamentous algae are difficult for morphological identification, and the main morphological features are not supported by molecular phylogeny [60]. The *Dilabifilum* sp. as reported by Mikhailyuk et al. [30] likely belongs to *Ctenocladus circinnatus* but needs to be investigated by rRNA gene sequence analyses.

The *Elliptochloris* community typically lives on granite outcrops [30]. There, species of *Elliptochloris* made up biofilms in the cracks on granites and were spread on dry sampling sites with high illumination. The most common species were *Elliptochloris subsphaerica* and *Elliptochloris bilobata* (probably representing *Elliptochloris perforata*), whereas *Elliptochloris reniformis* was less frequently encountered.

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## 2.7 Desiccation-tolerant vascular plants: systematic distribution, ecology, and biogeography

The vast majority of vascular plants does not survive large variations in the water content of their vegetative tissues. There is, however, a number of ferns and angiosperms that developed vegetative desiccation tolerance (DT) independently several times. The exact number of DT species is still debatable but could reach approximately 1500. Most DT plants occur in the tropics where they colonize rock outcrops (e.g., inselbergs) and as epiphytes in the canopy of forests. Within ferns and fern allies, filmy ferns (Hymenophyllaceae) make up the largest group. Among angiosperms, monocotyledons outnumber the dicotyledons, with Cyperaceae, Poaceae, and Velloziaceae being the most important. Within dicotyledons, Gesneriaceae and Linderiaceae comprise most DT plants. Despite the lack of detailed information, it can be stated that southeastern Brazil, East Africa, and central Madagascar form centers of diversity for DT species. Because of the widespread destruction of their natural growth sites by various human activities, numerous DT plants are endangered.

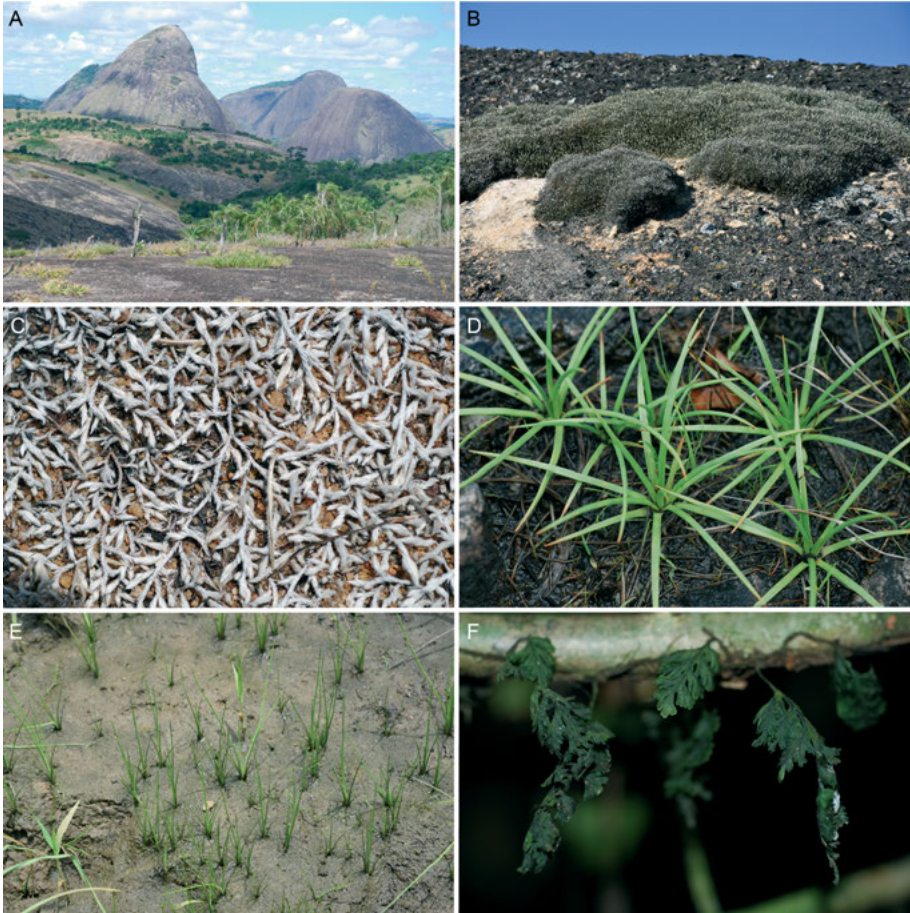
### 2.7.1 Introduction

Over the last years, the interest in poikilohydric vascular plants has increased steadily. This trend is driven by the expectation that a detailed understanding of how plants survive extreme fluctuations of dehydration and rehydration could help in improving the desiccation tolerance (DT) of agricultural crops. Based on the study of Walter [1], the water content of poikilohydrous plants closely follows fluctuations of humidity in their environment. Surveys about different ecological, physiological, and molecular attributes of vascular DT species were provided by various authors [e.g., 2–11].

Many resurrection plants occur on exposed slopes of tropical rock outcrops and in particular on inselbergs (Fig. 2.7.1A). The latter often consist of granitic and gneissic rocks and form terrestrial habitat islands [12]. They are characterized by extreme environmental conditions, i.e., high temperatures, lack of soil, and unpredictable droughts, which render them microenvironmental deserts even when located in humid regions.

First remarks on DT vascular plants from rock outcrops were provided by, e.g., Dinter [13] and Heil [14]. Later, Hamblen [15] and Gaff [16] emphasized the important role of rock outcrops as growth sites for resurrection plants. Own research over decades in many parts of the tropics combined with laboratory work and experiments in botanical gardens clearly showed that tropical inselbergs form centers of diversity for poikilohydrous vascular plants [17, 18]. Interestingly, the canopy of moist tropical forests contains many DT vascular plants that has also been largely

<https://doi.org/10.1515/9783110646467-009>



**Fig. 2.7.1:** (A) Inselbergs are widespread on old crystalline shields (Minas Gerais, Brazil). (B) *Selaginella sellowii* is a pioneer that commonly occurs on inselbergs in southeastern Brazil. (C) *Selaginella nivea* in the dry state. The species has a mosslike habit and grows on Malagasy inselbergs. (D) On tropical inselbergs, numerous quillwort species can be found in seasonally wet localities (*Isoetes* sp., Angola). (E) It is still debatable to what extent species of *Isoetes* are DT (*Isoetes* sp., Côte d'Ivoire). (F) Epiphytic member of Hymenophyllaceae with desiccated fronds (Cameroon).

neglected hitherto. In general, the number of vascular plants that show vegetative DT is relatively small and could reach approximately 1500 species.

### 2.7.2 Systematic overview

There are still many open questions concerning the problem of which species qualify as resurrection plants. For example, there are controversial views concerning the

status of Velloziaceae. Based on observations in the field, Porembski [19] advocates that all members of this family are DT, whereas Alcantara et al. [20] state that a number of Brazilian members of Velloziaceae are non-DT.

Vegetative DT occurs among ferns and fern allies and angiosperms but is completely lacking within gymnosperms. The nonexistence of poikilohydrous gymnosperms is due to certain ecophysiological constraints that prohibit trees from being DT.

It has been estimated that approximately 300 species of DT vascular plants occur on rock outcrops [17]. In an updated calculation, Porembski [18] estimated the number of poikilohydric vascular plant species to reach approximately 1300. In the following sections, the current state of knowledge about the diversity and systematic distribution of vascular resurrection plants is presented. This account is based on recently published articles and on own fieldwork on rock outcrops in Brazil, India, Madagascar, and East and West Africa.

### Ferns and fern allies

DT species occur both within lycophytes and monilophytes sensu [21]. Most poikilohydric ferns and fern allies occur in the tropics, but they are present in temperate regions too. Preferentially, they colonize xeric habitats such as rock outcrops or tree canopies. For many ferns, it can only be suspected that they are DT, and more observations in the field and in the laboratory are needed.

Spike mosses (*Selaginella*, Selaginellaceae) form an ancient group of lycopods and date back to the carboniferous period (330–350 million years ago). The genus *Selaginella* comprises an unclear number of DT species that belong to the subgenera *Tetragonostachys* (mosslike with small leaves that possess thick cuticles, distributed throughout the tropics) and *Stachygynandrum* (distributed throughout the tropics), forming rosettes such as the “Rose of Jericho” (*Selaginella lepidophylla*) as well as a clade that is still unnamed [22]. Obviously, poikilohydric spike mosses evolved at least three times in different clades of the genus.

Published literature and own fieldwork indicate that approximately 50 species of *Selaginella* are DT. The vast majority of them grows fully sun-exposed on tropical inselbergs (Fig. 2.7.1B, C). On inselbergs in southeastern United States, poikilohydric species of *Selaginella* (e.g., *Selaginella rupestris*) survive freezing temperatures [23].

Most quillworts (*Isoetes*, Isoetaceae) possess a grasslike habit and likewise belong to the lycopods and comprise mostly aquatic or semiaquatic species. A large number of *Isoetes* species colonize seasonally water-filled rock pools on inselbergs in tropical and temperate regions (Fig. 2.7.1D, E). These rock pools usually dry out completely during the dry season. It is conceivable that *Isoetes* species that grow in seasonal rock pools are DT, but further observations are needed.

DT species independently evolved several times within the monilophytes. The most speciose order containing resurrection plants is Hymenophyllales with filmy ferns (Hymenophyllaceae) containing more than 600 species that grow epiphytically and epilithically in very damp places. It has been shown by Kornás [24] and Nitta [25]

as well as by own personal observations in different parts of tropical Africa, tropical Asia, and New Zealand that filmy ferns survive dry spells in a desiccated state (Fig. 2.7.1F). Members of Hymenophyllaceae possess particular adaptive traits (e.g., lamina only one cell-layer thick, no stomata, cuticle absent or largely reduced) to survive shortages in water supply. They are DT and have thus reduced investments into leaf tissues to a minimum.

Other fern orders that contain resurrection plants are Polypodiales and Schizaeales. Within Polypodiales, Aspleniaceae (*Asplenium* s.l.), Polypodiaceae (e.g., *Drynaria*, *Phymatosorus*, *Platyserium*, and *Polypodium*; Fig. 2.7.2A), and Pteridaceae (e.g., *Actiniopteris*, *Cheilanthes*, *Doryopteris*, *Hemionitis*, *Notholaena*, and *Pellaea*; Fig. 2.7.2B) have DT members. Within Schizaeales, Anemiaceae (*Anemia*) and Schizaeaceae (*Schizaea*) comprise poikilohydric species. The genera mentioned above mainly consist of species that grow as epiphytes or lithophytes. For a number of species, observations at their natural growth sites have shown that their leaves are gray (i.e., they are poikilochlorophyllous such as is the case with *Actiniopteris radiata*) in the desiccated state and regain their green coloration immediately after rewatering. In total, the number of DT ferns might reach more than 1200 species.

### Angiosperms

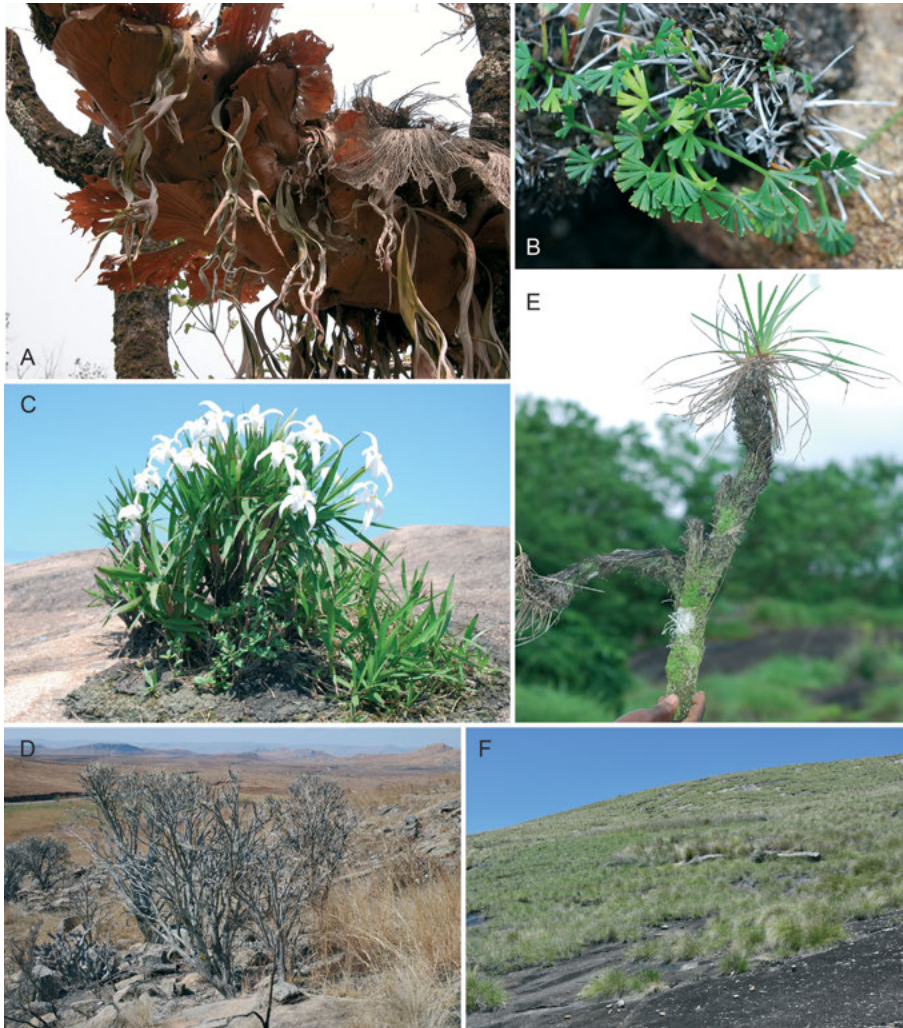
Within angiosperms, the number of DT monocotyledons is much higher than those of dicotyledons. DT evolved several times independently within different angiosperm lineages. Although the most basal lineages do not contain any resurrection plants, this adaptive trait appeared in rather derived herbaceous lineages.

DT developed in the following orders of monocotyledons: Alismatales (Aponogetonaceae, *Aponogeton desertorum* from southern Africa seems to be poikilohydric), Asparagales (Boryaceae, several species of *Borya* in Australia), Pandanales (Velloziaceae), and Poales (Cyperaceae, Poaceae, and possibly Bromeliaceae). An overview on DT genera is shown in Tab. 2.7.1.

Velloziaceae comprise approximately 250 species that show a Gondwanan distribution with a center of diversity on various types of rock outcrops in Brazil [26], whereas eastern Africa and Madagascar form a secondary center. In parts of tropical Africa and Madagascar, Velloziaceae form typical elements of rock outcrop vegetation too. Many members of this family have a shrubby or treelet-like habit (Fig. 2.7.2C, D) with stems reaching a height of more than 5 m.

Based on observations at many growth sites both in the neotropics (Brazil) and paleotropics (East Africa, Madagascar), I hypothesize that all members of this family are DT because nearly all of them occur on azonal sites where water supply is lacking periodically. This view is opposed by Alcantara et al. [20], who stated that there are DT and non-DT species of *Vellozia* in the Brazilian campo rupestre vegetation where they are growing side by side.

Within Cyperaceae, all members of the tribe Trilepideae seem to be DT plants. Genera belonging to this tribe (*Afrotrilepis*, *Coleochloa*, *Microdracoides*, and



**Fig. 2.7.2:** (A) The epiphytic fern *Platycerium stemaria* in the desiccated state (Cameroon). (B) DT species of *Actiniopteris* are typical elements on paleotropical inselbergs. (C) *Vellozia plicata* is a mat-forming DT species on Brazilian inselbergs. (D) Numerous species of *Xerophyta* occur on rock outcrops in Madagascar (dry season aspect). (E) Stems of *Afrotrilepis pilosa* can reach more than 1 m in height (Nigeria). (F) *Coleochloa setifera* forms dense mats on inselbergs in East Africa and Madagascar.

*Trilepis*; Figs. 2.7.2E, F and 2.7.3A, B) show a disjunct distribution between the neotropics and the paleotropics. Whether other members of Cyperaceae are poikilohydric is debatable (e.g., species of *Bulbostylis*).

Poaceae comprise several genera belonging to different subfamilies that include DT species [27]. Based on own observations, it can be stated that certain genera



Tab. 2.7.1: Genera of vascular plants containing desiccation-tolerant taxa.

Genus	Family	Distribution	Growth sites
<i>Acanthochlamys</i> *	Velloziaceae	SW China	Rock outcrops
<i>Actiniopteris</i>	Pteridaceae	Paleotropics	Rock outcrops
<i>Afrotrilepis</i>	Cyperaceae	W. Africa	Rock outcrops
<i>Anemia</i>	Schizaeaceae	S. America	Rock outcrops
<i>Aponogeton</i> *	Aponogetonaceae	Paleotropics	Rock outcrops
<i>Asplenium</i>	Aspleniaceae	Subcosmopolitan	Rock outcrops
<i>Barbacenia</i>	Velloziaceae	S. America	Rock outcrops
<i>Barbaceniopsis</i>	Velloziaceae	S. America	Rock outcrops
<i>Boea</i>	Gesneriaceae	Paleotropics	Rock outcrops
<i>Borya</i>	Boryaceae	Australia	Rock outcrops
<i>Bulbostylis</i>	Cyperaceae	S. America	Rock outcrops
<i>Chamaegigas</i>	Linderniaceae	Namibia	Rock outcrops
<i>Cheilanthes</i>	Pteridaceae	Subcosmopolitan	Rock outcrops
<i>Coleochloa</i>	Cyperaceae	W./E. Africa, Madagascar	Rock outcrops Rarely epiphytic
<i>Corallodiscus</i> *	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Craterostigma</i>	Linderniaceae	W./E. Africa	Rock outcrops
<i>Doryopteris</i>	Pteridaceae	S. America	Rock outcrops
<i>Drynaria</i>	Polypodiaceae	Subcosmopolitan	Canopy
<i>Eragrostiella</i>	Poaceae	Australia	Rock outcrops
<i>Eragrostis</i>	Poaceae	E./S. Africa	Rock outcrops
<i>Fimbristylis</i>	Cyperaceae	Tropical Africa, Australia	Rock outcrops
<i>Guzmania</i> *	Bromeliaceae	Neotropics	Canopy
<i>Haberlea</i>	Gesneriaceae	S. Europe	Rock outcrops
<i>Hemionitis</i>	Pteridaceae	S. America	Rock outcrops
<i>Henckelia</i> *	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Hymenophyllum</i>	Hymenophyllaceae	Subcosmopolitan	Canopy
<i>Jancaea</i>	Gesneriaceae	Greece	Rock outcrops
<i>Lindernia</i>	Linderniaceae	Tropical Africa	Rock outcrops
<i>Linderniella</i>	Linderniaceae	E./S. Africa, Madagascar	Rock outcrops
<i>Micrairia</i>	Poaceae	Australia	Rock outcrops
<i>Microchloa</i>	Poaceae	mostly Paleotropics	Rock outcrops
<i>Microdracoides</i>	Cyperaceae	W. Africa	Rock outcrops
<i>Microsorium</i>	Polypodiaceae	Paleotropics to Fr. Polynesia	Canopy Rock outcrops
<i>Myrothamnus</i>	Myrothamnaceae	E./S. Africa, Madagascar	Rock outcrops
<i>Notholaena</i>	Pteridaceae	N./S. America	Rock outcrops
<i>Oreocharis</i> *	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Oropetium</i>	Poaceae	Paleotropics	Rock outcrops
<i>Paraboea</i>	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Paraisometrum</i>	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Pellaea</i>	Pteridaceae	Tropical Africa	Rock outcrops
<i>Petrocosmea</i> *	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Pitcairnia</i> *	Bromeliaceae	S. America	Rock outcrops
<i>Platyserium</i>	Polypodiaceae	mainly Paleotropics	Canopy Rock outcrops

Tab. 2.7.1 (continued)

Genus	Family	Distribution	Growth sites
<i>Pleopeltis</i>	Polypodiaceae	Subcosmopolitan	Canopy
<i>Polypodium</i>	Polypodiaceae	Subcosmopolitan	Rock outcrops Canopy
<i>Primulina*</i>	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Ramonda</i>	Gesneriaceae	S. Europe	Rock outcrops
<i>Satureja</i>	Lamiaceae	S. America	Rock outcrops
<i>Schizaea</i>	Schizaeaceae	E. Africa, Seychelles	Rock outcrops
<i>Selaginella</i>	Selaginellaceae	Pantrop., N. America	Rock outcrops
<i>Sporobolus</i>	Poaceae	Paleo-/Neotropics	Rock outcrops
<i>Streptocarpus</i>	Gesneriaceae	E. Africa, Madagascar	Rock outcrops
<i>Styppeiochloa</i>	Poaceae	Madagascar, tropical Africa	Rock outcrops
<i>Trichomanes</i>	Hymenophyllaceae	Subcosmopolitan	Canopy
<i>Trilepis</i>	Cyperaceae	S. America	Rock outcrops
<i>Tripogon</i>	Poaceae	Subcosmopolitan	Rock outcrops
<i>Trisepalum*</i>	Gesneriaceae	Tropical Asia	Rock outcrops
<i>Vellozia</i>	Velloziaceae	S. America (mainly Brazil)	Rock outcrops
<i>Xerophyta</i>	Velloziaceae	Tropical Africa, Madagascar	Rock outcrops

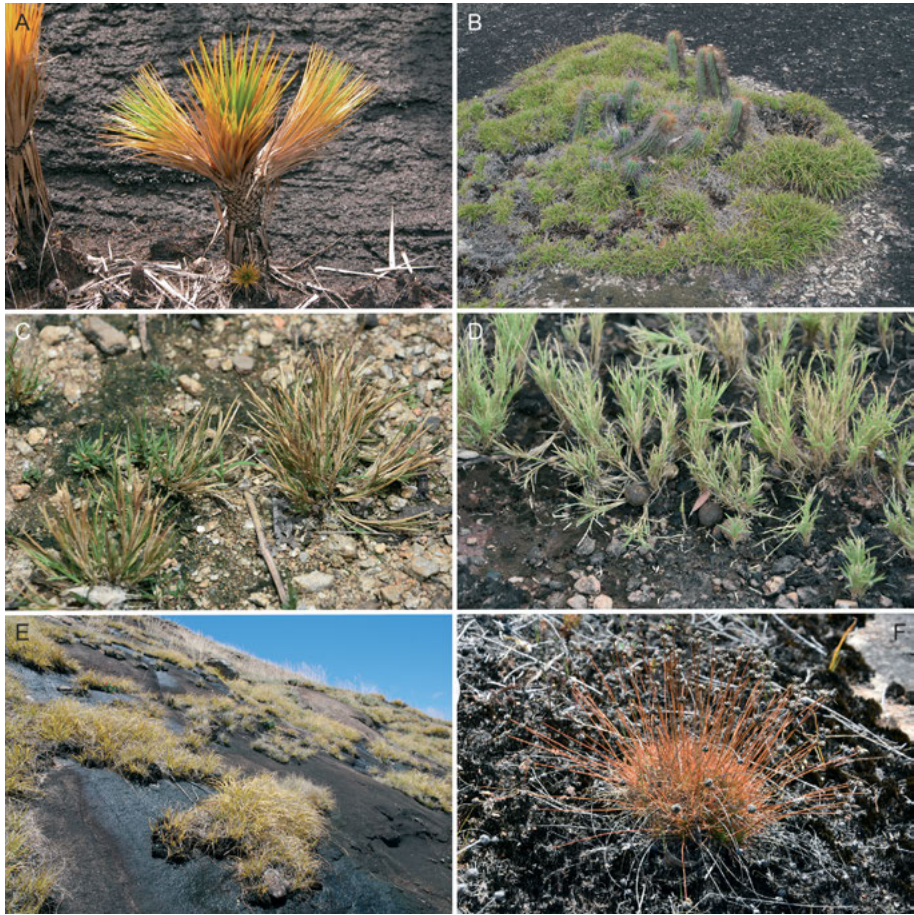
Information on geographic distribution and growth sites is based on literature sources and own personal observations. For genera marked with asterisk (\*), direct proof of DT is still lacking.

probably exclusively comprise resurrection species such as *Micraira*, *Microchloa*, *Oropetium* (Figs. 2.7.3C, D), *Styppeiochloa* (Fig. 2.7.3E), and *Tripogon*. Other genera (e.g., *Eragrostis* and *Sporobolus*) contain both resurrection and nonresurrection species. It can be estimated that approximately 40 species of grasses are DT.

The small family Boryaceae comprises several woody resurrection species within the genus *Borya* (Fig. 2.7.3F), which occur on rock outcrops in Australia. They possess needlelike leaves, which attain a bright orange color during desiccation.

There are hints that certain members of Bromeliaceae are DT. Based on the study of Zotz and Andrade [28], the epiphytic *Guzmania monostachya* loses more than 90% of the water present in full turgor and behaves like a DT plant. It has been argued that *Pitcairnia burchellii* qualifies as a DT species [29] too. This species grows on rock outcrops in the Brazilian Cerrado, and during long dry spells, its xeromorphic leaves lose most of their tissue water and the plants survive with an underground rhizome. It seems to be unclear whether dry leaves are able to regreen after rainfall. Viera et al. [30] mentioned that rehydration results in the production of new leaves and roots after leaf senescence. In addition, the genera *Stigmatodon* and *Tillandsia* might contain resurrection species that occur in perpendicular rock walls where they grow on bare rock and are able to survive weeks and months without precipitation (own observations).

Within dicotyledons, DT members occur in Gunnerales (Myrothamnaceae) and Lamiales (Gesneriaceae, Linderniaceae, and Plantaginaceae). Myrothamnaceae



**Fig. 2.7.3:** (A) Small (approximately 10 cm high) individual of *Microdracoides squamosus* during desiccation (Cameroon). (B) *Trilepis ihotzkiana* is a common mat-former on Brazilian inselbergs. (C) The DT annual *Oropetium thomaeum* is widespread on rock outcrops in southern India. (D) In West Africa, the DT annual *Oropetium aristatum* grows on inselbergs and ferricretes (Burkina Faso). (E) *Stypeiochloa hitchcockii* belongs to the most important mat formers on Malagasy inselbergs. (F) Several species of Borya (“pincushions”) occur with DT members on Australian rock outcrops

comprise only the genus *Myrothamnus* with two species (*Myrothamnus flabellifolius* in eastern and southern Africa and *Myrothamnus moschatus* in Madagascar; Fig. 2.7.4A, B), which are typical elements of inselberg plant communities. It has to be emphasized that the *Myrothamnus* species are the only shrubby dicotyledons resurrection plants. Although there is detailed information on various morphological and biochemical aspects of *M. flabellifolius* (see [31]), almost no data are available concerning these aspects for the Malagasy species.

Lamiales comprise most resurrection plants within the dicotyledons. Many Gesneriaceae that occur on rock outcrops seem to be DT as has been shown, for



**Fig. 2.7.4:** (A) The shrub *Myrothamnus flabellifolius* is widespread on inselbergs in eastern and southern Africa (Angola). (B) Folded dry leaves of *Myrothamnus moschatus* (Madagascar). (C) *Haberlea rhodopensis* grows in clefts in rocky habitats in Bulgaria and Greece. (D) *Craterostigma yaundense* is endemic to inselbergs around Yaoundé (Cameroon). (E) *Afrotrilepis pilosa* individuals on inselberg with grayish, yellow, and green leaves depending on water availability (Côte d'Ivoire). (F) *Coleochloa setifera* can form monodominant mats on open rocky slopes (Madagascar).

example, for endemics in Mediterranean mountains (*Haberlea*, *Jankaea*, and *Ramonda*; Fig. 2.74C). From tropical outcrops, it is also known that Gesneriaceae are DT, such as species of *Boea*, *Paraboea*, *Paraisometrum*, and *Streptocarpus*. However, there are other promising candidates growing on rocks in tropical Asia such as *Coralodiscus*, *Hemiboea*, *Henkelia*, *Oreocharis*, *Petrocosmea*, *Primulina*, and *Trisepalum* that should be tested concerning their DT.

Linderniaceae comprise several genera that include DT species: *Chamaegigas*, *Craterostigma* (Fig. 2.74D), and *Linderniella*, which mainly occur on rock outcrops in tropical Africa and Madagascar.

### 2.7.3 Ecological aspects

In this section, a short overview on certain adaptive traits is provided mainly from a morphological-anatomical and field ecological viewpoint. Based on own observations, it can be stated that there are differences in drought hardness between individual DT species. Our still fragmentary knowledge shows that species of *Selaginella* belong to the most drought-tolerant colonizers of rock outcrops that behave similar to certain mosses. In particular, *Selaginella* species that have a mosslike habit (e.g., *Selaginella nivea*, Madagascar), which grow fully sun-exposed directly on the underlying rock, regreen shortly (i.e., within a few hours) after rain and return to dormancy more or less immediately after the onset of a drier period.

Dehydration of resurrection plants is often accompanied by a drastic change in leaf color. During the cycle of drying and greening, the leaves of most poikilohydric angiosperms are characterized by the following behavior: desiccated grayish leaves unfold and obtain at first a yellow coloration within approximately 48 hours after rain and subsequently turn green (Fig. 2.74E). After prolonged periods of drought, the leaves fold and turn yellow first and subsequently gray (own observations in the field and in the laboratory). Occasionally (e.g., in some Linderniaceae and Velloziaceae), dry leaves have a reddish-violet coloration. In general, it can be distinguished between poikilochlorophyllous (i.e., losing most or all of their chlorophyll while drying) and homoiochlorophyllous (i.e., chlorophyll content will be preserved during drying) plants. The majority of monocotyledons are poikilochlorophyllous, whereas most DT dicotyledons are homoiochlorophyllous. In nature, poikilohydric vascular plants on rock outcrops persist for prolonged periods in a dormant state such as *Afrotrilepis pilosa* (3–8 months), depending on whether individuals grow on inselbergs located in rainforests or savannas. During the rainy season, leaves can stay continuously green for weeks or months, but even then they react rapidly when water supply is not sufficient and dry out. Thus, they are able to go several times through the cycle of drying and greening within a couple of weeks.

Widespread in many groups of vascular resurrection plants is the folding or curling of leaves during the process of desiccation, which seems to be important for

avoiding photoinhibitory damage. The typical parallel nervature of leaves of monocotyledons seems to offer mechanical advantages with regard to leaf folding motions during dehydration-rehydration cycles compared with the netlike nervature of dicot leaves. This might explain why poikilohydric monocots largely outnumber dicots. Remarkably, many DT dicots have modified the typical leaf nervature of dicots and possess a parallel leaf nervature what could allow better leaf folding. For example, this is the case within Myrothamnaceae and Linderniaceae. A remarkable exception is provided by DT members of Gesneriaceae, which possess a netlike venation pattern. According to Kampowski et al. [32], *Ramonda myconi* leaves undergo complex morphological and anatomical alterations that result in drastic changes of its mechanical properties, making the leaves more flexible.

Poikilohydric arborescent (i.e., possessing woody trunks) monocotyledons form a remarkable example of convergent evolution. They occur in both tropical and temperate regions on rock outcrops. Typical elements are Boryaceae (*Borya*), Cyperaceae (*Afrotrilepis*, *Microdracoides*, *Trilepis*), and Velloziaceae (e.g., *Barbacenia*, *Vellozia*, and *Xerophyta*). Interestingly, their trunks consist mainly of adventitious roots with a velamen radicum, which is useful for rapid water uptake [33]. Certain Velloziaceae from nutrient-poor Brazilian campo rupestres possess roots (“Velloziod roots”) that are able to mobilize phosphorus via root exudates [34]. Usually, DT mat-forming monocots possess the ability to form large clonal populations of considerable age (i.e., hundreds of years) by means of stolons, which allows the long-lasting occupation of suitable sites [35]. Trunks of Cyperaceae and Velloziaceae can attain a considerable diameter and are regularly colonized by epiphytic orchids (e.g., *Polystachya microbambusa* in West Africa and *Pseudolaelia vellozicola* in Brazil), which show a high degree of phorophyte specificity [36].

Ecologically, very remarkable is the rock pool specialist *Chamaegigas intrepidus*, which is endemic to Namibia. The species occurs on inselbergs in semiarid areas, where it colonizes seasonally water-filled rock pools [14, 37]. The species has contractile, DT-submerged leaves and develops nonpoikilohydric floating leaves after rainfall. During desiccation, the submerged leaves shrink by 75–80%, mainly due to contraction of xylem vessels [38].

## 2.7.4 Habitats

Poikilohydric vascular plants have their center of diversity on tropical rock outcrops and in forest canopies (ferns), but some occur in temperate regions too. The altitudinal spectrum of their distribution reaches from sea level to heights of nearly 3000 m a.s.l. (e.g., on Mt. Mulanje, Malawi [39]). It can be speculated that in southwestern China, possible DT species (e.g., *Acanthochlamys bracteata*, Velloziaceae; *Tripogon* spp., Poaceae) colonize dry rocky slopes at altitudes even higher than 4000 m a.s.l. where they are exposed to deep freezing temperatures.

DT angiosperms nearly exclusively grow on rock outcrops such as inselbergs, which are characterized by very harsh environmental conditions (e.g., lack of water and nutrients, high temperatures). Throughout the tropics, among the most dry-adapted resurrection species are those which form carpetlike mats (mainly monocotyledons such as *A. pilosa* in West Africa or *Coleochloa setifera* in Madagascar; Fig. 2.74F) on open, sometimes steep rocky slopes. Mat-forming species are literally glued to the underlying rock via their roots, which allow them to colonize “bare rock.” Frequently, mat communities are colonized by DT dicotyledons such as Gesneriaceae (e.g., *Streptocarpus*), Linderniaceae (e.g., *Craterostigma*), and *Myrothamnus*. These taxa can also be found in shallow depressions and seasonally water-filled rock pools (e.g., the famous *Chamaegigas intrepidus* in Namibia). Both on tropical and temperate rock outcrops, species of *Selaginella* form mats on sun-exposed rocks (Fig. 2.75A), and they occur at the periphery of mats formed by DT monocotyledons.

Still understudied are lateritic plateaus (e.g., ferricretes), which are widespread in many parts of the tropics, but it has been shown that they harbor numerous resurrection plants. Because of their extreme seasonality and thus extreme seasonal dryness for several months, they are colonized by various grasses, Velloziaceae, and ferns (in Africa, e.g., *Actiniopteris* spp.). Among Poaceae, the genera *Microchloa* (mostly *Microchloa indica*) and *Oropetium* are prominent on lateritic plateaus in the paleotropics.

Steep, cliff-like, basaltic mountain ranges are likewise important growth sites for poikilohydric species. This is particularly true for the Western Ghats in southern India, which extend for approximately 1500 km. In parts of this mountain range, annual rainfall exceeds 5000 mm, which falls within only 4–5 months. The cliff-like, nearly perpendicular rocky slopes form the largest ecosystem on earth that is characterized by large stands of DT species (mainly species of *Tripogon*), which form extensive mats (Fig. 2.75B).

As far as is known, resurrection species among vascular epiphytes are nearly exclusively ferns. Particularly speciose are filmy ferns (Hymenophyllaceae) that sometimes grow lithophytically too. According to Kornás [24] and Nitta [25], filmy ferns prefer shady conditions where they can build up large stands. They form the largest family of vascular resurrection plants (approximately 700 spp.). Moreover, species of Aspleniaceae (e.g., *Asplenium*) and Polypodiaceae (e.g., *Microsorium*, *Platyserium*, and *Polypodium*) occur with DT members in tropical and temperate regions. Only very rarely specialized resurrection species of Cyperaceae (i.e., *Coleochloa* ssp. in tropical Africa) and Poaceae (e.g., *Tripogon* spp. in India) grow as epiphytes. A rather limited number of resurrection plants have managed to colonize urban environments. For example, in Europe several species of *Asplenium* (e.g., *A. ceterach*, *A. trichomanes*) are common colonizers of crevices of buildings (Fig. 2.75C). They are typical elements of particular plant communities (e.g., *Asplenieta trichomanis*) that can fall completely dry for weeks or months and which survive freezing in Europe. Villages and cities in humid regions of tropical Africa are characterized by the staghorn fern *Platyserium stemaria*, which is common on roofs and walls of buildings.



**Fig. 2.7.5:** (A) *Selaginella* sp. (gray) growing together with *Aloe* sp. (Madagascar). (B) Near vertical cliffs in the Western Ghats in southern India are covered by dense stands of DT species of *Tripogon* (here *Tripogon lisboae*). (C) In the Mediterranean region, the DT fern *Asplenium ceterach* commonly colonizes urban habitats (southern France). (D) A species of *Selaginella* on sale in a market in India. (E) Twigs of *Myrothamnus moschatus* in a market for medicinal plants in Antananarivo (Madagascar).

## 2.7.5 Geographic distribution

Most vascular resurrection plants occur in the tropics where they colonize tree canopies and rock outcrops. It is well documented that the tropical parts of Africa and South America are rich in poikilohydric species. Tropical Asia seems to be less speciose, but this could be a result of rather limited fieldwork conducted there. It can



be expected that better exploration of tropical Asia (in particular, tower karst formations in China and Vietnam seem to be rich in candidates) for resurrection species will demonstrate that in particular for Gesneriaceae (e.g., within *Boea*, *Corallodiscus*, *Paraboaea*), and Poaceae (within *Tripogon*), the number of taxa will increase. Interestingly, certain candidates that should be tested for desiccation tolerance occur at high altitudes (i.e., higher than 4000 m a.s.l.) in the Himalayas, such as *Corallodiscus kingianus* and *Acanthochlamys bracteata* (the only Velloziaceae in this region which seems to be the most basal member of the family).

In tropical Africa, the Sudano-Zambezian Region (mainly eastern and southern Africa) harbors many poikilohydric species. Deserts and semideserts in Africa are almost devoid of resurrection plants except the small grass *Tripogon minimus*, which can be found up to the southern fringes of the Sahara. Rock outcrops on the central highlands in Madagascar form global centers of diversity for resurrection species [40]. Particularly rich in species are Gesneriaceae (*Streptocarpus*), Linderniaceae (*Craterostigma*, *Linderniella*), and Velloziaceae (*Xerophyta*). Cyperaceae (*Afrotrilepis*, *Coleochloa*, and *Microdracoides*) and Poaceae (*Microchloa*, *Sporobolus*, *Styppeiochloa*, and *Tripogon*) contain fewer species but can be dominant as mat formers (in particular, *Styppeiochloa*). Most important mat formers are *A. pilosa* (West Africa [41]), *C. setifera* (East Africa, Madagascar), *Microdracoides squamosus* (West Africa), *Styppeiochloa hitchcockii* (Madagascar), and various species of *Xerophyta* (mainly East Africa and Madagascar). The shrubby Myrothamnaceae are endemic to eastern and southern Africa (*M. flabellifolius*) and Madagascar (*M. moschatus*). Fern and fern allies occur in Africa and Madagascar with rock outcrop dwellers (e.g., *Actiniopteris*, *Asplenium*, *Pellaea*, and *Selaginella*) and epiphytes (e.g., *Hymenophyllum*, *Microsorium*, and *Platynerium*).

Rock outcrops in North and Central America are colonized by poikilohydric ferns and grasses (*Sporobolus atrovirens*). Among ferns, the genera *Cheilanthes*, *Notholaena*, *Pellaea*, and *Polypodium* (with the lithophytic/epiphytic *Polypodium polypodioides* and *Polypodium virginianum*) are important [42]. Well known is the so-called Rose of Jericho (*S. lepidophylla*), a typical element of the Chihuahuan Desert.

In South America, rock outcrops in Brazil (mostly inselbergs, lateritic plateaus, and campo rupestre) form centers of diversity for DT plants [43]. Campo rupestre vegetation (mainly in Minas Gerais and Bahia) is a hot spot for Velloziaceae of which a large number might be DT (but see [20]). In general, Velloziaceae occur on all types of rock outcrops in Brazil from sea level up to high altitude grasslands at approximately 2000 m a.s.l. (e.g., Itatiaia Mts., Serra do Brigadeiro [44]). Shrubby to treelike Velloziaceae have their center of diversity in the quartzitic Serra do Espinhaço, where they occur with numerous local endemics (mainly *Barbacenia* spp. and *Vellozia* spp.). Ferns and fern allies (e.g., *Anemia*, *Doryopteris*, and *Selaginella*) are likewise important, whereas Cyperaceae (mainly *Trilepis*) are of minor importance and DT Poaceae and dicotyledons are almost absent. A secondary center of diversity for poikilohydric species is formed by Sugar Loaf Land, which comprises inselberg landscapes in

southeastern Brazil [45]. Information on poikilohydrous epiphytic ferns is sparse, but it seems species of *Pleopeltis* and *Trichomanes* belong to this group (cf. [46]). Whether certain Bromeliaceae that occur on rocks or as epiphytes are poikilohydric still has to be tested.

On various types of rock outcrops in Europe, poikilohydric ferns occur (e.g., *Asplenium ceterach*). Well known is the occurrence of DT Gesneriaceae (*Haberlea* with two species, *Jankaia heldreichii*, *Ramonda* with three species), which form small rosettes. These gesneriads are relicts from the tertiary period and grow in mountain ranges in the Mediterranean region.

All resurrection species in Australia are endemic to this continent. Prominent are woody, cushion-forming species of *Borya*, which are widespread on rock outcrops. In addition, ferns (e.g., *Cheilanthes* spp.), grasses (*Micraira* spp.), and the gesneriad *Boea hygroskopica* are present [47].

### 2.7.6 Economic use

Over the last decades, a number of vascular resurrection plants have been studied to understand the strategies of these plants to cope with drought [48, 49]. It is conceivable that these efforts might be of interest for the future development of extremely drought-tolerant crop species [50].

It should not be underestimated that a number of DT plants are widely used economically as ornamentals. Among the better known cases is the Rose of Jericho *S. lepidophylla*, which is commonly sold; this is also the case with other species of *Selaginella* (Fig. 2.7.5D). Another poikilohydric fern that is sold as ornamental is the epiphytic *Platyserium stemaria* [51]. Increasingly popular as ornamentals are poikilohydric Gesneriaceae such as species of *Boea*, *Ramonda*, and *Streptocarpus*.

Certain resurrection species are sold in large quantities as medicinal plants. This is well documented for the two species of *Myrothamnus* (*M. flabellifolius* and *M. moschatus*), which are offered in markets in parts of Africa and Madagascar (Fig. 2.7.5E). Because these species are not cultivated, their twigs are collected in the wild, which possibly has negative consequences for them.

### 2.7.7 Conservation

Most vascular resurrection plants are not protected by law because in many cases their growth sites have escaped the attention of conservationists. However, their growth sites (especially rock outcrops) are highly endangered at present due to various human activities such as quarrying, fire, grazing, and illegal collection of plants [52]. A number of resurrection plants are endangered by the collection of large amounts of individuals for ornamental and medicinal purposes. This is embodied by

*S. lepidophylla* and other species of *Selaginella*, which are collected in large quantities in the wild.

### 2.7.8 Research perspectives

Our knowledge about the diversity of vascular DT plants and their natural growth sites is still far from being complete. In particular, rock outcrops in the tropics are still underexplored with regard to DT plants. Inventorying work is an old fashioned but still necessary task and is particularly needed in parts of tropical Asia, eastern Africa, and Madagascar. Moreover, in many cases, it is still not clear whether certain taxa are poikilohydric or not, which is particularly true for many members of Velloziaceae. Here, more direct observations in the field and laboratory analyses are necessary.

Research on the molecular level hitherto concentrated on a limited number of DT species (e.g., *Craterostigma plantagineum* and *Xerophyta elegans*), which acted as model organisms. If our knowledge concerning the cultivation of other DT taxa could be enhanced, other rather neglected DT species could be tested with regard to their ability to cope with desiccation.

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