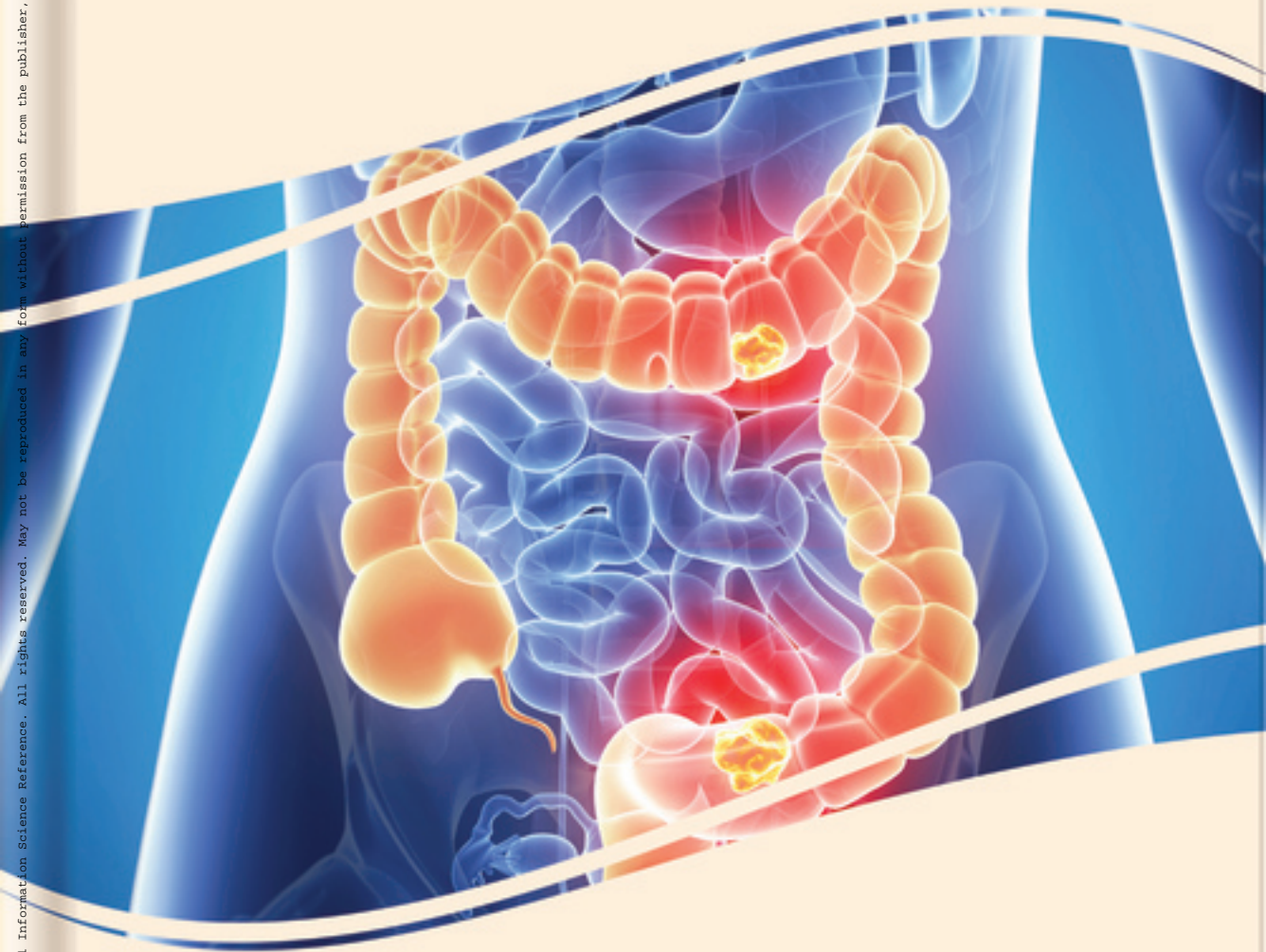


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# Diagnostic and Treatment Methods for Ulcerative Colitis and Colitis-Associated Cancer



Ashok Kumar Pandurangan

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# Diagnostic and Treatment Methods for Ulcerative Colitis and Colitis– Associated Cancer

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Inflammatory bowel disease (IBD) is a physically incapacitating disorder that significantly disturbs patients' quality of life. IBD is classified into two main pathophysiological forms, ulcerative colitis and Crohn's disease. Literature studies indicate that chronic colitis may contribute to the development of up to 25% of all diagnosed colorectal tumors. The complexity and development of IBD onset is intermediated by a variety of inflammatory mediators and pathways that are intrinsically linked and are summarized in this chapter. This complexity resulted in the development of various in vivo models to surpass the enormous challenges

in the finding of new drugs for IBD treatment. These models are mostly based on rodents and on three types of inflammatory activation: chemical induction, transfer of naïve CD4+ T cells, and generation of engineered mouse strains, with specific target gene manipulations. The most broadly described models in literature are here presented and discussed.

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Chronic inflammation in the large intestinal epithelial to rectum is a major risk for malignancies. The pathogenesis of colitis associated cancer is distinct with perilous molecular mechanism. The inflammation leads to damage of cells resulting in symptomatic conditions including cancer. This suggest the relationship between certain cancer due to its associated factors such as environment, genetics, and chronic inflammation leading to cancer. Colorectal cancer (CRC) has also been acknowledged as bowel, rectal, or colon cancer. The most common types of adenocarcinomas are associated with colorectal cancer. The lymphomas, carcinoids, sarcoma, and gastrointestinal tumors are also associated with CRC. Most disorders with chronic inflammation and exposure of immunosuppressant have an increased risk with the development of cancer leading towards the treatment of cancer by various therapies like radiation therapy, chemotherapy, hormonal therapy, further into immunotherapy, and targeted therapy. The prognosis of CRC has always been controversial.

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Inflammatory bowel disease (IBD) is characterized by sustained inflammatory processes in the gastrointestinal tract. One of the most threatening risks for IBD patients is the development of colorectal cancer, resulting from the chronic inflammatory state. Current IBD treatment presents limitations in safety and efficacy. As such, it is of paramount importance to find novel therapeutic strategies. The antioxidant and anti-inflammatory properties of flavonoids are widely recognized. Flavonoids currently found in our daily diet are likely to yield biological actions at the gastrointestinal level, suggesting a potential protective effect in IBD. However, the number of studies concerning the effects of flavonoids on intestinal inflammation is limited. This chapter intends to summarize the known effects of flavonoids in the different phases of IBD inflammatory pathways, covering all the concerning available in vivo studies.

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Ulcerative colitis (UC) is a serious health problem around the world. Inflammatory bowel disease (IBD) is comprised of both Crohn's disease (CD) and UC. IBD is a clinical condition referred as inflammation in the colon. So far there is no proper medication available to treat IBD. On the other hand, untreated UC can be developed as colitis associated cancer. Natural agents are diverse molecules possess many beneficial effects. Many researchers have proven that natural agents can be better option to treat UC. Natural agents such as chrysin, chelidonic acid, euphol, fish oil, diallyl trisulfide, embelin, isatin, and rutin were already reported to have anti-colitic activity. In this chapter, the authors documented the natural agents that were used as treatment for UC.

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*Subhamoy Banerjee, B. S. Abdur Rahman Crescent Institute of Science and Technology, India*

Ulcerative colitis is a chronic inflammation of the inner part of the colonic mucosa. It is a type of inflammatory bowel disease which is idiopathic in nature. It is multifactorial, debilitating disorder which may cause life threatening complications. Given to the architecture of colon, conventional medicines have limitation in treating the disease. Thus, the need for alternative methods of drug delivery is important. Nanoparticle is one of the preferred drug delivery system owing to its unique properties. Nanoparticles resist undesired and premature degradation of the drugs, increases bioavailability, and target specificity. Different nanoparticle-based drug delivery systems like metallic, liposome, silica, or polymeric nanoparticles have been designed to administer therapeutic agents through oral route to treat ulcerative colitis. Natural compounds and active components isolated from the plant extracts and other bioactive agents are also delivered by nanoparticle. In the current chapter, nanoparticle-mediated drug and phytochemicals delivery to treat ulcerative colitis are discussed.

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*Soccalingam Artchoudane, Center for Yogic Sciences, Aarupadai Veedu Medical College and Hospital, Vinayaka Mission's Research Foundation, India*

Inflammatory bowel disease (IBD) is a psychosomatic disorder characterized by chronic inflammation of the gastrointestinal tract. Metabolism of an individual affected with IBD is equated to imbalance of jatharagni (digestive fire) which results in atijeernam (hyper digestive disorder), ajeernam (hypo digestive disorder), or kutajeernam (erroneous digestive disorder). Yoga stabilizes jatharagni that helps energy transformation of 1) food substances into nutritious substance, 2) nutritious

substance into tissues. It improves anabolic and catabolic processes which help absorption of energy. Yogic cleansing techniques promote elimination of ama (toxic products) and kleda (waste products). Yoga therapy along with herbal medicine and lifestyle modification helps develop balanced state of doshas in individuals with IBD. Yoga practice has a healing effect on mind and body, reduces stress, increases emotional and physical self-awareness, and improves the ability to manage physical symptoms.

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*Priyamvada Priyamvada, Banaras Hindu University, India*

Colitis-associated cancers are a metastatic form of inflammatory bowel disease considered a vital health associated risk factor causing the death of approximately five lacs people every year throughout the world. There are trillions of bacteria that are associated with our gut as a part of our healthy microbiome. The microbiota plays a plethora of important role in determining the normal physiological processes of the cells and, subsequently, the body. The imbalance in microbiome diversity (dysbiosis) due to abnormal dietary habitats, hectic lifestyle, and other factors thus alters the normal physiological processes of the body, thereby causing several chronic diseases. Therefore, it is essential to maintain the homeostasis between the host and their gut microbiome. So, based on the facts mentioned above, this chapter is entirely devoted to providing an overview of colitis-associated cancer and their relation with the dysbiosis of a healthy microbiome. Moreover, the mechanism involved in the development of colorectal cancer and its preventive insights has also been addressed.

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*Aadil Rashid Sheergojri, B. S. Abdur Rahman Crescent Institute of Science and Technology, India*

Ulcerative colitis or Crohn's illness patients are in danger of colon cancer due to chronic inflammation, resulting from the reaction of the immune system to bacterial disease caused by genetic alterations in the colonic mucosa. Somatic cells gain genomic changes, such as TP53 that regulates MUC2 production and APC alterations linked with  $\beta$ -catenin and MUC1 contribution in the slight proliferation of cells. Mathematical modeling describes developmental modifications and uses the phrases

to link parameter to curves of age-dependent incidence of epidemiological cancer. By using the long-lasting investigation of IBD patients to gather the genomic estimations for increasingly exact computations of IBD-explicit developmental parameters as initiation, birth, and death. Colon cancer genetic trajectory follows the structure of the composition of functions that leads to malignancies. Models of population level can be utilized to consolidate epidemiological information and in this manner describe malignant growth advancement in a population with IBD.

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*Vasudevan Sekar, University of Madras, India*

Colorectal cancer the third-leading cause of cancer mortality Worldwide; it's a well characterised model at molecular level among various cancer. Chronic ulcerative colitis is one of the causes of colorectal cancer. Recent cancer research focuses on tumor-initiating cells which are the cause of tumor initiation, invasions, drug-resistant, recurrence, and metastasis. Emerging research findings support the presence of colon cancer stem cells in sporadic colorectal cancer and in colitis-associated colorectal cancer. Colitis-associated cancer cells exhibit increased colon cancer stem cell marker expression along with activated developmental signaling pathways. Also, emerging reports exhibit that inhibition stem cell markers in chronic ulcerative colitis cells impedes progression of cancer in genetically engineered animal models and primary samples. This chapter deals of colitis-cancer transition, microenvironment of colitis-associated colorectal cancer, and articulates that cancer stem cells are ideal targets for colorectal cancer.

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Inflammatory bowel disease (IBD) is comprised of ulcerative colitis (UC) and Crohn's disease (CD) that was recognized by the inflammation in the colon. There are no proper medications are available to control the IBD in patients. NASIDs such as Aspirin, diclofenac, and ibuprofen are widely used to control the inflammation. On the other hand, the untreated prolonged inflammation leads to the development of cancer in the colon termed as colitis-associated cancer or inflammation-driven colon cancer. Oxidative stress and inflammation play key roles in the pathogenesis



of colitis-associated cancer. Single dose of azoxymethane (AOM) and three cycles of 2% dextran sodium sulfate (DSS) induces colitis-associated cancer (CAC) in mouse. Hence, many natural products were tested in the preclinical model of colitis-associated cancer. Each of these natural agents modulate important signaling pathway to control the colitis-associated cancer (CAC). In this review, the authors tabulated all the natural agents that culminate the colitis-associated cancer in the preclinical models.

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## Foreword

Ulcerative colitis (UC) and Crohn's disease (CD) is a chronic idiopathic inflammatory bowel disease (IBD) characterized by continuous mucosal inflammation that starts in the rectum and extends proximally. Typical presenting symptoms include bloody diarrhea, abdominal pain, urgency, and tenesmus. The etiology of the disease not fully known. The risk factors include a history of recent infection with *Salmonella* or *Campylobacter*, living in Western industrialized nations and at higher latitudes, and a family history of the disease. The incidence peaks in early adulthood, but patients can develop the disorder from early childhood through adulthood. There are no proper medications are available for the treatment of UC. Especially, the non-steroidal anti-inflammatory drugs (NSAIDs) were used to control the inflammation. When UC is untreated that leads to the chronic inflammation further developed as colitis associated cancer (CAC). CAC is totally different from familial based colorectal cancer.

This book has the collection of chapters of different aspects of ulcerative colitis and colitis associated cancer. Daniela Ribeiro et al reported that various inflammatory, transcription signaling pathways that contribute to the development of UC was discussed in Chapter 1. Adil et al., reported that how immuo-profiling and immuno-scoring helps in the detection of biomarkers of UC and CAC. In addition, the advancement of immunotherapy in CAC was discussed in Chapter 2. Marisa Freitas et al., reported that the preclinical evidence on the list of flavonoids showed promising effects on UC in Chapter 3. Syed Nasar Rahaman et al., reported that the diagnostic methods and mechanism of action of some of the natural products against UC was discussed in Chapter 4. Subhamoy Banerjee reported that the novel and modern therapeutic approaches in the treatment of UC in Chapter 5. Soccalingam Artchoudane reported that the types of yoga pose's that help to prevent the IBD diseased such as UC and CD in Chapter 6. Gut microbiome plays a vital role in many disease conditions and also provide valuable information about individual. Priyamvada reported that the role of gut microbiome correlated with the colitis associated cancer was discussed in Chapter 7. Rubeena et al., reported that some of the mathematical approaches in analyzing the data of IBD and CAC such as

fuzzy logic methods and etc. was discussed in Chapter 8. Vasudeven reported that cancer stem cells are the main culprit for the re-occurrence of all type of cancers. He discussed that the markers that expressed in CAC and singling that regulated by Cancer stem cells in CAC in Chapter 9. Pandurangan et al., reported that the major signaling pathways that dysregulated in CAC and natural agents that influence these signaling to suppress the CAC was discussed in Chapter 10.

I strongly believe this book will be helpful for the researchers in particular and also for clinicians and graduate (Under and post) students studying in this field. Moreover, it will help the teachers, and healthcare professionals who is interested to know about UC and CAC.

I wish thank Dr. Ashok Kumar Pandurangan and all the authors who contributed chapters for this book for bringing such a nice collection of chapters for the benefit of human beings among the world and I wish the book will have grand success.

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## Preface

A common chronic inflammatory disease of the gastrointestinal tract (IBD) is inflammatory bowel disease (IBD). Ulcerative colitis and Crohn's Disease (CD), considered common health conditions, are included in IBD. UC is characterised by erosion, mucosal ulceration, and inflammatory cell invasion, and exhibits clinical symptoms that include weight loss, blood and mucus-accompanied diarrhoea, fever, gastric dysmotility, and colon shortening. Ulcerative colitis pathogenesis is complicated and can include genetic, environmental and immunological influences. A breakdown of the epithelial barrier, accompanied by improper reactions to microbial products and persistent inflammation in genetically susceptible hosts, is suggested to play a key role in this disease.

More than 1 million new cases of colorectal cancer (CRC) are diagnosed around the world every year. The third most prevalent malignancy and fourth most prevalent cause of mortality worldwide is CRC. Chronic inflammation of the intestine and colon results in epithelial injury. Inflammation is induced by locally generated cytokines and the proliferation of crypt cells is stimulated to compensate for epithelial cell loss. The development of CAC can eventually lead to this chronically stimulated condition of the epithelium. The stages of cancer growth between non-inflammatory CRC and CAC, including the formation of aberrant crypt foci, polyps, adenomas, and carcinomas, are similar. Some distinct pathogenic sequences have, however, been suggested for CAC, including chronic inflammation and injury-dysplasia carcinoma that occurs without well-defined adenoma development. In sporadic CRC and CAC, typical genetic and signalling pathways such as Wnt /  $\beta$ -catenin, K-ras, p53 and transforming growth factor (TGF)- $\beta$  are altered, although the timing between CRC and CAC may be different for p53 and adenomatous polyposis coli (APC) inactivation and K-Ras activation. In the early stage of CAC, aberrant activation of inflammatory cytokines, transcription factors such as NF- $\kappa$ B and STAT3, was observed.

Azoxymethane (AOM) and dextran sodium sulphate (DSS) are the classic and commonly used models for inducing CAC in rodents. In animal models of CRC, several researchers use AOM, 1,2-dimethylhydrazine (DMH, a precursor of AOM) and/or methyl azoxy methane (MAM) acetate. The spectrum of epithelial lesions

caused by AOM parallels that of the different forms of human CRC neoplastic lesions. In addition, the definition in which tumour initiation is accompanied by tumour promotion and development in a sequential manner tends to obey AOM-induced CRC. Specifically, as the precursor lesion and Mucin deficient foci, AOM causes the onset of aberrant crypt foci, followed most frequently by the onset of distal colon adenocarcinoma, and, eventually, by metastasis of mesenteric lymph nodes and liver.

Chronic inflammation in a variety of organs is related to the development of cancer. Chronic inflammatory disorders, such as Barrett oesophagus, chronic gastritis, and chronic pancreatitis, of the gastrointestinal tract confer a predisposition to malignancy. The production of reactive oxygen and nitrogen species that can cause oxidative damage to DNA, proteins, and lipids is one mechanism by which inflammation may contribute to the development of cancer. Increased levels of oxidative damage were observed explicitly at cancer sites in a study of multiple inflammation-associated cancers, including colitis-associated CRC. Continuous exposure to cytokines induces an up-regulation of ROS production based on iNOS and DNA instability that leads to cancer. Hence, Oxidative stress plays a crucial role in the development of CAC from chronic inflammation.

In the intestinal immune system, cytokines are essential signalling molecules and are believed to engage in the disturbance of the so-called natural state of regulated inflammation. They are small peptide proteins generated mainly by immune cells that facilitate cell-to-cell contact, promote the proliferation of antigen-specific effector cells, and mediate autocrine, paracrine, and endocrine local and systemic inflammation. IL-6 is a pleiotropic cytokine that, through its soluble IL-6 receptor (sIL-6R), exerts its pro-inflammatory effects mainly through mediation. Soluble IL-6 (sIL-6R) and IL-6 receptor combinations activate cells that express only gp130 and not IL-6R, a mechanism known as trans-signaling. There has been thorough research of IL-6 signalling by STAT3. In many immunologic reactions during the production of IBD, this pathway plays a central function, and circulating levels of IL-6 and sIL-6R interact with several CD and UC clinical features.

Cytokines are key signaling molecules in the intestinal immune system, and are known to participate in the disruption of the so-called normal state of controlled inflammation. They are small peptide proteins produced mainly by immune cells that enable communication between cells, stimulate the proliferation of antigen specific effector cells, and mediate the local and systemic inflammation in an autocrine, paracrine and endocrine pathways. IL-6 is a pleiotropic cytokine that exerts its pro-inflammatory effects largely by mediated through its soluble IL-6 receptor (sIL-6R). The combination of soluble IL-6 receptor (sIL-6R) and IL-6 stimulates cells that only express gp130 and not IL-6R, a process known as trans-signaling. IL-6 signaling through STAT3 has been extensively studied. This system plays a

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central role in several immunologic reactions during the development of IBD, and circulating levels of IL-6 and sIL-6R correlate with many clinical features of CD and UC. Blocking of IL-6 trans-signaling causes T-cell apoptosis, indicating that the IL-6-sIL-6R system mediates the resistance of T cells to apoptosis in CD. IL-6 protein and mRNA are also often upregulated in serum and tumor samples of humans and mice suffering from breast, prostate, lung, liver and colon cancer. IL-6 enhances the proliferation of human colon carcinoma cells *in vitro* and interference with IL-6 signaling during late stages of CAC development slows down tumor growth. There are extensive reports stating the IL-6 and STAT3 are required for survival of intestinal epithelial cells and development of CAC and blocking this will inhibit the tumor formation in CAC.

IL-17A is an important pro-inflammatory cytokine that is secreted by CD 41 T cells that produce IL-17 (IL-17A) and express a specific transcription factor, retinoid-related orphan receptor  $\gamma$ T, have been distinguished from other Th1 and Th2 cells, and termed Th17 cells, while the monocyte/macrophage lineage also produces this cytokine. In mice, IL-17A is furthermore secreted by NKT-like cells as well as cd T cells. The IL-17 receptor A (IL-17RA) is ubiquitously expressed on a variety of cell types and essentially involved in the IL-17A and IL-17F signaling. IL-17 plays a key role in animal models of chronic inflammation and several human chronic inflammatory diseases. IL-17 has been reported to be important in the initiation and/or progression of several inflammatory diseases through the recruitment of neutrophils or other cells in the immune system and amplifies the inflammation. IL-21 is a member of large family of cytokines and is made by a range of activated CD4<sup>+</sup> Th cells, including Th1, Th17 and activated natural killer cells. An IL-21 protein level is elevated in the intestinal inflamed patients with CD and patients of UC as compared to normal controls. Excessive evidence supports that, elevated levels of IL-21 in the gut has deleterious consequences for the host. DSS or TNBS-induced wild-type colitis mice produce high level of IL-21; also IL-21-knockout mice are largely protected against disease in both models. IL-21 was highly expressed in human CRC patients and IL-21-deficient mice were resistant to CAC induced with AOM and DSS. IL-21, like IL-6 and IL-17A, is a powerful activator of the transcription factor STAT3, which is a critical modulator of chronic inflammation. Absence of IL-21 reduced STAT3 Activation and reduced the expression of Bcl-xL, a STAT3-induced anti-apoptotic protein in tumor and stromal cells.

The Signal Transducer and Activator Transcription 3 (STAT3) protein is a part of STAT's transcription factor family, which is initially inactive in the cytoplasm. After stimulation with extracellular signals, including cytokines, growth factors, and hormones, Janus kinase (JAKs), which then induces STAT3 phosphorylation with tyrosine residue 705 (Y705), is enabled. Phosphorylated STAT3 proteins dimerize and translocate to the nucleus through their Src-homology 2 (SH2) domains where

many of the essential genes involved in cell cycle progressive, proliferative, migratory and invasive growth and survival are regulated. In clinical samples of a broad range of human carcinoma, especially CAC, the constitutive activation of STAT3 is always detected. Importantly, high levels of STAT3 were associated with the invasion of the tumour, metastasis and weaker CAC prognoses. The IL-6 / STAT3 signalling pathway has ample evidence to play an important role for CAC and was therefore considered a primary focus for the treatment of CAC. The book comprised of the following chapter

Chapter 1 emphasize the involvement of various signaling molecules and pathways that contribute to the development of UC and CD. The signaling such as Eicosanoids, Reactive oxygen species, Reactive nitrogen species, adhesion molecules and some of the transcription factors such as NF- $\kappa$ B and Nrf2. The authors discussed how these signaling were dysregulated in UC.

Chapter 2 depicts about the how chronic inflammation will lead to the development of colitis Associated cancer (CAC). In this juncture, the immune cells play a vital role as tumor microenvironment that contribute CAC. In this chapter, authors proposing immuno-profiling and immuno-scoring as potential biomarkers for CAC. This chapter also, highlights the treatment options such as immunotherapy in the form of monoclonal antibodies.

Chapter 3 denotes that the preclinical treatment option such as the flavonoids on IBD. The flavonoids are one kind of natural active ingredients produced by plants and they have many classifications. Flavonoids possess many beneficial effects against variety of diseases. Here authors of this chapter discussed the beneficial flavonoids such as Apigenin, Luteolin, Genistein, catechin, epicatechin, daidzein and hesperidin against IBD and their mechanism of action.

Chapter 4 describes the use of various natural products and their pharmacological properties and also, they possess a significant effect in ameliorating inflammatory bowel disease in animal models. The compiled data suggests that there is a future for new herbal-based therapies in the treatment of ulcerative colitis.

Chapter 5 demonstrates that more than the free drugs, the nanoparticle mediated delivery of both natural products and synthetic compounds. The overview, of how the drugs attached to nanoparticles and their mode of action in treating ulcerative colitis was discussed in detail.

Chapter 6 depicts that Yogic practices have a calming effect on mind and body, reduces stress, and improves emotional and physical self-awareness. Several studies have found that yoga improves quality of life and anxiety in individuals with IBD as well as ulcerative colitis.

Chapter 7 demonstrates the cause and development of IBD and CAC as well as its treatment by modulating the existing microbiome altered during the course of IBD and CAC development.

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Chapter 8 describes a number of mathematical models that can be used in the CAC, such as probability models, population-based models, time series models, and statistical models.

Chapter 9 Cancer stem cells are small sub-population of tumor cells, they are immortal tumor-initiating cells, possess self-renewal and pluripotent capacity. Cancer stem cells are the basis for tumor initiation, development, metastasis, recurrence and drug resistance. In this chapter, the author detailed the role of cancer stem cells in the colon cancer and colitis associated cancer along with markers and signaling that is associated to cancer stem cells.

Chapter 10 Natural products the class of molecules which shows many beneficial effects. Natural products can be sub classed into flavonoids, alkaloids, Terpenoids. The authors of this chapter discussed the pre-clinical evidence on how natural products such as Ziziphus jujuba Fruit, Bufalin, Cocoa, Digitoflavone, Astaxanthin and etc. control the CAC. In addition, the authors also discussed the mechanism of action of these natural products.

In a nutshell, this book comprised of versatile chapters that covers all the aspects of ulcerative colitis and colitis associated cancer. Both Ulcerative colitis and colitis associated cancer that need to address at this moment since their aggressiveness. The incidence is increasing day by and the treatment options are limited. This book will provide a clear insight on the various treatment options available that were proven pre-clinically. This information will be beneficial for the clinicians who are interested to develop drugs for bot ulcerative colitis and colitis associated cancer.



# Chapter 1

## Inflammatory Pathways and In Vivo Studies of Inflammatory Bowel Disease

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## **ABSTRACT**

*Inflammatory bowel disease (IBD) is a physically incapacitating disorder that significantly disturbs patients' quality of life. IBD is classified into two main pathophysiological forms, ulcerative colitis and Crohn's disease. Literature studies indicate that chronic colitis may contribute to the development of up to 25% of all diagnosed colorectal tumors. The complexity and development of IBD onset is intermediated by a variety of inflammatory mediators and pathways that are intrinsically linked and are summarized in this chapter. This complexity resulted in the development of various in vivo models to surpass the enormous challenges in the finding of new drugs for IBD treatment. These models are mostly based on rodents and on three types of inflammatory activation: chemical induction, transfer of naïve CD4+ T cells, and generation of engineered mouse strains, with specific target gene manipulations. The most broadly described models in literature are here presented and discussed.*

## **INTRODUCTION**

Inflammatory bowel disease (IBD) is a physically incapacitating disorder that significantly disturbs the patients' quality of life (Rohr *et al.*, 2018). IBD diagnosis is based on descriptive characteristics and symptomology, including vomiting, diarrhea, and nausea, particularly when specific food groups are ingested. IBD has two key pathophysiological forms: ulcerative colitis (UC) and Crohn's disease (CD). Both forms present analogous characteristics and symptomology, mainly differing in the anatomical involvement of the gastrointestinal tract (Table 1) (Rohr *et al.*, 2018; Sairenji *et al.*, 2017).

Recently, a systematic analysis for the "Global Burden of Disease Study 2017" reported 6.8 million [95%, uncertainty intervals (UI) 6.4 - 7.3] cases of IBD globally, in 2017. Interestingly, in 1990, the number of individuals with IBD was 3.7 million (95%, UI 3.5 - 3.9), corresponding to an increase of 85.1% (UI 79.5 - 89.9) in global prevalent cases of IBD (1990 - 2017). This study estimates that more than 3 million people in Europe and USA have IBD; with an estimated prevalence exceeding 0.3% in many countries in Europe (Alatab *et al.*, 2020). Particularly, the prevalence of IBD in Europe is high, with up to 2 million people affected by this condition. In the United States, more than 1.6 million inhabitants are affected by IBD, 910,000 with UC and 785,000 with CD. Interestingly, the incidence of IBD has been growing in developing countries of Africa, South America, and in Eastern Europe, demonstrating the relevance of environmental issues in the expansion of chronic inflammatory disorders (Ramos & Papadakis, 2019).

*Table 1. Characterization of Crohn’s disease and ulcerative colitis based on their site of origin, progression, inflammation, risk factors and symptoms (Rohr et al., 2018)*

	<b>Crohn’s Disease</b>	<b>Ulcerative Colitis</b>
<b>Site of origin</b>	No particular localization (terminal ileum, most frequently)	Rectum (descending and sigmoid colon)
<b>Progression</b>	Irregular lesions	Proximally contiguous
<b>Inflammation</b>	Transmural	Mucosal and submucosal
<b>Risk factors</b>	Subtypes of pathogenic bacteria; high-fat diets; genetic mutations	Ethnic origin; age; smoking; family history; isotretinoin use
<b>Symptoms</b>	Abdominal pain; cramping or swelling; anaemia; nausea; vomiting, rectal and gastrointestinal bleeding; malabsorption; persistent or recurrent diarrhoea; stomach ulcers; weight loss; fever; joint pain	Abdominal pain; anaemia; bloody diarrhoea; rectal bleeding; malabsorption; loss of appetite; urgent bowel movements; ulceration; weight loss; fatigue; fever; dehydration; joint pain

In the past two decades, important developments in IBD treatment have occurred. However, the complexity of IBD and the traditional scientific methods have created enormous challenges, and drug research and development still need optimization (Olivera *et al.*, 2019; Weisshof *et al.*, 2018). The scientific community continuously looks for the best, more practical and representative model of human IBD. Despite the most recent advances of human intestinal microphysiological systems (e.g. “Gut-on-a-Chip”), the *in vivo* models are the ones that best fit these aims, and the rodent models are the most used ones (Bang & Lichtenberger, 2016; Kang & Kim, 2016).

This chapter intends to present and discuss the most relevant inflammatory pathways involved in the genesis and development of IBD, and a summary of the *in vivo* rodent models commonly used to study and discover new molecules that modulate these inflammatory pathways.

## **Inflammatory Pathways in IBD**

The precise cause of IBD is still unknown. However, due to the constant research in this field, the pathogenesis of IBD have been associated with environmental factors (e.g. food intake, smoking, psychological stress, and drugs), genetic susceptibility of the host (while many individuals carry the risk loci associated to IBD, only a small population develops IBD), immunological anomalies, and intestinal microbiota. Apparently, IBD may result from the combination of these two last factors: an abnormal host immune response to the intestinal microbiota (Guan, 2019).

The gut contains between 1000 to 5000 of different species of microorganism, with 99% coming from the phyla *Actinobacteria*, *Bacteroidetes*, *Firmicutes*, and

*Proteobacteria* - the gut microbiome. This microbiome is vital for the host metabolism and gastrointestinal development, and differentiation of the local and systemic immune system, and finally for the overall intestinal homeostasis and function. The composition on commensal and pathogenic microorganisms of the gut may be affected by antibiotics, diet, lifestyle, hygiene, among others, resulting in an alteration of their composition and function - dysbiosis. This consequently causes an imbalance in the interaction with the intestinal immune system, contributing to the progress of intestinal inflammation (Guan, 2019; Mentella *et al.*, 2020). Indeed, higher bacterial instability, reduced bacterial diversity and dysregulated gut microbiota have already been reported in IBD patients (compared to healthy controls). Dysbiosis is clearly associated with the inflammatory state of IBD, causing: the dysregulation of T-cell differentiation, the changing of epithelial cell death mechanisms, the induction of oxidative stress, and the disruption of epithelial barrier integrity (Aggeletopoulou *et al.*, 2019).

In the gut, various types of immune cells coexist, namely B and T cells, macrophages, natural killer cells, neutrophils, dendritic cells, eosinophils, and mast cells. These cells are also responsible for a homeostatic state, even under normal conditions, protecting the gut (Ribeiro *et al.*, 2018). Despite the presence of all these types of cells, the most noticeable feature of IBD is immune cell infiltration, comprising a wide recruitment of neutrophils and monocytes, the latter in a smaller degree (Chami *et al.*, 2018). There are other cells involved in IBD, but mostly in resolution of inflammation. This process appears to be essentially dependent on regulatory T cells, and on the recently discovered regulatory lymphoid cells (ILCreg) (Schett & Neurath, 2018). The most relevant T cells in IBD are postulated to be CD4<sup>+</sup> T effector lymphocytes, as regulatory T cells, Th17, Th2, and Th1 (Maynard & Weaver, 2009). The crucial role of these cells in the progression and resolution of inflammation are generally linked to their ability to modulate the production of cytokines. These cytokines recruit other immune cells, influence the action of antigen-presenting cells, and consequently modulate the adaptive immune response (Chami *et al.*, 2018; Schett & Neurath, 2018). The immunopathogenesis of IBD is complex and is described as a 3-stage model: a) luminal antigen penetration, b) reduced normal antigenic clearance, and c) compensatory immune response. Generally, it is anticipated that an antigenic stimulus activates gut mucosal immune cells, which in turn release anti- and proinflammatory cytokines. It is believed that IBD results from the dysregulated production of these cytokines (Rohr *et al.*, 2018). However, in IBD, there are also other inflammatory targets that can be modulated, not only cytokines production, as transcription factors and arachidonic acid pathways, tight-junction (TJ) function, reactive oxygen and nitrogen species (ROS/RNS) and adhesion molecules (Ribeiro *et al.*, 2018; Vezza *et al.*, 2016). These mediators

and pathways are intrinsically linked, and therefore their modulation results in an extensive crosstalk, as following demonstrated.

## **Transcription Factors**

The most recent pharmacotherapies applied in IBD resort to biologics, i.e., immunotherapies that block downstream signaling pathways, namely those related to the production of cytokines. These pathways include Janus kinases (JAKs), a family of tyrosine kinases [JAK1, 2, 3, and tyrosine kinase 2 (TYK2)]; signal transducer and activator of transcription (STATs) proteins (STAT1, 2, 3, 4, 5A, 5B, and 6), that bind to JAKs (Ramos & Papadakis, 2019); p38 and extracellular signal regulated kinase (ERK)1/2; nuclear factor- $\kappa$ B (NF- $\kappa$ B); and Akt (Chami *et al.*, 2018; Mitchell & Carmody, 2018). Indeed, in the specific inflammatory process of IBD, JAKs mediate the intracellular communication between nuclear signals and cytokine receptors, and between cytokines receptors and intranuclear proteins, namely STATs. STATs, in their turn, bind to JAKs, and upon phosphorylation, STATs dimerize with each other and initiate the intracellular effects of a specific cytokine pathway. In the case of CD pathogenesis, the cytokines interleukin (IL)-12 and -23 are crucial, being responsible for the stimulation of the non-receptor JAK2 and of tyrosine kinase 2 (TYK2) activity, resulting in the phosphorylation of STAT1, 3, 4, and 5. These intranuclear signals are linked to the control of Th1/Th17 cell responses (Ramos & Papadakis, 2019; Teng *et al.*, 2015). The classical mitogen activated protein kinases (MAPK), ERK1/2, and p38 are also crucial intermediaries in the inflammatory cascade (Ribeiro *et al.*, 2018). These MAPKs, upon activation, are responsible for the production of IL-8 in colonic epithelial cells. This chemokine is a powerful neutrophil chemoattractant which indirectly contribute to the hypochlorous acid (HOCl) production and MAPK activation, that ultimately results in cell death. An unregulated production of HOCl activates p38 that subsequently can promote the expression of tumor necrosis factor (TNF), propagating the inflammatory process (Chami *et al.*, 2018). In its turn, TNF strongly activates the redox-sensitive NF- $\kappa$ B, which is an evolutionarily conserved transcription factor responsible for a master regulation of the inflammatory response. In general, NF- $\kappa$ B regulates the expression of hundreds of immune relevant genes, particularly those encoding the proinflammatory cytokines and chemokines (Mitchell & Carmody, 2018). NF- $\kappa$ B is constituted by p65 (RelA), c-Rel, RelB, p50 and p52, where only the initial three straightly activate target genes transcription. In the cytoplasm of resting cells, the NF- $\kappa$ B set of inhibitory proteins, known as I $\kappa$ B family, bind to NF- $\kappa$ B dimers, maintaining this transcription factor inactive. NF- $\kappa$ B can be activated and translocated to the nucleus by a classic pathway or by an alternative pathway. In the classic one, the phosphorylation of I $\kappa$ B is mostly done by I $\kappa$ B kinases (IKK). IKK

is a trimeric complex of a regulatory subunit (IKK $\gamma$  or NF- $\kappa$ B essential modulator or NEMO) and two catalytic subunits (IKK $\alpha$  and IKK $\beta$ ). I $\kappa$ B phosphorylation leads to its proteasomal degradation, particularly the degradation of I $\kappa$ B $\alpha$ . In the alternative pathway, the NF- $\kappa$ B heterodimers p52/RelB, upon activation of the NF- $\kappa$ B-inducing kinase (NIK) and IKK $\alpha$ , reach the nucleus (Ribeiro *et al.*, 2018). In an inflammatory context, NF- $\kappa$ B activation can be triggered by the overproduction of ROS/RNS. However, this effect is not clear, as it was also recently described that ROS/RNS can both activate and repress NF- $\kappa$ B signaling. Indeed, hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) is widely recognized due the activation of NF- $\kappa$ B in endothelial, epithelial and T cells; nevertheless, its underlying molecular mechanisms remain unclear. In its turn, HOCl has been described as a probable inhibitor of NF- $\kappa$ B. NF- $\kappa$ B not only overturns the apoptotic signal induced by TNF; but also raises the production and secretion of TNF, IL-1 and -6, in mucosal macrophages, that together can preserve the inflammatory response (Chami *et al.*, 2018). Along with NF- $\kappa$ B, the activating nuclear erythroid-related factor 2 (Nrf2) pathway is also a critical signaling pathway related to inflammation and oxidation. Nrf2 suppresses the production of cytokines with a proinflammatory action and increases the expression of antioxidant genes (He *et al.*, 2018). Akt is a serine/threonine kinase classified as a crucial IBD mediator and probably correlated to disease prognosis. Upon activation, Akt is responsible for downstream antiapoptotic signaling. Indeed, active Akt increases the active NF- $\kappa$ B, consequently increasing the expression of TNF, IL-1, and -6. In their turn, IL-1 and TNF activate Akt, further spreading the activation of NF- $\kappa$ B and the succeeding inflammatory response (Chami *et al.*, 2018). Akt activity can also be modulated by ROS/RNS (Chami *et al.*, 2018).

The c-Jun NH<sub>2</sub>-terminal Kinase (JNK) is another sub-group of the MAPKs that play an eminent role in the inflammatory disease state through the targeting of specific proteases and cytokines expression. JNK regulates T cells maturation and activity, and the synthesis of the proinflammatory cytokines, as IL-2, -6 and TNF. The precise mechanism of action of JNK on intestinal inflammation onset is not yet elucidated. Crosstalk has been reported between the JNK pathway and other signaling pathways, namely ERK and p38 MAPK; nevertheless, this crosstalk needs to be further clarified (Roy *et al.*, 2008). JNK transmits signals to the nucleus, resulting in transcription factor activator protein-1 (AP-1) activation. AP-1 is responsible for adaptive modifications, in damaged cells related to the expression of genes encoding for proinflammatory and apoptotic mediators in damaged cells. In this sense, reduction of JNK activation and of AP-1 may reduce colon damage (Zingarelli *et al.*, 2004).

The nuclear human pregnane X receptor (PXR) is considered the main controller of xenobiotic metabolism and is plentifully expressed in the liver and intestine, contributing to maintain the intestinal barrier integrity. In animal studies, intestinal

inflammation has been related to PXR loss; and, in UC patients, low levels of PXR have been observed (Dou *et al.*, 2014). Peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ), constitutes a nuclear receptor family and regulates the inflammatory signaling pathways. It acts on kinases and transcription factors [*e.g.* NF- $\kappa$ B, nuclear factor of activated T cell, c-Fos, and c-Jun], inhibiting cytokines and chemokines production, the expression of some adhesion molecules and consequently the decreased proliferation of inflammatory cells (Dubuquoy *et al.*, 2006).

## **Eicosanoids**

In general, the major arachidonic pathways involved in IBD are those that involve cyclooxygenase (COX)-2 and 1-, 5- and 12-lipoxygenase (LOX) (Stenson, 2014). COXs are responsible for the production of prostaglandins (PGs). PGs are considered primary promoters of immune tolerance and epithelial homeostasis. In this sense, any pharmacotherapy with COX-1 and COX-2 inhibitors will probably disturb the homeostasis of the epithelium and reduce immune tolerance, leading to the exacerbation of the clinical course of IBD. On the contrary, in installed IBD, high levels of PGs are produced, amplifying the inflammatory response, consequently promoting Th17 cells activity, vascular permeability and blood flow (Stenson, 2014). These apparently opposing effects raise important issues on the efficacy of strategies for the inhibition of arachidonic acid pathways in IBD treatment. As example, PGE<sub>2</sub> has been described as supporter of immune tolerance, epithelial homeostasis and proinflammatory activity of Th17 cells, finally promoting the resolution of inflammation in the gastrointestinal mucosa (Stenson, 2014). PGD<sub>2</sub> is also associated with inflammation resolution, as it binds to PGD<sub>2</sub> receptors (DP) on neutrophils, blocking their activity, and to DP and chemokine receptor homologous molecule expressed in Th2 lymphocytes (CRTH2), monocytes and erythrocytes (Stenson, 2014). It is also described that the PGD<sub>2</sub> receptor (DP2) and the PGE<sub>2</sub> receptor (EP4) are both over-expressed during experimental colitis. EP4 is not only involved in intestinal homeostasis, but also in IBD progression, since it drives the differentiation of Th1 and proliferation of Th17 cells, which are essential in the exacerbation of the disease. The activation of another PGE<sub>2</sub> receptor, EP2, also exacerbates IBD symptoms by lowering the expression of IL-12 and IL-27 and increasing the expression of IL-23, leading to the differentiation of T-cells into Th17 (Moreno, 2017).

5-LOX and 12-LOX are responsible for the synthesis of leukotrienes (LTs). The 5-LOX pathway leads to the production of LTB<sub>4</sub>, among other LTs. LTB<sub>4</sub> is a potent neutrophil chemotactic agent and its levels are markedly increased in UC. It is believed that LTB<sub>4</sub>, together with IL-8, is responsible for the neutrophils migration into the mucosa from the blood stream (Stenson, 2014). 12-LOX is

responsible for metabolizing arachidonic acid into the unstable intermediate 12-hydroperoxyeicosatetraenoic acid. This intermediate is further metabolized, in epithelial cells, into hepxilin A3 (HXA3). HXA3 is secreted through the apical membrane of these cells to the intestinal lumen, mediating the migration of neutrophils into the lumen, from the mucosa (Moreno, 2017; Stenson, 2014). These deceptive proinflammatory effects of the metabolites of arachidonic acid lead some authors to conclude that dual inhibitors of COX-2 and 5-LOX might act synergistically, leading to an effective IBD treatment (Ribeiro *et al.*, 2018).

## **Cytokines**

Cytokines (including chemokines) play pivotal roles in the development, maintenance, and, more importantly, in the resolution of IBD, because they are correlated with all the other mediators and pathways. In general, the cytokines that are described as directly related to IBD are: IL-1 $\beta$ , -4, -6, -12, -15, -18, and -23, interferon (IFN)- $\gamma$ , and TNF, as their levels are clearly increased in UC and CD. IL-6, -15, -18, -23, IFN- $\gamma$ , and TNF seem to exert their immune triggering roles without the generation of tissue damage. In damaged tissues, IL-1 $\beta$ , -4, -6, and -12 seem to have a positive link with mucosal markers. In particular, IL-1 $\beta$  and -6 are implicated in the regulation of the damage to the mucosa through the enhanced presence of macrophages and neutrophils. This last factor justifies the presence and involvement of the granular enzyme myeloperoxidase (MPO) in IBD pathogenesis (Chami *et al.*, 2018). IL-17, IFN- $\gamma$  and TNF are another proinflammatory cytokines produced by Th1 and Th17 cells. The latter cytokines are responsible for a self-sustaining amplification cycle, where macrophages, fibroblasts, and endothelial cells are stimulated to produce, IL-1, -6, -8, -12, -18 and TNF (Ramos & Papadakis, 2019). There are other cytokines that have been described as having a pivotal role in resolution of inflammation, the transforming growth factor (TGF)- $\beta$ , which is produced by T cells and during IBD changed their transcriptional profile acquiring regulatory properties; and IL-10 and -35, produced by Treg cells (Schett & Neurath, 2018). The newly discovered regulatory ILCreg cells, present in the human intestine's *lamina propria*, are also a source of the anti-inflammatory cytokines TGF- $\beta$  and IL-10, but simultaneously block IFN- $\gamma$  and IL-17 production. In addition, IL-22, -28 and -36 were also shown to promote resolution of colitis (Schett & Neurath, 2018).

Chemokines are a group of heparin binding cytokines. Chemokines are able to induce the migration of leukocytes and can be virtually expressed and secreted by any stimulated cell type, including epithelial, endothelial, leukocytes, and stromal cells (Trivedi & Adams, 2018). In the gut, distinct patterns of chemokine expression exist according to different intestinal sites. These patterns may serve to compartmentalize leukocyte recruitment, regulating, in this way, regional immunity



and the nature of inflammation in disease. As example, in absence of inflammatory process, the chemokine CCL25 is just expressed in small intestine, recruiting T and B cells. Under inflammatory conditions, other chemokines are released, as the IFN-induced CXCL10, that can recruit effector cells expressing the receptor CXCR3 to the small bowel (Trivedi & Adams, 2018). The chemokine CCL25 activates its receptor CCR9 and induces pro-migratory responses, on mucosal vessels:  $\alpha 4\beta 1$  integrin to bind vascular cell adhesion molecule (VCAM)-1 and  $\alpha 4\beta 7$  integrin to bind mucosal addressin cell adhesion molecule (MAdCAM)-1, leading to the development of cryptopatches. CCL20 is another inflammatory chemokine secreted by the epithelium, that is responsible for the attraction of cells that secrete IL-17 and express CCL20 receptor, CCR6. The secretion of CCL20 is, in its turn, induced by TNF (Trivedi & Adams, 2018).

Monocyte chemoattractant protein (MCP)-1 is another up-regulated chemokine found in mucosal tissues in IBD. However, the precise role of this chemokine or its mechanisms in IBD pathogenesis remain to be determined (Takada *et al.*, 2010).

## **Reactive Oxygen and Nitrogen Species**

Large cohort studies have already identified the infiltration of immune cells, namely neutrophils, as a crucial IBD characteristic. These cells migrate to the tissues via chemotaxis, where they mediate the antimicrobial defense through the production of ROS/RNS, phagocytosis and degranulation of soluble protein antimicrobials (Chami *et al.*, 2018). The unregulated ROS/RNS production leads to alterations in cellular signaling, causing direct damage to the inflamed mucosa, favoring in this way a proinflammatory outcome. This overproduction of ROS/RNS, without an efficient response of the antioxidant defenses, known as oxidative stress, plays a significant role in IBD pathogenesis. It has been well described that, in IBD, the apoptosis process in neutrophils, monocytes and other proinflammatory cells are delayed. In UC, for example, dysregulated neutrophil apoptosis could contribute to the release of granulocyte-macrophage colony-stimulating factor (GM-CSF). This anti-apoptotic cytokine extends the longevity of granulocytes, prolonging ROS/RNS formation and an overall exacerbation of the inflammation-associated mucosal injury (Chami *et al.*, 2018).

Superoxide anion radical ( $O_2^{\bullet -}$ ) is the first ROS produced by neutrophils during the inflammatory process of IBD, being the precursor of other harmful ROS/RNS.  $O_2^{\bullet -}$  can be produced through different enzymatic systems, namely xanthine oxidase (XO), nitric oxide synthase (NOS), nicotinamide adenine dinucleotide phosphate (NADPH) oxidase (both contained in phagocytes) or through the mitochondrial electron transport chain. Once formed,  $O_2^{\bullet -}$  can be rapidly converted into  $H_2O_2$ , either spontaneously or by the action of superoxide dismutase (SOD). The enzymes

catalase and/or glutathione peroxidase (GSH-Px) are likewise able to control the levels of H<sub>2</sub>O<sub>2</sub>, since they can convert it into water. In neutrophils, the majority of H<sub>2</sub>O<sub>2</sub> is converted into HOCl, via MPO (Ribeiro *et al.*, 2018). Elevated levels of MPO in IBD are widely reported, and have an interesting close correlation between IL-8 and MPO levels in UC (Chami *et al.*, 2018). HOCl is an oxidizing agent of thiols and thioethers (reacts with sulfur atoms), amines and amides (reacts with nitrogen atoms), affecting in this way cysteine residues in low-molecular weight thiols [*e.g.* glutathione (GSH)] and in proteins. In this sense, HOCl is responsible for the conversion of GSH into its oxidation products glutathione sulfonamide (GSA) and glutathione disulfide (GSSG), dropping the endogenous antioxidant pool and increasing the susceptibility to damage, through oxidative stress, in the surrounding cells and tissues (Chami *et al.*, 2018; Ribeiro *et al.*, 2018). There are three known isoforms in mammals: two constitutive enzymes, expressed in neuronal cells (nNOS) or in endothelial cells (eNOS), and an inducible isoform (iNOS) expressed by immune cells. nNOS and eNOS produce little levels of nitric oxide (•NO) essentially with physiological functions. iNOS produces higher levels of •NO with an immune function. In the intestinal submucosa and mucosa, iNOS is expressed in selected cells and converts the amino acid L-arginine into L-citrulline and •NO. iNOS expression is induced by NF-κB as a response to several stimuli as TNF, IFN-γ, IL-1α, -6, lipopolysaccharide bacterial and viral components. Both upregulation of iNOS and the presence of high levels of •NO occur in experimental and human IBD (Soufli *et al.*, 2016).

## **Adhesion Molecules**

Leukocyte migration is an extremely controlled process, mediated by the expression and/or regulation of several chemoattractant molecules and receptors, and by adhesion molecules from immune and stromal cells. In IBD there are dramatic alterations in the production of chemokines and endothelial adhesion molecules, affecting the type of cells that will be recruited (Panes & Salas, 2018). Leukocyte trafficking to the gut is crucial to the pathophysiological mechanisms of IBD (Katsanos & Papadakis, 2017). Extravasation of leukocytes into the gut mucosa takes place in three stages: rolling, adhesion and migration. Upon damage or infection of a tissue, upregulation of several chemokines production firstly occurs, but also the upregulation of several adhesion molecules, as MAdCAM-1, VCAM-1, and intercellular adhesion molecule (ICAM)-1, (Panes & Salas, 2018). ICAM-1 primary ligands comprise Mac-1 (αMβ2, CD11b/ CD18), β2-integrins, and leukocyte function associated antigen-1 (CD11a/CD18, αLβ2, LFA-1). The adhesion of leukocytes to vascular endothelium and possible transmigration to the mucosa depends on the communication between ICAM-1 on endothelial cells and LFA-1 on leukocytes (Reinisch *et al.*, 2018).

VCAM-1 binds to both  $\alpha 4\beta 1$  and  $\alpha 4\beta 7$  integrins, but, in humans, its expression is lower compared to ICAM-1 (Thomas & Baumgart, 2012). MAdCAM is typically expressed on high endothelial venules (cell surface) and its ligand,  $\alpha 4\beta 7$  integrin, is expressed on lymphocytes. MAdCAM regulates the rolling, adhesion and diapedesis of the lymphocytes expressing  $\alpha 4\beta 7$  to the gastrointestinal tract endothelium. In the inflammatory site, IL-1 and TNF are responsible for the increased expression of ICAM-1 and MAdCAM (Katsanos & Papadakis, 2017; Reinisch *et al.*, 2018).

## **Tight Junctions**

CD and UC share common features such as reduction in TJ strands, glandular atrophy, and epithelial breaks. As it is broadly known, the intercellular spaces between cells, which are connected by junctional complexes, are vital in the mucosal barrier regulation. TJ define the border between the basolateral and apical membranes. They are part of a dynamic intestinal barrier that controls the uptake of electrolytes, water and nutrients. TJ are assembled by peripheral membrane proteins [*e.g.* cingulin and zona occludens (ZO)-1, -2, -3] and transmembrane proteins [*e.g.* claudins, occludin, tricellulin, and junctional adhesion molecules (JAMs)] (Landy *et al.*, 2016). Myosin light chain kinase (MLCK) has also emerged as a key regulator of TJ permeability. MLCK1 and MLCK2 transcription appears to be activated similarly by TNF (Cunningham & Turner, 2012). Dysfunction of this barrier, which is characteristic of IBD, occurs upon epithelium damage, namely by ulceration, erosion, and apoptosis (Landy *et al.*, 2016). TJ dysregulation leads to an augmented permeability to large molecules and transport of solutes, instigating leak-flux diarrhea. All these induce an immune response and sustain the inflammatory process (Barmeyer *et al.*, 2017). Interestingly, this increased barrier permeability in CD is essentially related with an unsuccessful antimicrobial response, and in UC essentially disturbs the mucus layer (Holmberg *et al.*, 2018).

## **In Vivo Studies of IBD**

Animal models are the most widely used models in preclinical and translational studies as only the whole animal physiology mimics the best the clinical pathology and the potential effects of novel molecules on humans (Johnson & Greenwood-Van Meerveld, 2017). Still, over the last 50 years, the principles of the 3Rs (replacement, refinement and reduction) have been developed and have been crucial to perform more humane animal research. In general, these principles refer to: replacement, by using alternative *in vitro* methods for screening purposes; refinement, by housing and treat animals, minimizing their suffering and improving welfare (use of humane endpoints and approval of institutional and national ethical committees); and

reduction, by using appropriate experimental design, sample size determination, and statistical analysis.

Several animal species used as models have advantages and limitations (Table 2) that will affect the translation significance of the studies.

*Table 2. Animal models potentially used in gastrointestinal (GI) studies: advantages and limitations*

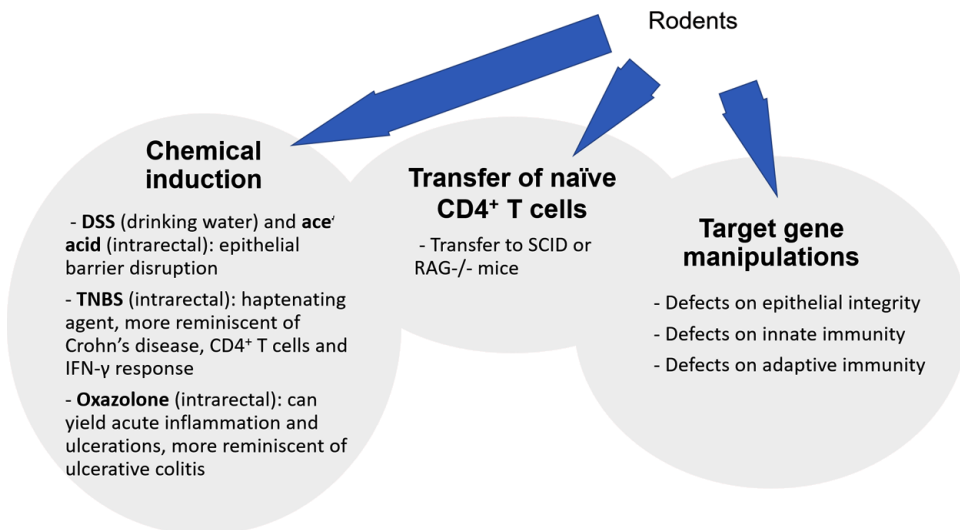
<b>Animal models</b>	<b>Advantages</b>	<b>Limitations</b>	<b>References</b>
Zebrafish ( <i>Danio rerio</i> ) larvae	Easy to cultivate and highly fecund; embryos with rapid development and transparent, facilitating observation, manipulation, and drug screening; immune system analogous to humans	Duplicated genes; have no lymph glands and their gut lacks Peyer's patch; T and B lymphocytes maturation sites and subtypes of antibodies are different from mammals; intestinal epithelium lacks crypts; the intestinal microorganisms of mammals do not survive in the gut of zebrafish	(Hanyang <i>et al.</i> , 2017)
Rodents (rats, mice, guinea pigs)	Have a very similar GI tract to humans; have an enteric nervous system, like humans; enable the study of large numbers of animals, and genetically modified strains	Lack of emetic reflex; have a marked cecum which impairs the studies on GI transit	(Johnson & Greenwood-Van Meerveld, 2017)
Non-rodents (rabbits, ferrets, and opossums)	Larger animals; enable additional surgical manipulations	Limited availability from accredited sellers; more expensive; additional animal wellbeing conditions	
Cats, dogs, and pigs	Mild to large animals; tolerate recurrent experimental testing	Bigger expense (per animal); additional regulatory oversight; unable chronic surgical manipulations	
Nonhuman primates	Enables spontaneous GI disease, and aging effects	Additional ethical concerns and associated costs	

The search for more practical and representative models of human IBD has led, throughout the past decades, to the refinement of the adequacy of the species used, number of individuals *per* group, and relevant parameters to be investigated. Considering the most prominent features of IBD, it is expected that most *in vivo* studies have been focused on the interrelated aspects of IBD such as inflammation and oxidative stress, immune cell regulation, maintenance and repair of epithelial architecture and alterations in the gut microbiome (Martin *et al.*, 2017; Mizoguchi, 2012).

In IBD experimental animal models, the disease may be developed by exogenous induction with chemical agents or by the selection of mouse and rat lines that spontaneously develop colitis, due to gene deletion or transgene expression (Kolios, 2016). In the first case, the inflammation is limited to the colon, and, according to the chemical inflammatory stimuli, the inflammatory mediators' expression may be affected, because the collected tissue sample may have both inflamed and healthy segments. In the second case, spontaneous colitis is generally developed in adulthood, but the infection route may diverge from the clinical course (Johnson & Greenwood-Van Meerveld, 2017).

Currently, there are, at least, 66 different animal models identified for the study of IBD. However, the majority of the *in vivo* studies of IBD used, are based on rodent models. These models are mostly based on three types of inflammatory activation: chemical induction, transfer of naïve CD4<sup>+</sup> T cells and generation of engineered mouse strains, with specific target gene manipulations (Figure 1) (Bang & Lichtenberger, 2016; Mizoguchi, 2012).

*Figure 1. Rodent models in IBD. DSS - dextran sulfate sodium, RAG - recombinase activating gene, SCID - severe combined immunodeficiency, TNBS - trinitrobenzene sulfonic acid.*



## **CHEMICAL INDUCTION**

### **Dextran Sulfate Sodium**

Dextran sulfate sodium (DSS) is a sulfated polysaccharide, and its mechanism of action regarding IBD is not yet completely understood. DSS seems to chemically disrupt the epithelial barrier of the gut, being toxic to the colonic epithelium, leading to inflammatory response. DSS is typically administered to rodents in the drinking water and, depending on the model (acute or chronic colitis), different concentrations, periods of exposure and cyclic administration can be attempted (Bang & Lichtenberger, 2016). Generally, ingestion of a solution of DSS will result in acute colitis over a week or less. This colitis is limited to the colonic mucosa and will get worse over time; an animal subjected to cycles of DSS will develop chronic colitis (Bramhall *et al.*, 2015; Johnson & Greenwood-Van Meerveld, 2017). The type of lesion is more widespread, which is less reminiscent of human IBD. Moreover, there are other factors that can influence the severity and the susceptibility to DSS, as its manufacturer, its molecular weight, the animal strain (*e.g.* BALB/c and C3HeJ have an augmented susceptibility, when compared to C57BL/6 mice), and the conditions/environment in which the animals are raised in (*e.g.* germ-free or pathogen-free). Despite this, because of its simplicity, reduced cost and decreased experimental time, this model is broadly used (Bramhall *et al.*, 2015; Mizoguchi, 2012). This model is especially suited to the study of epithelial barrier function, and because inflammation is not exclusively dependent on adaptive immunity, it is also adapted to the study of innate immunity processes leading to colon inflammation (Kiesler *et al.*, 2015).

### **Acetic Acid**

In acetic acid-induced colitis, the mode of administration is typically intrarectal (intracolonic instillation). Like DSS, acetic acid also causes damage to the epithelial barrier, resulting in colon inflammation. The protonated form of the acetic acid releases protons in the intracellular space, most likely causing massive acidification, resulting in large epithelial damage. Indeed, acetic acid induces several characteristic features of human ulcerative colitis, as vascular dilation, edema, increased neutrophil infiltration, and submucosal and mucosal necrosis and ulceration. The induced colitis has a fast development, 4 h after acetic acid administration, with a subsequent rapid healing, 7 days after acetic acid administration. Again, the concentration and period of exposure can vary depending on the severity and type of colitis (acute or chronic) (Qin *et al.*, 2011; Randhawa *et al.*, 2014).

## **Haptenating Agents**

2,4,6-Trinitrobenzenesulfonic acid (TNBS) is a haptenating agent that is administered intrarectally and renders self-proteins immunogenic to the host, thereby promoting colitis (Bang & Lichtenberger, 2016). TNBS leads to the development of a transmural diffuse inflammation or local ulcers and necrosis (Johnson & Greenwood-Van Meerveld, 2017) reminiscent of Crohn's disease. The enema consists in a mixture of TNBS and ethanol, and the peak and duration of the inflammatory response vary according to the TNBS/ethanol concentration (Bang & Lichtenberger, 2016). As for the other chemically induced colitis models, the severity of colitis also largely depends on the animal strain used (Bramhall *et al.*, 2015), Balb/c, C3HeJ, and SJL/J are susceptible strains, while DBA/2 and C57BL/6 are very resistant strains (Mizoguchi, 2012). This is a particularly useful model to study immune cell responses and differential cytokine secretion (Bang & Lichtenberger, 2016). TNBS is considered a very relevant model to mimic Crohn's disease due to the involvement of the key susceptibility gene, NOD2. This is considered a time saving and economical model (Mizoguchi, 2012).

Oxazolone (4-ethoxymethylene-2-phenyl-2-oxazolin-5-one), as TNBS, is also a haptenating agent. However, unlike TNBS, its intrarectal administration results in a pattern of colonic inflammation that is more like ulcerative colitis than Crohn's disease (Boismenu & Chen, 2000). The induced colitis is characterized by severe submucosal edema and hemorrhagic inflammation. Another limitation of oxazolone is that, in general, conventional mouse strains are resistant to its colitis induction. In this sense, a pre-sensitization of skin with oxazolone before the intrarectal administration is needed (Mizoguchi, 2012). In comparison to TNBS-induced colitis, oxazolone most easily induces colitis in SJL/J and C57BL/10 mice. Oxazolone weekly administration, in low doses, to BALB/c induces chronic colitis. All in all, this model is particularly valuable because it resembles the morphology and the immunopathogenesis of the human ulcerative colitis (Kiesler *et al.*, 2015).

## **Transfer OF Naïve CD4<sup>+</sup> T Cells**

T lymphocytes are crucial in colitis onset, as they mediate the process that comprises the antigen presenting cells and the generation of targeted immune responses to commensal enteric bacteria (Bramhall *et al.*, 2015). Immune cell transfer of naïve CD4<sup>+</sup> T cells from a donor to a receptor rodent suffering from severe combined immunodeficiency (SCID) or deficiency in recombinase activating gene (RAG<sup>-/-</sup>) will result in intestinal inflammation. This method is increasingly popular and most suitable for the study of immunoregulation (Boismenu & Chen, 2000). This curious model is based on the fact that mature T-cell population comprises Treg cells that

suppress inflammation; on the contrary, naïve T-cell population lacks these cells (Kiesler *et al.*, 2015). On one hand, this model entails a more complex protocol that requires the extraction, isolation, purification and injection of the cells. Moreover, there are several factors that can interfere with the induced colitis, as the number/viability of the transferred T cells, the animal strain, and finally, the presence of B cells in the receptor rodent (Bramhall *et al.*, 2015). On the other hand, Treg cells have a crucial role in this model as it is crucial to understand how the intestinal immune homeostasis is regulated, making this one of the most used models (Kiesler *et al.*, 2015).

## **Target Gene Manipulations**

The use of rodents with target gene modifications enables the study of specific processes that are linked to human IBD (Mizoguchi, 2012). The modifications can change a plethora of processes, from mucin production, IL-10 function, epithelial transport, regulation of innate immune response, NF- $\kappa$ B signaling, epithelial senescence, oxidative stress or overexpression of IL-7, among others (Mizoguchi, 2012). Lee and colleagues (Lee *et al.*, 2020) recently reviewed the possible target genes for immunomodulation in autoimmune diseases, namely IBD. They identified the following target genes or immunomodulation strategy to mimic IBD: cytokines (IL-10, -4, -22, -35, -6), antimicrobial peptides (human defensin-5), endoplasmic-reticulum stress in Crohn's disease (autophagy-related protein 16-like1), and genes easily manipulated in their expression levels in ulcerative colitis (GATA binding protein 3 using homologous DNAzyme, NF- $\kappa$ B using miR-214) (Lee *et al.*, 2020). Mizoguchi and colleagues (Mizoguchi, 2012) also described genetically engineered mice lacking STAT3, XBP1, and IL-2Ra or overexpressing (transgenic) TNFSF15, and IL-7 as capable of developing spontaneous intestinal inflammation (Mizoguchi, 2012).

As example, the gene encoding the anti-inflammatory cytokine IL-10 is identified as a susceptibility gene for the development of both CD and UC. IL-10 or its receptor are knocked out, and these IL-10<sup>-/-</sup> mice, when housed under normal conditions, develop gut chronic inflammation. Noteworthy, if the mice are kept under germ-free conditions or under antibiotics administration, they do not develop colitis. In this sense, care should be taken when mice are raised under germ-free housing; to develop the disease they will need to be inoculated with specific enteric microbes (*e.g. Helicobacter hepaticus, Enterococcus faecalis*) (Bramhall *et al.*, 2015; Kiesler *et al.*, 2015).



## **Model Disease Evaluation**

The evaluation of prophylactic or therapeutic actions of new drugs can be investigated *in vivo* upon determination of various complementary parameters, e.g., macroscopic (ulceration, gross inflammation) and histological (immune cell infiltration, inflammation, ulceration) scores, colon weight/length, disease activity index (DAI - stool consistence and presence of blood), comprising scores for weight loss, stool consistency and the presence of blood in feces (Maxwell *et al.*, 2009).

## **CONCLUSION**

IBD, in its two main pathophysiological forms, UC and CD, have a huge and disquieting prevalence all over the world, with increasing numbers every year. IBD is an extremely complex inflammatory condition that results from the crosstalk of several inflammatory mediators (transcription factors, eicosanoids, cytokines, chemokines, ROS/RNS, adhesion molecules, TJ, among others) and pathways. Currently, multiple animal models allow the study of IBD; however, most of them are based on rodent models, mainly due to their similarities with the human disease and to the relatively simple and moderate costs associated. Each model (chemical induced or genetically engineered) has advantages and limitations, which reinforces the importance of continuous accumulation of data, when looking for new intervention drugs. The use of more than one model may compensate the drawbacks of each other. Finally, there still is a vast body of knowledge that has yet to be gathered from the currently known *in vivo* models and methodologies.

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# Chapter 2

## Protagonist of Immuno- Profiling, Immuno-Scoring, and Immunotherapy Towards Colitis-Associated Cancer: Systematic Review

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## **ABSTRACT**

*Chronic inflammation in the large intestinal epithelial to rectum is a major risk for malignancies. The pathogenesis of colitis associated cancer is distinct with perilous molecular mechanism. The inflammation leads to damage of cells resulting in symptomatic conditions including cancer. This suggest the relationship between certain cancer due to its associated factors such as environment, genetics, and chronic inflammation leading to cancer. Colorectal cancer (CRC) has also been acknowledged as bowel, rectal, or colon cancer. The most common types of adenocarcinomas are associated with colorectal cancer. The lymphomas, carcinoids, sarcoma, and gastrointestinal tumors are also associated with CRC. Most disorders with chronic inflammation and exposure of immunosuppressant have an increased risk with the development of cancer leading towards the treatment of cancer by various therapies like radiation therapy, chemotherapy, hormonal therapy, further into immunotherapy, and targeted therapy. The prognosis of CRC has always been controversial.*

## **INTRODUCTION**

The chronic inflammation in the large intestinal epithelial to rectum is a major risk for the malignancies. The pathogenesis of colitis associated cancer is distinct with perilous molecular mechanism. The inflammation leads to damage of cells resulting in symptomatic conditions including cancer. This suggest the relationship between certain cancer and the ulcerative colitis due to its various factors such as environment, genetics and chronic inflammation leading to cancer. Colorectal cancer (CRC), also acknowledged as bowel cancer, rectal or colon cancer. The most common types of adenocarcinomas are associated with colorectal cancer, the adenocarcinomas form mucus within the cells. The lymphomas, carcinoids, sarcoma and gastrointestinal tumors are also associated with CRC. Genetic mutations also associate in development of cancer forming polyps. The CRC are mostly found with polyp by creating and establishing internal mass of cells on the linings. The inflammatory bowel disease with chronic inflammation also results in the development of gastrointestinal malignancies but the pathogenicity behind the mechanism of formation of malignancies is unknown. Most disorders with chronic inflammation and exposure of immunosuppressant have an increased risk with the development of cancer leading towards the treatment of cancer by various therapies like radiation therapy, chemotherapy, hormonal therapy and further into immunotherapy. About 1.8 million cases of new colorectal cancer and about 881,000 deaths are estimated to occur in 2018 by colorectal cancer. The pathogenesis of colitis associated cancer is distinctive towards sporadic colorectal carcinoma. Moreover patients with CRC

have found to develop metastasis during their lifetime. Hence the development of effective treatment is critical and treatment towards modulation of own immune system towards destroying cancers could be a viable treatment for the cancers by using checkpoint inhibitors and other immunotherapy.

The classification of tumor progression by TNM classification established by American joint committee on cancer is the most common classification method for evaluating the malignancies is often inadequate because the cancer patients with the histological same stages of tumors have been found to demonstrate various clinical outcomes. The important part of tumor microenvironment and its immune environment has become a pivotal role towards the integral component for immunotherapy for the therapy of cancer. Medical therapies that weaken the immune system with increased inflammatory response have been found with evidences supporting their disease progression with outcome. However immune therapies altering the immune system are also been evidenced to promote the carcinogenesis with chronic inflammation. Diseases such as ulcerative colitis, crohn's disease and other diseases have been evidenced with immune mediated disorders leading with chronic intestinal inflammation supporting towards gastrointestinal malignancy such as colorectal cancer, small bowel adenocarcinoma, gastrointestinal lymphoma, anal cancer, cholangiocarcinoma. The risk of the disease progression and outcome is highly inflammation associated, moreover the mutations by DNA methylation, aneuploidy, adenomatous polyposis coli (APC) gene mutation, activation of *k-ras* oncogene and *COX-2*, mutation in tumor suppressor gene *DPC4*, loss of *p53* function and other associated factors like transcription factors, DNA mismatch repair and DNA base excision repair, signalling proteins leads into genomic changes. Even though clear reason is unknown oxidative stress is highly involved with ROS produced by the inflammatory cells. Mouse models have also been shown that with inflammation they are genetically prone to develop CRC with bacterial colonization. Adaptive and innate immune responses with these machineries and system are found to be associated with the formation of cancer.

In the immune microenvironment, the oxidative stress and other immune response contribute towards the initiation, promotion and progression of the tumor with unsimplifiable complexity leading towards the tumor microenvironment consisting variety of cells and factors like immune cells like lymphocytes, monocytes, dendritic cells, natural killer cells, epithelial cells, stromal cells, fibroblast, tumor derived exosomes cytokines, chemokines, stem cells and immune infiltrates (Adil, Vallinayagam, Chitra et al, 2019; Ahmed et al., 2014). As many carcinomas are found to arise from chronic inflammation and chronic irritation from the site of infections, the inflammatory cells along with immune cells orchestrate the tumor microenvironment with the stages of carcinoma leading with neoplastic process with proliferation of tumor cells leading with invasion of tumor cells with migration

and metastasis (Coussens & Werb, 2002). The relationship between the innate immunity and inflammation with cancer is based widely accepted based upon the cellular and the molecular mechanism but still the process involved is clueless and unclear. Association of soluble factors in the peripheral environment could sculpture the activity of factors trafficked to the tumors microenvironment (Adil, Bommanabonia, Vaithy et al, 2019), the interaction between the signalling of these immune response and the soluble factors play a critical role in inflammation leading towards initiation of colitis associated cancer. Prolonged immunosuppression with antimetabolites have been found with various carcinoma, lymphomas, leukemia and melanomas but the data is limited as they are found to harmonize angiogenesis with immunosuppressive factors influencing favourable microenvironment for the tumor (Olejarsz et al., 2020). After the introduction of radiotherapy in 1900's, chemotherapy in 1940's and with the human genome project had brought a noticeable understanding attitude towards the treatment of cancer. Even though with multitude of chemotherapy drugs available still distinct side effects are also included with the package towards treatment of cancer. Immunotherapy uses the body immune system by stimulating immune system towards fighting cancer cells. The treatment with immunotherapy have different approaches towards different type of tumors and its immune system. The major type of immunotherapy include Monoclonal antibodies, immune check point inhibitors and cancer vaccines. Infiltration of factors and cells into the cancer microenvironment retards the evaluation of cancer tissues resulting with improper cancer treatment.

## **Immunoprofiling in Immunotherapy**

As immunotherapy has been successfully progressing as for therapies associating cancer. Immunosuppression or suppressed immune environmental system is where the tumors strategically work towards the escape of immune microenvironment leading to immunosuppression. The main itinerary of immunotherapy is primarily to restore effective immune surveillance and regulate the immune microenvironment. The immunosuppression inhibits rejection of tumor with the help of check point inhibitors, immuno modulating molecules and other immunosuppressive enzymes help in impacting towards the disease prognosis with the survival of the cancer patients (Guerrouahen et al., 2020). With the identification of antitumor immune response help in better clinical outcomes (Papaioannou et al., 2016). The major cancer immunotherapies are based upon active and passive treatments based with the administration of monoclonal antibodies, antibody drug conjugates, cytokine therapy, immune cells based adoptive cell transfer, anti-cancer vaccines, oncolytic viruses and immune checkpoint inhibitors help in enhancing anti-cancer targeted approaches. Most commonly immunotherapy are administered in conjugation with radiotherapy,

chemotherapy and targeted gene therapy (Osmani et al., 2018). Immunoprofiling is highly advantageous in predicting prognosis of malignancies thus providing target for immunotherapy. The tumor microenvironment can be simultaneously detected with various immune markers in CRC (Soh et al., 2019). The T cells are associated with development of malignancies and progression of tumors by adaptive immune system, the T cells densities were higher in colitis associated lesion compared with sporadic colorectal cancer (Soh et al., 2019). Cancer at unidentified primary sites constitutes for approximately 3 to 5% of diagnosed cancers have outcome with poor prognosis and metastasis (Haratani et al., 2019). Cancer at unidentified primary sites are mostly heterogeneous and based upon the molecular Immunoprofiling like chemotherapy with gene expression guidance or immunotherapy with gene expression guidance personalized medical therapy are been develop with clinical benefits but their background remains unclear, hence immune checkpoint like CTLA4, PD1, PD-L1 might prove efficient for the treatment of the cancer patients. Explorative studies based on the immune profile of the cancer patients gets retarded because of immune infiltration, hence cell specific immune cells evaluation using RNA sequencing, Intracellular staining by flow cytometry, protein array with barcoded antibodies, nanostring's nCounter analysis system, cytometry by time of flight (CyTOF) and other immune profiling methods provide information of the specific immune cells to immune oncology samples (Lyons et al., 2017). In cancer patients, tumor antigens are mainly responsible for the immune response. With the recognition of the new biomarkers help in diagnosis of cancer and help in targeting for the immunotherapy (Young et al., 2019). Microarrays with antibody secreting probes help in Immunoprofiling by the identification of the tumor antigens of the patients with cancer. With recent advancement in immunoassays and microarrays based antibody profiling has made accordingly with the specificity and sensitivity in selecting anti tumor antibodies (Meeusen et al., 2017). Targeted tumor therapy, anticancer drug discovery and diagnostic biomarkers and antigen specific antibody secreting probes help in immunotherapy applications (Salmaninejad et al., 2016).

## **Immunotherapy, Combination Therapy and Immunoscore**

The tumor microenvironment contribute to the overlay of immune measurement, the cancer initiation and progression is supervised by the host's immune system. Immunological biomarkers determine the prognosis of the disease and help in providing response to the immunotherapy, this concept is coined as immunescore. The data obtained from the cancer patient's samples provide the prognosis value and disease outcome by augmenting to the TNM classifications. Basically immunescore is used to quantify the *insitu* infiltration of the T cell by determining the predictive and prognostic value (Galon et al., 2013). The host's immune system also play a

critical role in shaping up the tumor microenvironment, pre-existing intratumoral adaptive immunity has been found effective with immune check point inhibitors based immunotherapy. The immunoscore could decipher and provide a sceptical method in describing tumor fitness, forecast and separate patients. Those can be benefitted from the combinational immunotherapies with biomarkers. As there are no immune based classification of the host immune response and the TNM staging affords partial information on disease prognosis on tumor cells and its complex tumor microenvironment and does not give the status of immune system of the tumor (Bruni et al., 2020). The immunoscore provides the quantification based upon lymphocyte population established at the center of tumor and invasive margin. As the T cell infiltration into the tumor is immunoscore any activity or mechanism with tumor immunogenicity will affect the immunoscore category. Other than measuring the T cell infiltration, immunoscore could also help in envisaging markers like CTLA4, PD-1, PD-L1 (Galon et al., 2012). With immunotherapies and combination therapies are being shown with positive impact on the cancers patients, the complexity of tumors and its microenvironment and prognosis of cancer patients can be determined and patients with high risk of tumor recurrence can be improved with the immunoscore (Galon & Bruni, 2019). In CRC, the type of tumor, density and the position of immune cells in the tumor site helped in predicting the disease outcome accurately than the classical TNM staging system leading to the concept and development of the immunoscore (Galon et al., 2007). With the new classification based on the immunoscore, the tumors are defined based on hot immune tumors, altered immunosuppressed immune tumors, altered excluded immune tumors and cold immune tumors (Galon & Bruni, 2019). The immune coordination profiles of hot, altered and cold immune tumors were observed and classified based upon the immune coordination and the escape of tumor (Camus et al., 2009). CRC can be problematic with advanced stage malignancy and chemotherapy is normally used for the treatment of CRC with metastasis, combinational targeted immunotherapies have been proven to be effective with the CRC patients with promising results (Lichtenstern et al., 2020). The main principle of most cancer immuno therapies is to re-establish effective immunosurveillance, the soluble factors and immune cells regulating the tumor microenvironment are responsible for the immunosuppression and retarding the success rate of immunotherapy (Guerrouahen et al., 2019).

## **Immune Targeting Therapies**

Targeted treatment with drugs targeting towards focusing on the cancer cells by arresting the food for tumor, eliciting the immune system towards the attack of tumor cells, modulating and modifying the proteins in cancer cells, modulating or hindering the signals supporting the progression of cancer and directly targeting

cancer cells by inducing poison for them. But their specificity is questionable towards different cancer variations and treatment could alter accordingly. Targeted drugs are mostly found to work differently than chemotherapy drugs, still they can be used with chemotherapy drugs or can be used as standalone. Stivarga (Regorafenib) a kinase inhibitor that blocks many kinase proteins that help cancer cells proliferate to form new blood vessels for helping tumor. This drug is primarily used in advanced colorectal cancer where no other cancer drug works (Aljubran et al., 2019). Regorafenib blocks multiple protein kinases that are highly active in oncogenesis, helping tumor angiogenesis by regulating the tumor microenvironment. Even though chemotherapy and surgery has been the choice for treatment for the patients with colitis associated cancers especially with lesions of metastasis. The targeted therapy has become approachable option for treatment for patients. Cetuximab (anti EGFR) (Jonker et al., 2007) and bevacizumab (anti VEGF) (Rosen et al., 2017) and other check point inhibitors are emerging into the market impeding the tumor microenvironment including immune cells, factors enhancing tumor growth and regulating the proteins supporting tumor growth. Chemotherapy for CRC treatment is the primary treatment but it is associated with limitation in treatment of the CRC. The targeted therapies for CRC has been guaranteed to progress with new methodologies to enhance with the supplementary or replace with existing chemotherapy for CRC. Small molecules such as monoclonal antibodies or therapeutic antibodies work as major performers in targeted therapies. Hence Immunoprofilng could provide helping hand towards the treatment of CRC through targeted therapies.

## **Landscaping of CRC Targeted Therapy**

Immunotherapies are categorized as passive and active cancer immunotherapy. Immune checkpoint inhibitors such as Cetuximab, Bevacizumab, Panitumumab, Ziv-aflibercept, Regorafenib, Ramucirumab, Pembrolizumab, Nivolumab, Ipilimumab, Gefitinib, erlotinib, Fluorouracil (5-FU), Irinotecan, Leucovorin, oxaliplatin, Capecitabine and other monoclonal antibodies have been approved for targeted therapies of CRC (Xie et al., 2020). Mediating pathways and signalling cascades such as Hedgehog, Notch, Wnt ( $\beta$ -catenin), TGF  $\beta$ /SMAD, PI3K/AKT, RAS/RAF, VEGF/VEGFR, EGF/EGFR, HGF/c-MET, IGF/IGF1R, JAK/STAT have been found to contain ideal targets for the targeted therapy (Krishnamurthy & Kurzrock, 2018; Tiwari et al., 2018). Based up on the difficulties in understanding the complex downstream signalling mechanism and its associated difficulties in the specific interactions in the biological system retards the successful interpretation of the specific targets for the CRC. Even though many targets have been identified but most are in preclinical stages of development (Ohhara et al., 2016; Willett et al., 2007). Some targets have been approved and recommended for second line of treatment for

the CRC. Chemo combination based humanized monoclonal antibodies have been effective with antitumor effects in CRC (Moore et al., 2016; Schmoll et al., 2012). Murine human chimeric antibodies have been found to have immunogenic reactions, hence humanized antibody such as panitumumab does not trigger antibody dependent cell mediated cytotoxicity with reduced hypersensitivity such as cetuximab in patients with metastatic CRC (Douillard et al., 2014; Douillard et al., 2010). Antibody dependent cell mediated cytotoxicity were not found to have major contrivance with cetuximab and panitumumab, But panitumumab have been found to efficient than cetuximab for treatment of patients with CRC (Price et al., 2014). trametinib, irinotecan, encorafenib, vemurafenib, binimitinib, dabrafenib are recommended for the patients with BRAF mutated metastatic CRC (Kopetz et al., 2019). anti HER2 inhibitors also provide a new hope for the patients with EGFR resistant CRC patients (Sartore-Bianchi et al., 2016) the development of these biological and cytotoxic agents have helped metastatic CRC patients with prolonged survival. These personalized targeted therapy based upon the evidenced have demonstrated clinical remunerations for patients with metastatic CRC patients (Ohhara et al., 2016). Dendritic cell based vaccines, whole tumor cell vaccines, viral vector vaccines are being used widely and clinical trials with adoptive immunotherapy have shown promising results with CRC. Combining immunotherapies, predominantly agents targeting different immune checkpoints could be a promising approach. Preliminary clinical findings indicate that combined targeted therapies and simultaneous blockade of multiple immune checkpoints could promote therapeutic interaction and long-term antitumor immunity to improve clinical outcomes of CRC.

## **CONCLUSION**

Immune escape, a key mechanism in progression and metastasis of cancer aiding with capable of escaping immune surveillance and antitumor immunity. Immunosuppressive cells such as T regulatory cells (Tregs), Myeloid derived suppressive cells (MDSCs), or loss of immunogenicity through down regulation of MHC-1 help in immune escape (Havel et al., 2019; Ishihara & Ishihara, 2019). Anti PD-1/ anti PD-L1, anti CTLA-4 and other checkpoint inhibitors have found to demonstrate promising effect against patients with CRC. Taking into consideration the efficiency of these check point inhibitors could lead with adverse effects. Hence identifying potential biomarker for the patients with CRC has become a vital task. Immunoprofilng, immuno recognition based with T cell infiltration in essential for predicting the drug response with clinical validation for CRC to have valid immunoscore for analysis. Targeting agents in adjuvant and neo adjuvant therapy for

CRC have been advancing resulting in identification of novel targets. Individualized treatments could help in better prognosis of CRC patients.

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# Chapter 3

## Insights on the Potential Preventive and Healing Effects of Flavonoids in Inflammatory Bowel Disease

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## **ABSTRACT**

*Inflammatory bowel disease (IBD) is characterized by sustained inflammatory processes in the gastrointestinal tract. One of the most threatening risks for IBD patients is the development of colorectal cancer, resulting from the chronic inflammatory state. Current IBD treatment presents limitations in safety and efficacy. As such, it is of paramount importance to find novel therapeutic strategies. The antioxidant and anti-inflammatory properties of flavonoids are widely recognized. Flavonoids currently found in our daily diet are likely to yield biological actions at the gastrointestinal level, suggesting a potential protective effect in IBD. However, the number of studies concerning the effects of flavonoids on intestinal inflammation is limited. This chapter intends to summarize the known effects of flavonoids in the different phases of IBD inflammatory pathways, covering all the concerning available in vivo studies.*

## **INTRODUCTION**

Inflammatory bowel disease (IBD), which includes ulcerative colitis and Crohn's disease, is a general designation for a chronic, non-infectious, and immune-mediated intestinal disease of complex etiology, resulting from the interaction of multiple factors, such as diet, microbiota, environment, and genes (Olivera *et al.*, 2019).

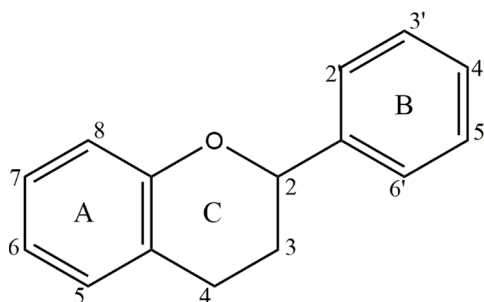
In the past two decades, important developments in IBD treatment have occurred. Nowadays, the generally followed treatment strategy is: a) for mild IBD, upkeep therapies are usually achieved with 5-aminosalicylic acid alone or budesonide; b) for moderate or refractory mild IBD, immunosuppressants (*e.g.* methotrexate, azathioprine, corticosteroids) are commonly used; c) for severe or refractory moderate IBD, biologics such as small molecule inhibitors or immunoglobulins, as anticytokine antibodies, are used [*e.g.*, anti-tumour necrosis factor (TNF) (adalimumab), transcription signaling pathway inhibitors (filgotinib, tofacitinib, etc.),  $\beta 7$  integrin inhibitor (etrolizumab)]; d) finally, surgical resection of the affected bowel is required in severe refractory IBD. Recently, another adopted strategy is based on the manipulation of the interactions between beneficial and pathogenic microorganisms of the gut biota (Flamant & Roblin, 2018; Rohr *et al.*, 2018). However, the complexity of IBD has created enormous challenges, and drug research and development still need optimization (Olivera *et al.*, 2019; Weisshof *et al.*, 2018). Flavonoids are known to modulate the production and expression of several inflammatory mediators (Ribeiro *et al.*, 2015). Thus, studies about their use in the prevention and treatment of chronic inflammatory disorders, as IBD, have been increasing (Ribeiro *et al.*, 2018). This chapter intends to summarize the

known properties of flavonoids in the diverse stages of IBD inflammatory pathways, covering all the concerning available *in vivo* studies.

## FLAVONOIDS

Flavonoids display a diphenylpropane basic structure. This structure is made of 2 benzene rings (A and B rings) and a third pyran heterocyclic ring (C ring) (Figure 1). Flavonoids are divided in different subclasses according to the substitution pattern and oxidation of the C ring. Within the same subclass, and according to the substitution pattern of the A or B rings, various flavonoids can be identified (Table 1).

Figure 1. General scaffold of flavonoids



Flavonoids are broadly distributed in nature and are present in the human diet on a daily basis (Table 1). However, they can also be found in commercially available products, namely in dietary supplements (e.g., capsules of resveratrol and quercetin), phytotherapeutic extracts (e.g., green tea extracts), and as isolated products (Ribeiro *et al.*, 2015). In nature, flavonoids occur as methylated derivatives, glycosides and aglycones. Most of them, except flavanols (monomers and proanthocyanidins), occur in plants as *O*-glycosides.

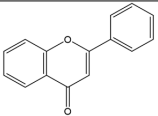
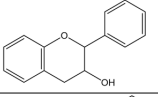
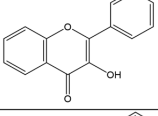
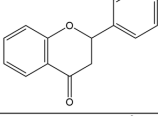
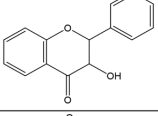
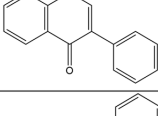
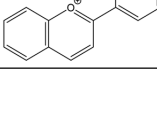
The number of flavonoids ingested, and the levels detected in our body vary according to the diet of each individual, and also to the cooking and food processing methods, among other factors (Shivashankara & Acharya, 2010). In a comprehensive review published in 2004 (Manach & Donovan, 2004), the total ingested values (glycosides expressed as the equivalent dose of aglycone) of diverse flavonoid subclasses were presented. The obtained values varied between 68 and 307 mg for flavanols; 32 and 664 mg for catechins; 23 and 199 mg for flavanones; and 68 and 139 mg for anthocyanidins. The discrepancies among the values within the



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same flavonoid subclasses may derive from the fact that each study used different types of supplementation, different flavonoid concentrations, administered during different periods of time (Manach & Donovan, 2004). A study performed in 2007 (Mullie *et al.*, 2007) evaluated the daily intake of flavonoids present in food, using a four-day non-consecutive food diary (4DFR) and a semi-quantitative food frequency questionnaire (FFQ), by 300 female subjects. The FFQ included a list of 86 flavonoids and their consumption was registered in a frequency table. The determined daily intake was approximately between 0.6 and 2.7 mg for flavonols; 0.5 and 27.5 mg for flavanones; 0.4 and 2.7 mg for anthocyanidins; and 0.3 and 5.9 for flavones (Mullie *et al.*, 2007). Despite these spread values, all subclasses of flavonoids were daily ingested in mg range.

*Table 1. Chemical structure and dietary sources of several subclasses of flavonoids (Ribeiro et al., 2018)*

Subclass	Chemical structure	Examples	Dietary sources
<b>Flavone</b>		Apigenin, baicalin, chrysin, luteolin	Fruit peel, red pepper, tomato skin
<b>Flavanol</b>		Catechin, epicatechin	Cocoa, fruits, tea
<b>Flavonol</b>		Fisetin, myricetin, quercetin	Berries, onion, red wine
<b>Flavanone</b>		Hesperetin, naringenin	Citrus fruits
<b>Flavanonol</b>		Astilbin, taxifolin	Onion
<b>Isoflavone</b>		Daidzein, genistein	Leguminous plants
<b>Anthocyanidin</b>		Cyanidin, malvidin	Berries, red wine, vegetables

Absorption and metabolism of flavonoids depend on their molecular lipophilicity, solubility, pKa, size, and configuration. In the intestine, due to the hydrophilicity of flavonoid glycosides, specific active transport mechanisms or hydrolysis of the sugar conjugates are required for intestinal absorption. In the intestinal epithelial cells, flavonoid aglycones can suffer glucuronidation, sulphation, or methylation, which enables the production of other phenolic compounds that enter the bloodstream (Ribeiro *et al.*, 2018). In general, it is difficult to relate plasma levels of flavonoids reported in the diverse studies, mostly due to the population and intake variabilities. Regardless of the ingested amounts, flavonoids are found in human plasma in micromolar concentrations. One of the previously mentioned reviews (Manach & Donovan, 2004) pointed to maximal plasma concentrations between 0.2 and 7.6  $\mu\text{M}$  for flavonols; 0.02 and 5.9  $\mu\text{M}$  for catechins; 0.06 and 5.99  $\mu\text{M}$  for flavanones; and 0.0014 and 0.17  $\mu\text{M}$  for anthocyanidins. More recently, 77 healthy females and males were subjected to a 2-week flavonoid supplementation (329 mg/day of anthocyanins, flavan-3-ols and quercetin mixture). After this period, the average sum of 76 plasma gut-derived phenolics was calculated. The authors found an average of 2.19  $\mu\text{M}$  gut-derived phenolic metabolites (Nieman *et al.*, 2018). In fact, the immunomodulatory effect of flavonoids in IBD may be potentiated by increasing the intestinal concentration of flavonoids (Ribeiro *et al.*, 2018).

## **IN VIVO STUDIES OF IBD: PROPHYLACTIC EFFECTS OF FLAVONOIDS**

In this section, an overview will be presented on the prophylactic potential of different subclasses of flavonoids. In all cases, mice or rats were pre-treated (hours to days) with flavonoids in the order of 1–100 mg/kg and then colitis was induced by acetic acid (3–7.5%), dextran sulfate sodium (DSS) (1–5%) or 2,4,6-trinitrobenzenesulfonic acid (TNBS) (2–12%). In some cases, the treatment with flavonoids also continued after colitis induction. Unless otherwise stated, the parameters presented refer exclusively to the colon, including epithelial and immune cells.

### **Flavones**

Chrysin, 5,7-dihydroxyflavone, is a flavone with low number of chemical substitutions compared to other mentioned flavones. C57BL/6 mice were exposed to DSS, with a pre-treatment with chrysin [25 mg/kg body weight (BW)], for 3 days before DSS exposure. Chrysin led to the amelioration of colon length, decreased phosphorylation of nuclear factor- $\kappa\text{B}$  (NF- $\kappa\text{B}$ ) p65 and  $\kappa\text{B}$  inhibitor (I $\kappa\text{B}$ )- $\alpha$ , increased total I $\kappa\text{B}$ - $\alpha$  levels, decreased the transcript and protein levels of inducible nitric oxide synthase

(iNOS), cyclooxygenase (COX)-2, monocyte chemoattractant protein (MCP)-1, intercellular adhesion molecule (ICAM)-1, interleukin (IL)-6 and TNF (Dou, Zhang, Zhang, *et al.*, 2013).

Apigenin, 4',5,7-trihydroxyflavone, has been studied at doses of 1–10 mg/kg BW, depending on the rodent model, in different regimes of chemically-induced colitis. Generally, apigenin-induced amelioration of colon length was associated with decreased histological scores, disease activity index (DAI), and damage scores. More importantly, its ingestion by Wistar rats lowered the levels of proinflammatory IL-1 $\beta$  and increased the expression of ICAM-1 and the chemokine CCL2 (Mascaraque *et al.*, 2015). Moreover, in C57BL/6 mice, co-housing with mice treated with apigenin ameliorated the inflammatory state of non-treated animal, through acquired gut microbiota, and this effect was shown to rely on the NLRP6 inflammasome signaling pathway (Radulovic *et al.*, 2018).

Baicalein, 5,6,7-trihydroxyflavone, administered daily (20 mg/kg BW, oral route) to C57BL/6 mice exposed to DSS and to BALB/c mice exposed to TNBS, starting 2 days before colitis induction, reduced histological score, and decreased proinflammatory gene expression and TNF levels (Dou *et al.*, 2012; Luo *et al.*, 2017). In the TNBS model, BALB/c mice pre-treated with baicalein showed decreased macrophage infiltration, lower levels of NF- $\kappa$ B, and reduced iNOS, COX-2, and IL-1 $\beta$  gene expression (Luo *et al.*, 2017).

Luteolin, 3',4',5,7-tetrahydroxyflavone, has shown varying effects, depending on the pre-treatment and chemical exposure. In C57BL/6 mice, luteolin in diet (0.5–5%), administered for 3 days before colitis induction, aggravated the disease symptoms induced by DSS, increased proinflammatory NF- $\kappa$ B activity, and enhanced apoptotic caspase 3 activation (Karrasch *et al.*, 2007). Notwithstanding, in another study with C57BL/6CrSlc mice, colon length, histological score, colon infiltration of macrophages, CD4<sup>+</sup> T cells, interferon (IFN)- $\gamma$ <sup>+</sup> cells, and gene expression of IFN- $\gamma$  were ameliorated by luteolin (5–20 mg/kg BW). However, no effect was observed on COX-2 gene expression (Nishitani *et al.*, 2013).

BALB/c mice were treated with 3'-hydroxy-4',5,7-trimethoxyflavone (FVN625) and 6-hydroxy-5,7-dimethoxyflavone (FVN1087) (25 mg/kg BW), 2, 24 and 48 h before; and 24 h after the induction of colitis by TNBS. These synthetic flavones did not induce a change in colon length/weight, but increased myeloperoxidase (MPO) activity, revealing macrophage infiltration in the gut. Nevertheless, an increase in glutathione (GSH) was found, suggestive of increased antioxidant capacity (Seito *et al.*, 2015).

Baicalin, baicalein 7-O-glucuronide, is one of the most studied flavonoids in *in vivo* colitis models. In DSS colitis model in C57BL/6 mice, baicalin pre-treatments (20–100 mg/kg BW) resulted in a decrease of histological score and TNF levels (Dou *et al.*, 2012; Zhu *et al.*, 2016). Mice pre-treated with baicalin and exposed to DSS,

showed *lamina propria* mononuclear cells with larger proportion of macrophages associated with tissue repair *versus* proinflammatory macrophages (Zhu *et al.*, 2016).

Diosmin, diosmetin 7-*O*-rutinoside, pre-treatment doses for colitis vary between 2.5-100 mg/kg BW, depending on the study (Crespo *et al.*, 1999; Shalkami *et al.*, 2018). Wistar rats were exposed to TNBS, and Swiss mice to acetic acid. Diosmin reduced damage, malondialdehyde (MDA) content, and (MPO) activity (a marker of neutrophil infiltration), and increased GSH content (Crespo *et al.*, 1999; Shalkami *et al.*, 2018).

Orientin, luteolin 8-*C*-glucoside, administered to C57BL/6 mice (50, 100 mg/kg BW) 2 days before DSS-induced colitis, ameliorated colon length, decreased histological score, MPO activity and cytokine production. Moreover, it decreased nitric oxide radical (•NO) content, and gene expression of iNOS, COX-2, IL-1 $\beta$  and -6, MCP-1, TNF, p-p65 (phosphorylated NF- $\kappa$ B), and p-I $\kappa$ B- $\alpha$ , suggesting a relation between inflammation and oxidative stress (A. N. Sun *et al.*, 2016).

Dosmalfate is a derivative of diosmin, with improved solubility. In Wistar rats with colitis induced by TNBS, pre-treatment with this derivative (200–800 mg/kg BW) before the induction of colitis (1, 24 and 48 h) and after (24 h), decreased colon weight/volume, macroscopic damage, MPO activity, and TNF levels (Villegas, La Casa, *et al.*, 2003).

## Flavanols

The prophylactic role in colitis of epigallocatechin gallate (EGCG) also known as (-)-epigallocatechin-3-*O*-gallate, has been extensively investigated (Chiou *et al.*, 2012; Geagea *et al.*, 2017; Guan *et al.*, 2012; Mochizuki & Hasegawa, 2005, 2010). In DSS models, it was found that EGCG (0.5%), administration 2 weeks before colitis induction in C57BL/6 mice, resulted in no significant improvement in colon length, and no change in leukotriene (LT)B<sub>4</sub> and prostaglandin (PG)E<sub>2</sub> levels (Guan *et al.*, 2012). At lower concentrations, EGCG decreased lymphoid nodule number and inflammation, ameliorated colon length, and decreased serum LTB<sub>4</sub> and PGE<sub>2</sub> levels (Chiou *et al.*, 2012). In TNBS models, EGCG pre-treatment (1–30 mg/kg BW) decreased macroscopic damage and histological score, number of mast cells, gene expression of proinflammatory TNF and IL-6. Moreover, it decreased histamine levels, MPO activity and increased superoxide dismutase (SOD) activity (Geagea *et al.*, 2017; Mochizuki & Hasegawa, 2005, 2010).

Peracetylated epigallocatechin gallate (AcEGCG) is a flavanol derivative of EGCG. AcEGCG pre-treatment (0.017 and 0.085%) 1 week before DSS-induced colitis in ICR mice, ameliorated colon shortening, decreased inflammation, lesions, as well as levels of COX-2, iNOS, NF- $\kappa$ B, and p65 phosphorylation (Chiou *et al.*, 2012).

## Flavonols

Fisetin, 3,3',4',7-tetrahydroxyflavone, pre-treatment (10 mg/kg BW) 1 day before and during the induction of colitis in BALB/c mice through DSS, ameliorated colon length, and decreased DAI and the levels of proinflammatory IL-1 $\beta$ , and -6, iNOS, COX-2, TNF, p-I $\kappa$ B $\alpha$ , and NF- $\kappa$ B and also MPO activity (Sahu *et al.*, 2016).

In C57BL/6J mice exposed to DSS, pre-treatment (0.1–0.3%) with kaempferol, 3,4',5,7-tetrahydroxyflavone, 14 days before and during colitis induction, ameliorated colon length, decreased DAI, histological score, MPO activity, LTB<sub>4</sub> levels, and gene expression of PGE<sub>2</sub>, COX-2, IL-6, -1 $\beta$ , TNF, and iNOS. Kaempferol presence also increased TFF3 gene expression, a marker of columnar epithelium, suggesting improved epithelial barrier integrity (Park *et al.*, 2012).

The prophylactic effects of morin, 3,2',4',5,7-pentahydroxyflavone, were tested by administration of 5–200 mg/kg BW, at 3 time points before TNBS-induced colitis and 24h later to Wistar rats (Ocete *et al.*, 1998). No significant changes were observed; however, bowel wall thickening and increased LTB<sub>4</sub> levels were found, suggestive of colitis aggravation. Notwithstanding, decreased damage score and MPO activity were also detected, together with increased GSH content, pointing to positive effects (Ocete *et al.*, 1998).

Quercetin, 3,3',4',5,7-pentahydroxyflavone, is widespread in the human diet. Unlike its various positive *in vitro* effects, pre-treatment with quercetin, administered by oral route, generally results in modest to no effects in colitis models, probably because it is mostly absorbed before reaching the colon. In this respect, studies report no significant effect while others report protective effects, depending essentially on quercetin concentrations, period of treatment and vehicle used, even for the same species and similar experimental setups (Dodda *et al.*, 2014; Milackova *et al.*, 2015; Sotnikova *et al.*, 2013). Kwon and colleagues described no positive effect on colon length or levels of proinflammatory cytokines in CD1/ICR mice exposed to 5% DSS and pre-treated with quercetin rich diet (Kwon *et al.*, 2005). In another study, 2h before colitis induction, Swiss mice were orally administered quercetin dissolved in a Tween 80 solution or contained in controlled release microcapsules (Guazelli *et al.*, 2013). After acetic acid-induction of colitis, no significant amelioration was found in the group that received quercetin dissolved in solution. However, in the group receiving microcapsules, the authors reported amelioration in colon weight/length, reduction of neutrophil infiltration, decreased levels of proinflammatory IL-1 $\beta$  and -33, and anti-inflammatory IL-10; as well as increased levels of GSH and consequently the overall antioxidant capacity.

Hyperoside, quercetin 3-galactoside, pre-treatment (80, 120 mg/kg BW) 14 days before the induction of colitis with DSS in C57BL/6 mice, ameliorated colon length, decreased DAI, histological score, MDA content, protein levels of NF- $\kappa$ B

p65, caspase 3 and Bax. A decrease of TNF, IL-6, and -4 gene expression levels was also reported. Moreover, hyperoside pre-treatment increased gene expression of IL-10, Bcl-2, Nrf-2, SOD, heme oxygenase-1 (HO-1), and, likewise, increased the protein levels of Bcl-2 (Yang *et al.*, 2017). Overall, hyperoside improved the antioxidant system of the gut, while attenuating apoptosis and inflammation.

Isoquercitrin, quercetin 3-glucoside, another quercetin glycoside, in Wistar rats exposed to DSS, ameliorated colon shortening and decreased proinflammatory COX-2 expression, when administered (1, 10 mg/kg BW) 14 days previously to colitis induction, and during the last 7 days that rats drank DSS solution (Cibicek *et al.*, 2016).

Quercitrin, quercetin-3-rhamnoside, is mostly hydrolysed to quercetin by  $\alpha$ -rhamnosidases from the colonic microbiome. In a TNBS-induction model in Wistar rats, pre-treatment with quercitrin (1–5 mg/kg BW) 2h prior to the induction, caused the amelioration of colon weight, decreased damage score, as well as NOX1 expression, iNOS and COX-2 gene and protein expression, and reduced TNF and IL-1 $\beta$  gene expression (Romero *et al.*, 2017; Sanchez de Medina *et al.*, 2002).

Rutin, quercetin-3-rutinoside, has also been intensely studied as a pro-drug for quercetin delivery in the gut. Compared to quercetin pre-treatment, (0.1%) which resulted in significant changes in colon health parameters in CD1/ICR mice exposed to DSS; rutin pre-treatment (0.1%) during 2 weeks before colitis induction, induced amelioration of colon length, together with decreased levels of proinflammatory IL-1 $\beta$  and decreased gene expression of IL-1 $\beta$  and -6 (Kwon *et al.*, 2005). Additionally, in Wistar rats exposed to TNBS, rutin pre-treatment (5–100 mg/kg BW) 48, 24 and 1h before colitis induction, as well as 24h later, increased colonic GSH and decreased MPO activity (Cruz *et al.*, 1998). Other subsequent studies confirmed positive roles of rutin, e.g., decreased iNOS expression and increased expression of tight-junction (TJ) genes (Power *et al.*, 2016; Ye *et al.*, 2009). Importantly, rutin pre-treatment (0.025% in basal diet) for 2 weeks prior to and during colitis, ameliorated gut microbiota profile, with reported decrease in proteobacteria (comprising many pathogens) and increase in firmicutes, which represent the largest portion of healthy human gut microbiome (Power *et al.*, 2016).

Myricitrin, myricetin 3-*O*-rhamnoside, when administered as pre-treatment (1-10 mg/kg BW) to CDI/ICR mice, 1h before DSS administration, resulted in protective effects, as there was amelioration in colon length, and a reduction in DAI and in macroscopic damage score (Schwanke *et al.*, 2013). In this study, myricitrin prevented the activation of phosphatidylinositol 3-kinase (PI3K)/Akt signaling and NF- $\kappa$ B, compared to DSS control. Myricitrin also inhibited the iNOS and COX-2 gene expression, and reduced the levels of COX-2, IL-6, TNF, and CXCL1/KC (Schwanke *et al.*, 2013).

M10 is a synthetic myricetin derivative. This derivative (50–100 mg/kg BW) was given to C57BL/6 mice 5 days before colitis induction with DSS. It was shown that this compound decreased DAI and inflammation index, ameliorated colon length, and reduced TNF and IL-6 levels (F. Wang *et al.*, 2018).

Chloronaphthoquinone quercetin, 4'-(2-chloro-1,4-naphthoquinone-3-yl)-3,3',5,7-tetrahydroxyflavone, and monochloropivaloyl quercetin are synthetic quercetin derivatives. Pre-treatment with these compounds (2 × 50 mg/kg BW) in Wistar rats, 2h and 1h before the acetic acid rectal administration, ameliorated colon weight/length and disease score, however, it is still not known if these drugs act in similar manner to quercetin (Milackova *et al.*, 2015; Sotnikova *et al.*, 2013).

## Flavanones

Naringenin, 4',5,7-trihydroxyflavanone, when administered over a 7-day period to Wistar rats, prevented some of the deleterious effects caused by administration of acetic acid (Al-Rejaie *et al.*, 2013). In this study, a decrease of colon inflammation, ulceration, hyperemia, necrosis, edema, and cellular infiltrate were observed. Moreover, naringenin pre-treatment resulted in increased mucus production and overall improvement of antioxidant status, evidenced by increased GSH, non-protein-SH, SOD activity and decreased MDA levels. Naringenin decreased the colonic levels of \*NO, IL-1 $\beta$ , -6, TNF, and PGE<sub>2</sub>. Additionally, in two distinct models of DSS-induced colitis, naringenin ameliorated colon length (Dou, Zhang, Sun, *et al.*, 2013; Guo *et al.*, 2015). In Wistar rats, upon naringenin treatment (50 mg/kg BW) 3 days former DSS-induced colitis and sustained until the finish of DSS treatment, NF- $\kappa$ B p65 was directly inactivated as evidenced by lower levels of p-p65, and NF- $\kappa$ B was also indirectly inactivated by increased levels of I $\kappa$ B- $\alpha$ , and decreased levels of inactive p-I $\kappa$ B- $\alpha$  (Dou, Zhang, Sun, *et al.*, 2013).

Hesperetin, 3',5,7-trihydroxy-4'-methoxyflavanone (100 mg/kg BW), 3 days pre-treatment in Wistar rats, resulted in amelioration of TNBS-induced colitis, confirmed by histopathological analyses. In this respect, a decrease in the number of positive cells for expression of TNF, CD45, caspase 3 and Bax was observed, suggesting anti-inflammatory and anti-apoptotic effect (Polat & Karaboga, 2018).

Farrerol, 6,8-dimethyl-4',5,7-trihydroxyflavanone, administration (45 mg/kg BW) 3 days prior to colitis induction by TNBS in C57BL/6 mice, resulted in amelioration of colon length and in a decrease of clinical and histological scores. At the molecular level, farrerol reduced the gene expression and levels of TNF, IL-1 $\beta$  and -6. Additionally, it increased the expression of the Mucin2 and TJ genes (X. Ran *et al.*, 2018).

Diplacone, 6-geranyl-3',4',5,7-tetrahydroxyflavanone, and mimulone, 6-geranylnaringenin, showed positive effects against DSS-induced colitis in Wistar

rats, when administered 48 and 24h (each dose 25 mg/kg BW) before colitis induction. Both compounds decreased DAI and reduced COX-2 expression (Vochyanova *et al.*, 2015).

Hesperidin, hesperetin 7-rutoside, was found to have positive effects in a colitis model at low doses (5, 10 mg/kg) when administered prior to the colitis induction (1, 24 and 48h) by TNBS in Wistar rats. In contrast to higher doses (50 mg/kg), hesperidin, at 5 and 10 mg/kg, reduced colonic necrosis, hyperaemia, inflammation, and MPO activity. Moreover, it prevented MDA increase and GSH depletion caused by TNBS treatment (Crespo *et al.*, 1999).

## **Isoflavones**

Genistein, 4',5,7-trihydroxy-isoflavone, and daidzein, 4',7-dihydroxyisoflavone, have hitherto not shown protective effects when administered before colitis induction. When administered at doses of 2–20 mg/kg BW, for 7 days before colitis induction by DSS in BALB/c mice, these isoflavones did not show any anti-inflammatory effect in the gut (Sakai *et al.*, 2011).

## **IN VIVO STUDIES OF IBD: HEALING EFFECTS OF FLAVONOIDS**

In this section, an overview on the healing potential of different subclasses of flavonoids will be presented. In all cases, colitis was induced in mice or rats with DSS (1.5–5%), TNBS (10–100 mg/kg) or acetic acid (3–8%) and concomitantly or afterwards the flavonoids were administered (hours to days, various administration routes), at a dose ranging from 1 to 300 mg/kg BW. Unless otherwise stated, the parameters presented refer exclusively to the colon, including epithelial and immune cells.

## **Flavones**

Several polyhydroxyflavones were studied as anti-colitic agents, and their effects are discussed below.

Chrysin, 5,7-dihydroxyflavone, (1–10 mg/kg BW) was orally administered to BALB/c mice, for 7 days, coordinated with the start of DSS exposure. It was observed an improvement of the disrupted architecture of the colon, a decrease in PGE<sub>2</sub> and •NO, and a remarkable reduction in colonic MPO activity. Chrysin prevented significant elevations in keratinocyte chemoattractant and MCP-1, IL-1β, -6, and -8 levels, without any effect on TNF (Shin *et al.*, 2009).



Luteolin (20, 50 mg/kg BW) significantly attenuated colon shortening, DAI, and the histological damages of DSS-induced UC, in a C57BL/6 mouse model. Moreover, luteolin administration decreased the expression of IL-6, iNOS, and TNF, and the colonic content of MDA. Luteolin treatment elevated colonic SOD and catalase activities and the levels of Nrf2 and its downstream targets, HO-1 and quinone oxidoreductase 1 (Li *et al.*, 2016).

Nobiletin, 3',4',5,6,7,8-hexamethoxyflavone [20, 40 mg/kg BW (Xiong *et al.*, 2015) and 50 mg/kg BW (Hagenlocher *et al.*, 2018)], cirsilineol, 4',5-dihydroxy-3',6,7-trimethoxyflavone (3–30 mg/kg BW) (Y. Sun *et al.*, 2010), and tangeretin, 5,6,7,8,4'-pentamethoxyflavone (10 and 20 mg/kg), have been studied as modulators of IBD in TNBS-induced experimental colitis model, and all the compounds ameliorated inflammation symptoms (Eun *et al.*, 2017). An increase in food intake and in body weight was observed, together with a decrease in the macroscopically visible damage, in the DAI, and in the colon weight/length ratio. In the case of nobiletin, these effects seem to be related to the downregulation of iNOS and COX-2 expression, to a decreased expression of NF- $\kappa$ B and myosin light chain kinase (MLCK), and to a decreased PI3K and Akt phosphorylation levels (Xiong *et al.*, 2015). The same compound was studied using another model, IL-10<sup>-/-</sup> colitic mice, that were fed with nobiletin for 11 weeks. This flavone was able to reduce colitis symptoms and promoted longer survival time and a reduction of histological scores of colitis. Mast cell number and degranulation was lower in the treated mice, and positively correlated with DAI (Hagenlocher *et al.*, 2018). The anti-inflammatory effect of cirsilineol seems to be related with a reduced activation and proliferation of the autoreactive T-cell. This may result from the selective inhibition of the IFN- $\gamma$  signaling in colonic lamina propria CD4<sup>+</sup> T cells. Possibly intermediated by the downregulation of the activation of signal transducer and activator of transcription (STAT)1 and expression of T-bet which, in turn, could occur by the decrease in JAK2 activation, a vital kinase for the IFN- $\gamma$ /STAT1 signaling. Interestingly, authors also reported an increase of the anti-inflammatory cytokines TGF- $\beta$  and IL-10, which can modulate the IBD progression by defeating and counteracting the proinflammatory cytokines effects (Y. Sun *et al.*, 2010). The anti-inflammatory response of tangeretin, occurred by the inhibition of MAPK and NF- $\kappa$ B, through MPO activation. Furthermore, tangeretin inhibited the differentiation of Th1 and Th17 cells, as well as the expression of T-bet, ROR $\gamma$ t, IFN- $\gamma$ , IL-12, -17, and TNF. In turn, this flavone increased the differentiation of regulatory T cells and the production of anti-inflammatory mediators, as the expression of forkhead box protein P3 (Foxp3) and IL-10 (Y. Sun *et al.*, 2010).

Curiously, FVN625 and FVN1087 did not show an anti-colitic effect. These flavones were orally given to Wistar rats (5–25 mg/kg BW / day) before (2, 24 and 48 h) and after (24 h) TNBS colitis induction. None of the compounds barred

the augmented colonic weight/length; abridged food intake; or altered the body weight when compared with colitic control rats. Despite the observed protection of GSH tissue content, none of the compounds inhibited the increased MPO and alkaline phosphatase (AP) activities and consequently none of them prevented the inflammatory response in rats (Seito *et al.*, 2015).

Baicalin, 5,6-dihydroxyflavone-7-*O*-glucuronide, is one of the most studied flavones. In general, and despite the differences found among the *in vivo* models, and the tested concentrations [100 mg/kg (Dai *et al.*, 2012; Feng *et al.*, 2014; Zou *et al.*, 2015), and 1.25–5 mg/mL (Cui *et al.*, 2014)], the treatment of animals with this flavone originated consensual results, namely the amelioration of the inflammatory symptoms of induced colitis, through different pathways. The expression of toll-like receptor 4 (TLR4) was decreased, and consequently NF- $\kappa$ B p65 (Cui *et al.*, 2014; Feng *et al.*, 2014), myeloid differentiation primary response 88 (an upstream signal molecular of NF- $\kappa$ B signal pathway) (Cui *et al.*, 2014), and the macrophage migration inhibitory factor (MIF) were also decreased (Dai *et al.*, 2012). The level of oxidative stress was also reduced through the decrease of MPO activity (Zou *et al.*, 2015). In what concerns cytokines production, baicalin suppressed TNF, IL-6, -13 (Feng *et al.*, 2014), IL-12, -1 $\beta$ , IFN- $\gamma$  (Zou *et al.*, 2015), macrophage inflammatory protein (MIP)-3a and MCP-1 (Dai *et al.*, 2012) expressions; while it elevated the expression of the anti-inflammatory cytokine IL-10 (Feng *et al.*, 2014; Zou *et al.*, 2015). It is also known that the pathologic response in IBD is regulated by the proinflammatory Th17 cells and the anti-inflammatory Treg cells, based on the production and function of the cytokines. Zou and colleagues (Zou *et al.*, 2015) stated that baicalin induced Th17/Treg imbalance, in the colon. It exerts this effect by the downregulation of the levels of retinoic acid receptor-related orphan receptor  $\gamma$  and IL-6 and -17; of the Th17 cells number; and by the increase of the number of Treg cells, IL-10, transforming growth factor-  $\beta$ , and forkhead box P3 levels (Zou *et al.*, 2015).

Wogonoside, 5,7-dihydroxy-8-methoxyflavone-7-glucuronide, (12.5–50 mg/kg BW), was intragastrically administrated for 10 days, simultaneously with DSS, to BALB/c mice (Y. Sun *et al.*, 2015). Wogonoside weakened the body weight loss and the shortening of the colon. Furthermore, it prevented the pathological damage of the colon and strongly prevented inflammatory cells infiltration. It also reduced the production of several inflammatory mediators as IFN- $\gamma$ , MIP-1 $\alpha$ , TNF, and IL-1 $\beta$ , -6, -18 in the colon, namely by the inhibition of the activities of iNOS, NF- $\kappa$ B, and MPO. The proposed underlying mechanisms for the observed protective effect was attributed to nucleotide-binding domain, leucine-rich-containing family, pyrin domain-containing-3 (NLRP-3) inflammasome activation in the colon (Y. Sun *et al.*, 2015).

The flavone oroxyloside (5,7-dihydroxy-6-methoxyflavone-7-*O*- $\beta$ -D-glucuronide) (20–80 mg/kg BW), one of the main metabolites of oroxylin A, was used to treat colitis induced by DSS in C57BL/6 mice. In general, oroxyloside attenuated the colon length shortening, the pathological damage of the colon and the loss of body weight. The inhibition of NF- $\kappa$ B, by PPAR $\gamma$  activation, was also reported. Consequently, the levels of TNF, IL-1 $\beta$ , and -6 (proinflammatory), in serum and colon, were significantly reduced. Also, the activity of the oxidative stress mediators MPO and iNOS was reduced (X. Wang *et al.*, 2016).

DA-6034, a 7-carboxymethoxy-3',4',5-trimethoxyflavone, a synthetic derivative, was orally administered in different IBD animal models: two chemically induced IBD rat models and one human leukocyte antigen (HLA)-B27 transgenic rat model. The latter does not need the use of exogenous agents, as it spontaneously develops colitis. An acetic acid solution was used to induce acute colitis in rats and DA-6034 was orally administered (0.3–3 mg/kg), two times/day, for 6 days. Chronic colitis was tempted by TNBS (intracolonic administration). One day after the injury DA-6034 was orally administered (1, 3 mg/kg BW): for the chronic phase, for 20 days; or for the acute phase, one time a day, for 6 days; or. DA-6034 (3 mg/kg BW) was orally given to HLAB27 transgenic rats two times a day, for 6 weeks. Differences were found among the used models and TNBS/ethanol was reported a better IBD experimental model than the one induced by acetic acid. In comparison with the colitis models induced by chemicals, the HLA-B27 transgenic rats just presented mild colitis. In general, DA-6034 was found to attenuate the colon damages (histological and macroscopic) in all used IBD models (Y. S. Kim *et al.*, 1999).

Dosmalfate, diosmin heptakis (hydrogensulfate) aluminium complex, was orally administered (400 and 800 mg/kg BW) for 7 days to DSS-treated BALB/c mice. Dosmalfate ameliorated severe colitis and reduced neutrophil infiltration, IL-1 $\beta$  levels, and increased PGE<sub>2</sub> synthesis in colon mucosa. However, the modulatory effect of dosmalfate in both PGE<sub>2</sub> and PGD<sub>2</sub> synthase expression remains unclear (Villegas, Alarcon de la Lastra, *et al.*, 2003).

## **Flavanols**

The activity of few catechins were also studied against IBD models. Epicatechin, 3',4',5,7-tetrahydroxyflavanol, an isomer of catechin, (100–300 mg/kg BW) was administered orally in a DSS-induced acute UC, to C57BL/6 mice, for 7 days (Zhang *et al.*, 2016). Epicatechin clearly attenuated the acute intestinal injury and significantly relieved colon contracture and crypt damage, through several mechanisms. One of such mechanisms was the decrease of the release of proinflammatory cytokines as IL-6 and TNF. In what concerns oxidative stress, it was observed a decrease in the levels of •NO, MPO and MDA and an increase of the activity of the antioxidant

enzymes SOD, GSH-Px and catalase. All of these alterations should be related with the inhibitory effect on the NF- $\kappa$ B activation (Zhang *et al.*, 2016).

EGCG was orally given (50 mg/kg BW) to Sprague-Dawley rats, after colitis induction by acetic acid, for 7 days. After the treatment with EGCG the disease progression was reduced and several symptoms ameliorated, as macroscopical lesion with edema, hematosi s mucosa, superficial and small ulcers. EGCG elevated the SOD contents and reduced the MDA and  $\bullet$ NO levels, in colonic tissues. The levels of TNF and IFN- $\gamma$  were decreased and once again the decrease in NF- $\kappa$ B expression seems to play an important part in the anti-inflammatory activity of EGCG (Z. H. Ran *et al.*, 2008).

Kook and colleagues (Kook *et al.*, 2015) studied the intestinal activity of catechin-7-*O*- $\beta$ -glucopyranoside (CGP) (1–10 mg/kg BW) through its daily administration, for 7 days, to Sprague–Dawley rats with TNBS-induced colitis. The highest tested concentration reduced MPO, NOS and COX-2 activities and MDA levels. The production of cytokines, IL-1 $\beta$  and TNF was also inhibited, possibly through the inhibition of the phosphorylation of p38MAPK and the NF- $\kappa$ B subunit I $\kappa$ B- $\alpha$ . The administration of CGP resulted in a decrease in MCP-1 and ICAM-1 mRNA levels and restored the expression of the mucins (MUC3 and MUC2) responsible for the regaining of the intestinal epithelial barriers functions (Kook *et al.*, 2015).

## Flavonols

Quercetin, 3,3',4',5,7-pentahydroxyflavone, is ubiquitously found in its glycosylated forms (Comalada *et al.*, 2005). The ratio of these compounds in the intestine, as well as their anti-inflammatory effect, is still controversial.

Kim and colleagues (H. Kim *et al.*, 2005) induced the inflammatory process in Sprague-Dawley rats, using TNBS, followed by quercetin (rectal administration, 25–100  $\mu$ M) or rutin (oral administration, 10 mg/kg BW), for 6 days. The authors reported that, in the large intestine, the sugar residue in rutin was rapidly deglycosylated to release quercetin, without significant biochemical loss in the upper intestine. Interestingly, both compounds significantly healed the damage of the colon and decreased the levels of MPO, an indicator of neutrophil infiltration. In contrast, Kwon and colleagues (Kwon *et al.*, 2005), who studied the effect of these two flavonols (6 mg/kg) 3 days after DSS-induced colitis and during 2 weeks, in ICR mice, reported that rutin ameliorated the inflammatory process by the suppression of IL-1 $\beta$  levels and colorectum shortening, without any effect of quercetin (Kwon *et al.*, 2005). Quercitrin, 3,3',4',5,7-pentahydroxyflavone-3-rhamnoside, (1 mg/kg BW) was described as ameliorating the evolution of the inflammatory process, as demonstrated by a substantial decrease in the DAI values, MPO (Camuesco *et al.*, 2004; Comalada *et al.*, 2005) and AP activities (Camuesco *et al.*, 2004), a decrease

in the production of, IL-1 $\beta$ , TNF and LTB<sub>4</sub> (Camuesco *et al.*, 2004; Comalada *et al.*, 2005), a significant inhibition of iNOS expression (Camuesco *et al.*, 2004; Comalada *et al.*, 2005) and a downregulation of the NF- $\kappa$ B activity, but with no modification of the activity of JNK (Comalada *et al.*, 2005). The modulatory effect of quercitrin against these inflammatory mediators is related with the reduction of a macrophage infiltration in the inflamed tissue (Camuesco *et al.*, 2004). Interestingly, despite the anti-inflammatory activity of quercetin *in vitro*, quercetin did not show any anti-inflammatory activity using *in vivo* models. The paradoxical results obtained with quercetin and its glycoside quercitrin could be explained by the flavonoids' bioavailability. Generally, the literature describes aglycones as being absorbed in the small intestine, averting their beneficial effects in the inflamed colon. In contrast, the glycoside quercitrin reach the colon and exert its anti-inflammatory effect, because it is not absorbed in the gastrointestinal tract upper segments (Comalada *et al.*, 2005).

Following this rationale, Castangia and colleagues (Castangia *et al.*, 2015) encapsulated quercetin in polyethylene-glycol vesicles, coated by nutriose and chitosan. Those vesicles containing quercetin (9 mg/kg BW) were administered (oral gavage), one time a day, for 3 days, during days 3–5 (intense inflammation), after TNBS administration to Wistar rats (rectal). The group treated with non-entrapped quercetin or uncoated loaded vesicles presented severe colon damage, as in the group without treatment. The inflammatory profile changed when the vesicles containing quercetin. Quercetin accrues in the colon, exerting its local anti-inflammatory activity leading to an important improvement of the colitis indicators.

Morin was orally given daily at doses of 25 mg/kg, for 4 weeks, to Wistar rats previously subjected to a single colonic instillation of TNBS. Morin reduced macroscopic colonic damage and ameliorated the histological lesions. This flavonoid decreased the colonic MPO activity and reduced granulocyte infiltration, probably through the inhibition of LTB<sub>4</sub> synthesis. These effects were also related with an inhibition of the colonic production of IL-1 $\beta$ , which was persistent 3 weeks after the treatment, and may be responsible for the observed inhibition of colonic NOS activity (Galvez *et al.*, 2001).

Myricetin, 3,3',4',5,5',7-hexahydroxyflavone, was orally administered (50–200 mg/kg BW), for 10 days, to a murine model (BALB/c) of acute experimental colitis induced by DSS. It was observed that myricetin bettered the pathological DSS colitis indicators, possibly through the inhibition of  $\bullet$ NO and MDA production, and the decrease of MPO activity, with the simultaneously increase of GSH-Px and SOD activities. Additionally, IL-6 and -1 $\beta$  levels were significantly decreased (Zhao *et al.*, 2013).

Isorhamnetin, 3'-methoxy-3,4',5,7-tetrahydroxyflavone, was tested (20 mg/kg BW) using two different IBD-induced models, one using DSS- and the other using TNBS, in C57BL/6 mice. In general, isorhamnetin abrogated inflammation

through the inhibition of MPO activity, the reduction of iNOS, COX-2, ICAM-1, and IL-2 mRNA expression, and the levels of IL-6 and TNF. It was suggested that NF- $\kappa$ B played an important role in the anti-inflammatory effect of this flavonoid. Besides that, the authors reported that isorhamnetin can activate wild-type PXR. The observed upregulation of PXR constitutes another possible mechanism by which this flavonoid acts as anti-colitic agent (Dou *et al.*, 2014).

Icariin, an 8-prenyl derivative of kaempferol 3,7-*O*-diglucoside, is another flavonol glycoside that was studied in a C57BL/6 mice colitis model induced by DSS. Icariin (3, 10 mg/kg BW) was orally daily administered with DSS (Tao *et al.*, 2013). This flavonol showed an anti-colitic effect, by the modulation of different pathways, namely the inhibition of proinflammatory cytokines as IL-1 $\beta$ , -17F and -17A, IFN- $\gamma$ , and TNF, and the inhibition of T lymphocytes proliferation and activation. STAT1 activation, the main transcription factor involved in the response of Th1 and of STAT3, which is a vital transcription factor in the response of Th17, were also inhibited by icariin (Tao *et al.*, 2013).

## **Flavanones**

Azuma and colleagues (Azuma *et al.*, 2013) studied the effects of supplemental feeding with naringenin, 4',5,7-trihydroxyflavanone [0.3% (wt:wt)] in DSS-induced colitis in BALB/c mice, for 9 days. The study was performed at days 3, 6 and 9, counting from the day of disease induction, to better study the role of naringenin at the beginning and on the development of colitis. In general, naringenin totally or partially ameliorated the DSS-induced colitis, attenuating some typical symptoms as the colon shortening, the decrease in body weight, the increase in DAI score, possibly through the protection of the intestinal TJ barrier. Nevertheless, naringenin may suppress Th17 cells differentiation, as showed by the decrease of IL-17A gene expression and it is responsible for the suppression of further cytokines as IL-6, IFN- $\gamma$  and MIP-2 (Azuma *et al.*, 2013).

Wogonin, 5,7-dihydroxy-8-methoxyflavanone, was given, by oral intake (20 mg/kg) for 2 weeks, after induction of colitis in BALB/c mice by DSS. Wogonin originated an increase in IgA and a reduction in IgE production by lymphocytes from the mesenteric lymph node. In this way, wogonin may improve the inflammatory response of the intestinal immune system. It was observed that wogonin increased Th1 cell cytokines as IFN- $\gamma$ , IL-2 and TNF and decreased the Th2 cell cytokines IL-4, -5 and -10. As such, the authors concluded that wogonin can lessen the inflammation in DSS-induced colitis, modulating the abnormal Th2 response (Lim, 2004).

Hesperidin, 3',5,7-trihydroxy-4'-methoxyflavanone-7-rhamnoglucoside, (10–80 mg/kg BW) was orally administered to BALB/c mice once a day, for 7 days, beginning concomitantly with the exposure to DSS. Hesperidin ameliorated DSS-induced

experimental colitis, through a decrease in MDA content, MPO activity, IL-6 levels, and DAI score. In turn, the anti-inflammatory cytokine IL-4 levels were not altered by the presence of this flavanone (Xu *et al.*, 2009).

## **Flavanonols**

Ding and colleagues (Ding *et al.*, 2014) evaluated the anti-inflammatory effect of astilbin, taxifolin 3-rhamnoside, in an IBD model. For that purpose, different doses (25, 50 mg/kg BW) were administered, each day, through intraperitoneal injection, to C57BL/6 mice with acute colitis induced by DSS, for 7 days. The treatment with astilbin ameliorated the severity of colitis and originated an increase in the levels of IL-10 and TGF- $\beta$ . Astilbin can modulate IBD by the regulation of dendritic cells (DCs) functions. It was demonstrated that treatment with astilbin, in mice with colitis, resulted in an augmented number of IL-10<sup>+</sup> DCs and TGF- $\beta$ <sup>+</sup>DCs and a decreased number of CD86<sup>+</sup>DCs, IL-12 p40<sup>+</sup>DCs and IL-1 $\beta$ <sup>+</sup>DCs in the spleen (Ding *et al.*, 2014).

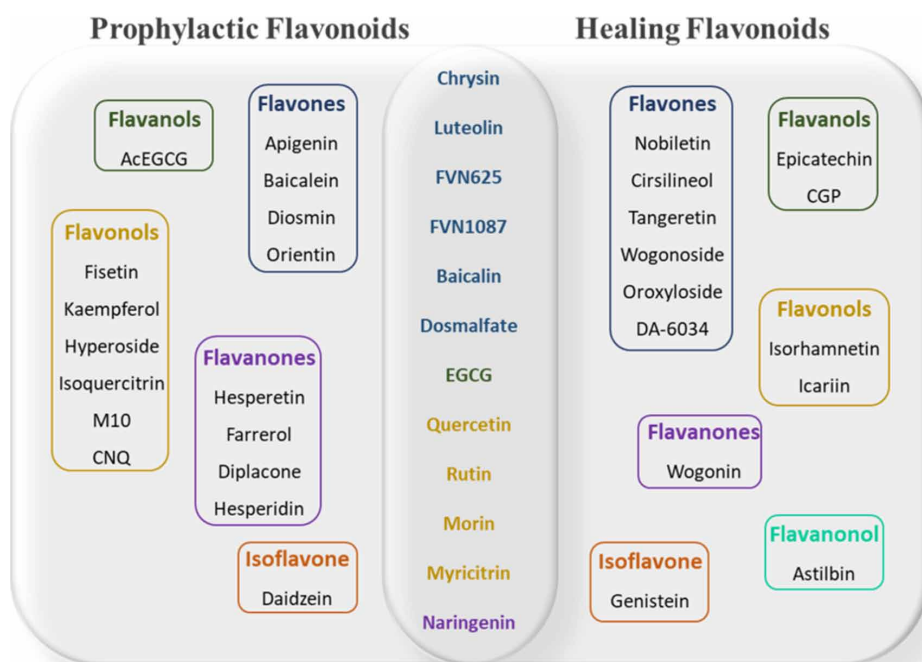
## **Isoflavones**

Genistein, 4',5,7-trihydroxyisoflavone, is the best characterized isoflavone and one of the most abundant in the human diet. Genistein was studied in TNBS- (Seibel *et al.*, 2009) and DSS-induced colitis (Abron *et al.*, 2018) *in vivo* models. The treatment [10 mg/kg BW (Abron *et al.*, 2018) or 100 mg/kg BW (Seibel *et al.*, 2009)] started 24h after disease induction, once a day, for 14 days. Genistein diminished the extent and severity of the disease, resulting in a colon length and body weight increase, and in an inflammation score reduction. Some inflammatory mediators were studied and the obtained results showed that genistein reduced the COX-2 expression, the activity of MPO (Seibel *et al.*, 2009), and the production of IL-6, -1 $\beta$  TNF and MCP-1 (Abron *et al.*, 2018) whereas there was no significant inhibitory effect on the expression of proliferating cell nuclear antigen (Seibel *et al.*, 2009). Throughout the inflammatory process, macrophages are activated and produce several cytokines, varying among classical M1 (proinflammatory) or M2 (anti-inflammatory) phenotypes. The treatment with genistein modulated the ratio of M1/M2 macrophages, favoring the presence of the last group. Moreover, it was observed an increase of M2 dependent cytokines as IL-10 and arginase-1 (Abron *et al.*, 2018).

## CONCLUSION

This chapter provides evidence that flavonoid compounds may be valuable both in the prevention and in the treatment of IBD (Figure 4). However, care should be taken in the interpretation of the published studies as the experimental conditions are variable in each model used. These variations are mostly related with times of exposure to both the flavonoid and the inflammatory stimuli used, doses and routes of administration. Despite these variables, *in vivo* studies performed for various flavonoids from different subclasses, depicted in this chapter, reveal that flavonoids' anti-inflammatory effects are wide and may include the modulation of several inflammatory mediators, both proinflammatory and anti-inflammatory, from TJ functionality to nuclear regulation.

Figure 2. Summary of the prophylactic and healing effects of flavonoids in *in vivo* studies of IBD. AcEGCG - peracetylated epigallocatechin gallate; CGP - catechin-7-O- $\beta$ -glucopyranoside; CNQ - chloronaphthoquinone quercetin; DA-6034 - 7-carboxymethoxy-3',4',5-trimethoxyflavone; FVN625 - 3'-Hydroxy-4',5,7-trimethoxyflavone; FVN1087 - 6-Hydroxy-5,7-dimethoxyflavone; M10 - myricetin derivative.





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Chapter 4

# Diagnostic and Treatment Methods for Ulcerative Colitis and Colitis–Associated Cancer: Natural Agents Therapy for Ulcerative Colitis – Elucidating the Mechanism of Action

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## **ABSTRACT**

*Ulcerative colitis (UC) is a serious health problem around the world. Inflammatory bowel disease (IBD) is comprised of both Crohn's disease (CD) and UC. IBD is a clinical condition referred as inflammation in the colon. So far there is no proper medication available to treat IBD. On the other hand, untreated UC can be developed as colitis associated cancer. Natural agents are diverse molecules possess many beneficial effects. Many researchers have proven that natural agents can be better option to treat UC. Natural agents such as chrysin, chelidonic acid, euphol, fish oil, diallyl trisulfide, embelin, isatin, and rutin were already reported to have anti-colitic activity. In this chapter, the authors documented the natural agents that were used as treatment for UC.*

## **1. INTRODUCTION**

### **1.1 Inflammatory Bowel Diseases (IBD) an Overview**

Inflammatory bowel diseases (IBD) comprising of ulcerative colitis (UC) and Crohn's disease (CD) are severe chronic diseases affecting millions of people all over the globe with an increasing rate of incidence and have become more prevalent in the modern society (Molodecky *et al.*, 2012). Earlier in the ancient times there are reports by physicians that people suffered from chronic diarrhea dating back to Greek antiquity. During 4<sup>th</sup> Century BCE, Greek physician Hippocrates reported about patients suffered from diarrhea with symptoms of bloody, mucus-streaked stools (Tulchinsky, Hawley, & Nicholls, 2003). The Canon of Internal Medicine of ancient Chinese medicine described indications (abdominal pain, diarrhea, rectal bleeding) of a disease having resemblance like ulcerative colitis in Chinese population. In the first century CE, popular Roman physicians Aretaeus and Soranus reported about chronic diarrhea with bloody stools and an ulcerated bowel with a "distinct foul odour", with high incidence in female population than male (Kornbluth & Sachar, 2010). Similarly, Prince Charles (1745) of England suffered from ulcerative colitis and adopted a diet free of milk to avoid the complications of UC (G. *et al.*, 2014). Though reports of disease similar to UC were reported from a long time in literature, it was not termed as a distinct disease earlier to 1875.

In medical literature, the term ulcerative colitis was first reported in 1875 by two English physicians, Sir Samuel Wilks and Walter Moxon. Surprisingly for the first instance, they achieved to distinguish UC from other diarrheal diseases caused by pathogenic microbes from their case study conducted on a young woman who lost her life due to severe bloody diarrhea and her autopsy reports revealed ulceration and

inflammation of the intestinal mucosa (Kirsner, 2001). Several reports of UC started to come from all over the regions of the world following the decades after 1909 and the UC was the major disease discussed at the Paris Congress of Medicine (1913).

## **1.2. Epidemiology of Inflammatory Bowel Disease**

The prevalence of IBD has been in an alarming increase in developed nations since the mid of the 20th century, predominantly in the populations that adopted rapid changes in diet, hygiene and economy (Bager *et al.*, 2012). Interestingly, when a new group of population is identified with inflammatory bowel disease, ulcerative colitis always precedes Crohn's disease and has a higher rate of incidence. The prevalence of IBD is found to be least in the populations of continental Asia when compared to populations from western developed nations that have the highest incidence and prevalence of IBD. It is evident that the high prevalence of IBD in westernized environment is highly linked to the lifestyle attributes, which is associated with smoking, dietary content high in sugar and fat, frequent intake of medications having more side effects, mental stress, and high socioeconomic status (Danese & Fiocchi, 2006). Based on an article published in 2018 by Jobson Medical Information LLC, in United States the prevalence of CD was around 3.1 to 14.6 cases per 100,000 people annually and the incidence of UC was approximately 201 cases per 100,000 adults.

## **1.3 Prevalence of IBD in India**

Since ancient times, IBD is thought to be a rare disease among Indian population. But due to varying lifestyle changes, now IBD is becoming very common in India. Among IBD, UC is seen more often in the subcontinent than CD. But in the recent years, Crohn's disease is being reported from all regions of India. The incidence of UC for the first time was reported from India in the year 1930, but a large number of case reports started to come following the decades after 1960's. A 1986 study conducted by Khosla *et al.*, from northern regions of India, reported that the community prevalence of UC was found to be around 42 per 100,000 people and a crude incidence rate of 6 per 100,000 persons. However, the first incidence of CD in India was recognized from the medical literature of 1972, which examined the surgical and pathological reports of the operated patients, i.e., approximately about three decades after the first documented UC reports. Further, a static prevalence has been observed from two previous population prevalence studies conducted 15 years apart, and there is a subjective viewpoint among gastroenterologists that the rate of incidence of CD has increased in the recent times in India (Desai & Gupte, 2005). Comparative analysis revealed that there is a prevalence of CD in about 50% of patients belonging to the southern parts of India when compared to only

26% from all the other regions. Moreover, this study unveiled that the likelihood of Crohn's disease is more persistent in southern regions of India compared to northern regions of India.

## **2. SYMPTOMS AND DIAGNOSIS OF ULCERATIVE COLITIS**

### **2.1. Symptoms of Ulcerative Colitis**

The common feature of UC is that it can affect people of any age groups, and various investigations conclude that the chances of acquiring UC is at peak from ages 15 to 30 and then again at ages 50 to 70, further women and men are equally affected. The primary symptoms of active disease are abdominal discomfort with bloody diarrhea. In course of time anemia, fever and weight loss may also occur and symptoms may come slowly and shift from moderate to severe. Symptoms usually occur intermittently and tend to come and go with periods of remission and relapse. The period of remission can span for months or even years, in which patients may experience no sign of discomfort at all, although there may be relapse of these symptoms at any point of time. This unpredictable course of time may cause difficulty for clinicians to determine whether a specific treatment with certain medication has been effective or not. Other complications of UC may include megacolon, irritation of the eyes due to inflammation, kidney stones, liver disease, and osteoporosis which causes severe pain in the joints.

### **2.2 Diagnosis of Ulcerative Colitis**

The evolution of modern techniques has become an important tool in the diagnosis of ulcerative colitis. Various techniques like endoscopic, radiological, histological and biochemical investigations were used in combination for the diagnosis of UC. At present scenario, the assessment of disease activity of UC and its degree of progression is determined by endoscopy along with histological techniques, which serves as a gold standard technique for the diagnosis of UC and has become a powerful method for probing and monitoring UC-associated colonic cancer. Endoscopic re-examination provides clinical significance about disease relapse, for refractory ulcerative colitis or steroid-dependent UC or when necessity arises for colectomy. Colonoscopy along with biopsy is commonly adopted technique to diagnose UC.

Other laboratory tests that are helpful to diagnose the disease condition include:

- Barium enema

- Performing complete blood count, Renal function tests, Liver function tests and quantifying electrolytes.
- Determination of Effective Sedimentation Rate (ESR)
- Measuring the level of C-Reactive protein
- X – ray examination
- Analysis of Stools
- Computed tomographic scan (CT)

### **2.3 Serological Markers in IBD**

Studies reveal that quantitative measurement of serological markers like perinuclear Anti-Neutrophil Cytoplasmic Antibody (p-ANCA) and the anti-*Saccharomyces cerevisiae* antibody (ASCA) may act as effective diagnostic tools to investigate the genesis of inflammatory bowel diseases (IBD). Further, significant level of P-ANCA was detected in 50 to 80% of patients affected with UC and in 10 to 30% of patients affected with CD. Similarly, diagnostic levels of ASCA have been detected in 5 – 15% of UC patients and 40–70% in CD patients. Recently, a population-based study among IBD patients shows a positive p-ANCA and negative ASCA diagnostic results, which is helpful in prediction of about 75% of UC patients. Moreover, the predictive values obtained by measuring these serological markers to characterize the sub-class of IBD patients have been examined, and there is a different opinion concerning its association with a long lasting disease outcome.

## **3. ETIOLOGY AND PATHO-PHYSIOLOGY OF INFLAMMATORY BOWEL DISEASE**

Ulcerative colitis is a severe chronic disorder that leads to inflammation and ulceration of the colon and rectum. The precise reason behind the cause of ulcerative colitis to date is still uncertain. Scientific evidences prove that the pathogenesis of IBD is a complicated one, as it involves various immunological, environmental, host genetic, and intestinal microbial factors (Figure 3A). In fact, in recent decades, extensive research has contributed to provide a better information about the disease condition and pathophysiology and provides a clear insight of the complex interactions among these factors which bring about in promoting the inflammation of the mucosa and thereby intensifying immune activation of the colonic mucosa can lead to the onset or development of IBD (Knights, Lassen, & Xavier, 2013).

The onset of ulcerative colitis usually initiates in the rectum, and spreads to the colon over time, in which the lining of the colon becomes inflamed and produces minute open sores, or ulcerations, that produce mucus and pus. This ulceration and

inflammation can cause severe abdominal pain and recurrent emptying of the bowel in individuals suffering with UC. Moreover, inflammation in ulcerative colitis is normally restricted to the mucosal surface and can lead to the distraction of intestinal homeostasis and integrity.

### **3.1 Environmental Factors**

Though genetic basis is one of the reasons behind the cause of IBD, it is unlikely to state that genetic drift in this short duration of time is the reason behind the cause of these two diseases, challenging the potential role of environmental factors with increased risk in stimulating the disease in genetically susceptible individuals. Further, these risk factors may affect individuals in numerous ways, but their action on the gut microbiota can result in the instability of host-microbe interactions, which may trigger the onset and pathogenesis of IBD. One of the main reasons contributing to the damage of the large intestine is hydrogen sulfide, a noxious gas considered as a cell poison, which is produced by bacteria present in the large intestine upon intake of dietary animal's meat and milk.

### **3.2 Peripartum Antibiotics**

Worldwide the prescription of antibiotics has become common with unclear indications during pediatric ambulatory visits, almost 50% of the medications prescribed by physicians are broad spectrum antibiotics (Hersh, Shapiro, Pavia, & Shah, 2011). Often pregnant women and neonates are more frequently exposed to broad-spectrum antibiotics like cephalosporins in developed countries (Petersen, Gilbert, Evans, Ridolfi, & Nazareth, 2010). Further, several studies have shown a relationship between promiscuous use of antibiotics and inflammatory bowel diseases. Moreover during the initial stages of childhood, crucial events like development of the gastrointestinal microbiome and building of the immune system are likely to happen, which can be disturbed by the intake of antibiotics. A recent study aimed to determine the relationship between the temporal influence of the frequently administered antibiotic cefoperazone and IBD was performed in IL-10-deficient mice colitis model. The results hypothesize that severe gut dysbiosis was seen in offspring of cefoperazone exposed dams during the peripartum period and distortion of the host's self defense mechanism and increased susceptibility to spontaneous and DSS induced colitis. The transfer of gut dysbiosis from the mother treated with cefoperazone to the offspring is associated with the interruption in the building and progression of immune system and protective mechanisms that normally happens during the weaning time till maturity. Thus, exposure to antibiotics in the early stages of life, which is known to stimulate maternal dysbiosis during critical windows of



development and assemblage of gut microbiome and immune programming induce a long-lasting effect and impose a greater risk of IBD in genetically susceptible offspring (Miyoshi *et al.*, 2017).

#### **4. ROLE OF DIET AS A POTENTIAL RISK FACTOR IN CAUSING IBD**

While nutrition and diet influence on health, their possible role in inflammatory bowel diseases have been investigated. Over the past decades, different aspects of nutrition and diet have been explored and their possible role in the etiology of IBD and how it can play a significant role in the management of these disorders. Further, in the last decade, the prevalence of IBD has been escalating not only in industrially developed western nations, but also in several countries, which were thought to have lower prevalence of this disease. The changes in dietary habit, similar to the western dietary style, which consist of high fat and proteins and lower amounts of fiber containing fruits and vegetables, are thought to be the major environmental factors contributing to the increasing occurrence of IBD and also plays an important role in the development and pathogenesis of IBD. Hou *et al* in 2011 evaluated 19 studies involving about 2,600 IBD affected patients and reported that high dietary consumption of total fat, n-6 polyunsaturated fatty acids (PUFAs), omega-6 fatty acids, and intake of meat were linked with increased risk of UC and CD. However, intake of diet rich in fruits and high fiber were associated with decreased risk of Crohn's disease, while diet rich in high vegetable intake was associated with a decreased risk of ulcerative colitis. Further, studies reveal that there was no correlation between IBD risk and total carbohydrate intake.

#### **5. ROLE OF IMMUNE SYSTEM IN IBD**

Interestingly, immunity is a two-edged knife. Though, it affords the necessary weapon to safeguard the host from foreign pathogens, when uncontrolled it can attack the host immune system more aggressively, and leads to serious consequences which may be fatal. The important pathological feature of IBD is due to the infiltration of polymorphonuclear neutrophils and mononuclear cells into the gastrointestinal tissues. Further, monocyte and neutrophil migration inside the tissues is triggered by chemotactic agents of bacterial cell wall and cytokines produced locally (Suzuki *et al.*, 2001).

The epithelial cells lining the gastric mucosa separate the intestinal lumen that harbors the symbiotic commensal microbiota and food-borne pathogenic microbes

from the body. There is a strict restriction by the mucosal immune system, that it does not trigger any hypersensitive reaction against the commensal microbes due to their oral tolerance. Further, the intestinal mucosa is safeguarded from the intestinal antigens by the presence of gut - associated lymphoid tissue (GALT), that mediates the protection by synthesizing secretory IgA and immunosuppressive cytokines like interleukin (IL)-10 and transforming growth factor (TGF)  $\beta$ .

It is noteworthy that the dendritic cells (DCs) of the intestine by protruding transepithelial dendrites and their sample intestinal contents capture intestinal foreign substances that come across the gut lumen. Further, macrophages, the main type of tissue-resident mononuclear phagocytic cells derived from monocytes, perform a major role in microbial identification by recognizing the toll-like receptors (TLR's) and their by elimination of the antigen and leads to the polarization of innate as well as adaptive immune mechanisms. Moreover, this intestinal macrophages secrete several types of anti-inflammatory cytokines like TGF- $\beta$ , IL-10 and many proinflammatory cytokines like IFN- $\gamma$ , TNF- $\alpha$ , IL-6, IL-23 and reactive oxygen species. Vedolizumab a monoclonal antibody used in the treatment of UC and CD inhibits integrin  $\alpha 4\beta 7$  a cell adhesion molecule present in the T-lymphocytes and used as an antagonist thereby preventing the production of proinflammatory cytokines (Figure 5A)

The role of T regulatory (Treg) cells performs a vital role in the progression and pathogenesis of IBD and other hypersensitivity reactions and autoimmune disorders. Interestingly, research have shown that patients affected with IBD harbor significantly decreased number of peripheral Treg cells and increased level of serum IL-2R $\alpha$ . Further, substantial evidence supports the opinion that a change in the equilibrium among Foxp3+CD4+ T-regulatory cells and T- effector cells present in the intestinal microenvironment may influence the pathogenesis of inflammatory bowel disease (Mayne & Williams, 2013). Moreover, studies suggest that transferring Treg cells have the ability to control inflammatory lesions in IBD induced animal models.

## **5.1 Role of TNF $\alpha$ in Induction of Ulcerative Colitis**

Proinflammatory mediators like TNF- $\alpha$  involves in an extremely crucial role in the progression of IBD. Further, expression of TNF $\alpha$  genes in adipocytes, platelets, activated monocytes, macrophages and T cells leads to the production of soluble TNF $\alpha$ , which binds to two TNF $\alpha$  receptors thereby mediating several biological effects like activating other macrophages, amplifying T cell immune response, stimulating the expression of endothelial adhesion molecules, recruiting neutrophils to the sites of inflammation, development of inflammatory edema; inactivating the coagulation process and stimulation of granuloma formation (Sandborn & Hanauer, 1999). Expression of adhesion molecules, several enzymes and cytokines are increased by a crucial transcription factor nuclear factor kappa beta (NF- $\kappa$ B), interestingly; these

NF- $\kappa$ B-dependent pathways were activated by TNF $\alpha$  which prolongs the inflammation by causing degradation and ulceration of the gastric mucosa by releasing matrix metalloproteinases (MMP). Further, increased production of TNF $\alpha$  and nuclear translocation of NF- $\kappa$ B have been observed in mononuclear cells of lamina propria obtained from both UC and CD patients. Moreover, a transcription factor called lipopolysaccharide-induced TNF $\alpha$  factor by binding to the TNF $\alpha$  gene promotes the transcription of TNF $\alpha$  gene in human macrophages, was found in the macrophages and intestinal tissue of both CD and UC affected patients, interestingly TNF $\alpha$  also upregulates the expression of other proinflammatory mediators like interleukin - 1 $\beta$  and interleukin - 6, thus intensifying the early sequences of the inflammatory cascade (Rossetti *et al.*, 2004).

## **5.2 ROS and Nitric Oxide Plays a Crucial Role in IBD**

The formation of superoxide ( $O_2^-$ ) radical in the gastrointestinal tract is mainly mediated by xanthine oxidase (XO), and this toxic  $O_2^-$  is ultimately converted to Hydrogen peroxide ( $H_2O_2$ ) by the catalytic action of catalase and glutathione peroxidase. The  $H_2O_2$  thus generated by the neutrophils is then used by myeloperoxidase (MPO) to generate hypochlorite ion ( $OCl^-$ ). Interestingly, superoxide anion ( $O_2^-$ ) is a very short living, highly unstable and highly reactive form of ROS, which makes it membrane impermeable and makes it to act locally near its place of origin leading to the oxidation of biomolecules which are in close proximity, while hydrogen peroxide can diffuse easily across the plasma membrane and oxidize the compounds situated inside it., Further, aquaporin 8 facilitates the diffusion of  $H_2O_2$  inside the gastrointestinal tract (Te Velde *et al.*, 2008). More interestingly, the basal metabolic levels of ROS in the intestinal epithelial cells vary with decreased amounts of ROS in the small intestine and increased levels in the colon (Sanders *et al.*, 2004). These variations in the ROS production may influence the amount of oxidation of lipids, proteins and can cause DNA damage which can contribute to increased susceptibility of both these intestinal sites to gastrointestinal diseases (Figure 5.2 A).

Nitric oxide (NO) is a crucial multi-functional signaling molecule that contributes to the onset of inflammatory reactions. Under normal physiological conditions, nitric oxide functions like an anti-inflammatory substance and during abnormal conditions, it functions as a pro-inflammatory mediator that promotes inflammation due to its increased production. In the endothelial cells, NO is produced and released by the action of nitric oxide synthase that converts arginine into citrulline synthesizing NO during the course of reaction. NADPH and Oxygen are crucial cofactors during this conversion. Further, nitric oxide is thought to induce vasodilatation of cardiovascular system and also involves in immune reactions mediated by macrophages activated by cytokines, which release toxic nitric oxide in high concentrations. Moreover,

nitric oxide is considered as a potent synaptic neurotransmitter and plays an active role in the regulation of cellular apoptosis and also contributes to the pathogenesis of inflammatory disorders of the gut, joint and lungs. Hence administration of NOS inhibitors account for the management of inflammatory disorders and acts as an important therapeutic advance. Moreover, it is proved that the use of synthetic arginine analogues and selective NO biosynthesis inhibitors can be used for the treatment of nitric oxide induced inflammation. Finally impaired production of nitric oxide causes undesired effects like inflammation vasoconstriction, and tissue damage.

## **6. NATURAL AGENTS IN TREATING INFLAMMATORY BOWEL DISEASES**

### **6.1. Role of Herbal Compounds in Treatment of Inflammatory Bowel Diseases**

In practice, the use of herbal plants or their medically potential bioactive components is in tremendous increase and the use of these natural products has become a most promising approach for the treatment of several inflammatory disorders like rheumatoid arthritis, multiple sclerosis and ulcerative colitis (Vitor *et al.*, 2009).

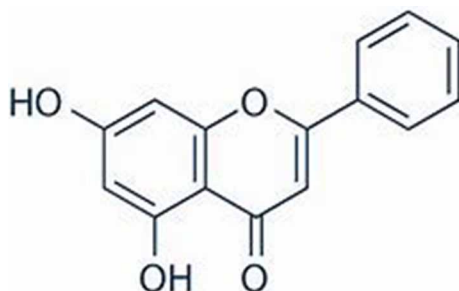
## **7. CHRYSIN AMELIORATE INFLAMMATORY BOWEL DISEASE**

Many plant species contains natural polyphenolic compounds like flavonoids which plays a crucial role in the growth of plants and constitutes the average human diet. Chrysin chemically known as 5, 7-dihydroxyflavone is a naturally occurring flavonoid predominant in passion flowers, chamomile, honeycombs, and in certain mushrooms (Figure 1). The biological activity of chrysin is attributed to the absence of oxygenation in B and C-rings that makes it a potent anti-inflammatory and antitoxic agent. The existence of carbonyl group on 4th carbon atom and presence of double bond between 2nd and 3rd carbon atoms (30, 40 hydroxylation), makes chrysin to function as an important antioxidant compound.

Accumulation of neutrophils in the inflamed gut mucosa is a prominent characteristic in ulcerative colitis. The granules present in neutrophils contain a variety of proteolytic enzymes which includes myeloperoxidase, which upon stimulation along with other cytotoxic oxygen metabolites can contribute to damage of the intestinal tissue at sites of inflammation. The impact of chrysin in ameliorating IBD is attributed by its role in the downregulation of myeloperoxidase (MPO) activity, which results in the amelioration of colonic architecture from disruption and a

considerable reduction in the synthesis of inflammatory mediators like prostaglandin E2 (PGE2), nitric oxide (NO) and other pro-inflammatory cytokines. Further, it is noteworthy that, in all inflammatory processes, nuclear factor NF- $\kappa$ B mediates a prominent role in the induction of inflammatory responses, which also serves as a crucial factor in the activation and progression of inflammatory bowel diseases.

*Figure 1. Chrysin*

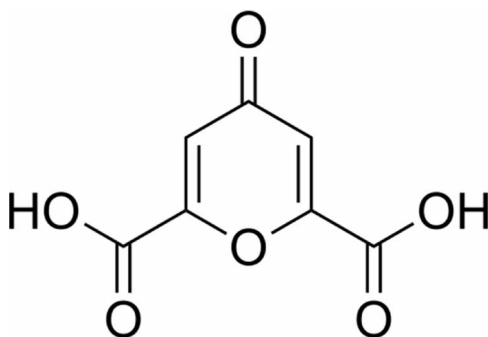


Moreover, studies prove that inhibition of p65 subunit of NF- $\kappa$ B protein complex in mice model induced with ulcerative colitis using antisense oligonucleotides reduced the disease activity, which implies the crucial role of NF- $\kappa$ B complex in promoting the inflammatory responses leading to the onset of UC (Spiik *et al.*, 2002). Interestingly, chrysin actively downregulates NF- $\kappa$ B mediated activation by suppressing the production of a variety of inflammatory cytokines and chemokines. Further, pretreatment of TNF- $\alpha$ -treated enterocytes (IEC-6 cells) with chrysin, significantly decreased the expression of p65 subunit of NF- $\kappa$ B in the nucleus of TNF- $\alpha$ -treated IEC-6 cells. Since, activation of NF- $\kappa$ B is considered to play a critical function in the regulation of transcription of pro-inflammatory genes (Ghosh & Hayden, 2008), and its suppression by the flavonoid chrysin may have an inhibitory effect on the initial steps of inflammation and modulate the upregulation of numerous pro-inflammatory genes as the binding sites of the transcription factors belonging to NF- $\kappa$ B superfamily are situated in the promoter as well as the enhancer regions of several genes, as well as the regions analogous to inflammatory molecules like chemokines, cytokines and various other growth factors associated to inflammatory response.

## 8. CHELIDONIC ACID A POTENT MOLECULE IN TREATING ULCERATIVE COLITIS

Chelidonic acid (CA), a novel pharmacologically effective phytochemical is an important constituent of *Chelidonium majus L.*, possess diverse beneficial effects on human health, which includes analgesic effects and antimicrobial properties (Figure 2).

*Figure 2. Chelidonic acid*



During inflammatory processes cyclooxygenase-2 (COX-2) serves as a principal mediator of inflammatory reactions, and potentially involve in inducing the activation of macrophages. The main feature of COX-2 is that it acts an inducible enzyme and carries out important functions in some specific physiological processes, and found in low concentrations in healthy tissues. Several recent studies suggest that the levels of COX-2 are upregulated during inflammatory reactions and leads to the production of prostaglandins (PGs), by the reactions catalysed by COX-2, that contributes to inflammation leading to swelling and pain (Eisenach *et al.*, 2010). In addition, an elevated level of PGE2 and COX has been found in intestines of patients with IBD and are believed to be important mediators in ulcerative colitis.

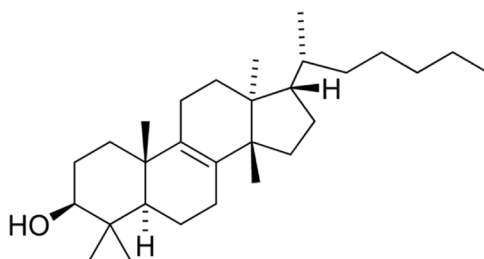
A study conducted by Kim *et al* in 2012 suggests that chelidonic acid (CA) efficiently restore the severity of ulcerative colitis symptoms induced by DSS in mice model. They found that Chelidonic acid (CA) reduces IL-6 and TNF- $\alpha$  level in the serum of DSS induced mice, and thereby exerts an anti-inflammatory response. Further their studies revealed that CA suppressed both PGE2 production and COX-2 expression, which are potent inflammatory mediators in causing pain and swelling of the colon, and also they observed CA has a little inhibitory effect on the production of hypoxia inducing factor, which results in the hypoxic conditions of the colonic lesions. They also proved that anti-inflammatory effects of chelidonic acid were

more effective as those of sulfasalazine (SFZ), a well known anti-inflammatory drug used in the treatment of IBD. They also observed, CA administration in DSS treated mice reduced clinical signs like shortening of the colon, weight loss and remarkable decrease in the DAI scores.

## 9. EUPHOL ACTS AGAINST INFLAMMATORY BOWEL DISEASE

The tetracyclic triterpene compound euphol is an important phytochemical constituent found in the sap of semi-arid plant *Euphorbia tirucalli*, a plant that belongs to the Euphorbiaceae family, and popularly known as aveloz in the Brazilian folk medicine (Figure 3). In the northeastern parts of Brazil, the milky latex obtained from *E. tirucalli* has been used in traditional folk medicine against syphilis, to treat cough, asthma, earache, cancer, sarcoma, skin tumors and epithelioma, to control intestinal parasites and also acts as a laxative agent. The latex obtained from bark of *E. tirucalli* is known to possess pharmacological activities that include anti-mutagenic, antiherpetic and molluscicidal activity (Betancur-Galvis *et al.*, 2002). It is also known to possess anti-carcinogenic and cocarcinogenic properties (Hecker, 1968).

Figure 3. Euphol



### 9.1 Preventive Effect of Euphol in Colonic NF- $\kappa$ B Activation and Inhibition of VEGF and NOS2 Expression

The effect of euphol in ameliorating the UC condition was studied in DSS induced murine colitis model that suggests pre-treatment of mouse orally with euphol leads to noticeable phosphorylation of p65 subunit of NF- $\kappa$ B complex in the tissues of the large intestine after seven days of euphol treatment, and it is noted that euphol administration at a dose of 30 mg/kg, orally by gavage considerably reduced the activation of p65 NF- $\kappa$ B mediated signaling pathway in the colonic tissue of mouse, thus strongly signifies that inhibitory action of euphol in NF- $\kappa$ B

activation is likely to be the mode through which this molecule regulates intestinal inflammation. Further, it is found that in the intestinal mucosa, the up-regulated expression of nitric oxide synthase -2 (NOS2) intensifies the apoptotic process in the epithelial cells lining the gut mucosa (Yue *et al.*, 2001). Additionally, studies suggest that over expression of NOS2 also leads to prominent phenomenon called angiogenesis, which has been demonstrated recently as one of the key contributors in the progression of IBD (Danese *et al.*, 2006). Moreover, pretreatment of mice with euphol at a dose concentration of 30 mg/kg markedly blocked the excessive production of NOS2 and also the expression of VEGF (Vascular Endothelial Growth Factor) in colonic tissue of DSS induced colitis mice model. Notably, pretreatment of mice by administering euphol at a dose concentration of 10 and 30 mg/kg of body weight markedly reduced the colonic myeloperoxidase (MPO) levels in DSS-induced mice which normally show a surge in MPO levels. Further, it is speculated that the biological mechanisms associated with the anti-inflammatory property of euphol was likely to be related by its potential efficiency to inhibit the endothelial expression of cell adhesion molecules selectin and integrin due to the inhibition of NOS2, Ki67 and VEGF expression in the colonic tissue by regulating the action of nuclear transcription factor- $\kappa$ B (NF- $\kappa$ B).

## **10. *FICUS CARICA* EXTRACT, A POTENT SOURCE OF TREATING IBD**

Fig trees (*Ficus Carica*) are considered to be sacred throughout the world and its dried fruit consumption is widespread, as drying of the fruit increases its shelf life and nutritive value. It has been reported that the dried fruits of *Ficus carica* is a good source of natural bioactive compounds that includes carbohydrates, flavonoid and phenolic compounds, and also contains high amount of fiber rich polysaccharides (as cellulose) and sugars (glucose, fructose, sucrose). The predominant monosaccharide's present in the dried fruit was fructose (56%) and glucose (43%), as determined by HPLC analysis. Further many studies conducted to evaluate the phenolic profile of dried fig fruits by adopting various phytochemical analysis tools, such as the HPLC-PDA system identified several phenolic components that include chlorogenic acid, gallic acid, syringic acid, rutin, (+)-catechin and (-)-epicatechin.

*In vivo* experiments have shown that *Ficus carica* extract (FCAE) remarkably ameliorated the gastric emptying process dose dependently when compared to the healthy control group (HC). Further, emptying of stomach is a complex process influenced by several factors that includes meal size, particle size, intake of water, composition of nutrients, calorific value of food, pharmaceutical administration, stress and state of health (Sadra *et al.*, 2017). In ulcerative colitis conditions,



the results obtained demonstrated that the gastrointestinal motor activity, gastro intestinal transit time, was reduced in UC affected rats. Moreover it was observed that the loss of permeability and fluidity due to administration of dextran sodium sulfate (DSS) and loperamide (LOP) leads to the disruption of the equilibrium of the absorption/secretion process of water, nutrients and electrolytes, which in turn can lead to severe modifications in the physiological functions of the digestive tract like gastrointestinal transit time. These changes in the physiological function can be overcome by the administration of aqueous extracts of dried fruits of figs to the constipated and ulcerative-colitis mice models. Further, it is evaluated that FCAE shows notable laxative and protective activities through different mechanism of actions and ameliorated the architecture of gut mucosa and facilitated the progression of colonic contents (Sabiu & Ashafa, 2016)

## **11. FISH OIL AMELIORATES DSS-INDUCED INFLAMMATORY BOWEL DISEASE**

Fish oil is the fat or oil extracted from oily fishes like salmon, mackerel, herring, tuna as well as anchovies. At certain occasions, fish oil is isolated from the livers of cod fish. Fish oil is considered as a nutritious food, due to its high  $\omega$ -3 fatty acid content. Further, the oil obtained from fish is considered to be the most consumed dietary supplements globally.

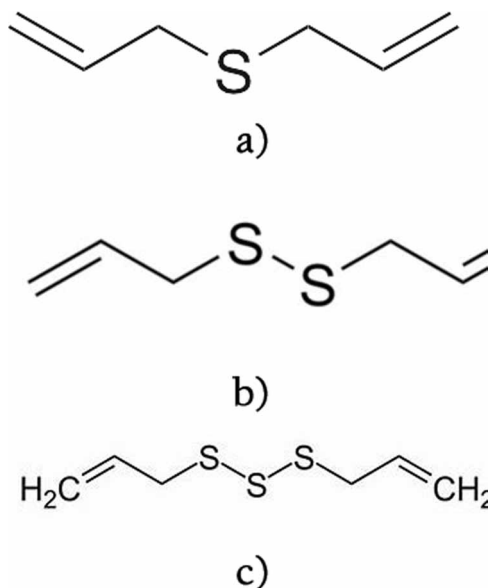
Tumor necrosis factor  $\alpha$  (TNF-  $\alpha$ ) is a proinflammatory cytokine that performs a pivotal role in the modulating the mucosal immune response during the pathogenesis of ulcerative colitis. TNF- $\alpha$ , being an important cytokine secreted in the gut mucosa during inflammation, another cytokine called IL-6 also plays an important role due to its various biological effects in the intestine and also in other tissues and organs (Sandborn & Hanauer, 1999). Yorulmaz *et al.*, in the year 2019 conducted a study in colitis induced rats and observed that the colonic tissue and serum levels of the proinflammatory cytokines IL-6 and TNF- $\alpha$  were reduced in separate and combinatorial use of mesalazine and fish oil compared to the control group in which IL-6 and TNF- $\alpha$  levels were increased. Further, combined administration of mesalazine and fish oil reduced the inflammation of intestinal mucosa of the rats induced with colitis and particularly their combinatorial use leads to remarkable recovery of colonic tissue by dramatically reducing the level of apoptotic bodies, decreasing vascular congestion and preventing the infiltration of inflammatory cells. Further, it is noted that supplementation of diet with fish oil in experimental colitis models has remarkably increased the incorporation of  $\omega$ -3 fatty acids into the tissues of colonic mucosa and significantly reduced the inflammatory lesions of the colon in ulcerative colitis (Empey *et al.*, 1991). Studies also suggest that the administration

of fish oil enemas also provide some degrees of retrogression in clinical indices in patients with moderate IBD (Tatar & Das, 2007). Recent studies also reported that the ameliorating effect of fish oil is mediated by its role in regulating the molecular mechanisms associated with redox reactions of important lipid metabolites (Sharma *et al.*, 2019).

## 12. DIALLYL TRISULFIDE (DATS) PREVENTS INFLAMMATORY BOWEL DISEASE

Garlic (*Allium sativum*) is a well known herb, used in herbal medicine from ancient times because of its protective effects on cardiovascular disease, microbial infection, immune suppression, and carcinogenesis (Rivlin, 2001). Studies revealed that these protective effects of garlic is due to the presence of several organosulfur compounds (OSCs) which includes diallyl sulphide, diallyl disulphide as well as diallyl trisulphide (Figure 4) DATS is one of the highly volatile compounds found in garlic oil, and reports suggest that it possess anti-carcinogenic, anti-inflammatory and antioxidant properties.

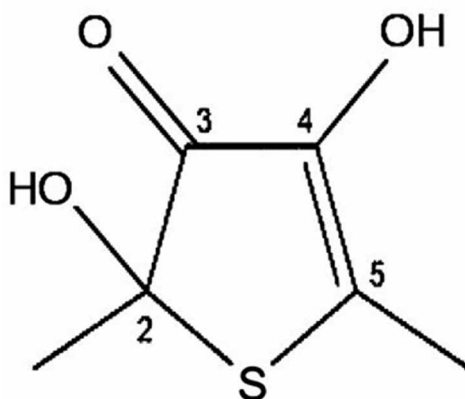
Figure 4. Organosulfur compounds (OSCs) a) Diallyl sulphide, b) Diallyl disulphide, c) Diallyl trisulphide



## 12.1 DATS Alleviated Colonic Expression of iNOS and COX-2 in DSS-Induced Colitis

Inhibitory nitric oxide synthase (iNOS) and cyclooxygenase-2 (COX-2) are often overexpressed in ulcerative colitis condition and known to play a crucial role in causing inflammation of the colon. It is evident from studies that the organosulfur compounds derived from garlic has been reported to have anti-inflammatory activity. Administration of DATS orally at a concentration of 10 $\mu$ mol decreased the colonic levels of both iNOS and COX-2 in DSS-induced colitis in mouse. This reduction iNOS and COX-2 levels is attributed to the obstruction of the NF- $\kappa$ B signaling pathway. One of the crucial events that take place in the NF- $\kappa$ B activation is its detachment from the inhibitory I $\kappa$ -B $\alpha$  subunit, which occurs due to the phosphorylation of the I $\kappa$ -B $\alpha$  subunit. Further, phosphorylation of I $\kappa$ -B $\alpha$  rapidly ubiquitinate the protein at various sites and leads to subsequent degradation by proteasomes, there by releasing the free NF- $\kappa$ B protein, which will in turn translocate into the nucleus, where it can regulate the transcription of target genes. Studies conclude that DATS plays a major role by successfully inhibiting the phosphorylation of I $\kappa$ -B $\alpha$  thereby preventing the formation of active NF- $\kappa$ B. In addition, a novel sulfur compound, thiacremonone (Figure 5) isolated from garlic actively blocked the expression of tumor necrosis factor-alpha (TNF- $\alpha$ ) and TPA (Tetradecanoyl phorbol acetate) induced transcription of NF- $\kappa$ B and its DNA binding activity, both which are involved in inducing apoptosis in colonic cancer cells. Further, thiacremonone also reduced the expression of iNOS and COX-2 and other anti-apoptotic proteins like XIAP, cIAP1/2 and Bcl-2, that are considered as target proteins involved in NF- $\kappa$ B mediated downstream regulation (Jung *et al.*, 2007).

Figure 5. Thiacremonone



## **12.2 DATS Induced Inhibition of STAT3 and Transcription of its Target Protein**

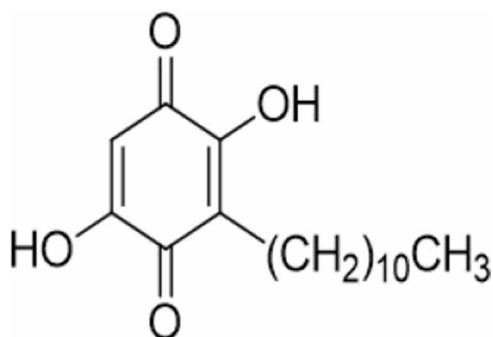
Studies suggest that as like NF- $\kappa$ B, inflammatory signals also stimulate the production of STAT3 (Signal transducer and activator of transcription 3) an apoptotic factor produced at the latent stage in the cytoplasm after DSS administration in mice model. STAT3, which also plays a vital role in the colonic inflammation, is generally activated through IL-6 - gp130 - JAK signaling pathway leading to the direct phosphorylation of the amino acid tyrosine 705 of the carboxy terminus end. Upon activation, STAT3 translocates into the nucleus, thereby regulating the genes that are involved in cellular apoptosis (Bcl-Xl), cell cycle progression (e.g., Cyclin D1, migration as well as survival, which depends on the cell type it acts on (Spano *et al.*, 2006). Further, Cyclin D1 is believed to be associated with ulcerative colitis-related neoplasia and inflammation (Pickert *et al.*, 2009) along with extracellular receptor kinase (ERK), which is known to play an important role in the activation of STAT3 by phosphorylating STAT3 protein at Tyrosine 705 (Aggarwal *et al.*, 2009). Moreover, administration of DATS at a concentration of 10 $\mu$ mol exerted a remarkable inhibitory effect on the DNA binding capacity of STAT3 induced by DSS and phosphorylation of STAT3 protein at Tyrosine 705 and thereby preventing the expression of cyclin D1, which is known to be the target protein of STAT3 and also prevents the stimulation of cell proliferation by cyclin D1. Molecular mechanisms involved behind the function of DATS and its suppressive effects on STAT3 and NF- $\kappa$ B is still unclear. Studies suggest that DATS contain an alkenyl functional group, which effectively reacts with the sulfhydryl (-SH) groups present in the cysteine molecule of cellular proteins (Chandra-Kuntal & Singh, 2010). Further, it is speculated that, cell cycle arrest upon DATS induction is likely due to the rapid disassembly of microtubules, which results due to the oxidative modification of certain cysteine residues found in the  $\beta$ -tubulin. Further, it is hypothesized that cysteinyl moieties present in STAT3 protein are considered as critical sites for the development of active therapeutic drugs (Buettner *et al.*, 2011) and the inhibitory property of DATS on STAT3 and NF- $\kappa$ B signaling mechanism is attributed to its role in directly modifying the cysteine residues found in both of the transcription factors.

## **13. EMBELIN TREATMENT AMELIORATE INFLAMMATORY BOWEL DISEASE**

Embelin, chemically known as 2,5-dihydroxy-3-undecyl-1,4-benzoquinone is a naturally occurring phytochemical which possess an alkyl substituted hydroxyl benzoquinone compound is a component in the fruit of *Embelia ribes Burm* (Figure

6). Herbal extracts of this plant is extensively used in traditional herbal medicine for the ailment of various diseases. The fruit of this plant bears a bitter taste and is used as a febrifuge and also to treat various inflammatory and gastrointestinal disorders for more than thousands of years. Further, embelin is reported to have analgesic, antioxidant and anti-inflammatory activities and also aides in wound healing. Reports suggest that embelin impairs the inflammatory mechanism by effectively inhibiting NF- $\kappa$ B activity (Kwang, Sethi, & Aggarwal, 2007).

*Figure 6. Embelin*



### **13.1 Embelin Treatment Impairs Lipid Peroxidation**

Lipid peroxidase (LPO) is an active enzyme present in the tissues of the colon. Increased generation of these lipid peroxides leads to the production of abundant amounts of free radicals, which significantly deteriorates the cellular antioxidants like vitamin C and E and escalates the rate of progression of inflammation and ulceration. Further, studies expose that treatment with embelin loaded microspheres remarkably ameliorated the colonic oxidative stress in rat models induced with colitis using acetic acid. This remarkable amelioration is attributed to the reduction in the levels of malondialdehyde, an excellent indicator to determine lipid peroxidation. Moreover, administration of acetic acid intra rectally showed considerable elevation in the concentration of lipid peroxidase (166.3  $\mu\text{M}$  of MDA/mg of colonic tissue), while treatment with embelin loaded microspheres prove a considerable reduction in the concentration of lipid peroxidase (101.33  $\mu\text{M}$  of MDA/ mg of colonic tissue). Further, treatment of colitis induced rat models with plain embelin alone at a concentration of 50 mg/ kg of body weight significantly reduced the concentration of LPO to 126.3  $\mu\text{mol}$  of MDA/mg of colonic tissue. Thus embelin coated microspheres can

potentially reduce lipid peroxidase levels in colitis when compared to the control group (Nidhi *et al.*, 2017).

### **13.2 Embelin Lowers Glutathione and LDH Level in Colitis Induced rat Model**

Reduced Glutathione (GSH) is an antioxidant mainly involved in the DNA synthesis and repair mechanisms, further it involves in facilitating the recycling of vitamin C and vitamin E and also prevents damage induced by free radicals and further enhances the antioxidant activity of vitamin C. Moreover, it is also involved in facilitating the transport of amino acids and performs a major role in the detoxification process carried out by liver (Chavan *et al.*, 2005). It is found that there is a steep reduction in the levels of GSH in acetic acid induced colitic rat models, while pretreatment with embelin inversed the depletion of reduced glutathione and restored the GSH levels to the normal conditions. In addition to it, during colitic conditions lactate dehydrogenase (LDH), a cytosolic enzyme found in almost all tissues that takes part in biochemical regulation mechanisms of the body fluids and tissues is increased in blood serum which makes a shift towards anaerobiosis in acetic acid induced colitic rats, that results in the surge of lactic acid production (Manna *et al.*, 2004). This condition is overcome by pre-treatment of the rats with embelin which altered the serum LDH level towards the normal in acetic acid induced colitis.

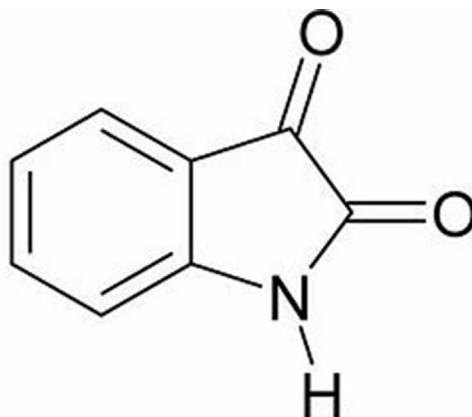
## **14. ANTI-INFLAMMATORY ACTION OF ISATIN AND INDIRUBIN AMELIORATES IBD.**

Isatin, 1H-indole-2, 3-dione also known as tribulin, is a natural organic compound found in the plants of genus *Isatis* and also found in mammalian tissues and body fluids (Figure 7). It serves as a versatile building block and has the ability to construct a large number of heterocyclic compounds. The existence of several reaction centers in isatin and its derivatives make them competent to participate in a large number of reactions. Isatin and its derivatives have extensive applications in the medicinal and pharmacological fields like antifungal, antibacterial and antiviral activities. Isatin also known to possess enzyme inhibitory activity and serves as a potent cytotoxic agent against tumorigenic cells (Vine *et al.*, 2007). These pharmacological properties of isatin and its derivatives is attributed to the existence of bioactive indole moiety containing keto group at position 2 and lactum group in it.

Similarly, Indirubin, an indigo dye (Figure 8) is a phytochemical found in the shrub *Indigofera arrecta*. It is variously known as indigo red, indigo naturalis as well as Java indigo. It is also produced by the bacteria harboring urinary tract and present

in the urine of humans and other mammals in trace amounts. *I. arrecta* has been used as a folk medicine in Africa and Asia for centuries. In particular, Congolese healers use it in combination with other herbs to treat epilepsy. Indirubin itself shows biological activity and has been studied as a treatment for diseases ranging from cancers to colitis.

Figure 7. Isatin



The pro-inflammatory cytokines like TNF- $\alpha$ , IFN- $\gamma$  and IL-6 plays a critical role in promoting the progression of UC, whereas certain cytokines like IL-10 that possess anti-inflammatory activity can have a protective effect against UC. Similarly, nitric oxide produced from L-arginine by the action of iNOS and production of PGE2 from arachidonic acid by the reactions catalysed by COX-2, both of which acts as potent pro-inflammatory molecules (Hung *et al.*, 2017). Gao *et al.*, in 2018 demonstrated that isatin and indirubin can have a protective effect on the mice induced with ulcerative colitis using dextran sodium sulfate by stabilizing the anti-inflammatory and pro-inflammatory cytokines. Recent studies show that administration of isatin and indirubin alone or in combination reduced the concentrations of IFN- $\gamma$ , TNF- $\alpha$ , COX-2, IL-6, PGE2, iNOS and nitric oxide (NO), while increased concentration of IL-10 was observed in DSS-induced colitis in rats. Further, it is evaluated that combinational treatment has more potentiality than single-agent therapy in inhibiting the inflammatory responses. Hence, it is suggested that isatin and indirubin as single administration or in combination can be capable to ameliorate ulcerative colitis because of their potent anti-inflammatory properties.

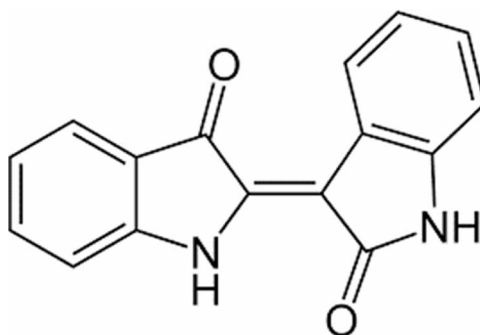
Consistently, studies also proved that isatin, indirubin alone or in combination can inhibit the apoptosis of epithelial cell lining the colon by effectively downregulating

the expression of cleaved-caspase-3 and Bax and upregulating the expression of Bcl-2. Further mitogen activated protein kinase (MAPK's) including JNK 362, ERK and p38 plays a major role in orchestrating the generation of pro-inflammatory cytokines that leads to the progression of inflammatory bowel disease (Patterson *et al.*, 2014). Research suggests that isatin and indirubin remarkably blocked DSS-induced activation of the MAPK and NF- $\kappa$ B pathways. More so, the protective action of combinative therapy was higher than that of single-agent therapy and indicates that the blockade of MAPK and NF- $\kappa$ B signaling pathways may play a crucial in the protective effects of isatin and indirubin in ameliorating ulcerative colitis.

## 15. RUTIN ACTION INHIBITS DEVELOPMENT OF IBD

Flavonoids are a variety of numerous secondary metabolites commonly distributed throughout the plant kingdom; several studies have reported their anti-inflammatory and anti-oxidative properties *in-vitro* using cell lines and in murine models. These flavonoids are known for their effective role in the inhibition of variety of enzymes involved in certain inflammatory processes, while many cell types involved in the immune responses are down-regulated by the action of some specific flavonoids *in vitro*. Moreover, majority of flavonoids possess anti-oxidative and free radical scavenging properties. Rutin, chemically known as 3-O-rhamnosylglucosyl-quercetin is a naturally occurring flavonoid having a wide range of biological functions is widely present in variety of food substances like parsley, apricots, buckwheat and tomatoes (Figure 9).

*Figure 8. Indirubin*





A study conducted by Kwon *et al* proved that dietary rutin, at very low dose concentration has been found to inhibit the biosynthesis of some crucial proinflammatory genes like IL-1b, IL-6 and GM-CSF and iNOS, thereby ameliorating the effect of dextran sodium sulfate induced colitis in mice. Further, rutin also remarkably ameliorated ulcerative colitis by following a specific therapeutic protocol. Considerably, as rutin is a commonly found phytochemical in various vegetables and fruits, the likelihood of using rutin-supplemented diet for therapeutic approach in colon carcinogenesis and IBD may be reasonable and promising (Kwon, Murakami, Tanaka, & Ohigashi, 2005).

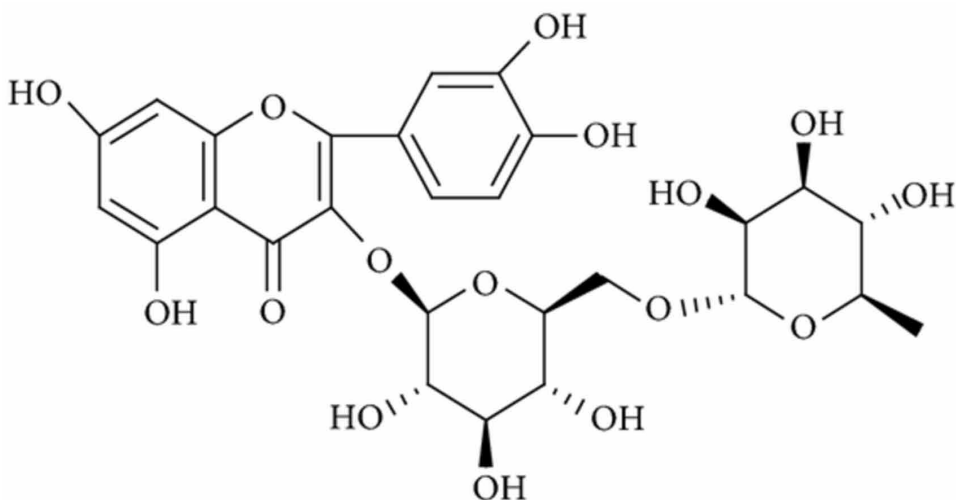
## **16. CONCLUSION**

In summary, various natural compounds described above possess a significant effect in ameliorating inflammatory bowel disease in animal models. This data suggest that there is a future for new herbal based therapies in the treatment of inflammatory bowel disease.

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Figure 9. Rutin



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# Chapter 5

## Nanoparticle–Mediated Therapeutic Approach for Ulcerative Colitis Treatment

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### **ABSTRACT**

*Ulcerative colitis is a chronic inflammation of the inner part of the colonic mucosa. It is a type of inflammatory bowel disease which is idiopathic in nature. It is multifactorial, debilitating disorder which may cause life threatening complications. Given to the architecture of colon, conventional medicines have limitation in treating the disease. Thus, the need for alternative methods of drug delivery is important. Nanoparticle is one of the preferred drug delivery system owing to its unique properties. Nanoparticles resist undesired and premature degradation of the drugs, increases bioavailability, and target specificity. Different nanoparticle-based drug delivery systems like metallic, liposome, silica, or polymeric nanoparticles have been designed to administer therapeutic agents through oral route to treat ulcerative colitis. Natural compounds and active components isolated from the plant extracts and other bioactive agents are also delivered by nanoparticle. In the current chapter, nanoparticle-mediated drug and phytochemicals delivery to treat ulcerative colitis are discussed.*

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## 1. INTRODUCTION

Ulcerative colitis (UC) is a type of inflammatory bowel disease (IBD). Another type of IBD is named as Crohn's disease. The two diseases can be easily separated by their clinical signatures such as endoscopic and histological analysis (Ordas *et al.*, 2012). UC is a chronic inflammation in colon caused by mucosal immune system towards the resident gut microbes. In brief, sustained inflammation in the innermost lining of colon and rectum for a very long time causes ulcer and leads to bloody diarrhea, pain and cramping and sometimes life threatening complications. According to Montreal classification, UC can be classified into following types (Ordas *et al.*, 2012; Silverberg *et al.*, 2005)-

1. E1 or Proctitis- inflammation confined in the area of rectum. May cause rectal bleeding and generally considered to be mildest.
2. E2 or distal left sided- inflammation in the area of sigmoid and descending colon. Symptoms are bloody diarrhea, left side cramping etc.
3. E3 or pancolitis- inflammation in entire colon. Symptoms are abdominal cramps and pain, severe diarrhea with blood etc.
4. S0 or remission- no symptoms
5. S1 or mild- four times or less stools per day with no blood sign and normal inflammatory markers.
6. S2 or moderate- minimum signs of disease symptoms.
7. S3 or severe- several times of stools with profuse bleeding. Higher pulse rate and elevated body temperature and low hemoglobin count.

E= extent, S=severe

UC is found to happen worldwide. But latest reports indicate that the cases of UC are showing a downward trend in developed countries where once it was a major ailment. On the contrary, the number of UC patients is increasing in developing countries of Asia, Latin America and Eastern Europe. The population wide study shows a wide variation in global epidemiological data ranging from 0.5 to 31.5/10<sup>5</sup> population (da Silva *et al.*, 2014). Asian population shows lower prevalence (5.3-63.3/10<sup>5</sup> population) compared to North America (37.5-238/10<sup>5</sup> population) (da Silva *et al.*, 2014). Among Asian population, it largely varies from country to country. In mainland China, UC burden ranges from 0.05-1.09/10<sup>5</sup> population whereas, Hong Kong shows comparatively higher prevalence of 1.25/10<sup>5</sup> population. In India, the prevalence is comparatively higher and stands at 6.02/10<sup>5</sup> population (Ng *et al.*, 2013).

## 1.1 Risk Factors Involving Ulcerative Colitis

UC is a multifactorial disorder with unknown etiology. The risk factors include age, race or ethnicity, genetic predisposition, environmental factors and habits. Despite several factors involved, the exact reasons are still not clear. The risk factors shall be discussed shortly-

- a) Age- UC can be caused to any age group but young adults are found to be more susceptible. Generally the disease onset follows a bimodal distribution with two distinct peaks based on the age group, the largest peak in the age group 18-30 years and a small but distinct peak at 50-70 years (Ordas *et al.*, 2012). The disease type found to differ with age group like older patients (>40 years) used to suffer from distal left sided colitis rather than pancolitis when compared to younger age group (Quezada & Cross, 2012). In general, older patients tend to suffer from less severe form of UC.
- b) Ethnicity- The correlation between UC and ethnicity was studied hospital basis in different parts of the world. General observation suggest widely varies from study to another. One study in Thailand hospital suggested middle-east population has higher occurrence of UC than Caucasians (Permpoon *et al.*, 2016), other reports indicate higher occurrence in Caucasians (Afzali, 2019). Thus, UC can happen to any race or ethnicity but Ashkenazi Jews have comparatively higher predisposition of IBD (Roth *et al.*, 1989) due to some mutations specific to their genome.
- c) Genetic mutation- UC is a polygenic disorder comprised of copy number variations comprised of insertion, deletion and duplication (Jostins *et al.*, 2012). SNP array analysis conducted on large cohort revealed two genes namely *ATP binding cassette, subfamily 4* (ABCC4) and *claudin 10* (CLDN10) undergoes deletion at its upstream region, 119 kb duplication at chromosome 7p22.1 encompassing genes namely *ring finger protein 216* (RNF216), *zinc finger protein 815* (ZNF815), *oncomodulin* (OCM) and *vacuolar fusion protein, human homologue* (CCZ1) which indicate genome wide rare copy number variation (Saadati *et al.*, 2016). Genome wide association study (GWAS) also found E cadherin, hepatocyte nuclear factor 4 (HNF4), laminin  $\beta$ 1 subunit (LAMB1), guanine nucleotide binding protein  $\alpha$  12 (GNA12) as potential risk factor for UC (Feuerstein & Cheifetz, 2014).
- d) Nutrition- Nutrition plays a very important role in pathology of UC. Undernourishment directly correlated with pathology of UC. Cohort study with 158 patients admitted in hospital shows unjustified underfeeding increase the disease flare (Gallinger *et al.*, 2017). Many patients remain malnourished to check the disease which in turn exacerbates the situation. A diet supplemented

with appropriate energy source, minerals, folic acid, Vitamin D and B12 shows control in the progression of the disease (Owczarek *et al.*, 2016).

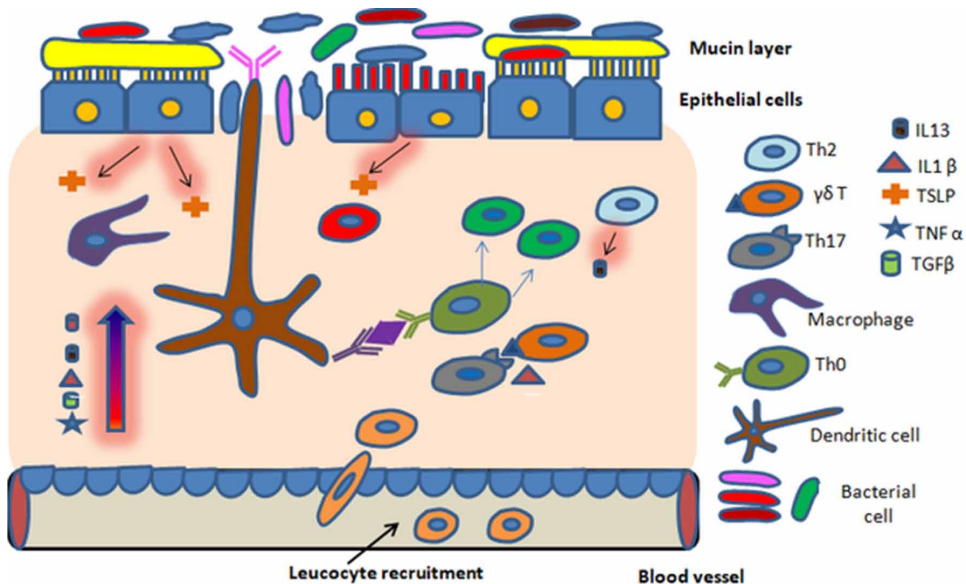
- e) Environmental factors- Environmental factors initiate UC for the genetically predisposed patients by starting immune response which finally resulted in chronic inflammation in the gastrointestinal tract (da Silva *et al.*, 2014). Smoking and appendectomy has well defined connection to UC in the predisposed patients (da Silva *et al.*, 2014). Intestinal microbiome is known to influence the functioning of immune system. The colonization of pathogenic microorganism in inner and outer lumen influences the host immune response like change in the secretion of mucin-2 (Muc-2) which results in decrease in the thickness of mucus layer. Also the colonization of harmful bacteria results in the imbalance in gut microbiome and induces dysbiosis and UC (Pei *et al.*, 2019).

## **1.2 Biology of Ulcerative Colitis**

Intestinal epithelial barrier acts as the first line of defense against commensal microflora. The epithelium covered by mucinous layer separates microorganisms reside in the lumen from host immune cells. Also, mucinous layer secrete antimicrobial peptides (Ordas *et al.*, 2012). Thus, the balance between immune cells and microbiome is maintained. At present it is believed that UC is the result of an uncontrolled immune response caused by interleukin-13 (IL-13) secreted by  $T_H2$  cells (de Souza & Fiocchi, 2016). The other theory suggest the involvement of epithelial cells which via thymic stromal lymphopoietin (TSLP) induces the immune cells like monocytes, dendritic cells and macrophages towards  $T_H2$  cells (Fohlinger *et al.*, 2016). The activated  $T_H2$  cells produces higher amount of mucus, epithelial cell hyperplasia and fibrosis. According to authors (Fohlinger *et al.*, 2016), two main conditions characterize the inflammation in UC. First, the ‘acute’ condition which is mediated by  $CD_{11b}+$  macrophages and activator molecules like Thymus and activation regulated chemokines (TARC) and cytokine like hepatocyte growth factors (HGF) etc. Second, ‘remodeling’ condition characterized by Natural killer (NK) cells,  $CD_{14}+$  monocytes and small molecules such as cytokine Transforming Growth Factor  $\beta$  (TGF  $\beta$ ) and chemokine periostin. Genome wide association study (GWAS) indicated that polymorphism in Fc Gamma receptor 2A (FCGR2A) changes the binding affinity of the receptor towards its antibody (Jostins *et al.*, 2012). In another study, in the colonic mucosa of UC patients, researchers found the evidence of profound induction of inflammatory or anti-commensal IgG and activation of Fc $\gamma$ RII receptors (Castro-Dopico *et al.*, 2019). Interleukin 1 $\beta$  (IL-1 $\beta$ ) was found to be a key mediator of inflammation and effects on Th17 cell and  $\gamma\delta$  T cells. In a nutshell, various factors like cells, cytokines and chemokines, commensal microflora, genetic factors etc. are involved in modulating the inflammation in the

epithelial lining of intestinal mucosa. Antigen presenting cells like dendritic cells and macrophages, different types of T helper cells, natural killer cells (NK cells) mediate the inflammation. Various cytokines like  $TNF\alpha$ ,  $TGF\beta$ , IL-1, 3, 6, 10, 13 etc. induce and modulate the inflammation (Tatiya-Aphiradee *et al.*, 2018). The change in the types and number of microbes called dysbiosis and characterizes UC. Increase in *Escherichia spp.*, *Campylobacter spp.*, *Shigella spp.*, *Helicobacter spp.* etc. and absence of *Lactobacillus spp.*, *Pediococcus spp.* etc. are found in UC samples (Sasaki & Klapproth, 2012). The illustration of mechanism of UC is shown in Figure 1.

*Figure 1. Mechanism of Ulcerative colitis. Cytokine upregulation and commensal microbes cause damage and alteration of epithelial cells which lead to uncontrolled inflammation. Different types of immune cells play pivotal role in pathogenesis of UC*



### **1.3 Therapeutic Approach Against Ulcerative Colitis**

Being a chronic inflammation, generally therapeutic management of UC depends on several factors like stage of UC, localization, patient data like age, food habit etc. There are two aims of UC treatment- to target the root cause or etio-pathogenesis and treating the symptoms. The major goal is to initiate and sustain the remission process. Conventionally 5-Aminosalicylic acid (5-ASA) group of drugs like Balsalazide, Mesalamine, Olsalazine etc., corticosteroids, immunosuppressants

like 6-Mercaptopurine, Azathioprine, Cyclosporine or tacrolimus are used to treat UC. When aforementioned conventional drugs fail to act against UC or UC becomes refractory against conventional therapies, the biological therapy found to act effectively. The biological agents like anti-TNF- $\alpha$  molecules, Janus kinase inhibitor or Integrin subtype blockers are found to be useful.

- a) Anti-TNF $\alpha$  agents- Most commonly used biological agents are Infliximab Adalimumab and Golimumab. Infliximab is a mouse-human chimeric antibody and Adalimumab is humanized anti-TNF $\alpha$  IgG1 antibody. These antibodies bind to free TNF $\alpha$  in order to prevent it from binding to membrane bound TNF $\alpha$  receptors (TNFR 1 and 2) and inhibit the TNF $\alpha$  mediated inflammation (Seo & Chae, 2014). Infliximab is used for initiation and maintenance therapy. Adalimumab is a humanized monoclonal antibody used to treat mild to severe UC. Infliximab is administered intravenous route whereas Adalimumab is administered subcutaneously. Golimumab is also humanized monoclonal antibody approved to treat moderate to severe monoclonal antibody.
- b) Anti-Integrin therapy- Integrin plays role in leukocyte adhesion to the vascular endothelial wall and migration to the site of inflammation. Thus, blocking integrin controls inflammation. Vedolizumab is a humanized monoclonal antibody approved for treatment of moderate to severe UC with Mayo score 6-12 (Arora & Shen, 2015). It specifically targets  $\alpha 4\beta 7$  integrin which is gut specific. Thus it plays as anti-adhesion therapeutic agent (Arijs *et al.*, 2018).
- c) Janus kinase inhibitors- Most promising oral small molecule inhibitor is Janus Kinase (JAK) inhibitor tofacitinib. Tofacitinib is found to preferably inhibit JAK 1 and JAK 3. Another JAK1 inhibitor in market is upadacitinib (X. Zhang *et al.*, 2019).

Other small molecule therapy for UC includes Ozanimod, an oral antagonist of sphingosine 1 subtype 1 and 5. Blocking its target, Ozanimod induces peripheral lymphocyte sequestration, thus inhibiting them from entering into GI tract (X. Zhang *et al.*, 2019).

The conventional therapeutic strategies suffer many obstacles like lack of target specificity, low residence time at the site of infection especially at the distant colon due to diarrhea etc. The lack of target specificity leads to many side effects like infections by opportunistic pathogens, autoimmunity, malignancies and cardiac complications (Stallmach *et al.*, 2010). Thiopurine group of drugs which are commonly used for UC treatments are reported to cross react with angiotensin converting enzyme inhibitors (used for cardiovascular diseases) and may cause hypersensitivity, nausea, malignancy and fertility complications. Biologics like TNF- $\alpha$  inhibitors are reported to cause multiple complications like heart failure,

hypersensitivity, demyelination of nerve cells, fertility and pregnancy complications, opportunistic infections etc. Integrin antagonists are reported to cause progressive multifocal leucoencephalopathy, fertility complications etc. (Cohn *et al.*, 2017).

Nanoparticle (NP) mediated drug delivery has several advantages over conventional therapeutic system like high surface to volume ratio which enables NP to carry higher amount of drugs or more than one types of drug, longer circulation time in body, target specificity and controlled drug release capacity. Owing to these properties, NPs are gaining higher attention from the scientific community as a therapeutic strategy against ulcerative colitis. In this chapter, the scope of NPs as a therapeutic vehicle is discussed based on comprehensive literature review.

## **2. NANOPARTICLE MEDIATED THERAPY**

NPs mediated therapy can be broadly classified into passive and active targeting methods. As the site of function of the therapeutic agents is gastrointestinal (GI) tract, thus NPs need to deliver the drug in epithelial or endothelial region of GI tract. The passive targeting is referred as NPs infiltrate the site of inflammation through its enhanced permeation and retention property. Different pro-inflammatory molecules like histamine, bradykinin, serotonin etc. increases the permeability of endothelial barrier, thus helps the nanoparticles to accumulate at the site of inflammation and release of bioactive agents. The size and surface charge of the NP play important role in penetration of lymphatic vessels. Active targeting involves targeting cell adhesion molecules on the cell surface, which plays role in the regulation of inflammation. The bioactive agents which are delivered through NPs are ranging from conventional drugs like budesonide (glucocorticoid) (Zhou & Qian, 2018) to natural compound extracts like ginger active compound 6-shogaol (M. Zhang *et al.*, 2018). The NPs can be delivered by intravenous or oral route. For GI tract infection, oral route for drug delivery is considered as attractive option as it is safer, patient compliant and cost effective. Ginger active compound 6-shogaol loaded in Poly L Lactic co Glycolic acid (PLGA)/ Poly L Lactic acid (PLA)-Polyethylene glycol (PEG) - folic acid (FA) was tested on dextran sulphate sodium (DSS) treated mouse model for ulcerative colitis. The levels pro-inflammatory cytokines like TNF $\alpha$ , IL-1 $\beta$ , IL-6 etc. found to be checked. The UC symptoms were alleviated and wound healing of colitis tissues accentuated (M. Zhang *et al.*, 2018).

### **2.1 Ginger Derived NPs**

In another study, edible ginger derived nanoparticle (GDNPs 2) was synthesized and tested on co-culture of macrophage cell line RAW264.7 and colon-26 cell line

and colitis induces mouse model (FVB/NJ mouse). Ginger derived NPs found to have 3 different sizes ranging from 200-300 nm based on bands obtained by sucrose gradient ultracentrifugation. Different types of biochemical analysis were conducted to estimate lipid and protein contents. c-DNA library formation followed by deep sequencing revealed 125 different mi-RNA is present in the GDNP. Oral administration of GDNPs 2 to mice showed the lower susceptibility of the mice to get UC upon DSS treatment. The pro-inflammatory cytokines like TNF- $\alpha$ , IL-6 and 1 $\beta$  etc. were found to down regulate upon treatment of GDNPs 2. The epithelial cell of colon and macrophages were found to internalize orally administered GDNPs 2 (M. Zhang *et al.*, 2016).

## **2.2 Curcumin Nanoformulation**

Curcumin is considered as a broad spectrum bioactive agent and used in nanoparticle mediated delivery for different types of diseases. Porous PLGA based NPs have been synthesized with Pluronic F127 and curcumin was loaded into it. The hydrodynamic diameter of the NPs was found around 270 nm and PF127 was found to increase the cellular uptake. The UC mouse model treated with this NP showed higher inhibition of pro-inflammatory cytokines like TNF $\alpha$ , IL-6 and 12 etc. (Chen *et al.*, 2019). D- $\alpha$ -tocopherol PEG 1000 succinate (TPGS)-stabilized curcumin nanoparticle was synthesized by homogenization method. TPGS stabilized Curcumin NPs were orally administered to 2, 4, 6 trinitrobenzene sulfonic acid treated wistar rats. The anti-inflammatory effect of TPGS stabilized Curcumin NPs were observed on the wistar rat model (Rachmawati *et al.*, 2017). Targeting macrophages is an attractive strategy against UC. Silk fibroin (SF) based NP has been synthesized and curcumin was loaded into it followed by surface functionalization with chondroitin sulfate (CS) for controlled release. CS increases the chance of macrophages internalization of the NPs. Authors found that hence synthesized NPs show good responsiveness towards chemical stimuli like low pH, reduction by glutathione and reactive oxygen species (ROS) exerted by using hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>). Orally administered SF-CS NPs loaded with fluorescent dye showed direct access to colonic mucosa. To save the NPs from harsh environment of GI tract, authors encapsulated it into chitosan/alginate hydrogel, results in crossing mucosal barrier. The NPs were tested against DSS treated mice and found to exert higher anti-inflammatory role. Although the GI tract species diversity found to be different in case of healthy mouse, DSS treated control and NPs treated UC model (Gou *et al.*, 2019). PLGA based porous and non porous NPs have been synthesized and curcumin was loaded into the NPs and tested *in vivo*. Porous NPs were synthesized in double emulsion technique where PVA used as emulsifier and Ammonium bicarbonate (ABC) as progen and curcumin was loaded into it. The synthesized Cur-PLGA NPs were tested on Kunming mice

treated with DSS. The curcumin was found to exert anti-inflammatory effect on the inflamed colon and histopathological parameters shown to have improved (Chen *et al.*, 2017). Researchers tried to exert synergistic effect by adding drugs like celecoxib with curcumin. Celecoxib is a cyclooxygenase-2 (COX-2) inhibitor and belongs to non steroidal anti inflammatory drugs (NSAID) group. The combinatorial effect of both curcumin and celecoxib were tested on Sprague-Dawley rats. The Eudragit™ S100 nanoparticle was synthesized and curcumin, celecoxib and curcumin-celecoxib in combination was loaded in nanoparticle. The tested rats showed decreased level of lipid peroxidation and myeloperoxidase where as superoxide dismutase level found to have increased (Gugulothu *et al.*, 2014).

### **2.3 Food Based NPs**

Food derived nanoparticles for treating UC is gaining increased interest. As a part of it, broccoli derived NPs (BDN) were synthesized from fresh broccoli juice by sequential centrifugation and high pressure method. BDN were fed to mice for 10 days prior to DSS treatment for induction of colitis. BDN treated mouse was shown significantly less pro-inflammatory cytokine activation compared to untreated mouse (Deng *et al.*, 2017). Chinese herb *B. javanica* derived bioactive compound Brucein D (BD) is delivered by self nanoemulsifying drug delivery system (SNEDDS). The SNEDDS have been synthesized by Solutol HS 15, Medium chain triglyceride (MCT), polypropylene glycol and BD. Hence synthesized SNEDDS loaded with BD was tested on Sprague Dawley rats as UC model. BD SNEDDS was found to significantly ameliorate the UC which was proven by testing histochemical and biochemical markers line length of colon, ROS, TNF $\alpha$ , MPO etc. level in the infected tissue (Dou *et al.*, 2018). A specially designed polymer name Methoxy-poly (ethylene glycol) (mPEG) with hydrophobic hexyl substituted poly (lactic acid) (hexPLA) core was used to synthesize self-assembling nanocarriers in order to carry anti inflammatory hydrophobic drug Cyclosporine A (CsA) to site of inflammation of TNBS induced UC mouse model. Free CsA is found to be effective in remission of UC but acute nephro-toxicity as its side limits its efficacy. Encapsulating in self-assembling nanoparticle increases its efficiency. The nanocarriers quickly release the drugs when comes in contact with mucosal layer. The drug loaded nanocarrier was administered to healthy and diseased mouse through rectum. The reduction of inflammation was observed without any undesired toxicity (Courthion *et al.*, 2018). CsA also delivered to colon via Eudragit® FS30D/PLGA NP. Due to the presence of Eudragit and PLGA, the NP harnessed pH tunable and sustained release property respectively. The NP is synthesized by oil in water emulsion. CsA is loaded into the NPs and delivered to UC mouse model. Eudragit saved the burst release of CsA at low pH but at pH 7.4, the drug is released. The histological parameters and other



molecular parameters were found to have improved in case of Eudragit NP based CsA delivery (Naeem, Bae, *et al.*, 2018). Embelin is an active component isolated from fruits of *Embelia riba* herb. It has a wide range of therapeutic effect. Lipid nanosphere has been synthesized by soya bean oil or virgin coconut oil stabilized by lecithin obtained from egg or soya bean. The mixture is homogenized at higher temperature followed by ultrasonication. Embelin is loaded into the lipid nanosphere and tested on acetic acid treated rat models suffering from UC. The biomarkers levels of UC (MPO, lactate dehydrogenase etc.) found to have decreased and the amount of reduced glutathione increased and histopathological signature improved (Badamaranahalli *et al.*, 2015). Resveratrol (Res) is obtained from grapes has been identified as a key polyphenol having anti-inflammatory, neuroprotective and anti tumor properties. Thus, Res has been tested against different diseases. Two different polymers poly (2-hydroxyethyl methacrylate) (pHEMA) and poly (*N,N*-dimethylaminoethyl methacrylate) (pDMAEMA) was used to synthesize NPs to encapsulate and deliver Res. Res loaded NPs were encapsulated in chitosan based hydrogel and release study was conducted in a simulated colonic environment (Iglesias *et al.*, 2019).

## **2.4 Nanocarrier Based Drug Delivery**

Small molecule drugs are also loaded in NPs and delivered for UC therapy. Tacrolimus, an immunosuppressive agent, was loaded and delivered through cationic lipid assisted NPs (CLANs) and administered to DSS treated mouse. CLANs were composed of synthetic polymer PEG-block-PLA and cationic lipid 1, 2- dioleoyl-3-trimethylammonium-propanechloride (DOTAP). The other lipid component instead of DOTAP is *N,N*- bis (2-hydroxyethyl)- *N*- methyl- *N*- (2-cholesteryloxycarbonyl aminoethyl) ammonium bromide (BHEM-Chol). Hence synthesized CLANs accumulate in colon and internalized b epithelial cells and slowly release drug to the site. This nanosystem was found to attenuate UC (J. L. Wang *et al.*, 2018). Cyclosporine A (CsA) is a first line drug for UC. Lipid NPs synthesized by Precirol® ATO 5 loaded with CsA. The lipid NP was stabilized with 3 different chemicals viz. (i) Tween 80, (ii) L- $\alpha$ -phosphatidylcholine from egg yolk (Lec) and sodium taurocholate (TC) (3:1), and (iii) Pluronic® F127 (PL) and TC (1:1). Hence synthesized lipid NP loaded with CsA tested on mouse model of UC. But interestingly, authors found not much decrease of inflammation in terms of common markers like TNF $\alpha$ , myeloperoxidase etc. (Guada *et al.*, 2016).

## 2.5 Inorganic NPs Based UC Therapy

The effect of aqueous silver (Ag) NPs suspension was synthesized and tested against UC mouse model. Authors have synthesized two different types of AgNPs suspension AgNPs 1 and 2 with average hydrodynamic diameter 294 nm and 124 nm respectively. Mouse with UC was generated by standard protocol i.e. treatment with DSS and TNBS. The AgNPs treated mice shown anti-inflammatory activity. The colonic microbiota analysis showed AgNPs 2 has helped to decrease *Clostridium perfringens* and increase *Lactobacillus sp.* Thus, the Ag NP aqueous suspension showed promising result against UC (Siczek *et al.*, 2017). Previous report showed that nanocrystalline silver applied to UC rat model reduced the colonic inflammation by reducing the expression of MMP-9, TNF $\alpha$ , IL-1 $\beta$  (Bhol & Schechter, 2007).

Zinc oxide nanoparticle (ZnONP) has also been synthesized and tested on DSS treated mice to check its anti UC efficacy. Synthesized ZnONP showed high negative surface charge (-59.4 $\pm$ 3.4 mV). The DSS treated UC affected mice were orally administered ZnONPs and tested for toxicity, anti inflammatory activity. It was found to be non toxic in nature and distinct anti-inflammatory effect was observed. The combination therapy of ZnONPs and 5-ASA which is a standard UC medication showed increased efficacy. Cytoprotective effect of transcription factor Nrf-2 is induced by ZnONPs. The transcriptional target of Nrf-2, antioxidant enzyme NQO-1 can attenuate oxidative stress. The combination effect of 5-ASA and ZnONP on the gut microflora was studied and it was found that *Enterobacterium spp.*, *Enterococcus spp.* and *S. aureus* were decreased while *Lactobacillus spp.* and *Bifidobacteria spp.* population have increased (Li *et al.*, 2017).

5-ASA is a first line therapy for UC, it has been loaded into silicon oxide (SiO<sub>2</sub>) to deliver at the site of inflammation. SiO<sub>2</sub> NPs had been synthesized by microemulsion technique and 5-ASA was loaded with help of acetonitrile in the SiO<sub>2</sub> NP. The 5-ASA-SiO<sub>2</sub> NPs were tested in vitro on Caco-2 cell line and in vivo on BALB/c mice. For experimentation purpose, authors have subdivided the mice in to 6 groups- healthy untreated, UC model untreated, UC model 5-ASA treated with normal dose and high dose, only SiO<sub>2</sub> NP treated and 5-ASA-SiO<sub>2</sub> NP treated group. The last group showed remission at low dose of 5-ASA which is comparable as high dose of free 5-ASA (Tang *et al.*, 2017).

Effect of gold (Au) NPs also tested on DSS treated mouse model. Authors have purchased the AuNPs with hydrodynamic diameter 7-20 nm. It was applied by intra-peritoneal injection for 2 weeks starting from 14<sup>th</sup> day to BALB/c mice at 2.5 mg/kg body weight/day basis. The histopathological signature was improved compared to untreated UC model. Also, IL-17 $\beta$  expression was found to be down regulated and the amount of Malondialdehyde (MDA) in tissue was also found to have significantly decreased compared to untreated mice having UC (Abdelmegid

*et al.*, 2019). In another study, citrate and PVP stabilized AuNPs intragastrically applied to DSS treated mice. AuNPs was found to attenuate colonic inflammation based on the molecular markers e.g. myeloperoxidase, TNF- $\alpha$ , IL-6 and peripheral leucocyte and lymphocyte count. But, 16S rRNA sequencing indicated that AuNPs may induce gut dysbiosis (Zhu *et al.*, 2018).

## **2.6 Biomolecules Based Therapeutic Approaches**

Cell based biological molecules like low molecular weight heparin (LMWH) has shown therapeutic efficacy against UC because of its anti inflammatory property, but the lack of suitable delivery vehicle has limit its therapeutic application. Polyethyl acrylate-co-methyl methacrylate-co-trimethyl ammonioethyl methacrylate chloride (PEMT) is used to synthesize the cationic nanoparticles for LMWH loading. *In vivo* higher dosage of LWMH loaded PEMT treatment showed distinct anti-inflammatory activity with significant reduction of pro-inflammatory cytokines considered as a marker for UC (Yazeji *et al.*, 2017). Naturally occurring tripeptide Lysine-proline-valine (KPV) is found to exert anti inflammatory effect on colonic tissue. The NP system was synthesized by double emulsion method (water in oil in water) followed by solvent evaporation. PLGA and chitosan was used to make the nanoparticle in two step method where poly vinyl alcohol (PVA) was used as stabilizing agent. KPV was loaded into the NP and hyaluronic acid (HA) was conjugated by formation of amide bond. Hence synthesized NP was fed to UC mouse model. It was found to promote mucosal healing besides its anti-inflammatory activity (Xiao *et al.*, 2017). They further loaded siRNA of CD98 molecule (siCD98) along with curcumin and functionalized hyaluronic acid (HA) on the surface of the PLGA-chitosan NPs and orally delivered it to the DSS treated mouse model. CD98 plays role in integrin related signaling and over expression of CD98 induce the disintegration of the epithelial layer. Down regulation of CD98 expression reduces the effect of UC in DSS treated mouse. So, siCD98 is used in combination of curcumin. Histopathological study, MPO activity and gene expression testing by RT PCR etc. indicated the remission of UC in mouse model (Xiao *et al.*, 2016). PLGA based NPs synthesized to deliver KPV tripeptide to target peptide transporter 1 (PepT1) along with CsA. The NP was synthesized by emulsion solvent evaporation method. CsA was loaded into PLGA NP and KPV was covalently linked with PLGA. Montmorillonite K10 (MMT) was used as surface modifying agent along low molecular weight chitosan or Pluronic F68. The synthesized NPs were tested on male BALB/c mice treated with DSS to induce colitis. The MPO level checked by biochemical analysis, proinflammatory cytokines expression was checked by RT PCR. The anti-inflammatory effect was favorable. The NPs were capable of target specific drug delivery in inflamed colon (Wu *et al.*, 2019). TNF $\alpha$  is one of the key mediators of inflammation and is found to

be over expressed in UC. To tackle the inflammation, TNF $\alpha$  expression was targeted by siRNA designed against TNF $\alpha$ . The siTNF $\alpha$  was loaded into PLGA NP. The synthesized PLGA NP was grafted with galactosylated chitosan to make it target specific towards macrophage cells RAW 264.7. Further, when the NPs administered orally, the grafted NPs showed better remission over non grafted NPs. Colitis related parameters like weight loss, MPO activity etc. showed improvement and proved to be therapeutic approach against UC (Huang *et al.*, 2018). Dual delivery of siTNF $\alpha$  and anti inflammatory cytokine IL22 had been tested on UC model. Galactose functionalized with siTNF $\alpha$  loaded into PLGA NP. It was synthesized in double emulsion technique (water in oil in water). The nanoparticle is interwoven with PVA and chitosan and grafted with lactobionic acid. Hence synthesized NP loaded with siTNF $\alpha$  is loaded in hydrogel with IL22. The co-delivery of siTNF $\alpha$  and IL22 was tested on UC mouse model and Colon-26 cell line. The results of combination therapy showed higher macrophage engulfment due to presence of galactose, lower weight loss, reduced MPO activity etc. (Xiao *et al.*, 2018). Chitosan modified curcumin loaded PLGA NPs have been synthesized and modified with chondroitin sulphate to make it target specific for macrophages to treat UC. The uptake of NPs by macrophages was tested on RAW264.7 cell line. In order make the NPs suitable for oral administration, the NPs were coated in Chitosan/Alginate hydrogel. Upon feeding the hence synthesized NPs to UC mouse model; it was found to have a better therapeutic index for remission of UC (X. Zhang *et al.*, 2019).

## **2.7 Dendrimers**

Most active form of vitamin E,  $\alpha$  tocopheryl succinate ( $\alpha$ -TOS), blocks the DNA binding activity of NF $\kappa$ B which is a pro-inflammatory inducible transcription factor.  $\alpha$ -TOS has been loaded into generation 5 (G5) poly amido amine (NH<sub>2</sub>) based dendrimer. Highly branched structure of dendrimer typically offers great advantage over other NPs in drug delivery. G5-NH<sub>2</sub> conjugated with  $\alpha$ -TOS by amide bond. The free amide groups of G5-NH<sub>2</sub> were acetylated. The  $\alpha$ -TOS loaded G5-NH<sub>2</sub> was administered along with DSS. The histopathological and other analysis suggested that  $\alpha$ -TOS-G5-NH<sub>2</sub> dendrimer nanoparticle treated mice were least affected by UC upon DSS treatment (Y. Wang *et al.*, 2017). In another dendrimer based approach, poly amido amine (PAMAM) dendrimers were functionalized with folic acid to target macrophages where folate receptors are over expressed. In a two step synthesis, PAMAM was reacted with PEG2000 and PEG-PAMAM was formed. The folate was conjugated separately with PEG3500 and both were reacted to form folate conjugated PEG PAMAM dendrimer. Free amines were capped by anhydrous acetic acid. Folate capped dendrimers found have selectively targeted colonic macrophages

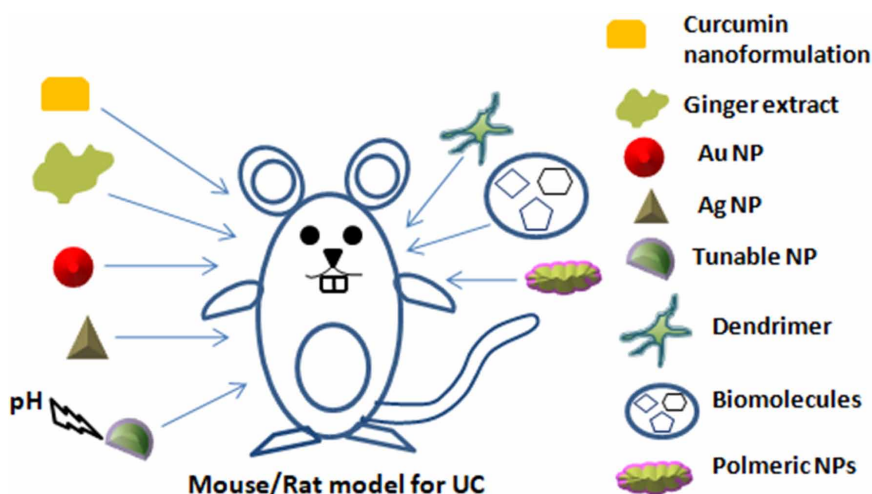
in vivo and RAW264.7 cells in vitro. Thus, folate capped dendrimers act as a target specific drug delivery vehicle for UC (Poh *et al.*, 2017).

## 2.8 Tunable NPs

One of the key features of nanomedicine is its tunability. Based on specific physico-chemical influence, the nanocarrier is able to release the drug. To treat UC, pH triggered budesonide loaded surface charge reversing lipid NPs. The lipid NPs have been synthesized by Compritol and Phospholipon 90 G. Polyethylene imine (PEI) is used to add positive charge the on lipid NP. Then Eudragit S100 is added to make the lipid NP pH tunable. Imaging experiment suggest the selective accumulation of lipid NPs in the inflamed colon and due to pH change, charge reversal happened in the inflamed area and drug is released (Naeem, Oshi, *et al.*, 2018). In the inflamed colon, MPO is found in higher amount. Using MPO as a triggering agent, site specific drug delivery strategy has been devised. Human serum albumin (HAS) NPs has been synthesized and 5-ASA was loaded into it. The size and zeta potential of the NPs was suitable for the crossing the mucus layer and deposited on the mucosa. The interaction between HAS and MPO lead to the disintegration of NPs and release of 5-ASA at the site of inflammation, results in lowering inflammation of colon (Iwao *et al.*, 2018).

Application of different types of nanoparticle as therapeutic agent for UC has been shown in Figure 2.

*Figure 2. Different types of nanoparticle mediated therapies for UC model (DSS treated rat/mouse)*



### 3. CONCLUSION

In conclusion, there is a significant shift in the therapeutic approaches towards UC can be observed. Instead of using free drugs, more emphasis is being given towards encapsulation of the drugs by NPs and hydrogels to save it in the oral route to colon (Jain *et al.*, 2017; Xiao *et al.*, 2017), especially, upper part of GI tract where a very pH environment is present. Thus, the bioavailabilities of the drugs are increased. Further, besides conventional drugs, there is an attention shift of the scientific community towards natural compounds like ginger derived active components, curcumin, resveratrol etc. Nanoparticle enhances their bioactivities in different ways like increasing circulation half life, enhanced permeation and retention effect and solubility. Thus, using varieties of NPs like lipid NPs, polymeric NPs etc., the encapsulation and site specific delivery was possible (M. Zhang *et al.*, 2016). Also, drug delivery on demand and site specificity also increases the efficacy of the drug. Different surface modification made it possible to specifically target macrophages in the colon to attenuate inflammation (Naeem, Bae, *et al.*, 2018; Poh *et al.*, 2017; X. Zhang *et al.*, 2019). Further, ‘on demand delivery’ is a gold standard for any drug delivery system. In colon, pH tunable NPs are used for drug delivery (Naeem, Oshi, *et al.*, 2018; Zhou & Qian, 2018). Thus, NPs mediated drugs and natural compound delivery has opened a new avenue for ulcerative colitis therapy.

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# Chapter 6

## Yoga Therapy on Digestive Function in Inflammatory Bowel Disease

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### **ABSTRACT**

*Inflammatory bowel disease (IBD) is a psychosomatic disorder characterized by chronic inflammation of the gastrointestinal tract. Metabolism of an individual affected with IBD is equated to imbalance of jatharagni (digestive fire) which results in atijeernam (hyper digestive disorder), ajeernam (hypo digestive disorder), or kutajeernam (erroneous digestive disorder). Yoga stabilizes jatharagni that helps energy transformation of 1) food substances into nutritious substance, 2) nutritious substance into tissues. It improves anabolic and catabolic processes which help absorption of energy. Yogic cleansing techniques promote elimination of ama (toxic products) and kleda (waste products). Yoga therapy along with herbal medicine and lifestyle modification helps develop balanced state of doshas in individuals with IBD. Yoga practice has a healing effect on mind and body, reduces stress, increases emotional and physical self-awareness, and improves the ability to manage physical symptoms.*

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## **INTRODUCTION**

Inflammatory Bowel Disease (IBD) is a psychosomatic disease characterized by chronic mental agitation or stress. It includes Crohn's disease (CD) which affects the entire digestive tract and Ulcerative colitis (UC) which affects the colon (large intestine). Both are the most common prolonged inflammation of the gastrointestinal tract (GIT) and an abnormal response to the body's immune system. India has the highest incidence and prevalence rates of IBD among Asian countries with CD more prominent in South India and UC in North India (Kedia and Ahuja, 2017). Although the disease prevalence in India is lower than in the West, the overall estimated IBD population in India came out to be 1.4 million. The rising incidence of IBD and prevalence of UC in India alone is 6.02/100,000 as per Asia-Pacific Crohn's and Colitis Epidemiologic Study (Kedia and Ahuja, 2017). Several studies consistently indicate that UC and CD are associated with a higher prevalence in India. The IBD survey reported that affected male and female ratio in India is 1:4 and 1:3 for UC and CD respectively.

UC has a slow and gradual effect and is not clinically severe until the consequences manifest, such as fulminant hepatitis, cancer, surgery and related complications. The other factors may be medically compliant high risk UC, development of polyps, low grade dysplasia (LGD), high grade dysplasia (HGD), dysplasia associated lesion or mass and CRC in the sequence followed by sporadic CRC. Unpredicted development of UC related colorectal cancer requires strict screening protocol. The chronic inflammations of the abdomen involve intestine, peritoneum, lymph nodes, or solid abdominal organs and an association of up to 50% of tuberculosis in patients with human immunodeficiency virus positivity (Sharma and Ahuja, 2009; Sharma and Mohan, 2004). Intestinal tuberculosis (ITB) may be localized to the bowel, terminal ileum and ileocecal junction that are the most common sites of involvement followed by the colon and jejunum (Tandon et al., 1986). The symptoms are common in patients with CD or ITB that include abdominal pain, chronic diarrhoea, recurrent bowel obstruction, rectal bleeding, fever, anorexia, weight loss, perianal fistula and in latter stage extraintestinal manifestations such as polyarthritis, uveitis and erythema nodosum. ITB and CD are chronic granulomatous disorders with phenotypic similarities and have close resemblance in the clinical, radiological, endoscopic, surgical, and histological features that make the differentiation between these two conditions challenging. Irritable bowel syndrome (IBS) is a condition with similar symptoms to IBD that does not cause inflammation, permanent damage to GI tract, increased risk of CRC, but affects the function and behavior of the intestines. Celiac disease is another condition with similar symptoms to IBD that are characterized by inflammation of the intestines and it is reversible with gluten free diet.

## **PATHOGENESIS OF IBD**

The imbalance of higher bodies, energy, mental, wisdom and bliss bodies alter biofeedback mechanism and the association of psychosocial factors, sociocultural factors, smoking, dietary habits and repeated use of drugs affects genetic predisposition which may lead to abnormal communication of gut-brain axis. Undseth et al. (2014) found that altered gut-brain activity resulted in significantly lower postprandial levels of total short chain fatty acids (SCFAs like *Faecali bacterium prausnitzii* and *Roseburia intestinalis*), acetic acid, propionic acid and butyric acid (Venegas et al., 2019). Bacteroidetes (gram-negative) mainly produce acetate and propionate while Firmicutes (gram-positive) mostly produce butyrate; both are abundant phyla in the human intestine. The main substrates for bacterial fermentation and SCFA production are resistant starch, inulin, pectin, cellulose, wheat bran, oat bran and guar gum from the non-digestible dietary fibres (NDDF). Anaerobic fermentation occurs in the NDDF produced which split into carbohydrate polymers with three or more monomeric units, that are neither digested nor absorbed in the human gut.

The exaggerated pituitary-adrenal response to corticotrophin releasing hormone (CRH), elevated levels of plasma proinflammatory cytokines and gut mucosal immune attacking luminal antigens (probiotics, harmless viruses, fiber-rich protein) cause augmented visceral pain. Although other influences (including genetic, psychosocial, sociocultural and immunological factors) have subsequently been implicated to play a major role in the pathogenesis of IBD, several recent studies have suggested that the inflammation occurs bidirectionally. IBD patients with anxiety and depression were more likely to have elevated IL-6, tumor necrosis factor TNF- $\alpha$ , and the acute-phase protein, C-reactive protein (Santoft et al., 2020; Miłkowska et al., 2017). Thus psychiatric illnesses and stress cause increased intensity of disease in patients with IBD.

## **YOGIC OBSERVATION OF IBD**

Yoga therapy is a holistic approach for treatment of stress and IBD. In the Bhagavad Gita (2.48) one of the definitions of yoga is given as, *yogasthah kuru karmani sangam tyaktva dhananjaya siddhyasiddhyoh samobhutva samatvam yoga uchyate*, (Vaniquotes, 2018) which means, to concentrate the mind upon the higher entity by controlling the ever disturbing senses. This is the approach on following which hypothalamic pituitary adrenal (HPA) and renin-angiotensin-aldosterone (RAA) axes are regulated. The balance of biofeedback mechanism has multiple benefits that improve strength, concentration and focus whereas lack of equilibrium brings the opposite. When an individual suffers or loses balance in life, besides bringing



frustration it also damages quality of behavior and disturbs homeostasis which affects the digestive system.

According to Ayurveda, *sama dosha sama agnischa sama dhatu mala kriyaaha prasanna atma indriya manaha swastha iti abhidheeyate*, (Bhavanani, 2013) this means that the root cause of all disorders lies in poor digestion. Balance of the three *doshas* (*Vata*, *Pitta* and *Kapha*) governs all the physiological, psychological and spiritual aspects of one's life. Every food substance has certain predominant physical properties (*doshas*) and predominant subtle qualities (*guna*). *Vata* has dry and light properties and it is antagonistic to *Kapha* that is oily and heavy. Similarly the property of *Pitta* is heat and it is antagonistic to *Kapha* and *Vata* which are cold. Hence when two or three *doshas* are severely vitiated; they intertwine, interact and produce a toxic substance (*ama*) from dominant physical properties. The accumulation of toxins and impurities are mainly due to consumption of food containing a large amount of *pitta dosha*. *Vata dosha* is also vitiated due to lifestyle risk factors which cause imbalance in metabolism.

Metabolism can be equated to *agni* (digestive fire) which includes digestion, absorption, and assimilation. It is certain that imbalanced state of *agni* results in *atijeernam* (hyper digestive disorder), *ajeernam* (hypo digestive disorder), or *kutajeernam* (erroneous digestive disorder) that cause inflammatory bowel disease (IBD) which includes Crohn's disease (CD), ulcerative colitis (UC), irritable bowel syndrome (IBS). The *agni* activity takes place in accordance with physical property and subtle quality of food substance. The action of *agni* leads to energy transformation of i) food substances into nutritious substance, ii) nutritious substance into tissues. This process of *agni* activity is anabolic in which tissue substance is digested and disintegrated while its absorption for the liberation of heat and energy is a catabolic process. During disintegration of tissues, certain minute waste products are formed known as *kleda*. *Kleda* gets accumulated in the body which results in the formation of toxic substance known as *ama*.

The process of metabolism has an important role in formation of *sapta dhatu* (seven tissues). *Samadhatu* means balanced state of these tissues, *rasa* (plasma), *rakta* (blood), *mamsa* (muscle), *medha* (fat), *asthi* (bone), *majja* (bone marrow) and *shukra* (semen) in male / *artava* (ovum) in female, and finally *ojas* (vital force of the body) that is responsible for hormone secretion like serotonin (for wakefulness), melatonin (for sleep), or dopamine (for alertness). Imbalanced states of *dhatu* affect mental health, digestion, sleep cycle and thus cause risk factors for IBD. The imbalance in *doshas* results in *pitta atisara* (excess of pitta) and *rakta atisara* (excessive bleeding), its major symptoms that are relevant to IBD are diarrhoea, rectal bleeding, tenesmus, passing of mucus, crampy abdominal pain, loose stools, bloody stools, rectal bleeding, rectal urgency (sensation of incomplete evacuation despite an empty rectal vault) and proctitis. Other associated symptoms are anemia

due to blood loss, fatigue, fever, nausea, weight loss, loss of appetite, abdominal sounds, mouth ulcers, loss of body fluids and nutrients, skin lesions and growth failure in children. It usually involves the rectum and extends proximally to involve all or part of the colon similar to UC and IBD. There are no biomarkers that have adequate diagnostic sensitivity and specificity for IBD.

Diet plays an active role in prevention and treatment of IBD. Modern science commonly recommends diets such as specific carbohydrate diets which consist of low-fermentable oligosaccharide, disaccharide, monosaccharide, polyol and anti-inflammatory agents for IBD patients (Knight-Sepulveda et al., 2015).

## **Lifestyle Risk Factors for IBD**

Yogic principles state that the nature of diet influences human mind. The quantity and quality of food consumed by the body impacts the mind.

1. *Sattvik ahar* (sentient food): Food which increases subtle or high and pure quality of the mind and is conducive to physical and mental well-being. *Aharashuddhao Sattvashudhih* means sentient diet produces sentient body that emanates radiant auras (highly evolved being). Examples of sentient food are rice, wheat and barley, all kinds of pulses, fresh milk, fresh fruits, most green vegetables, etc.
2. *Rajasik ahar* (mutative food): Food which gives gross energy to the body and may not be good for the mind is mutative, for example, chilli, chocolate, tea, coffee etc.
3. *Tamasik ahar* (static food): Food which is harmful for the mind and imparts heaviness or sluggishness to the body is static food, for example, onion, garlic, mushroom, stale and rotten food, alcohol, eggs, meat of large animals such as buffaloes, etc.

The excessive intake of rajasik or tamasik ahar along with sedentary or sluggish lifestyle may influence both the risks of developing IBD and intestinal mucosal inflammation. Kaplan and Korelitz (1988); Buckley et al. (2015); Edwards et al. (2001); Sanford et al. (2014) have reported that IBD patients using narcotics were more likely to suffer from comorbid mental health illnesses compared to other IBD patients. Mantzouranis et al. (2018); Jowett et al. (2004); and Magee et al. (2005) have concluded that sedentary lifestyle along with high intake of sulfite which is a common additive in alcoholic drinks associated with increased risk of relapses and disease activity in patients with IBD. Lichtenstein et al. (2012); Kaplan and Korelitz (1988) have found IBD patients using narcotics to be a significant factor for IBD complications. Cohen et al. (2013) have studied that narcotics was used

for analgesia among adult and young IBD patients which causes psychological dysfunction and impairs quality of life. Kerlin et al. (2018) found that narcotics was used as an alternative therapy or supplement to standard treatments in IBD patients. The addiction to alcohol is basically caused by a mental illusory state; the individual then consciously develops deleterious health effects, chronic inflammatory disorders like IBD. It not only affects the physical health but also affects mental health.

## **Risk Factors of IBD**

*Jatharagni* (digestive fire or enzymes and hormones in second part of duodenum) is essential for complete and proper digestion of food. Due to low or high digestive fire, *ama* is created from *ajeerna* (diminished agni), *atijeerna* (increased agni), or *kutajeerna* (erroneous agni) that results in improperly digested food particles or toxic particles which accumulate wherever there is weakness in the body. Thus it affects elimination, gets retained in the intestine for a longer time and creates psychological imbalance. Due to this retention, fermentation or even putrefaction takes place. This is the root cause of all diseases and complication of diseases mainly in the intestines, lymphatic system, arteries and veins, capillaries, and genitourinary tract. Other nonphysical channels called *nadis* through which energy flows also get affected.

## **SOME APPROACHES OF MODERN SCIENCE ON IBD**

Peripheral inflammation and psychiatric symptoms including anxiety and depression have become an important focus within biological psychiatry. Increased circulating TNF- $\alpha$  with immune activation is linked to the intensity of gastrointestinal symptoms of CD. Both depression and anxiety are more prevalent when IBD is active compared to when in remission. However psychological and gastrointestinal symptoms continue in a substantial proportion of patients despite mucosal healing. Characterized gastrointestinal symptoms are abdominal pain, malabsorption, diarrhea, rectal bleeding with resultant anemia, poor growth, nutritional deficiencies, and a host of other manifestations. Associations between negative mood and perceived stress with IBD relapse, hospitalization and risk of abdominal surgery suggest that stress reduction may improve the quality of life in individuals with IBD (Bannaga & Selinger, 2015; Sun et al., 2019).

The pathophysiology of IBD comprises multifactorial or multipart interplay between genetic predisposition, the gut microbiota, gut mucosal integrity, the immune system, and environmental triggers such as nutrition, psychosocial and sociocultural stressors. Conventional modern treatments consist of anti-inflammatory pharmacologic therapies, 5-aminosalicylic acid derivatives, corticosteroids and

immunomodulators (6-mercaptopurine, methotrexate) (Bannaga & Selinger, 2015). Harris et al. (2016) and Hartman et al. (2016) found that oral administration of purified antibodies (anti-TNF drug AVX-470, molecular weight \* 160-900 kDa) are inadequately absorbed in the systemic circulation and found clinically ineffective. Vermeire et al. (2011); Vermeire et al. (2017); and Sandborn et al. (2018) have analysed the failure of anti-adhesion drug, intolerance to anti-TNF or immunosuppressant drugs that are statistically insignificant. Sandborn et al. (2008) and Feagan et al. (2016) have studied immune modulation of IBD patients and found that the proliferation of type 1 and type 17 helper cells stimulated proinflammatory cytokines, interleukin 12 and 23 in moderate-to-severe CD as in psoriasis (Leonardi et al., 2008). Weissshof et al. (2018) have found that more number of therapies will emerge in time if supported by results of present clinical trials; this will enable physicians as well as patients to choose the best therapies, unhindered by limitations.

## **ROLE OF ALTERNATIVE THERAPIES ON IBD**

Ayurvedic medicines derived from plants and other natural sources based on traditional system of medicine are potentially effective for treatment of UC, CD, IBD. Major constituents of plant materials are extracted by the process of fermentation (*kasayam, arishtam, asava*) for IBD. The immunomodulatory properties of fruits of *terminalia chebula*, *terminalia bellerica* and *emblica officinalis* present in fermented preparations are effective anti-inflammatory agents.

Anti-inflammatory agents present in *Terminalia chebula* are i) tannins: chebulinic acid (Lee et al., 2010), chebulagic acid, corilagin, neochebulinic acid (Reddy et al., 2009; Reddy et al., 2010), ellagic acid, gallic acid, punicalagin, terflavin-a, terchebin, ii) flavonoids: rutins, quercetin, luteolin (Suryaprakash et al., 2012), iii) phytochemicals: saponins,  $\beta$ -D-glucogallin, 1, 3, 6-trigalloyl glucose, anthraquinones, 1, 2, 3, 4, 6-penta-O-galloyl, and iv) other fatty acids, amino acids and carbohydrates (Rastogi & Mehrotra, Vol. 1:1990; Rastogi & Mehrotra, Vol. 3:1993; Rastogi & Mehrotra, Vol. 5:1995; Saleem et al., 2002; Gokhale, Kokate and Purohit, 2003; Juang and Sheu, 2005).

List of anti-inflammatory effects of *terminalia chebula* i) antifungal (Nagar et al., 2011; Dutta, Rahman & Das, 1998; Bajpai et al., 2010; Shinde et al., 2011), ii) antibacterial: Gram-positive and Gram-negative bacteria (Malekzadeh et al., 2001; Ghosh et al., 2008; Kannan, Ramadevi & Waheeta, 2009; Nagar et al., 2011), iii) antiviral: against cytomegalovirus, herpes simplex viruses, human immunodeficiency viruses, swine influenza A virus (Yukawa et al., 1996; Kim et al., 2001; Ma et al., 2010).

It is indicated that *terminalia chebula* has anti-inflammatory, anti-oxidant (Suchalatha, Srinivasulu & Devi, 2005; Chang & Lin, 2010), anti-mutagenic (Grover and Bala, 1992; Kaur et al., 2002), anti-nociceptive (Kaur and Jaggi, 2010), anti-anaphylactic properties and is effective in wound healing (Singh and Sharma, 2009; Choudhary, 2011). These properties act strongly against hepatitis B surface antigen, inhibits IL-1 $\beta$ , IL-6, TNF- $\alpha$ , IFN- $\beta$ , and also additional neutrophils and macrophages at site of inflammation (Conforti et al., 2009). This inhibition and immunomodulation through TH1 and TH2 cytokines can be correlated with therapy for IBD (Mohan et al., 2011).

*Terminalia bellerica* acts as a rejuvenator, blood purifier and brain tonic. It contains i) gallotannins: gallic acid, ethylgallate, galloyl glucose, gallotannic acid, ellagic acid; ii) lignins: anolignan-B, termilignin, thannilignin; iii) oils (30-40%): linoleic, oleic, palmitic and stearic acid; iv) other: chebulaginic acid, anthraquinones, phenyllembin, bellaricanin, carbohydrates; and v) effect: anti-inflammatory, anti-emetic, anti-pyretic, anti-diabetic, anti-diarrhoeal, anti-oxidant, astringent and analgesic (Tariq et al., 1977; Suthienkul et al., 1993; Shaila, Udupa AL, & Udupa SL, 1995; Anand et al., 1997; Ahmad, Mehmood & Mohammad, 1998; Aqil et al., 2005).

*Emblica officinalis* is highly beneficial for its properties such as gastroprotective (Al-Rehaily et al., 2002), hepatoprotective (Jeena, Joy & Kuttan, 1999; Jose & Kuttan, 2000), cytoprotective (Sairam et al., 2002), radioprotective (Singh et al., 2005). It helps protect against cataract (Suryanarayana et al., 2004), hyperthyroidism (Panda and Kar, 2003), hyperlipidemia (Mishra, Pathak & Khan, 1981; Thakur et al., 1988; Mathur et al., 1996; Kim et al., 2005), atherosclerosis (Bhattacharya et al., 2002; Rajak et al., 2004), and ulcer (Ghosh, Sharma & Talukder, 1992; Xia et al., 1997; Bandyopadhyay, Pakrashi & Pakrashi, 2000; Haque et al., 2001; Harikumar et al., 2004; Perianayagam et al., 2004; Suryanarayana et al., 2004; Sancheti et al., 2005; Duan & Zhang, 2005).

Ravishankar and Shukla (2007) have briefed the important role of Indian System of Medicine including Ayurveda, Siddha and Unani in providing health care. Belapurkar, Goyal and Tiwari-Barua (2014) have studied the immunomodulatory potential of *Terminalia bellerica*, *Terminalia chebula* and *Emblica officinalis*.

## **APPROACH OF YOGA THERAPY**

Yoga therapy involves counseling, lifestyle modification, cleansing practices, breath movement coordination, breathing practices, incantation of certain sounds, pramitahara (balanced and nutritious diet), meditation or the continuous effortless linkage of the attention to a higher force, object or person. It brings about awareness

of the root cause of disease and helps in healing. Yoga as an adjunct therapy for adolescents with IBD showed significant results in the management of chronic IBD and improved health outcomes (Arruda et al., 2018). Sharma et al. (2016) found that yoga improves state and trait anxiety levels and significantly reduces physical symptoms in patients with IBD. Yoga therapy consists of the following tools for IBD:

## **1. Adults With IBD**

*Lifestyle Modification:* In younger individuals, the chaotic, stressed and hectic lifestyles have a direct effect on the digestive system. IBD is commonly caused by consumption of alcohol, drugs and smoking as well as lack of physical activity and unhealthy eating habits.

Yoga therapy recommends lifestyle modification through i) dietary changes: Lacto-vegetarian or vegetarian diet such as fruits, vegetables, green leaves, legumes, and also fresh sea food; ii) healthy-habits: regular physical exercise or yoga practices, avoiding alcohol and smoking (Chiba et al., 2010; Chiba et al., 2016). The physical *toxins* can be easily reduced by simply changing diet and lifestyle. Yogic lifestyle may reduce many several causes of IBD if the medical community effectively implements the choices for healthy lifestyle (Bodai et al., 2018). The medical community should strictly adhere to the Hippocratic Oath (*First do no harm*) for health. Yoga therapy establishes overall well-being by enhancing psychological health and longevity by means of observing codes of social-discipline (*yama*) and self-discipline (*niyama*).

## **CODE OF SOCIAL-DISCIPLINE**

- a. *Ahimsa* - guiding maxim is *do no harm*, adopt beneficent attitude, offer sincere service to those who deserve. It refers to an action done for the benefit of others:
  - i. **Honesty:** one should have strict adherence to *yama* and *niyama*. Honesty and truthfulness are the psychological properties that deal with fear and anxiety. Acts such as deliberately misleading or deceiving others by selective omissions, overstatements, misrepresentations, partial truths, or any other means cause ever increasing mental imbalances.
  - ii. **Integrity:** it demonstrates personal integrity which affects interpersonal and intrapersonal relationship, it is also a psychosocial factor that influences anxiety; principled, honorable and upright. It denotes that one should stand by one's principle and not exchange it for expediency, hypocrisy or unscrupulousness.

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- iii. Trustworthiness: the effort to fulfill the words and spirit of one's commitments brings psychological balance.
  - iv. Concern for others: caring, compassionate, benevolent and kind; help those in need, and seek to accomplish business objectives in a rational manner that causes no harm and for the greater good.
  - v. Reputation and morale: protect and take part in building a healthy society with good reputation and morale; help others to correct or prevent inappropriate conduct.
- b. *Satya*: truthfulness  
Pay importance to all human virtues and speak truth habitually. It teaches to think before speaking, thought-word-action must be in the same vein, communicate in an open and honest fashion, rational decisions and quick rectification.
  - c. *Asteya*: non-stealing  
It enhances harmonious relationship of body, mind and emotion. It offers the gift of abundance and trust in an abundant universe.
  - d. *Brahmacharya*: non-sensuous  
Yoga offers methods for managing sensory cravings and helps cultivation of self-awareness and transformation of habits.
  - e. *Aparigraha*: non-avarice  
It teaches the virtue of non-possessiveness, non-grasping or non-greediness which is a significant aspect of psychology.

### **CODE OF SELF-DISCIPLINE**

- a. *Shaucha*: cleanliness  
It teaches of purity in mind, speech and body.
- b. *Santosha*: contentment  
It enables one to have satisfaction, both as an attitude and a state of deep inner peace. It helps to gain relief from cravings, desires and thus bestows the sense of freedom which is essential to pursue spiritual development.
- c. *Tapah*: perseverance  
It encourages steady discipline, complete and constant focus, and intense commitment necessary to overcome the impediments that keep us from being in true state of yoga.
- d. *Svadhya*: introspection  
It develops virtuous behavior in the practitioner by strict adherence to the principles that the human body is cleansed by water; the mind is cleansed by truth, and wisdom body is cleansed by self-study and meditation.

- e. *Ishvara pranidhana*: contemplation  
It inculcates commitment and empowerment of personality to succeed in every step of the individual's life.

*Cleansing practices (shatkarma)*: The process of assimilation, digestion and elimination of food is affected because of unhealthy lifestyle in patients with IBD. Internal cleansing techniques are available only in yoga which cleanse and soothe the GI tract in patients with IBD. Cleansing practices can be performed under the supervision of yoga professionals. *Shatkarma* heals and also protects from further inflammation. It improves not only digestive function but also increases the efficiency of respiratory system & gut-brain activity. It is used to clear out *toxins* which might otherwise become stuck or stagnant. All the techniques work on removing physical *toxins* that come from consumption of food, drink, or drugs. These techniques also help psychosomatic disorders by cleansing the gathered mental and emotional *toxins* from thoughts and ideas in the mind. Yogic techniques are useful measures for mental digestion that increases awareness along with understanding and identification of emotions that are creating disturbances inside as a *mental toxin*. Some *shatkarma* techniques for IBD:

- a. *Dhauti* (internal cleansing practice) is the method of rejuvenating and cleaning the digestive tract or gastrointestinal tract. Internal organs need cleansing for effective functioning just as a bath is necessary for cleanliness and freshness of the outer body. It eliminates accumulated rotten sludge, undigested food residue (*ama*) from the top of the alimentary canal to the colon through various techniques. *Gajakarani kriya* is one of the variations of *dhauti* which expels undigested content of the stomach from the deep trunk region.
- b. *Nauli kriya* is a technique for maintaining and developing suppleness and relaxed state of muscles in the abdominal region and is also useful for decreasing mental stress. It works on the muscles, ligaments and tendons and also applies gentle pressure on the affected area. This helps increase blood flow, accelerates healing process and promotes a healthy digestive system.
- c. *Basti kriya* is a technique for cleansing colon and lower intestine. It can be used therapeutically for working with a number of chronic problems including and similar to IBD. As regular bowel movements may stop, and the body can no longer eliminate efficiently the accumulated physical waste and toxins in turn give rise to emotional or mental *toxins*, by administration of *basti* one can easily expel these *toxins*. It enhances elimination of *ama* which helps heal IBD and prevents headache, constipation, piles, and worm infestation.



## **Yoga Therapy on Digestive Function in Inflammatory Bowel Disease**

*Strengthening:* In chronic IBD, it is not only the digestive system but also respiratory and cardiovascular systems that are affected.

Recommended practices for IBD under the supervision of yoga therapist or yoga professionals:

- a. Twisting postures – helps eliminate constipation.  
Eg. *Vakrasana, Ardhamatsyendrasana*
- b. Backbending postures – relieves abdominal cramps and diarrhea.  
Eg. *Bhujangasana, Balasana*
- c. Prone postures – improves circulation of blood to the abdominal organs.  
Eg. *Merusana, Shashahasana*
- d. Supine postures – strengthens the abdominal organs.  
Eg. *Matsyamudra, Viparitarani mudra*
- e. Pranayama – relieves dryness and has soothing effect on wound in the stomach walls.  
Eg. *Sheetali pranayama, Chandra nadi pranayama*
- f. Relaxation – enhances healing for body and mind.  
Eg. *Marmanasthana kriya, Shavasana*

Yoga helps heal the inflammation of alimentary canal and improves cardiovascular autonomic functions, eosinophilic cationic protein serum levels, interleukin- 2 soluble receptors, and ameliorates stress in patients with IBD (Sharma et al., 2015). Yoga can also improve significantly the vital capacity as a result of increased vagal modulation, decreased sympathetic activity and improved baroreflex sensitivity and quality of life in patients with IBD (Bhavanani et al., 2011; Artchoudane et al., 2018).

## **2. Elderly People With IBD**

Loss of discipline in *aahar, vihar, aachar, vichar, or vyavahar* causes and aggravates IBD whereas yoga helps implement these disciplines in a proper way which allows healing of digestive disorders (Bhavanani, 2013).

- a) *Aahar:* It includes intake of adequate drinking water; healthy, nourishing and balanced diet, fresh food, green salads, sprouts, unrefined cereals and fresh fruits. It is important to be aware of satvik diet, prepared and served with love and affection.
- b) *Vihar:* The proper relaxation for body and mind is essential for good health. *Vasudev kutumbukkam* is the sense of transformation from individuality to universality.

- c) *Aachar*: Daily practices of asana, pranayama and kriyas are essential healthy activities that improve the digestive system and enhance cardio-respiratory health.
- d) *Vichar*: It emphasizes moral restraints and ethical observances which maintain balanced state of mind, right thoughts and right attitude.
- e) *Vyavahar*: The development of proper psychological attitudes which improve interpersonal and intrapersonal relationship.

### **3. Special Children With IBD**

IBD is one of the most common disorders with increased risk in children with autism and special needs (Losh et al., 2017). Sympathetic nervous system driven response in stress among special children is higher than normal which leads to atypical sensory process that includes increased heart rate and respiration, and diverts blood from the digestive system to the skeletal muscles in children with ASD (Marco et al., 2011).

Yoga practices improve relaxation response and increase parasympathetic activity which in turn reduces heart rate, blood pressure and respiratory rate which promotes digestion in children with ASD (Ditto et al., 2006; Jain et al., 2007; Artchoudane et al., 2019; Soccalingam et al., 2020).

## **CONCLUSION**

Yoga Therapy regulates the function of *gunas*, *doshas* and *dhatu*s bringing them into balance when affected. Yoga therapy along with herbal medicine and lifestyle modification helps protect and harmonize *doshas* in individuals with IBD. Yogic practices have a healing effect on mind and body, reduces stress, and improves emotional and physical self-awareness. Several studies have found that yoga improves quality of life and anxiety in individuals with IBD.

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## Chapter 7

# Dysbiosis in Microbiome Leading to Colitis– Associated Cancer: Gut Microbiome Correlation With CAC

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### **ABSTRACT**

*Colitis-associated cancers are a metastatic form of inflammatory bowel disease considered a vital health associated risk factor causing the death of approximately five lacs people every year throughout the world. There are trillions of bacteria that are associated with our gut as a part of our healthy microbiome. The microbiota plays a plethora of important role in determining the normal physiological processes of the cells and, subsequently, the body. The imbalance in microbiome diversity (dysbiosis) due to abnormal dietary habitats, hectic lifestyle, and other factors thus alters the normal physiological processes of the body, thereby causing several chronic diseases. Therefore, it is essential to maintain the homeostasis between the host and their gut microbiome. So, based on the facts mentioned above, this chapter is entirely devoted to providing an overview of colitis-associated cancer and their relation with the dysbiosis of a healthy microbiome. Moreover, the mechanism involved in the development of colorectal cancer and its preventive insights has also been addressed.*

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## **1. INTRODUCTION**

The human body is colonized by a vast array of microbes, especially bacteria. This gut microbiome plays a crucial role in health and diseases. In the past decades, exposure to microbiota research is at a peak, mainly to study how the microbiomes are associated with cancer. Various studies have estimated that the gastrointestinal tract contains bacteria which are same in number to the cells present in the human body. The gut microbiome plays a vital role in maintaining the epithelium growth in intestine, the release of antimicrobial substances which regulate the immune system in concordance with the various physiological functions (Sartor, 2008). There are sum trillions of the microbiome existing in our gastrointestinal tract. Microbiome shows different kinds of symbiotic relationships with the hosts viz. commensalism and mutualism. Now, it has been predicted that the alteration in gut microbiome balance might also play an essential role in regulating the development of cancer, such as colitis-associated cancer and inflammatory bowel diseases (IBD). Therefore, it is mandatory to recognize the mechanism which is being established between the bacteria and the host. And study about the association of these microbiomes with the development of IBD, in association with colitis-associated cancer (CAC).

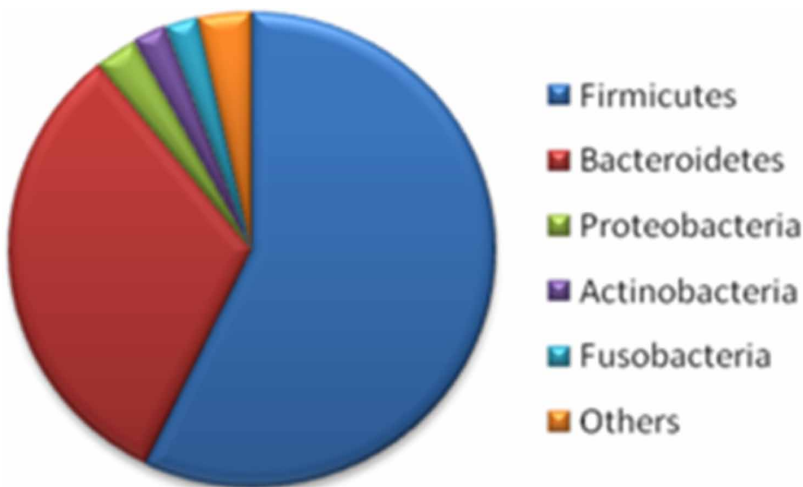
IBD, a collective term used for both Ulcerative Colitis (UC) and Crohn's disease (CD). This disease has been increasing in number in the last few decades and ultimately leading to CAC (Itzkowitz and Yio, 2004; Eaden *et al.*, 2001). CAC is a complex multifactorial disease involving an interaction between genetic landscape and environmental factors that plays out the interface between the immune system and the resident microbiota. On behalf of the abovementioned background, the present chapter demonstrates the establishment of microbes in the gut. Alteration in these microbiomes leads to the development of IBD and CAC. Further moving, in this chapter, there is brief information regarding the treatments, which helps in modulating the existing microbiome that has been altered during IBD and CAC development.

## **2. KNOWING MORE ABOUT GUT MICROBIOTA**

Gut microbiota of small intestine shows a dynamic deviation from the microbes present in the colon concerning their affluence and distribution. Small intestine deals with the conversion of lower carbohydrates and also adapting to the overall nutrient available. Whereas, microbiota present in the colon is effectively driven by the breakdown of indigestible complex carbohydrates (Marchesi *et al.*, 2016). Understanding more about the gut microbiota, it is desirable to classify these bacteria according to the phylum where they are placed. Mainly five phyla represent the

bacteria present in gut microbiota. It is around 160 spp. in the colon of an individual (Rajilić-Stojanović and de Vos, 2014) which include some gram-negative bacteria as well as some gram-positive bacteria. In the case of gram-negative bacteria, the phylum which has been given the first position is *Fusobacteria*. These consist of anaerobic, non-spore-forming bacteria *Bacteroidetes*, these are also distributed fully in GIT. The phylum which has got more than 200 genera is *Proteobacteria* that includes *Salmonella*, *E. coli*, *H. pylori* etc. (Fierer *et al.*, 2007). Talking about gram-positive bacteria, this includes *Actinobacteria* (aerobic) and *Firmicutes*.

*Figure 1. Pie chart depicts the distribution of different phyla. Colonic microbiota includes Firmicutes and Bacteroidetes, which are predominant bacteria (57.2% and 32.0%, respectively). Other phyla that are present in the colon are Proteobacteria, Actinobacteria, Fusobacteria constituting 2.81%, 2.22%, and 2.20%, respectively. Data provided by (Wang *et al.*, 2012.)*



### **3. INFLAMMATORY BOWEL DISEASE**

IBD is a chronic, idiopathic, inflammatory disease that affects the colon, leading to colitis-associated cancer. IBD is known to be a multifactorial disease which triggers the dysbiosis of luminal mucosal homeostasis. As there is an alteration between the regulatory mediator and inflammatory mediator, this contributes to the inappropriate inflammatory responses. Colitis associated cancer is mainly caused due to dysfunction of the microfloral integrity (Tomasello *et al.*, 2014). IBD deals with two major entities, i.e., CD and UC. Both have overlapping symptoms which include diarrhoea, rectal bleeding, and loss of weight.



### ***Dysbiosis in Microbiome Leading to Colitis-Associated Cancer***

CD is associated with the inflammation in any part of the intestine mostly in the ileum and colon section. It is characterized by the spreading of aggregates of lymphoid in the mucosal and submucosal membrane. At the beginning stage, infiltrates of neutrophils are observed in the epithelial layer and with due time in crypts. With due time it destroys crypts leading to colonic atrophy. There is also a formation of non-necrotizing granulomas resulting from chronic inflammation. These small changes later lead to series of complications which include abdominal pain, diarrhoea, rectal bleeding and also there is malabsorption which may occur due to inflammation in the ends of the ileum (Chandrasekar and Venu, 2015). The leading cause of CD inflammation is the Th17 response. The responses include the production of IL-17 and IFN- $\gamma$ , TNF- $\alpha$ , IL-6, IL-1, which are immediate effectors of inflammation.

UC is also the same kind of inflammatory disease mainly in colonic mucosa and submucosa, which begins in the rectum. This inflammatory disease can even extend its effect to proximal parts with the course of the disease. In severe cases, there is dilatation of colon, necrosis, and perforation that occur along with massive haemorrhage. UC can even result in crypt abscession with neutrophils infiltrates in the crypts. Symptoms are same like rectal bleeding and excessive discharge of mucus, abdominal pain, malaise (Husseini et al., 2008). Cytokines which predominate in Ulcerative Colitis is mainly of the Th2 pattern of adaptive immune responses. Ultimately releases many different kinds of pro-inflammatory cytokines, such as IL-4, 5 and 13 (Basso et al., 2014).

## **4. HOW GENOME IS ALTERED IN CASE OF SPADIC COLORECTAL CANCER AND COLITIS ASSOCIATED CANCER**

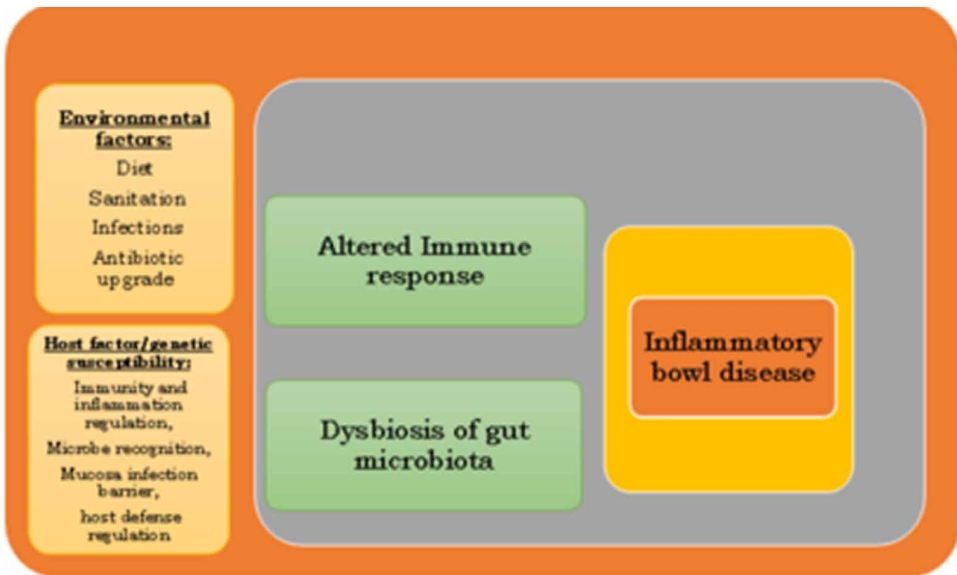
CRC is the third most common type of cancer in the world. In the case of female, it is the leading cause of death. The risk factors mainly include male sex, genetic history, high BMI, and less activity. Sporadic colorectal cancer mainly develops from adenoma, which is premalignant through any kind of gene mutation such as APC, K-RAS, p53. Studies show that eight genes are most frequently mutated. Genes include APC, K-RAS, PIK3CA, SMAD4, NRAS, TCF7L2, NRAS, and p53. Another pathway in sporadic CRC consists of a mutation in PI3K and RAS-MAPK. This mutation has been found in 93% of the tumour (Sigel *et al.*, 1999).

**Dysbiosis in Microbiome Leading to Colitis-Associated Cancer**

*Table 1. Local and Systematic effect of the gut microbiome on physiological functions, non- neoplastic pathology, cancer and its therapies*

Local effect of gut microbiome	Systemic effect of gut microbiome
<b>1. Physiological effects</b>	
i) Absorption of nutrients ii) Vitamin synthesis iii) Bile metabolism iv) Carbs fermentation v) Morphogenesis vi) Strengthening of barrier	i) Functions such as neurological, behavioral and cognitive ii) Functions of cardiovascular and musculoskeletal iii) Hematopoiesis and functioning of myeloid cell iv) Circadian rhythm v) Inflammation
<b>2. Non- neoplastic pathology</b>	
i) IBD ii) Gastric ulcers	i) Cardiovascular diseases ii) Non-alcoholic steatohepatitis iii) Insulin resistance
<b>3. Cancer</b>	
i) Colorectal cancer ii) Gallbladder carcinoma iii) Stomach cancer	i) Pancreatic cancer ii) Prostate cancer iii) Ovarian cancer
<b>4. Cancer therapy</b>	
i) Cancer therapy gastrointestinal toxicity	i) Chemotherapy, immunotherapy ii) Cancer toxicity

*Figure 2. Depiction of which all different environmental factors contributes to the development of IBD*



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The patients with colitis-associated cancer have increased  $\beta$ -catenin transcriptional level and may also show a contribution to increased CL1 gene expression. When compared to sporadic CRC specific genes are mutated such as RAC1, DOCK2, DOCK3 and RADIL. These genes are mainly responsible for cell motility and remodelling of the cytoskeleton. The most frequent mutation was found primarily on EP300 and TRRAP. Sporadic CRC and colitis-associated cancer both have similar missense mutation, which was studied within the DNA binding domain of p53 only difference are in the molecular distribution of single substitution mutation.

*Figure 3. Pathway and the genetic target leading to sporadic colon cancer. APC plays an essential role in early adenoma as if there is any mutation such as aneuploidy, methylation. Whereas, in late adenoma, DCC/DPC4 shows the changes. Finally leading to carcinoma condition.*

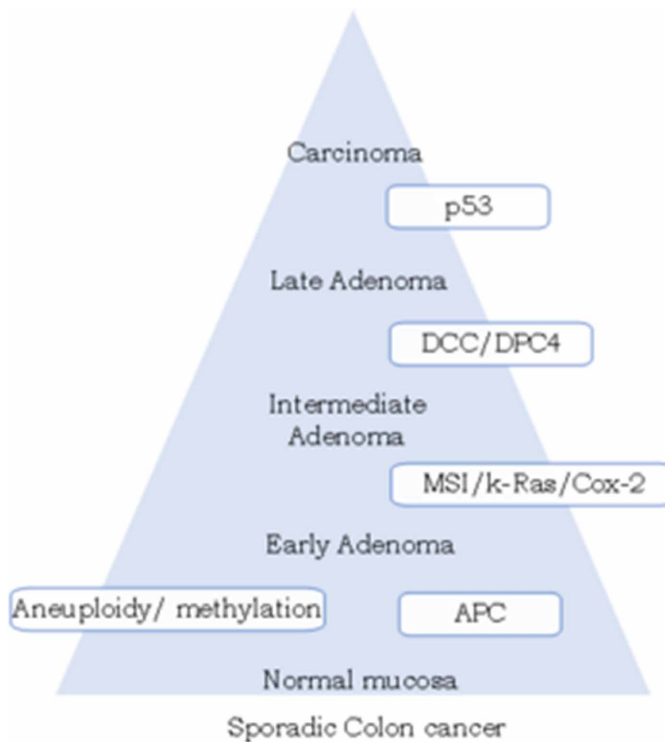
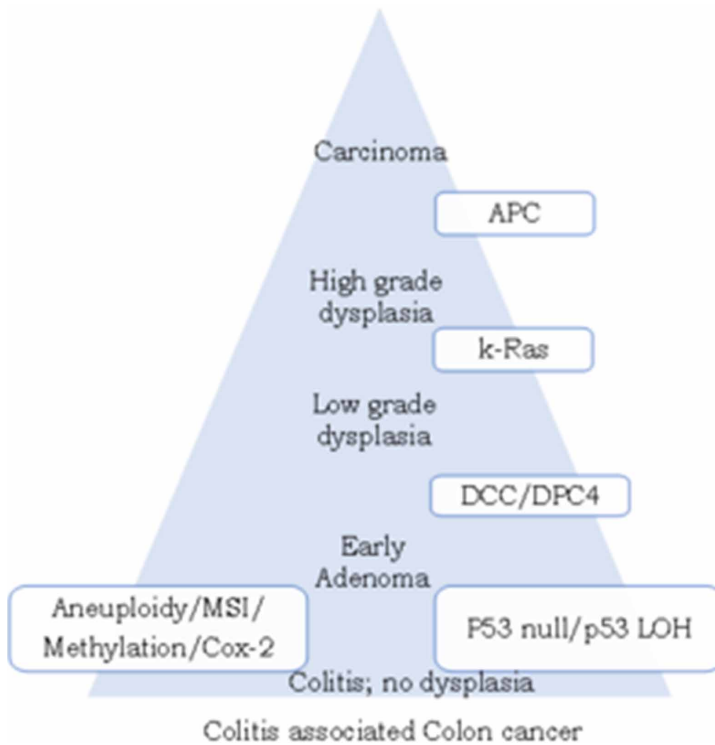


Figure 4. Pathway in which p53 is playing a crucial role in the development of early adenoma. Here DCC/DPC4 is leading to low-grade dysplasia, and APC leads to cancer



## 5. ASSOCIATION OF IBD AND GUT MICROBIOME

IBD is one of the chronic intestinal inflammations, which is mediated by immune responses. IBD is driven either by the environmental factors and genetic changes. IBD includes CD and UC, which affects millions of people worldwide. For IBD development, there is a definite change in the healthy gut microbiome, and also there is there a breakdown of host-microbial mutualism. These changes are linked to either CD or UC. The most crucial difference is lowering the number of Firmicutes which is balanced by an increase in the number of members of the phylum Bacteroidetes (Frank *et al.*, 2011). In patients with CD, studies have shown that there is a reduction in *F. prausnitzii* as compared to control. Together with phyla Firmicutes and Bacteroidetes there is also change in the member of phylum Proteobacteria. Proteobacteria increases in the case of IBD and has an active role in causing cancer (Mukopadhyya *et al.*, 2011). This phylum has a role in the initiation of chronic inflammation in patients with IBD. It has been documented that ileal

CD has an increased number of *E.coli*. It has been shown that it is associated with alteration in the metabolism of bacterial carbohydrate. There is an interaction between the bacteria and host by secreting an enzyme by the host. There is depletion in the biosynthesis of SCFAs and amino acids. In an inflammatory condition such as T2D, there is a shift in microbial metabolic. Recent studies have shown that there is a crucial role of viruses in colitis-associated colorectal cancer with the expansion of *Caudovirales* bacteriophage.

## **6. HOW THIS BACTERIAL PHYLUM CHANGES DURING COLITIS ASSOCIATED CANCER**

### **6.1. Firmicutes**

This phylum plays a significant role in maintaining health and disease. Family such as *Staphylococcaceae* was found to be high in the patient suffering from CRC. While a class of gram-positive bacteria, *Clostridiales* was negatively regulated in the case of CRC (Baxter *et al.*, 2014). Temporal stability of species such as *Clostridium coccooides* and *C.leptum* show significant lowering in the case of CRC patients (Scanlan *et al.*, 2008). *Eubacteriaceae* belonging to order *Clostridiales* have been studied, which was found to be increased in the patients (Wu *et al.*, 2013). Probiotics species *Lactobacillus* ( $p=0.064$ ) and *Ruminococcus* belonging to this phylum also seem to diminish. According to the study made by Wang *et al.* (*Faecalibacteriumprausnitzii* and (butyrate-producing genera) also gets lowered in CRC patients.

### **6.2. Bacteroidetes**

Wu *et al.* observed it. That there was not only an increase in density in CRC patients but, also mentioned that there was a positive relationship between frequency and the disease. *Bacteroidesfragilis* of this phylum express a gene that encodes for the toxin that is related to IBD and CRC patients (Boleij *et al.*, 2015). In CRC patients, the *Prevotella* genus belonging to this phylum is overregulated ( $p=0.009$ ) studied by Sobhani *et al.* (2011). Family *Porphyromonadaceae* composed by genera *Porphyromonas* and *Dysgonomasis* less prevalent in healthy individuals.

### **6.3. Proteobacteria**

This phylum turns to be overregulated in conditions of adenoma (Shen *et al.*, 2010). *Enterobacteriaceae* family belongs to this phylum which constitutes microorganism which is harmless and has been studied that these are intensified in patients who

have cancer. But there is controversy with a member of this family such as *Kluyvera* sp., *Shigella* sp., *Cronobacter* sp., *Serratia* sp., and *Salmonella* sp., which have been lowered or lessened in cancer tissue (Marchesi *et al.*, 2011). Another family *Camphylobacteraceae* of this phylum is less prevalent in control. One of the commensal bacterial, which is commonplace in the gut of humans, is *E. coli*. Some strains of these bacteria have shown extreme relevance to inflammation and also in producing toxin cyclomodulin. In advance stages of cancer cyclomodulin, positive pathogenic strains were found to be prevalent.

#### **6.4. Actinobacteria**

Actinobacteria include gram-positive bacteria *Bifidobacterium*, which is ubiquitous and colonizes the oral cavity, gastrointestinal tract. Higher in healthy individuals as compared to colorectal cancer patients (Mira-Pascual *et al.*, 2015). *Coriobacteridae* is demonstrated to be enhanced in colorectal cancer tissue.

#### **6.5. Fusobacteria**

Genera *Fusobacterium* belonging to this phylum is highly prevalent in the individual with Colorectal Cancer ( $p=0.001$ ) (Zhu *et al.*, 2014). The high abundance of *Fusobacterium* was more likely to have adenoma. A study made by McCoy *et al.* (2013) supported that there is a link between the presence of *Fusobacterium* in colonic mucosa and adenoma. This link suggests that this plays an essential role in mucosal inflammation.

### **7. INTERACTION BETWEEN THE GUT MICROBIOME AND HOST MUCOSAL MEMBRANE**

The interaction between the microbiota and host has got a physical barrier in the form of mucous membranes along with antimicrobial peptides and IgA (Yang and Jobin, 2017). Goblet cells are present in the mucosal membrane, where they secrete various types of mucins such as MUC2, MUC3, MUC4, which help in protecting the mucosal layer. Mucus has a gel-like texture due to the presence of glycan's, which are bound carbohydrates. The deficiency of MUC2 in mice leads to the up-regulation of the defence factor RELM- $\beta$  which enhances the production of colonic antimicrobial lectin REGIII $\beta$ . This antimicrobial lectin targets lactobacillus species which produces short-chain fatty acids. In the case of MUC2 mutant mice, when lactobacilli are administered through oral gavage, it reduces RELM- $\beta$  and REGIII $\beta$ , which help in relieving spontaneous colitis (Morampudi *et al.*, 2016). Whereas

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MUC4 stimulates Colitis Associated Cancer, it has been studied that, MUC4 mutant mice are not prone to ulcerative Colitis. UC might be due to the up-regulation of MUC2 and MUC3.

*Table 2. Group of bacteria from different phylum, which plays a role in Colitis associated cancer. The presence of these bacterium has been studied in a different subject. Accordingly, the effect has been considered.*

Phylum and species to which they belong	Abundance to cancer	Subject on with it has been studied
<b>Gram-Negative Bacteria</b>		
<b>1. Phylum: Fusobacteria</b>		
<i>Fusobacterium</i>	High	Human, Animal
<i>Fusobacteriumnucleatum</i>	High	Human, Animal
<b>2. Phylum: Bacteroides</b>		
<i>Prevotellaceae</i>	Low	Animal
<i>Prevotella</i>	High	Human
<i>Alistipes</i>	High	Animal
<i>Porphyromonadaceae</i>	-	-
<i>Porphyromonas</i>	High	Human
<i>Dysgonomonas</i>	High	Human
<i>Odoribacter</i>	High	Animal
<i>Parabacterioides</i>	High	Animal
<b>Gram-Positive Bacteria</b>		
<b>1. Phylum: Actinobacteria</b>		
<i>Bifidobacterium</i>	Low	Human
<i>Coriobacteridae</i>	High	Human
<i>Slackia</i>	High	Human
<i>Collinsella</i>	High	Human
<b>2. Phylum: Firmicutes</b>		
<i>Clostridiales</i>	Low	Animal
<i>Eubacteriaceae</i>	High	Human
<i>Eubacterium</i>	Low	Animal
<i>Staphylococcaceae</i>	High	Human
<i>Lactobacillus</i>	Low	Human, Animal
<i>Ruminococcus</i>	Low	Animal

IgA is one of the important intestinal immunoglobulins which is defensive against enteric microbiota. IgA is produced by B cells which can be enhanced together by IL-33 and TGF- $\beta$ . IL-33 mutant mice are more receptive to have colitis Associated cancer. It can be extricated by inhibiting IL-1 $\alpha$  (Malik *et al.*, 2016)

Antimicrobial proteins, such as Lipocalin 2 (LCN2) limits the acquisition of iron. Lcn2 mutated mice show a dysbiosis in the bacterial population in the intestine. In this case, there is an immense increase in Bacteroidetes and a decrease in Tenericutes. This dysregulation in microbiota leads to Colitis Associated Cancer.

## **8. MECHANISMS OF THE GUT MICROBIOME IN COLON CANCER**

Many studies show that the microbiome present in the colon plays a vital role in causing sporadic CRC. The increase in diversity in the faecal sample of the host as well as reduced stability shows a relation with colorectal cancer (Ou *et al.*, 2013). The oncogenic microbiome has been identified and categorized as the bacterial sp. based on metagenomic and transcriptomic. These bacteria colonize the cells of the gastrointestinal tract in the case of the tumour. Through various processes, these microbial strains promote the progression of cancer. The bacterial strain act through the biosynthesis of genotoxin, which interferes with the regulation of the cell cycle by interfering with the cell surface receptor. Production of the toxic metabolite is exceptionally effective in the case of cancer. Taking an example of toxin released by *E.coli* strain which is colibactin toxin causes a break in double-stranded DNA leading to the accumulation of chromosomal anomalies. There is a risk of developing cancer by the process of mutation, stimulation of cell proliferation, together with angiogenesis, inhibiting apoptosis. Bacterial translocation and activation of innate and adaptive component result in inflammatory disease (Ivanov *et al.*, 2009). Innate and adaptive response results in increased release of cytokines such as IL-12, IL-23, TNF- $\alpha$ , and INF $\gamma$  by various cells like Macrophages (M  $\phi$ ), Dendritic cells (DC) Natural killer (NK) cells. Subsequently activates cells of the adaptive immune system such as lymphocytes (T-cells and B-cells). Inflammatory responses, such as activation of various transcription factors NF-KB and STAT3. Which ultimately leads to oxidative stress, DNA damage, the release of different reactive oxygen and nitrogen compound, resulting in the development of colorectal cancer (Tian *et al.*, 2003).

There have been many theories proposed against how the colonic microbiome regulates CRC. Keystone-pathogen hypothesis and  $\alpha$ -bug hypothesis: Both of these hypothesis states the same, i.e. members such as *B. fragilis* (enterotoxigenic) show low abundance and have virulent traits such as pro-oncogenic. This enterotoxigenic



bacteria also enhance immune responses and remodels the microbiome in epithelial cells of the colon (Sears and Pardoll, 2011). Drive passenger model: Tjalsma *et al.* (2012) proposed that indigenous bacteria in colon damage DNA were contributing to the initiation of colorectal cancer. Damage induces niches alteration in the intestine, which favours the growth of bacteria which is opportunistic one like anaerobic gram-negative bacteria (Warren *et al.*, 2013). Strategies made by mucosal defensive have been managed to a greater extent, such as, expression of  $\alpha$ -defensin increases in case of adenoma, which results in an increment of antibacterial activity. There are many members of pathobionts which have shown a link with CRC and adenoma. These are *Enterococcus faecalis*, *Bacteriales fragilis*, and *E. coli*, which are enriched and expresses gene for oncogenesis mainly includes translocation of M cell and angiogenesis (Prorok-Hamon *et al.*, 2014).

To study the mechanism of how *F. nucleatum* is involved in the stimulation of cell proliferation. It has got cell adhesion molecule, i.e. fadA which adhere and invade epithelial cell in human and elicit an inflammatory response (Han *et al.*, 2000). Salmonella release a product AvrA which activates the signalling of  $\beta$ -catenin, which in turn enhances colonic tumour (Lu *et al.*, 2014). Work has been done focusing on the metabolic functioning of the gut microbiome. The metagenomic study has shown that there is a constant reduction of microbes which produces butyrate. Also, it plays an essential role in the metabolism of sulfate through its assimilatory reduction to cysteine and methionine, which are amino acid. While, dissimilatory reduction produces H<sub>2</sub>S, in turn, contribute to colon associated cancer (Carbonero *et al.*, 2012). Variation in the genotype of the host affects the landscape of carbohydrate affect the composition and function of microbes which resides in the intestine (Kashyap *et al.*, 2013). So, it might be possible that patient with CRC has modified bacteria, which are metabolically active, determined by the gene of the host, effect of diet and environment.

Due to the metabolism of nutrient to small molecules by various gut microbes leads to the development of neoplastic cells in the bowel. Studies have shown that intestinal bacteria also have a role in the metabolism of bile acid, where cholic acid is turned into deoxycholic acid while chenodeoxycholic acid into lithocholic acid. The deoxycholic acid contributes to ROS and genomic instability which may show a crucial effect on colon cancer (Rubin *et al.*, 2012). In case if there high intake of protein in diet then their fermentation product will also increase in the colon. Waste such as sulfide nitrate, ammonium, and amides resulting from the digestion of protein, enhances the growth of *Desulfovibrio* sp. and *Desulfomonas* sp., (sulfate-reducing bacteria). The end product of protein metabolism is H<sub>2</sub>S which increases in the case of CRC patients (Kanazawa *et al.*, 1996).

A biomarker Ki67 is used for cancer risk. This increases or decreases due to intervention of diet having high fiber, high fat. This intervention leads to reciprocal changes, causing changes in the gene expression of the microbes.

## **9. APPROACHES TO MODULATE THE MICROBIOTA FOR CAC TREATMENT**

Recent studies have shown that how microbiome profile is associated with the development and progression of cancer. The microbiome in intestine remains constant or stable for years but can change in response to exposure to pathogens, diet composition which has been altered, or any antibiotics treatment (Chevalier *et al.*, 2015; Kamdar *et al.*, 2016). Therefore, to modulate, there are many therapeutics that effectively treat IBD and CRC. The microbiome affects various characters ranging from mood to metabolism (Schmidt, 2015). The clinical approaches are still challenging, and more efforts should be made on the way to evaluate the effectiveness of the methods.

### **9.1. Prebiotics**

These are component of food which helps in facilitating the growth of gut microbes. In turn, it maintains the homeostasis and also reduces the chances of developing colitis-associated colorectal cancer (Macfarlane and Cummings, 1999; Gustaw *et al.*, 2011). Through the process of fermentation prebiotics such as polydextrose, transgalactooligosaccharide and inulin help in enriching the gut short-chain fatty acids (SCFAs) in the gut. Prebiotics has got many benefits such as microbiota composition can be altered and also increase in abundance of *Bifidobacterium sp.* regulates and inhibit proliferation of epithelial cell (Weitkunat *et al.*, 2015; Vinolo *et al.*, 2011). It has got great potential to treat many diseases such as CRC and IBD.

### **9.2. Faecal Microbiota Transplantation (FMT)**

There is an exchange of gut microbiota in between individual who is healthy and another who is diseased. The clinical remission rate after treatment is 36% (Lopez and Grinspan, 2016). Infection with *C. difficile* and IBD in individuals can be treated by using faecal microbiota transplantation (Moayyedi *et al.*, 2015). FMT even help in reducing colorectal cancer, studied in mice. But, there are challenges to be taken under consideration, such as how they can be administered; another critical criterion is patient selection, together with patient sample (Rossen *et al.*, 2015). It's still unclear it is worth in case of tumour progression in humans as there is a difficulty

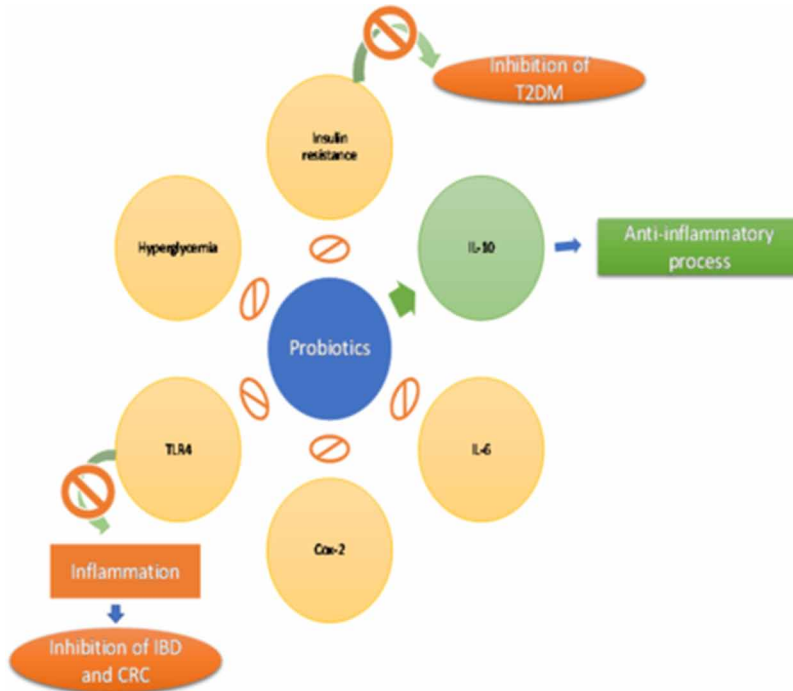
at the level of knowing the variation in microbiota in a healthy person. FMT could be advantageous as it can balance the microbiota hence the chance of long term reset. An alternative to Faecal microbiota transplantation could be using a defined microbe with known benefits.

### **9.3. Probiotics**

Naturally occurring non- pathogenic bacteria in our body are beneficial bacteria that maintain homeostasis in the gastrointestinal tract. Probiotics are live microbes which, when administered, can confer the benefit to host health. They are defensive against pathogens to protect any disorder in GI. It has been shown in (Fig. 5) that how probiotics play an essential role in the cause of IBD. It helps in enhancing immunity either it can be innate or adaptive. In Strains of *Lactobacillus* (lactic acid bacteria) and *Bifidobacterium* is predominant probiotic organisms.

- a) Isolates of *Lactobacillus* such as *L. casei*, *L. plantarum*, *L. rhamnosus*, *L. acidophilus* are effective in cancer. They work through various mechanisms, like activation of the natural killer cell (NK Cell), maturation of dendritic cell (DC), the release of derivative of probiotics, i.e. ferrichrome (it is an iron scavenger). *Lactobacillus* sp. exerts a cancer-specific suppression of tumours through the apoptosis-inducing JNK signalling.
- b) *B. fragilis* has been found of either type enterotoxigenic or non-enterotoxigenic. Enterotoxigenic type raises the TH17 cells (pro-inflammatory) which in turn accelerates carcinogenesis in prone condition. Whereas, in enterotoxin producing, strains have anti-cancer properties w.r.t. anti-CTLA4 immunotherapy (Vétizouet *al.*, 2015). This immunotherapy is unsuccessful in reducing the growth of colon cancer in antibiotic-treated (germ-free) mice. *B. fragilis* can be used to treat this defect.
- c) *Bifidobacteriales* are found naturally in the colon and also in many dairy products. In the case of reduced growth of transplantable melanomas and diminished CTL-mediated immune surveillance, it has found the abundance of Bifidobacterium. The introduction or transfer of *B. breve* or *B. longum* has reduced the growth of melanoma and also help in restoring the anti-melanoma CTL responses. They also stimulate maturation of dendritic cells in turn help in priming of tumour-specific CTLs. These strains help in responding better to immunotherapy.

Figure 5. Depicting the role of probiotics on IBD and CRC. Probiotics activate the cytokines such as IL-10 which has a role in the anti-inflammatory process. TLR4 has an inhibitory effect on inflammation which leads to inhibition of IBD and CRC.



## 10. HOW MICROBIAL PRODUCT MODULATE CANCER

These are some bacteria which release various molecules, which modulate the survival of the cancer cell and even can affect the anti-cancer immunosurveillance. These are toxin which may have direct anti-cancer property ligands of PRRs, which affect the immune response and bacteria metabolites affecting host metabolism. Phenazine secreted by *Pseudomonas aeruginosa* and phthiocol from *M. tuberculosis* binds on hydrocarbon receptor (functions as TFs) and N-acetylglucosamine (bacterial peptidoglycan layer). It acts on hexokinase to activate inflammation (Wolf *et al.*, 2016).

### 10.1. Toxin Production

A variety of bacteria produces different types of toxin. Toxins help the bacterial species to compete with others to sustain it in a particular area (Becattini *et al.*, 2016). *Streptomyces* sp. produces toxin anthracycline, which directly shows the

anticancerous effect. Doxorubicin is also used widely as anticancerous chemotherapy and can even induce anti-cancer immune responses (Kroemer *et al.*, 2013). Toxin such as Colicin are peptide with a structure of  $\beta$ - helix containing cationic charges, and this allows them to lyse non protected bacteria membrane. LTX-315 is one who kills the cancerous cell by lysis of mitochondria (Ellerby *et al.*, 1999). There can even be fused with motifs that target protein on surface-expressed explicitly by tumour epithelial and vascular endothelium membrane, e.g. GRP78, IL-11R (Arap *et al.*, 2004)

## **10.2. PRRs Ligands**

PRRs recognize pathogen-associated molecular patterns. Lipopolysaccharide (LPS) being one of the components of the outer membrane of G-ve bacteria, is one of the well-known PAMP which interacts with TLR4. Through breaches in the intestine, the bacteria enters the systemic circulation) and LPS in bacterial membrane how can stimulate the inflammatory response. This condition may emerge after its use in radiation therapy for cancer treatment and also, helps in the inhibition of tumour growth by activating T-cells. PAMPs can even be used as vaccine adjuvants which elicit an immune response mainly against the viruses which can cause cancer. E.g. Monophosphoryl lipid A (MPL), is a derivative of *Salmonella enterica* subspecies entericaserovar.

## **10.3. Metabolite Production**

Half of the metabolites in plasma are of bacterial origin which has got a role in metabolism. Various products like secondary bile acids, vitamins, polyamines and SCFAs are synthesized by microbes present in our gut. These metabolites affect the development of cancer and the effectiveness of antineoplastic therapies. Through our dietary- fiber, polysaccharides, and many metabolites such as acetate, propionate, and butyrate are produced in the colon. Clostridium clusters IV and XIVa of phylum Firmicutes along with species of genera *Eubacterium*, *Faecalibacterium* and *Roseburia*, are evolved in generating SCFAs. Propionate and butyrate favour the differentiation of regulatory T- cells. It starts accumulating, which mediates the anti-inflammatory effect. Butyrate and propionate have a crucial role in the inhibition of histone deacetylase (HDACs. It acts as an agonist of GPCRs which possess an oncosuppressive effect.

Apoptosis, in the case of colorectal cancer and lymphoma cells, is induced by butyrate (Jan *et al.*, 2002). In addition to it, butyrate has a negative role in the proliferation and regeneration of the potential stem cells. Studies have shown that in patients of colorectal cancer, butyrate-producing bacteria are less in number

as compared to a healthy person. So, there is a need to increase the butyrate in our colon. To accomplish that, we need to take butyrogenic diet, i.e. fermentable carbohydrates (Gutiérrez-Díaz *et al.*, 2016). Secondary bile acids such as lithocholic acid and deoxycholic acid have produced from primary bile acid. These acid has got potential in DNA damaging and hence, showing carcinogenic effect. It can affect metabolism by showing an increased affinity for bile acid receptors. Gut microbiota has also altered by secondary bile assets either directly or indirectly through activation of the immune system.

In the case of colitis-associated cancer, there is low expression of enzymes that are related to the transport of polyamine. *Bifidobacterium animalis* subspecies *lactis* LKM512 is a strain which increases intestinal luminal polyamine concentration. Vitamins are not sufficiently produced by our cell; that's why there is a need to provide it by diet, or our gut microbiome can synthesize it. Interestingly, the human gut microbiome has the potential to synthesize at a minimum of eight vitamin B such as biotin, cobalamine, niacin, and pyridoxine are some of them. It has been known that pyridoxine stimulates anti-cancer immunosurveillance concerning cisplatin-based chemotherapy against lung cancer. Ulcerative Colitis, associated cancer, and sporadic colorectal cancer are marked by an increase in the expression of enzymes that catabolizes all-trans-retinoic acid (atRA). It is related to intestinal inflammation induced by the gastrointestinal microbiome. All trans-retinoic acid (atRA) reduces the burden of tumour formation, colitis-associated colorectal cancer (Bhattacharya *et al.*, 2016). Vitamin A derivatives have also found useful in the treatment of colorectal cancer.

## **11. THERAPIES WHICH CAUSES SHIFT IN GUT MICROBIOME**

In the case of germ-free mice, studies have shown that chemo and immunotherapeutics have less effect in reducing the growth of the tumour. Removal of the microbiome has a negative impact as it eliminates cytotoxic T lymphocyte, which protects against cancer. Also, there is a reduction in innate and adaptive immune responses. The host genome, way of lifestyle affect indirectly in the case of cancer and respond to therapies through a change in the composition of the microbiota. In recent studies, progress has been in the area of treatments out of which immunotherapies are more promising.

### **11.1. Chemotherapy and its Effect on Microbiota**

Mustards showed a cytotoxic effect during World War II. From that time, only this cytotoxic drug has been used as a chemotherapeutic agent. Classification of the

cytotoxic drug is made based on the mechanism of action. They act as antitumors by interacting with the integrity of the target DNA strand and cell, which are dividing or involved in cell division. These drugs show the effect on semi-autonomous organelle i.e. mitochondria and also on the cell membrane.

### 11.1.1. Metabolism of the Drug

The gut microbiome has got an effect on the drug, its anti-cancer activity and toxic at different levels (Spanogiannopoulos *et al.*, 2018). The absorption rate of drugs depends on its exposure to the host gut and enzyme secreted by the bacteria (Li and Jia, 2013). Gene expression of the microbiome present in the gut is modulated or changed by Xenobiotics which are chemical molecules that are not produced naturally and are foreign. The microbiome biotransform drugs through chemical reaction such as hydrolysis, reduction of the compound. Removal of functional group and cleavage of nitrogen oxides along with denitration, acetylation, NH<sub>3</sub> formation are other ways of modification of drugs (Haiser *et al.*, 2013). The microbes bind to the drugs physically, which are used in chemotherapy and lead to a decrease in its absorption (Carmody *et al.*, 2014). These gut microbiome also affects the metabolism indirectly by modulating the gene expression of the mucosal barrier (Bjorkholm *et al.*, 2009). When the liver is germ-free, a member of the Cyp 450 gene is increased along with Cyp 2a, Cyp 2b, Cyp 3a which are involved in the metabolism of steroids. While Cry 4a, which is associated with the metabolism of fatty acid (arachidonic acid). Also, there is constant overexpression of gene which encodes for receptor and transcription factors like constitutive androstane receptor, also called Nr1h3. Alteration of these genes resulted in faster metabolism of Xenobiotics.

Drugs that are introduced intravenously are metabolized in the liver before they are excreted in the gut. In the gut, they are well exposed to the gut microbiome, which further helps in their metabolism and reabsorption. Irinotecan is one such drug that is introduced intravenously and used in treatment for colorectal cancer. Carboxylesterase converts irinotecan into its active form and further detoxified into its active form which is SN-38 G by UDP-glucuronosyltransferase in the liver. It can be reverted by bacterial enzyme  $\beta$ -glucuronidase (Fujita *et al.*, 2010). In tumourigenic rat these chemotherapeutic drug increases the composition of the bacterium – clostridium and Enterobacteriaceae in colon shows that there is an alteration in microbiota and it directly affects the inflammation or the bacteria possessing the  $\beta$ -glucuronidase enzyme (Lin *et al.*, 2012). The use of antibiotics decreases the number of these bacteria, which produce glucuronidase. Probiotics also have shown a clinical effect, i.e., a decrease in irinotecan induced diarrhoea. Irinotecan has got severe impact as they are intestinal toxic and hence, are dose limited. So, there is a need for the development of inhibitors of  $\beta$ -glucuronidase to reduce the toxic condition.

### 11.1.2. Response to Chemotherapies

Chemotherapeutic drugs have got direct interaction with the bacteria. These drugs can either inhibit bacterial growth or enhance. Studies have shown that *E.coli* (G-ve bacteria) and *L.welshimeri* (G+ve bacteria) is inhibited with the use of the drug (Lehouritis *et al.*, 2015). These interactions are studied by HPLC and mass spectrometer, which show the result of the biotransformation of drugs. Antineoplastic drugs like Oxaliplatin and Cisplatin are a platinum compound which kills tumour cells. These drugs act by inhibiting DNA replication and also targets cell membrane and organelle mitochondria (Galluzzi *et al.*, 2014). The drug leads to DNA double-strand breaks. Together with the killing of tumour cells; this also disrupts the integrity of the blood-brain barrier and ototoxicity, leading to hearing loss. Barrier breaching causes the microbes and pathogens easy access to the lymphatic node and even in blood circulation leading to septicemia (Hopper *et al.*, 2010).

*Acidophilus*, which is a probiotic bacterial species, help in restoring cisplatin-induced inflammation and anticancerous effect. *L. acidophilus* prime in the myeloid cells, for the production of ROS in response to platinum drugs. It is mainly dependent on the signalling pathway via myeloid differentiation primary response (MYD88) associated with PRRs. In germ-free mice, the genotoxic effect, as well as the cytotoxic effect of platinum compound and oxaliplatin, is decreased. (Lida *et al.*, 2013).

### 11.1.3. Toxicity Induced by Drug

Combination of living *L. acidophilus* and *B. bifidum* lyophilized are used in the prevention of intestinal toxicity when treated with radiotherapy or cisplatin. *L. acidophilus* shows a positive response to the anticancerous effect of cisplatin. It may prevent the toxic side effects of drugs used. The gut microbiome can even regulate the  $\beta$ - cells of the pancreas, inflammation of the adipose tissue leading to induced obesity

## 11.2. Radiotherapy and its Effect on Microbiota

Radiotherapy is the use of ionized radiation therapy (RTX) for the treatment of cancer. Ionized radiation target nucleus and cause DNA damage due to the production of ROS by dissociation of water molecules. Ionization Radiation can also affect non-irradiated cells such as systemic radio- adaptive responses, including inflammatory and genomic instability. These are secondary to DNA damage and mediated by disruption of the protein involved in cell-cell interaction. Therefore, radiation is also associated with the infection of the pathogen.



### 11.2.1. Response to Ionization Radiation Therapy (RTX)

Microbiome in gut regulates the response to ionization radiation therapy. Effects of RTX are stimulative as well as suppressive response and even be ineffective in activating an anti-cancer immune response (Kroemer *et al.*, 2013). The gut microbiome has shown an effect in immune response which is induced by the death of an immunogenic cell in both cases, i.e. chemotherapeutic and immunotherapeutic. It is hypothesized that the gut microbiome has also got a role in the immunostimulatory effect of Ionisation Radiation Therapy (RTX).

### 11.2.2. Toxicity of RTX

Severe effects of RTX may induce enteropathy, which leads to incompleteness of therapy. Probiotics have shown to be beneficial in the case of enteropathy. It contains *L. acidophilus*, *B. bifidum*, *L. casei* which has been found protective against gut toxicity. Studies have shown that circadian rhythm affects apoptosis and activation of p53. As this shows association with both diurnal variation in gut microbial composition and short-chain fatty acid production, this results in oscillation in several circulating inflammatory cells.

## 11.3. Immunotherapy and its Effect on Microbiota

In most of the patients, cancer therapies are becoming resistant, and the chance of reoccurrence is increased. Immunotherapy has the potential to treat solid cancer, and as studies have shown how gut microbiome affect inflammation. These microbes can even modulate the immunotherapy responses, which seem to improve the efficacy of immunotherapy.

### 11.3.1. Intratumoral CpG-oligodeoxynucleotide therapy (ODN)

CpG-oligodeoxynucleotides (OND), when combined with antibody, neutralizes IL-10 signalling, which helps in treating subcutaneous tumour (Backhed *et al.*, 2015). After treatment with OND, there is a significant difference seen in the gene expression, notably, which encodes for inflammatory products like TNF and IL-12. It is also found a reduction in response to OND in TLR-4 deficient condition. The change in the bacterial count of genera of *Alistipes* (Gram-negative bacteria) and *Ruminococcus* (gram-positive) genera show a positive relation with TNF production. On the other hand, *L. murinum*, *L. intestinalis* and *L. fermentum* are negatively related. Indicates that different gut microbiome has a different response when treated with the OND.

### 11.3.2. Inhibitors of Immune Checkpoints

CTLA4 is expressed on the activated effector T cell. On anti-CTLA-4 treatment species of *Bacteroidales* decreases but species of *Clostridiales* increases. Moreover, *Bacteroides fragilis* doesn't change (Veitzou). This increased frequency of *Bacteroidetes* has shown a relation with resistance to Colitis. If *B. fragilis* and *B. cepacia* is administered, then there is restoring of therapeutic response to anti-CTLA4.

## 12. CONCLUSION

The gut microbiome plays an essential role in Colitis associated cancer. Crohn's disease and Ulcerative Colitis are two main entities of IBD which also show their relation with the dysbiosis of microbiome. By the use of fewer carbohydrates in our diet leading to less production of SCFAs and further reduces colon polyps. Gut microbiota responds to therapies such as chemo, radio and immune that are made in case of the tumour. The challenge is how to modify the gut microbiota in patients with CRC. Studies have shown that therapeutics have targeted microbiome and able to recolonize the microbiome, which is ready to fight against the antibiotic resistance.

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# Chapter 8

## Mathematical Approach in Colitis–Related Colon Cancer Genomics: Inflammatory Bowel Disease (IBD)

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### ABSTRACT

*Ulcerative colitis or Crohn's illness patients are in danger of colon cancer due to chronic inflammation, resulting from the reaction of the immune system to bacterial disease caused by genetic alterations in the colonic mucosa. Somatic cells gain genomic changes, such as TP53 that regulates MUC2 production and APC alterations linked with  $\beta$ -catenin and MUC1 contribution in the slight proliferation of cells. Mathematical modeling describes developmental modifications and uses the phrases to link parameter to curves of age-dependent incidence of epidemiological cancer. By using the long-lasting investigation of IBD patients to gather the genomic estimations for increasingly exact computations of IBD-explicit developmental parameters as initiation, birth, and death. Colon cancer genetic trajectory follows the structure of the composition of functions that leads to malignancies. Models of population level can be utilized to consolidate epidemiological information and in this manner describe malignant growth advancement in a population with IBD.*

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## **INTRODUCTION**

In idiopathic inflammatory bowel disorder (IBD), UC and CD are two types of chronic disorders categorized in a way that turns out to be worse with time having disease symptoms and acquired by severe signs which don't cease or vanish completely. The distinctions between inflammatory bowel disease, ulcerative colitis, and Crohn's disease are confusing for many individuals. On the other hand, roughly 10% of patients cannot be measured accurately in either classification and are classified as having indeterminate colitis. The brief answer is that IBD is the shelter word for both UC and CD. But there is a lot more to the tale, of course (Gillen et al., 1994).

Both illnesses comprise of inflammation of the intestine or bowel cap - hence the name resulting in inflamed, enlarged bowel, and that develop ulcers. Inflammation and its effects on UC and CD are distinct. The inflammation causes abdominal discomfort, diarrhea, and intestinal bleeding to varying degrees. Both illnesses can lead to severe digestive issues, and are characterized by the body's immune system's unusual reaction and may share certain signs (Feakins, 2013). There are also significant variations, though. These differences include mainly the place of diseases in the body of the gastrointestinal (GI) and how each disease reacts to therapy. Understanding these characteristics is essential for a gastroenterologist to obtain a correct diagnosis. For genetically susceptible individuals subjected to environmental risk factors, IBD is thought to result from an excessive and inadequate immune response to gut luminal microbes. Although recent progress has shed a great deal of light on its molecular pathogenesis, IBD's exact cause(s) remains elusive (Hendrickson et al., 2002).

### **1.1. IBD (Inflammatory Bowel Disorder)**

IBD (Inflammatory Bowel Disorder) is a severe state of unknown origin that is defined by intense inflammation and intestinal mucosal deterioration. Inflammatory Bowel Disease is a set of intestinal disorders that cause long-term digestive tract inflammation, which includes the throat, esophagus, stomach, small intestine, and large intestine and is accountable for breaking down food products, reducing nutrients, and recycling unusable materials and waste products (Axelrad et al., 2016; Baumgart & Carding, 2007).

IBDs are a prominent illustration of the connection between chronic inflammation and cancer, and an enhanced likelihood of creating colorectal cancer is one result of constant inflammation of the colon or ulcerative colitis (Abdulkhaleq et al., 2018). Animal models that replicate many elements of this human disease have given important hints as to the key functions of inflammatory mediators and associated molecular occurrences contributing to the growth of colon cancer ().

Long ahead, the increase of enhanced sanitation and metropolization in the early 20th decade, IBD was rarely seen. It is still discovered today primarily in advanced countries like the US. Similar to additional self-immune and sensitive illnesses, it is thought that the absence of growth of germ resistance has led in part to illnesses like IBD (Kappelman et al., 2007).

In individuals with IBD, the immune system scans for overseas objects food, bacteria, or other products in the GI tract and reacts by bringing WBC's into the bowel border. The outcome of the assault by the immune system makes it persistent for infection. The term inflammation itself arises from the flames of the Greek phrase. It implies literally "being put on flame" (Alberts, 1994).

IECs are physical obstacles for bacteria and other antigens entering the bloodstream from the lumen of the intestine. An intact mucosal obstacle is based on junctions inside the cells that help to seal the gap between neighboring epithelial cells and tense junctions that are the main seal components. The paracellular space has increased permeability in inflammatory bowel disease, and to govern the close junctions is deficient (). These phenomena may be caused by a primary barrier function defect or may arise from inflammation.

## **1.2. Main Types of IBD**

Included in this IBD umbrella term are many diseases. UC and CD are the two most prevalent circumstances. Both diseases comprise of inflammation of the bowel or intestine wall-hence the name-leading to inflammation, swelling, and ulcers in the bowel. In UC and CD, the swelling and its effects are distinct. The inflammation leads to abdominal discomfort, diarrhea, and intestinal bleeding in different degrees. Both illnesses can cause severe digestive issues (Gillen et al., 1994).

### **1.2.1. UC (Ulcerative Colitis)**

UC is a type of IBD, the umbrella word for a disorder causing small intestine and colon inflammation. IBD includes a cluster of gastrointestinal tract-affected illnesses. Diagnosing UC is difficult as the signs are close to additional intestinal disorders as well as another form of IBD called Crohn's disease (CD), which varies because it induces greater inflammation in the intestinal wall (transmural) and may happen in other areas of the digestive system including the mouth, esophagus, small intestine, and stomach ().

UC happens when your large intestine lining (also known as the colon) rectum or both becomes inflamed. This inflammation turns out small sores on the lining of your colon called ulcers. Usually, it starts in the rectum and extends upwards and can contain your entire colon. The inflammation leads your intestine to progress quickly

and frequently empty its contents. As cells die on your bowel's lining surface, ulcers form. The ulcers can induce mucus and pus to bleed and release ().

UC is a chronic disease that can lead from asymptomatic moderate swelling to severe colon infection, leading to regular bloody stools, tissue destruction and, potentially permanent fibrosis, colonic motility dysfunction, complete symptoms, and surgical need.

UC is classified according to the severity of the symptom and the nature of the disease. Severity is characterized as mild, moderate, extreme or fulminant depending on the symptoms, the number of stools per day, changes in the level of erythrocyte sedimentation, and toxicity signs (Feuerstein & Cheifetz, 2014).

On the basis of the location of inflammation in the intestines, UC may be defined as:

- a) **Ulcerative proctitis:** If the rectum or lower portion of the colon is inflamed. It is usually the mildest type of ulcerative colitis
- b) **Proctosigmoiditis:** This involves the inflammation in the rectum and the sigmoid colon, which is the lower end of the colon.
- c) **Pancolitis:** when the whole colon is affected. Continuous inflammation starts at the rectum and spreads beyond the splenic bending.
- d) **Left-sided or distal colitis:** Continuous inflammation starts at the rectum in this type of ulcerative colitis and spreads to the colon with endpoint as the splenic flexure that is a spot where the bowel bends near the spleen.

### *I. Symptoms of Ulcerative Colitis*

Diarrhea is typically the first sign of ulcerative colitis. Feces slowly become looser, and cramped abdominal pain and an extreme urge to move stools may occur. Diarrhea may start slowly or suddenly. Symptoms depend on inflammation severity and distribution.

The most common symptoms that patients may also experience are as follows:

- Body moisture loss (diarrhea) and nutrition loss (weight loss).
- Anemia was due to bleeding, causing tiredness as less count in red blood cells.
- Eye puffiness and redness
- Articular ache (arthritis).
- Skin sores and rash.
- Illness in lung and liver.

Over months or years at a time, symptoms may be mild or absent. These usually return without intervention, however, and vary depending on the section of the affected colon.

## *II. Causes of Ulcerative Colitis*

Accurately, the cause of UC is unclear. Investigators consider that the immune system of the body responds towards bacteria and viruses, leading to cause intestinal wall inflammation. On the other hand, many researchers declared that UC may be the immune reaction, not the cause (). Ulcerative Colitis can be caused by the following factors:

- a) **Familial history or genetic factors:** Approximately one-fifth of the population has a close relation with UC, because of inheritance or hereditary factors. Research studies have shown that in people with ulcerative colitis, several unusual genes may exist. However, researchers were unable to demonstrate a strong association between ulcerative colitis and unusual genes.
- b) **Infectious agents or toxins from the atmosphere:** Some studies suggest that some environmental issues, such as smoking, diet, air pollution, poor hygiene, may increase a person's risk of ulcerative colitis, although the overall possibility of developing the disease is small.
- c) **Immune System:** Many immune system changes have been reported as leading to inflammatory disease of the intestine. The body can respond to a viral or bacterial infection in a manner that causes ulcerative colitis-related inflammation.
- d) **Psychological factors:** A few studies indicate that stress or emotional distress may raise the outbreak of UC in people. Such factors may cause symptoms in some people.

## *III. Diagnosis of Ulcerative Colitis*

UC is treated with numerous tests. The first steps are generally a physical examination and medical history ().

A health care provider has the following diagnosis of ulcerative colitis:

- Family history and medical history.
- Physical examination.
- Laboratory examinations.
- Large-intestinal endoscopies.

## 1.2.2. CD (Crohn's Disease)

Dr. Burrill B. Crohn and his team in 1932, first identified CD is an IBD, a common name for conditions that cause inflammation or swelling of the intestines. CD is a condition of uncertain etiology characterized by gastrointestinal (GI) transmural inflammation. CD might include a few or all segments of the whole GIT from the oral cavity to the perianal zone; however, the perianal zone and terminal ileal show it typically. The inflammation it induces may reach deep into the wall of the affected areas of the digestive tract, resulting in swelling and formation of wounds that can cause symptoms such as diarrhea and pain (Feakins, 2013).

The condition of CD impacts both genders alike and appears to be running in some home members. Approximately 20% of individuals having CD have an ancestral history by a type of IBD, often shown in siblings, and a father/mother or a kid. CD may happen at all ages of people; however, it has been detected most commonly in people aged 20 to 30. Persons of are at the high-risk zone of getting a CD with Jewish heritage while as European countries are at lower risk of developing the same disorder (Baumgart & Sandborn, 2012; Kappelman et al., 2007)

Based on the affected part of the intestines, the CD may be defined as ( ):

- **Ileocolitis:** This is the most common type of CD. This affects the ileum and colon of the small intestine. Ileocolitis is diagnosed in about 50% of people.
- **Ileitis:** The ileum is affected by this form of CD, caused by bacterium *Lawsonia intracellularis*.
- **Gastroduodenal CD:** By this process, the stomach, the duodenum and the initial segment of the small bowel are affected and damaged.
- **Jejunoleitis:** A form of the disease that triggers infection in the jejunum area, which is the middle part of the small intestine.
- **Crohn's (Granulomatous) Colitis:** Large intestine (colon) is affected in this type of CD.

### *I. Symptoms of Crohn's Disease*

The symptoms present are quite complex, but they can correlate to some degree with the pathology and position of the disease. CD can cause a wide range of symptoms that may differ in form as well as severity (Kaplan & Ng, 2017).

The most serious symptoms of CD include cramping, nausea, and pain in the belly and weight loss. Other signs include

- Bleeding from the rectum.
- Fever.

- Nausea, vomiting.
- Proper nutrient shortage, inadequacy in vitamins.
- Common dullness.
- Social disorders like stress, anxiety, and complications in coping, children might also have some problems with their lifestyle and physical appearance.

CD in Children can experience late and stunted growth. Not only symptoms are the sole guide for decisions on management (Gasparetto & Guariso, 2014), but subjective findings from biochemical markers, endoscopy, or radiological reports should be interrelated with objective findings of disease activity ().

## *II. Causes of Crohn's Disease*

No unified theory describes the pathogenesis and inflammatory processes of CD (). Experts believe that CD can be caused by the following factors ().

- a) **Autoimmune reaction:** An autoimmune reaction may be one cause of CD i.e. when your healthy cells are badly attacked by the immune system in your body. The immune system of humans consists of various proteins and cells that prevent people against infection. The major common theory states that the immune system of the body responds abnormally, foods, mistaking bacteria, and other foreign substances in people with CD. Erythema nodosum, Arthritis, iritis, and other extraintestinal symptoms seen in victims with CD and UC are probably responsible for antigen-antibody reactions in the skin, joints, and ears.
- b) **Genes:** Sometimes CD may be genetic. If family members are affected by CD, there is a high chance of developing CDs or a high-risk zone of developing the same disease. Approximately 10–15% of CD patients have a family history of the disorder. Identical twins have a concordance of at least 53% for CD; even there is a similar possibility for fraternal twins as having a family history.
- c) **Other factors:** Several reports indicate other factors can increase the risk of CD.
  - Smoking acts as a bad prognostic factor as it doubles the possibility of promoting the CD.
  - CD can be caused by Non-steroidal anti-inflammatory drugs (NSAIDs) like ibuprofen or aspirin, birth control pills, and antibiotics which in turn speed up the risk of CD.
  - Additionally, a high intake of fat full diet also increases the chance of having a CD. However, consuming some foods shows no exacerbation towards CD.



### *III. Diagnosis of Crohn's Disease*

Since the symptoms of CD are not barefaced at its infancy showing high complications while diagnosis. So, doctors usually make use of different screening tools as well as different treatment methodologies to detect and treat CD respectively, which are the same as those used in UC (Geboes, 2001).

## **2. EPIDEMIOLOGY OF INFLAMMATORY BOWEL DISEASE**

### **2.1. United States Statistics**

According to United States Statistics, till 1960 UC was leading and was much higher than that of CD, but current demography reflects that CD victims are tending to UC incidence rate. In addition in 2015, the U.S. reported 1.3% of adults (3 million) IBD (either CD or UC) diagnosed, which was a substantial raise from 1999 (0.9% or 2 million adults). Annually, IBD accounts for an additional seven lakh doctor visits and one lakh hospitalizations. Around 1-2 million residents of the United States have UC and CD, with a frequency of 70-150 occurrences every one lakh population. In Olmstead County, Minnesota, the frequency of UC in people of European origin was about 7.3 reports every one lakh population every annum, with a rate of one hundred sixteen reports every one lakh population every year; the frequency of CD was 5.8 reports every one lakh population every annum, with a rate of one hundred thirty-three clients every one lakh population every year (Kappelman et al., 2007).

Depending on data from the National Inpatient Survey, there was no substantial improvement in medication rate from 2003 to 2013 when CD was the main diagnosis. However, over this time the medication rate raised dramatically from 44.2 to 59.7 every one lakh people when it was identified as an ordinary diagnosis. The estimated medication cost for CD was \$11,345 and UC was \$13,412. Total hospitalization costs for CD rose by 3% per year from 2003 to 2008 and UC by 4% but remained unchanged for both diseases from 2008 to 2014 ().

In the pediatric population, the estimated incidence of CD, UC and gross IBD was 43, 28, and 71 for every one lakh respectively, and the estimated incidence was two lakh twelve hundred thirty-eight and four hundred forty-four for CD, UC, and full IBD, respectively for adults. Residents of America are suffering from CD and have about four lakh thirty-six thousands (one hundred fifty-one per one lakh) and five lakh twelve thousands have UC (one hundred seventy-eight per one lakh) after setting these incidence levels by the definite gender, age and a geographic sketch of U.S. population in 2005. About forty-five thousands three hundred (fifty-seven

per one lakh) suffered patients are children under the age of twenty (twenty-seven thousands three hundred CD and eighteen thousands UC) (Kappelman et al., 2007).

## **2.2. International Statistics**

It is believed that the highest IBD rates are in highly advanced nations and that the lowest is in growing environments; colder weather areas and metropolitan areas have higher IBD rates than warmer weather areas and far-flung areas. Globally, the prevalence of IBD is about 0.5-24.5 events of UC for every one lakh person-years and 0.1-16 events of CD for every one lakh person-years. Generally, IBD is found in three hundred ninety-six cases one lakh people per year (Kaplan & Ng, 2017).

An IBD study found that CD occurrence throughout North America was three hundred nineteen among one lakh people, whereas in Europe; it was three hundred twenty-two among one lakh people. The occurrence rates for UC throughout North America were two hundred forty-nine among one lakh people and in Europe five hundred five among one lakh people. CD average prevalence was 20.2 every one lakh person-years throughout North America, 12.7 for one lakh person-years throughout Europe, and 5.0 per one lakh person-years in Asia and the Middle East, while UC prevalence's remained 19.2 among one lakh person-years throughout North America, 24.3 per one lakh person-years of Europe, and 6.3 per one lakh person-years of the Middle East and Asia. Analyzes of the time pattern revealed statistically relevant changes in IBD prevalence with time.

The few studies measuring race/ethnicity recorded the highest IBD incidence among white and Jewish people. Nevertheless, it has been shown that the incidence of IBD among Hispanic and Asian people is growing; research has also shown that persons emigrating via weak prevalence areas (e.g., Asia) towards stronger prevalence areas (e.g., England) will be at higher risk of having IBDs, especially of first-generation children ().

Basically, IBD incidence in every area of the world is rising or stable. Since IBD mortality is small and the disorder is frequently detected in young people, these results reflect that IBD's global occurrence will increase continuously. The increasing prevalence of IBD throughout the 20th century can be explained by ecological changes arising from growing urbanization; yet, this rise might be due to raised understanding of IBD by specialists and the people, along with improvements in diagnostic methods of IBD (Alatab et al., 2020).

### 3. SOCIO-DEMOGRAPHIC FACTORS OF IBD

CD and UC incidence in both adolescent and elderly communities were significantly associated with age, area, and Medicaid status (da Silva et al., 2015).

- a) **Effect of age:** The age distribution for recently diagnosed cases of IBD is bell-like; the highest occurrence happens in people at its infancy of the second phase of life, with the huge number of new diseases in people between the ages of 15 and 40 years. Second, lower occurrence increase arises and is increasing in patients aged 55-65 years. In IBD around 10% of victims come less than 18 years of age group. The prevalence of CD decreases after 30 years of age, while the prevalence tends to increase in older people. For CD, the rise in incidence decreased after the age of 30; whereas, the incidence for UC continues to enhance in older people.
- b) **Effect of sex:** As for every chronic low-mortality disease, CD and UC incidence increased with growing age. For UC and CD, the male-to-female ratio is about 1:1, but reporting a significantly greater frequency with females. For young adults, UC and CD both are mostly reported (i.e., late puberty to the third phase of life).
- c) **Geographic area influence:** Regional variations are important for both children and adults with UC and CD. For both conditions, the incidence in the South was lower than in all other areas. There was major geographic variability for both children as well as adults with UC and CD. The incidence was weaker in the South for both factors relative to all other areas.
- d) **Racial effect:** It is estimated that the prevalence and incidence of IBD among African descent Americans are the same as the occurrence of European origin Americans, with the maximum percentage among middle European origin Jewish groups. There is a greater incidence in the Middle East and Asia along a North-South continuum, while trends suggest that the difference is narrowing.
- e) **Impact of an insurance policy:** Kids with health insurance are more likely to be treated with CD or UC as compared to those who don't have any Medicaid insurance. This was also well valid in adults; though, the scale of the outcome was lower.

The comparative impacts of gender, age, insurance policy and geographic area on the occurrence of IBD didn't alter considerably with less use of stringent operational case definitions (Duricova et al., 2014).

## **4. SIGNALING PATHWAYS OF COLITIS ASSOCIATED COLON (CAC) CANCER**

### **4.1. Oxidative Stress: CAC Damage Caused by DNA**

Several genetic pathways, like nuclear factor- $\kappa$ B/tumor necrosis factor or interleukin 6/signal transducer and transcription 3 signaling activator, are being reported as significant factors to CAC development and might be essential clinical strategies for CAC treatment and prevention. Displacement of different oncogenes is needed for tumor initiation to allow subsequent tumor growth, including mutations resulting in apoptosis resistance and the acquisition of malignant potential. Likewise, when CAC progresses slowly, a series of different alterations develop. It may be named the carcinoma–inflammation–dysplasia pathway, describing the origin of substandard carcinoma in the history of abdominal infection, with eventual escalation to carcinoma in situ and eventually advanced nonmelanoma skin cancers. Alterations within spontaneous CRC are attributable to multiple types of genomic and biochemical volatility like CG island methylator phenotype, chromosomal volatility, global hypomethylation, and imbalance genetic abnormalities which results in nucleotide insecurity. Even though such genetic and biochemical modifications also arise in CAC, confirmation suggests a key function in infection (Fearon & Vogelstein, 1990).

Oxidative stress occurs by inequality in the production and removal of Reactive oxygen species (ROS) and reactive nitrogen species (RNS) (Gorrini et al., 2013). Enhanced oxidative stress is one of the main characteristics of continual infection when innate immune system cells release different types of reactive oxygen and nitrogen species (RONS) into the tissue microenvironment involving hyperoxide, peroxide, single oxygen, hydroxide ions, and nitrogen monoxide. Research shows that higher RONS associate with human IBD disease development, along with decreased antioxidant levels. Sohnet et al identified a number of proteins such as (phospho-checkpoint kinase 2, Histon gamma H2A.X) and senescence (Heterochromatin protein 1 gamma) markers in growing order of DDR for IBD patients with infectious tissue samples. In UC specimens, DDR and senescence increased as a possible source of RONS associated with macrophage infiltration promoting the use of apoptosis as a malignant shielding apparatus (Avdagić et al., 2013; Baskol et al., 2008; Jackson & Loeb, 2001).

### **4.2. NF- $\kappa$ B Pathway**

As for as, NF- $\kappa$ B is concerned, this pathway is a main inflammatory receptor and could be regulated by a wide range of signals, consisting of constituents of bacteria, mainly pro-inflammatory cytokines like TNF- $\alpha$  and IL-1, lipopolysaccharides (LPS),

agents and viruses that lead to damage of DNA (Karin & Greten, 2005). Many proinflammatory cytokines (like IL-1, IL-6, and TNF- $\alpha$ ) expressed via a track with specified genes lead to inflammatory tissue destruction, and are correlated with growth and development of the tumor. TNF- $\alpha$ , e.g., was shown as a powerful mutagen that leads to the activation of the tumor through the activation of reactive oxygen species (ROS) development and the promotion of DNA destruction (). Expanded development and induction of proinflammatory cytokines such as TNF- $\alpha$ , IL-1, and IL-6 have been reported in IBD cases, particularly in mucosal macrophages and epithelial cells (Atreya et al., 2008). This can also encourage cancer growth and agitation by explicitly or implicitly developing both the VEGF, IL-8 and, COX-2, to induce new blood vessel development called angiogenesis (). In summary, the NF- $\kappa$ B pathway acts as a multi-atomic connection of tumorigenesis and inflammation because of its potential promoting the production of antiapoptotic genes, having the capability of promoting infection, proteases and blood vessels formation factors that encourage tumor activation and secure the future proliferation of malignant cells and invasion.

In animal experiments, a connection of NF- $\kappa$ B pathway and the colitis-associated CRC pathogenesis was reported. The design of the CA-CRC of an animal model was developed by AOM dose accompanied by regular oral intake of DSS, causing persistent infection to resemble IBD (Greten et al., 2004). Removal of IKK $\beta$  drastically reduced the occurrence of tumors infected with colitis, while significant increases in histological inflammation levels and proinflammatory cytokines were reported. AOM and DSS administration resulted in the IKK production and the secretion of BCL-XL, which is an anti-apoptotic protein that is missing in the IKK $\beta$  knockout mouse. The removal of enterocytes from IKK $\beta$  too enhanced programmed cell death by controlling the production of proapoptotic proteins Bak and Bax. Such findings suggest that in epithelial cells the NF- $\kappa$ B signaling pathway stimulates tumor growth by blocking apoptosis, instead of resolving proinflammatory gene transcription. The NF- $\kappa$ B pathway collectively promotes the growth of cancer in animal studies however, the signaling pathway to inhibit programmed cell death or pro-inflammatory cytokines are triggered that rely on the type of cell (Atreya et al., 2008; Greten et al., 2004; Karin & Greten, 2005). Mouse models in colitis-associated CRC were recognized by joint treatment of DSS and AOM, increased appearance of TNF- $\alpha$  was verified. TNF-Rp55 knockout or TNF- $\alpha$  antagonist etanercept treatment decreases the infiltration of mucosal inflammatory cells, tumor occurrence, and tumor volume (). TNF- $\alpha$  is, above all, a primary risk zone for the production of colitis-associated CRC within the NF- $\kappa$ B pathway

### **4.3. CTGF (Connective Tissue Growth Factor): Intervene Signaling Pathway**

In UC, the CTGF-intervene signaling pathway alters infectious factors and abdominal flora (). CTGF is a form of CCN family-owned 38-kDa cysteine-rich mysterious polypeptide (consisting of fisp12/CTGF, Nov, cry61/Cef10), that too may be directly persuaded by TGF- $\beta$  (Chen et al., 2001). In collagenous colitis, research recently identified the upregulation of CTGF that too was observed to be an increment in ethanol-induced colitis/TNBS, which is considered the main frequently used model of CD (Günther et al., 2010). In order to persuade the experimental model of UC, a sulfated polysaccharide synthesized with sucrose, DSS was commonly used with anti-carcinogenic or anti-immunodeficiency effects (Bhattacharyya et al., 2009). In the intestinal mucosa of patients with UC, CTGF was found up-regulated in connection to severity and level. In addition, patients having UC displayed increased expression of ERK/p-ERK and TNF- $\alpha$ , MPO, IL-1 $\beta$ , IL-6, increased *B. fragilis* and *E. coli*, and decreased lactobacillus and bifidobacterium (di Mola et al., 2004).

### **4.4. Interleukin-13's Pathway**

Interleukin (IL)-13 belongs to Th aid (Th) 2 cytokine members along with IL-4 and IL-5. In a huddle of cytokine families of genes like IL-3, IL-4, IL-5, and GM-CSF in human chromosome 5q31, IL-13 is located (). The GATA3 transcription factor Th2 modulates IL-13 transcription, that holds together to two cell shallow promoters however essentially transmits just via one (). Generally, type 1 IL-13R receptor is formed by the IL-13 receptor (IL-13R)  $\alpha$ 1 when dimerized with IL-4R $\alpha$ , which raises its attraction to IL-13 and transmits signals inside the cells by phosphorylating the STAT 6 by nonreceptor tyrosine kinases called Janus kinase. The receptor type 1 IL-4R $\alpha$ /IL-13R $\alpha$ 1 holds together by IL-4R $\alpha$  not only to IL-13 but also to IL-4. In addition to STAT6, the activation of other Type 1 IL-13R signaling molecules like PI3-K, STAT3, and MAPK was observed in various in vitro cell models (Atherton et al., 2003; Hecker et al., 2010; Iwashita et al., 2003). Besides, IL-13R type 2, occurs primarily as a building block of proteins called monomer and holds together IL-13 having greater rapport compared to IL-13R type 1 dimeric (Andrews et al., 2002). Type 2 IL-13R acts usually as an IL-13 receptor that can recognize and join particular cytokines/growth factors called decoy receptors, attaching it and making the cytokine inaccessible for IL-13 type 1 receptor activation not triggering its transportation inside the cell. IL-13R $\alpha$ 2-communicating cells are referred to be IL-13 scavengers because of their ability to remove them from the growth medium and therefore restrict IL-13 activity (Kasaian et al., 2011).

At different levels, IL-13 expression and pathway are regulated. Both GATA3 and the Hedgehog (Hh) signaling pathway positively regulate transcription and growth, while it is negatively managed by IFN- $\alpha$  (). Furthermore, the receptor IL-13R $\alpha$ 2 may be referred to as an adverse manager of the IL-13 signaling pathway, because its transportation is persuaded with IFN- $\gamma$  or Interleukin-13 itself, thus moving the promotor within the cell to cell shallow. So, in IBD pathogenesis overabundance of infectious cytokines acts crucially, in which the key players are IL-23, IL-12, and IL-10. The p40 subunit is shared by IL-12 and IL-23 cytokines while their respective receptors share the IL-12R $\beta$ 1 link, indicating that the above-mentioned cytokines might distribute general pathways. Nevertheless, when triggering the signaling route of the receptor IL-12/IL-12, the Th1 response is guided and IFN- $\gamma$  production, the IL-23/IL-23 pathway rides the Th17 feedback resulting in the development of cytokines namely IL-22, IL-17A, and IL-17F. The receptor IL-12 and IL-23 could worsen the swelling of the intestines. For example, in the colitis mouse model of DSS, the inoculation of IL-12 cDNA raises infection and caused colitis to escalate and exacerbate. Additionally to IL-12 and IL-23 the receptor IL-10 is a further important pathway involving abdominal stability and IBD development. In humans, the high threat of acquiring UC and CD is associated with the occurrence of different forms in the IL10/IL-10R signaling pathway and different elements in IL-10R leading to the severity of colitis. IL-10 also plays a pivotal role in intestinal equilibrium and the depletion of the IL-10 signaling pathway was involved in the initial commencement of IBD (Daines & Hershey, 2002; Giuffrida et al., 2019).

#### **4.5. IL-6/STAT3 Pathway**

A key pathogenic function in IBD is the proinflammatory cytokine IL-6. IL-6 levels in IBD patient's serum and intestinal mucosa are clearly escalated and strongly related to the extent of the infection (Atreya & Neurath, 2005). The traditional IL-6 signaling pathway is activated through attaching with its (IL-6R) membrane-receptor to form a complex receptor IL-6R/IL-6, that triggers the enrollment and formation of two identical polypeptides called homodimer of two submolecular of gp130 to trigger the signal inside the cell, involving ERK/Ras, JAK / STAT, and PI3 K /Akt pathway. Several studies have shown that IL-6 pro-inflammatory activity is mediated by trans-signaling (Chalaris et al., 2012; Jones et al., 2010). Excessive circulating rates of IL-6/sIL-6R and sIL-6R combinations have been identified in patients having IBD, where STAT3 activation induced by the combination of complexes of IL-6/sIL-6R and subunits of gp130 increases the anti-apoptotic element production such as BCL-2 and BCL-XL inducing programmed cell death tolerance in co-receptor of T cells and leading towards the continuation of persistent infection of the bowel. The signaling pathway IL-6/STAT3 will improve the viability and multiplication of

those intestinal epithelial cells (IEC) or tissue that are imposed to become malignant which we called precancer or premalignant. Current research has revealed that the signaling pathway IL-6/STAT3 axis is a key driver of tumors in cancer infected with colitis (Grivennikov et al., 2009).

In CAC animal models, which merged the cure of DSS and AOM, IL-6 was conveyed mostly through macrophages infiltration, accessory cells, and T cells during oncogenesis (Becker et al., 2004). Colitis induced in DSS, IBD, and multiple cancers, STAT3 was strongly activated. For cell proliferation, STAT3 signaling target genes sometimes plays an essential role, such as cyclin D and PCNA, which were down-regulated in IL-6<sup>-/-</sup> mice (Becker et al., 2006; Bollrath et al., 2009; Fu, 2006). Moreover, the CA-CRC oncogenesis has shown a correlation among TGF- $\beta$ , IL-6/STAT3 signaling pathways. Many lines of evidence support TGF- $\beta$ 's defensive function in producing the CRC. Mutations have been found in receptor TGF- $\beta$ RII in CRC victims. Over appearance of receptor TGF- $\beta$ R in the dysplastic epithelium was identified in DSS/ AOM -treated mice ().

#### **4.6. NF- $\kappa$ B and STAT3 Crosstalk Pathway**

Also, a link between two transportations attributes NF- $\kappa$ B and STAT3 are known to be the key regulatory factors connecting swelling to the tumor. NF- $\kappa$ B plays a pivotal function in governing the nonspecific defense and antigen-specific immune and infectious activities by amplifying the production of many infectious chemokines and cytokines and thereby managing the rapid increase and development of malignant cells. Furthermore, decreased NF- $\kappa$ B development is a trademark of several kinds of cancers. NF- $\kappa$ B has been often reported aberrantly triggering in CAC growth. STAT proteins, on the other hand, are responsible for regulating the growth, survival, and differentiation of cells. The STAT family has 7 CAC development members, namely STAT1, STAT2, STAT3, STAT4, STAT5A, STAT5B, and STAT6 (DiDonato et al., 2012).

STAT3 is termed as the influential one which is triggered by family members of IL-6 and engaged cell regulation, progression, and apoptosis among all STAT families. The related JAK1 and JAK2 tyrosine kinases proteins are triggered and consequently, STAT3 tyrosine-705 phosphorylation is stimulated, the functional type of STAT3, which is transported from the membrane to the core where it can trigger the production of antiapoptotic genes such as Bcl-xL, Bcl-2, and Mcl-1 including essential growth factors such as c-fos, c-jun, and c-myc and cell cycle regulation genes. STAT3 and NF- $\kappa$ B are impactful collaborative duo which enhances the pathogenesis of CRC. The association and crosstalk between STAT3 and NF- $\kappa$ B perform a significant duty in fostering the production and growth of epithelial



oncogenesis by regulating the interaction of microenvironmental inflammatory or immune cells and malignant cells (Du et al., 2012).

#### **4.7. COX-2/PGE2 Pathway**

COX-2 has a major function in tumorigenesis and colonic infection. Increased COX-2 production was found in about 85% of CRCs and associated with lower endurance. COX-2 in IBD was found through an aggressive infection and abnormal growth in cells called neoplasm infected with colitis. COX-2 can stimulate tumor growth by its talent to enhance the anti-apoptotic protein production such as BCL-2 and contributing to apoptosis tolerance. Additionally, a high standard of MMPs and migration of cancerous cells are associated with COX-2 over-expression (Gupta & DuBois, 2001). As a result, in IBD and CRC, COX-2; PGE2 stimulates the results of COX-2. PGE 2 works by a unique EP cell shallow receptor consisting of four EP1, EP2, EP3, and EP4 subtypes. In CRC, current research has shown that PGE 2 could interrelate on dendritic cells with receptors EP2/EP4 to persuade IL-23 appearance and worsen experimental colitis. This is proposed EP4 may stimulate the tumor-proceeding effects of PGE2 (). In addition, AOM-treated mice have also shown a crucial function of EP1 in colon carcinogenesis, where genetic or medicinal removal of EP1 substantially inhibited the production of tumors.

Additionally, PGE2 can also facilitate tumor development by triggering the production of a proangiogenic chemokine CXCL1, having the capability of stimulating vascular endothelial cell displacement and the creation of the tube to facilitate cancer increase and aggression. In addition, PGE2 cancer-promoting actions are implicated in the transmutation of nuclear hormone receptor PPARs. In the PGE2/COX-2 signaling pathways, PPARs are considered as one of the downstream destinations. However, in colonic cancer cells, the COX-2 expression might be persuaded by the creation of PPARs. PGE2 derived from COX-2 encourages the production of pre infectious cytokines that devote to cancer associated with colitis. PGE2 is suggested to mediate the crosstalk among colonic tumorigenesis and systemic inflammation through an auto-amplifying process among PPARs and the receptor COX-2/PGE2 ().

### **5. MATHEMATICAL MODELING IN CAC: A NOVEL APPROACH**

Real-life issues arise from different disciplines like life science, social science, education, finance, and Information Technology, etc. Mathematical modeling is the application of mathematics to:

- describe our opinions of how the world works

- investigate key questions about the observed universe
- explain the phenomenon of the real world
- check your thoughts
- make real-world predictions

Mathematical biological modeling has a long history as it makes it possible to study and simulate complex biological dynamic systems at a low price. A mathematical model based on scientific or medical evidence may be utilized to build and examine theories, raise questions about “what if” and direct future research and confirmation in silico Experiments. These models can help to define and even provide information into the processes that trigger modifications in complex systems. Although a mathematically formulated model could never surpass definite examinations, it may play nicely with the examination that really secures time and energy by recognizing examinational circumstances which are improbable to yield desirable results and by implementing optimization criteria to find the most similar studies. Mathematical modeling can lead to a superior grip on the metabolic remodeling of cancer and to the discovery of the latest probable methods of therapeutic intervention. In the biological context, mathematical modeling can be done either by top-down or bottom-up methods. According to Shahzad and Loor, the top-down method requires a five-stage flow chart of work.

- a) Sample selection and laboratory tests to acquire the initial data set.
- b) High-performance “omic” assays to enlarge the data set to be examined.
- c) Examination of the obtained data.
- d) Usage of functional enrichment and modeling of bioinformatics applications.
- e) Data analysis and extraction/inference of newly discovered data is the final critical step.

There is a lateral communication of information in this top-down approach from “omes” (transcriptome, proteome, metabolome ...) to the flow of metabolites through metabolic network pathways. at the next end, there includes a four-step bioinformatics-driven process in the bottom-up methodology that uses comprehensive knowledge from kinetic, biochemical, and metabolic datasets, from publication studies, and latest findings from biochemical biomarkers as the input collection from which a drafted design reconstruction takes place in the first step. The third phase transforms the treated array into mathematically formulated models after intensive manual treatment work in the second phase. Eventually, the model should be tested and improved in the fourth step resulting in a last design of computation. Such quantitative metabolism models can be designed on various scales with specific degrees of precision, forming extremely brief designs of specified metabolic signaling

pathways depending on the deep knowledge gained of the reactive information of each and every persons reaction participating in the course, not so comprehensive mid-range designs focused on the analysis of flux balance, to worldwide genetic-scaling. The model of mammalian polyamine metabolism is a counterexample of a mathematical metabolic model pathway depending on extensive energetic data. This model represented the key characteristics of these two cyclic pathway and was later identified by the other groups in experimentation with the primary regulating and regulated proteins of the pathway (Al Bakir et al., 2018).

The stochastic existence of genetic changes needs sufficient time almost in years for oncogenesis to proceed phenotype of healthy cells to cancerous cells in the body of humans. Carcinogenesis pursues the Darwinian development principles, whereby germ cells gain genetic changes, such as TP53 which regulates MUC2 production and APC mutations linked with  $\beta$ -catenin and MUC1 contribution in the slight proliferation of cells. We can develop mathematical statements that explain these genetic mutations and then use the phrases to link this developmental parameter to curves of age-dependent incidence of epidemiological cancer. By using the long-lasting investigation of IBD cases to gather the genetic estimations for increasingly exact computations of IBD-explicit developmental inputs as the birth, beginning, and death ().

The theory is centered on calculating both the degree and rate of genetic changes as a measure of whether the cells have developed “close to cancer. In IBD, mathematically formulated models of oncogenesis are in its early stages. Various kinds of modeling methods can be fruitful to implement measurements of the developmental cycles into IBD models of tumor growth for estimation and forecasting of victim-unique paths. Continuum growth models can be utilized to forecast basic changes in the group of cells that can assess the consequences of spatial sampling bias on the identification of unusual sub descendant, but the refinement of such formulations involves neglecting certain bio information. Models at the population level could also be implemented to integrate epidemiological information (e.g. pre-malignant prevalence, cancer occurrence) and thus explain the development of cancer in an IBD population. The choice of the right model (or type of model) is not obvious and shows dependency on the value, the model is looking for. By using multi-stage computational model equations with approximate parameter values, we may then identify these frames by optimizing the probabilities (numerically and analytically) that a person would most probably have a pre-malignant or cancerous injury of a scan-distinguishable scale at diagnosis/endoscopic screening, and after that using the results for each test to calculate the development of evolution. Recent research in CAC shows the geometry of colonic crypt and by mathematical modeling simplification of geometry by reverting to 1-D geometry in which the epithelium apical membrane is a smooth surface  $x=0$ . Also, the level of replication of tumor cells by the mixed  $\beta$ -catenin and NF- $\kappa$ B has been shown ().

The genetic history of colon cancer matches the composition structure of functions that contribute to malignancies as the gene is a region of DNA that encodes chromosomes in a sequence that follows a genetic pathway with an increase in the number of chromosomes under mapping. Genetic pathways like TP53, NF-KB, and  $\beta$ -catenin, MUC1; MUC2 has been mathematically expressed using ordinary differential equations. Moreover, the RAS pathway can be described mathematically in CAC. One research article showed a mathematical model that ties CRC growth to exosomal miRs concentration. Among CRC exosomal miRs, MiR-21, miR-23a, miR-92a, and miR-1246 are the most over-expressed miRs of CRC patients focused on the gene network in the blood that consists the signal pathways through which these miRs connect to proceed CRC invasion. System simulations yield quantitative relationships of a tumor dynamics with increasing the full radius and the rising lump of the miRs mentioned above ().

## 6. CONCLUSION

IBD Patients have an elevated chance of experiencing colorectal cancer, and this change is correlated with the frequency, period, and accumulated burden of infection of the disease. A noteworthy figure of colon cancer arising from UC and CD is related to chronic infection. This inflection is caused by the reaction of the immune system to a bacterial disease that arises in the gastrointestinal wall as hereditary mutations appear. For natural sciences including cancer biology, mathematical models are used. It may help to explain the system, study the effects of various components, and make predictions of behavior. Our study describes a number of mathematical models that can be used in the CAC, such as probability models, population-based models, time series models, and statistical models.

*Table 1. Abbreviations*

Abbreviated form	Explanation
IBD	Inflammatory Bowel Disorder
UC	Ulcerative Colitis
CD	Crohn's Disease
MUC	Mucin
GI	Gastro Intestinal
WBC	White Blood Cells
IEC	Intestinal Epithelial Cells

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*Table 1. Continued*

<b>Abbreviated form</b>	<b>Explanation</b>
U.S	United States
CAC	Colitis Associated Cancer
DNA	Deoxyribonucleic Acid
CRC	Colorectal Cancer
NSAIDs	Non - Steroidal Anti - Inflammatory Drugs
ROS	Reactive Oxygen Species
RNS	Reactive Nitrogen Species
RONS	Reactive Oxygen and Nitrogen Species
DDR	DNA Damage Response
NF- $\kappa$ B	Nuclear Factor Kappa B cells
TNF- $\alpha$	Tumor Necrosis Factor - <i>Alpha</i>
IL	Interleukin
LPS	Lipopolysaccharides
VEGF	Vascular Endothelial Growth Factor
COX-2	Cyclooxygenase-2,
CA-CRC	Colitis Associated- Colorectal Cancer
IKK $\beta$	Inhibitor of $\kappa$ B kinase $\beta$
AOM	Azoxymethane
DSS	Dextran Sulfate Sodium
TNF-Rp55	Tumor Necrosis Factor - Receptor Protein 55
CTGF	Connected Tissue Growth Factor
MPO	Myeloperoxidase
GM-CSF	Granulocyte - Macrophage Colony - Stimulating Factor
STAT	Signal Transducer and Activator Of Transcription
MAPK	Mitogen - Activated Protein Kinase
Hh	Hedgehog
IFN- $\alpha$	Interferon Alpha
cDNA	Complementary DNA
ERK	Extracellular Signal - Regulated Kinase
JAK	Janus Kinase
BCL-XL	B-Cell Lymphoma-Extra Large
PCNA	Proliferating Cell Nuclear Antigen
TGF- $\beta$	Tumor Growth Factor- $\beta$
PGE2	Prostaglandin E2
MMPs	Matrix metallopeptidases

*continues on following page*

*Table 1. Continued*

Abbreviated form	Explanation
CXCL	Chemokines (C-X-C motif) Ligand 1
PPARs	Peroxisome Proliferator - Activated Receptors
TP53	Tumor Protein53
ExomiRs	Exosomal <i>Micro RNAs</i>

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# Chapter 9

## Role of Cancer Stem Cells in Colitis–Associated Colorectal Cancer

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### **ABSTRACT**

*Colorectal cancer the third-leading cause of cancer mortality Worldwide; it's a well characterised model at molecular level among various cancer. Chronic ulcerative colitis is one of the causes of colorectal cancer. Recent cancer research focuses on tumor-initiating cells which are the cause of tumor initiation, invasions, drug-resistant, recurrence, and metastasis. Emerging research findings support the presence of colon cancer stem cells in sporadic colorectal cancer and in colitis-associated colorectal cancer. Colitis-associated cancer cells exhibit increased colon cancer stem cell marker expression along with activated developmental signaling pathways. Also, emerging reports exhibit that inhibition stem cell markers in chronic ulcerative colitis cells impedes progression of cancer in genetically engineered animal models and primary samples. This chapter deals of colitis-cancer transition, microenvironment of colitis-associated colorectal cancer, and articulates that cancer stem cells are ideal targets for colorectal cancer.*

### **1. INTRODUCTION**

Colitis associated colorectal cancer is known as extensive and long-lasting chronic inflammation, the complication of inflammatory bowel diseases with cancer development in colon cancer rectum. Inflammatory bowel diseases are group of

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chronic inflammation in the gastrointestinal tract, which is caused by an inflammatory response in the gastrointestinal microbiome. (Eaden, Abrams, & Mayberry, 2001; Shacter & Weitzman, 2002) There are two distinct well known inflammatory bowel diseases are Crohn's diseases and ulcerative colitis. Crohn's diseases will affect anywhere in the gastrointestinal tract from mouth to the anus, mostly dominant in the small intestine. Ulcerative colitis will affect in the colon. Colitis is also known as an inflammation of the colon. Ulcerative colitis is characterised by relapsing and remitting mucosal inflammation from the rectum to proximal colon, resulting in bloody diarrhea, colonic motility dysfunction, and colonic tissue damage. (Al Bakir, Curtius, & Graham, 2018; Romano *et al.*, 2016) Patient with ulcerative colitis are at increased risk of colorectal cancer. Colitis associated colorectal cancer is one of the most serious consequences of ulcerative colitis. In 1925 Crohn and Rosenberg reported the first case of adenocarcinoma in ulcerative colitis patient. (Dobbins, 1984) The major risk factors are the degree of inflammation, diagnosis of young age ulcerative, long duration of ulcerative colitis, family history of Colorectal cancer, accumulation of inflammatory changes associated with colonic epithelial injury, repair, and regeneration. (Beaugerie & Itzkowitz, 2015; Pinczowski, Ekblom, Baron, Yuen, & Adami, 1994; Velayos *et al.*, 2006) Colitis associated-colorectal cancer developed from non-dysplastic mucosa to indefinite dysplasia, low-grade dysplasia, high-grade dysplasia and finally to invasive adenocarcinoma. (Yashiro, 2014) Ulcerative colitis-associated colorectal cancer contributes to 1% of overall colorectal cancer. The incidence of colitis associated-colorectal cancer is ten times greater than sporadic colorectal cancer. Colitis associate-colorectal cancer diagnosed at an advanced stage. (Baars *et al.*, 2011; Gyde *et al.*, 1982) The cancer stem cells theory demonstrates, cancer stem cells are small subpopulation present in the tumour bulk, which possesses the self-renewal and differential capability. Cancer stem cells are causes for tumor initiation, progression, drug resistance and metastasis of cancer. Cancer stem cells are have similar characteristics as normal stem cells. (Bu & Cao, 2012; Dhawan, Ahmad, Srivastava, & Singh, 2011; Zhou *et al.*, 2018) The cancer stem cells were first identified in hematological malignancy and later in solid tumor. (Bonnet & Dick, 1997) The cancer stem cells identified and isolated by using cell surface marker such as CD133, CD24, CD44, CD166, Lgr5 etc. also functional markers ALDH and stem cell transcription factors Oct, SOX, Nanog, etc. Colon cancer stem cells were identified using the cell surface markers CD133, CD44, CD166 Lgr5 and ALDH. (Gabriela Pop, 2019; Munro, Wickremesekera, Peng, Tan, & Itinteang, 2018) Based on the cancer stem cells theory, several establish reports exhibits that the cancer stem cells involved in the tissue regeneration and carcinogenesis of sporadic cancer. Where as in ulcerative colitis associated-colorectal cancer, role of cancer stem cells in the inflammation dysplasia carcinoma sequence has not fully understood. In this chapter, its stand to reason that role of cancer stem

cells influence in the ulcerative colitis associate-colorectal cancer which may lay a platform for early diagnostic and identification of therapeutic targets.

## **2. INFLAMMATORY BOWEL DISEASE**

Inflammatory bowel diseases represent group of non-specific chronic inflammation in the digestive tract, which is caused by an inappropriate inflammatory response in intestinal microbiome often results in continuous epithelial injury, leads to erosions, and ulceration. The dysfunctional defects of epithelium such as epithelial-cell development, barrier function, cell-matrix adhesion, endoplasmic reticulum stress, and epithelial restitution after injury also caused for inflammatory bowel disease. (Cosnes, Gower-Rousseau, Seksik, & Cortot, 2011; Loftus, 2004) The pathogenesis of inflammatory bowel disease remains unclear. The well-known inflammatory bowel disease, such as Crohn's disease and ulcerative colitis. Crohn's diseases will affect anywhere in the gastrointestinal tract. Ulcerative colitis will affect the colon and rectum. Ulcerative colitis having the highest prevalence in the world. Inflammatory bowel diseases are well known as Western disease, about 50–200 per 100,000 persons suffering Crohn's disease also 120–200 per 100,000 persons for Ulcerative colitis. The incidence of inflammatory bowel disease high in Western countries and now the rate of incidence and prevalence have shown to be increasing in Asia and African countries due to Western lifestyle adaptation.(Ng *et al.*, 2018)

## **3. ULCERATIVE COLITIS**

Ulcerative colitis is a relapsing and remitting disease with chronic idiopathic inflammation of the colon. Ulcerative colitis resulting in frequent bloody diarrhea, colonic motility dysfunction, potentially permanent fibrosis and colonic damage. Ulcerative colitis caused reduced the crypt density, altered crypt architecture, and decreased the mucosal secretion. Also, continuous ulcerative colitis causes the loss of normal vascular pattern, granularity, erosion, bleeding and ulceration in colonic tissue, which increase the risk of colorectal cancer.(Torres, Billioud, Sachar, Peyrin-Biroulet, & Colombel, 2012; Ungaro, Mehandru, Allen, Peyrin-Biroulet, & Colombel, 2017) Several risk factors are abnormal immune response genetics, microbiome and environmental for ulcerative colitis but till now specific cause of that are not well characterised. Ulcerative colitis affects all age group of people, most commonly adults 30-40 year aged. There is no sex predominance in ulcerative colitis, incidence and prevalence have been increasing Worldwide.(Ananthakrishnan, 2015) The pathogenesis of ulcerative colitis is multifactorial, such as hereditary,

epithelial barrier defects, dysfunctional immune response, also environmental factors. Ulcerative colitis increased risk of colorectal cancer. Ulcerative colitis contributed 1% of overall colorectal cancer.(Ungaro *et al.*, 2017; Yashiro, 2014) The molecular mechanism involved colitis to cancer transition sequence is not well understood. Patients with ulcerative colitis, are substantially increased risk of developing a form of colorectal cancer (CRC) known as colitis-associated colorectal cancer. Colitis associated colorectal cancer is molecularly distinct from the common sporadic colorectal cancer.

#### **4. COLORECTAL CANCER**

Colon cancer, more commonly known as colorectal cancer or large bowel cancer, which includes cancer growth in colon and rectum. Colon and rectum last are part of the digestive system also called the gastrointestinal system. Cancer develops in colon and rectum more frequent than the small intestine. The ascending and transverse colon collective knew as proximal colon, tumor in the proximal colon is more common in woman and older patients. Descending and sigmoid colon are referred to as distal colon tumor in the distal colon more common among men. Younger patients are known as the proximal colon; the tumor in the proximal colon is more common in woman and older patients. Descending and sigmoid colon is referred as distal colon tumor in distal colon more common among men and younger patients(Nawa *et al.*, 2008) Most of the colon cancer arises from the adenomatous polyps, adenomatous polyps are visible glandular projections lining in the surface of the colon and rectum. The presence of polyps doubles the risk of subsequent colon cancer. Cancer arises from the glandular cells is called adenocarcinoma. All the adenomas have the capacity to become cancerous. Most of the colon cancer are adenocarcinomas.(Dame *et al.*, 2010; Levine & Ahnen, 2006; Risio, 2010) The molecular mechanism of the multistage progression and development of colon cancer. Colonic tissue undergoes orderly progression from polyps to the development of carcinoma through continuous, and series gene mutations cause activation of the proto-oncogene and the loss of tumor suppressor genes(Fearon & Vogelstein, 1990). These mutational changes in colonocyte lead to the proliferation of colonic stem cells in glandular crypts is normally restricted in one-third of the crypts, whereas in polyps proliferative activity migrates upwards and form the microadenoma. Then its progress and develops into visible adenomas these proliferative cells fail to undergo normal maturation and bypass the cell death pathways this leads to focal growth dysplastic colonic mucosa in aberrant crypt foci.(Vogelstein *et al.*, 1988) The colon cancer progression and development involves various genetic and molecular changes in cell proliferation cell survival, resistance to apoptosis and metastasis. The mutational changes in the APC

gene, loss of function and inactivation of GSK-3 causes the stabilization of the, and its nuclear localization constitute to activate the Wnt/ $\beta$ -catenin signaling pathway which subsequently activates the downstream gene which is involved in tumorigenesis like cyclin D1, myc, VEGF and matrix metalloproteases(Li, Mizukami, Zhang, Jo, & Chung, 2005; Segditsas & Tomlinson, 2006). Also, the k-ras mutations result in the activation of Ras and its downstream effectors MAPK and PI3k /Akt pathways. EGFR and TGF pathways also involved in upregulation of hyperproliferative ACF. (Giehl, 2005) The progression of the colon cancer characterized by histologically distinct like colonic crypt hyperplasia, dysplasia adenoma, adenocarcinoma and distinct metastasis. ACF putative preneoplastic lesions believed to be a histological biomarker of colon cancer.

Research updates in the colon cancer suggested four major tenets derives for the pathogenesis of colon cancer they are, genetic and epigenetic alterations, the multistep progression of the molecular and morphological level, molecular instability, and also the hereditary defects. The progressive accumulation of mutations in genes of APC, (adenomatous polyposis coli) K-RAS (kristein rat sacroma), P53 (tumor protein-53) and DCC (deleted in colon cancer) leads to transformation of normal colonic mucosa into adenoma and carcinoma and results to colon cancer (Kheirelseid, Miller, & Kerin, 2013) APC is mutated with up to 70% of all sporadic colon adenocarcinoma(Chung, 2000; Miyaki *et al.*, 1994). K-RAS is mutated in 31-41% of the colon carcinoma occurs in early colon cancer formation(Arber *et al.*, 2000; Bos *et al.*, 1987). In primary human cancer, P53 is mutated 50%. DCC is one of the most frequent gene mutations in advanced colorectal cancer loss of heterozygosity in DCC. (Somasundaram, 2000) The role of epigenetic alteration such as hypo and hypermethylation in DNA related to activation and inhibition, respectively in oncogene and tumor suppressor. In colon cancer are classified three categories of genetic mutations related to the hereditary influence Sporadic colon cancer (60%) comprises with no inherited gene mutation, familial colorectal cancer(30%) atleast one blood-related gene mutation in colonic adenoma, and hereditary colon cancer (10%) with result of germline inheritance of mutations(Ivanovich, Read, Ciske, Kodner, & Whelan, 1999).

## **5. COLITIS ASSOCIATED-COLORECTAL CANCER**

Colitis associated colon cancer as a complication of ulcerative colitis with malignancy developing in colon and rectum with chronic inflammation.(Yashiro, 2014) The major causes of colitis-associated cancer are prolonged inflammatory bowel diseases, familial history, and increased severity of colitis, pseudo polyps, and dysplasia. In colitis-associated colorectal cancer, the chronic inflammation leads

to genetic alterations through oxidative stress, increased secretion of inflammatory mediators, and alteration in the immune receptor. The ulcerative colitis-associated colorectal cancer involved progression from non-neoplastic inflammatory epithelium to dysplasia to cancer. Ulcerative colitis colorectal more frequent in younger age, they have multiple cancer lesion, mucinous carcinoma as compared to sporadic colorectal cancer.(Chambers, Warren, Jewell, & Mortensen, 2005; Isbell & Levin, 1988) Ulcerative colitis-associated colorectal cancer contributes 1-2% of colorectal cancer overall population. Compare to sporadic colorectal cancer colitis-associated colorectal cancer, poor prognosis and high mortality. In colitis-associated colorectal cancer, inflammation is an important initial factor. The relapsing and remitting chronic inflammation, colonic mucosal repair stimulate the oxidative stress in the inflammatory microenvironment.(Grivennikov, 2013; Shacter & Weitzman, 2002) These stress cumulatively impair the DNA induce mutation leads to tumor initiation. Colitis associated colorectal carcinogenesis is progressed by various molecular alteration such as aneuploidy, p53 mutation, chromosomal and microsatellite instability.(Kavanagh *et al.*, 2014) There are more than 300 cancer-related mutations were found in the colitis-associated colorectal cancer. NGS and TCGA database showed 6.2 genomic alterations per tumour. *TP53* (89%), *APC* (21%), *KRAS* (40%), *SMAD4* (17%), *MYC* (26%), *GNAS* (13%), and *IDH* (11%) genomic alteration were found in colitis-associated colorectal cancer. Among them, TP53, MYC, APC and IDH were more frequent.(Romano *et al.*, 2016; Velayos *et al.*, 2006) Also, the MYC and TGF- $\beta$  components of the WNT signaling pathway were mutated in the colitis-associated cancer patients. IDH mutation was increased in colitis-associated colon cancer compared the sporadic colon cancer.(O'Connor, Lapointe, Beck, & Buret, 2010)

## **6. CANCER STEM CELLS**

Cancer stem cells are small subpopulations of tumor cells; they are immortal tumor-initiating cells, possess self-renewal and pluripotent capacity. Cancer stem cells are the basis for tumor initiation, development, metastasis, recurrence and drug resistance(Clarke *et al.*, 2006; Han, Shi, Gong, Zhang, & Sun, 2013; Koch, Krause, & Baumann, 2010; Reya, Morrison, Clarke, & Weissman, 2001). Cancer stem cells based research has recently attracted much attention due to the potential development and discovery of cancer stem cell-related therapies. Cancer stem cell hypothesis was first formulated in 1800, by the Rudolf Virchow's cellular Pathologie and a case report by Julius Cohnheim in 1875, Later on, Pierce and Speers proposed a hierarchical model for the development and propagation of cancer states the tumor are caricatures of normal tissue development. In 1997 Bonnet and Dick were provided with the first

evidence for the existence of cancer stem cells in acute leukaemia using the CD33<sup>+</sup>/CD38<sup>-</sup> cells in NOD/SCID mice to initiate hematopoietic malignancy (Bonnet & Dick, 1997). Then Al-Hajj reported cancer stem cells in the solid tumor using CD44<sup>+</sup>/CD24<sup>-</sup> in breast cancer (Al-Hajj, Wicha, Benito-Hernandez, Morrison, & Clarke, 2003). To date cancer stem cells have been identified in various solid tumors including lung cancer (Eramo *et al.*, 2008), colon cancer (Ricci-Vitiani *et al.*, 2007), prostate cancer (Maitland & Collins, 2008), gastric carcinoma (Takaishi, Okumura, & Wang, 2008), head and neck squamous cell cancer (Prince *et al.*, 2007), brain cancer (Singh *et al.*, 2004), liver carcinoma (Yang *et al.*, 2008) and others etc. Cancer stem cells were identified based on cell surface markers CD44, CD133, CD24, CD166, LGR5 and intracellular molecules ALDH1. Over the past several years, cancer research was targeting the cancer stem cells for the development of the new drugs, based on the cell surface molecular markers and various signaling pathways. New cancer stem cell-targeted therapeutic strategies focused on cancer treatment. A variety of signaling mechanism involved in the regulation of cancer stem cell such as Wnt/ $\beta$ -catenin, BMP pathway, Notch pathway, sonic hedgehog signaling pathways. (Blanco Calvo *et al.*, 2009; Cochrane, Szczepny, Watkins, & Cain, 2015; Holland, Klaus, Garratt, & Birchmeier, 2013; Pannuti *et al.*, 2010)

## **7. ROLE OF CANCER STEM CELLS IN COLITIS TO COLORECTAL CANCER TRANSITION**

The common colorectal cancer sporadic colon cancer is well-characterised cancer model at the molecular level, whereas colitis-associated colorectal cancer the mechanism involved is not well characterised. The sequence of colitis associated-colorectal cancer may involve inflammation-dysplasia-carcinoma, as compared to the sporadic colorectal cancer it is unclear. The transition of colitis to cancer involves mutational events, epigenetic modification and the inflamed microenvironment. (Al Bakir *et al.*, 2018) The sequence of colitis associated-colorectal cancer is distinct from sporadic colorectal cancer. P53 mutation and chromosomal instability areas early events of colitis-associated colorectal cancer, further the APC and K-RAS mutations less ubiquitous as compare to common sporadic cancer. The transition of adenoma to carcinoma the sporadic colorectal cancer the APC gene mutated at the early event, which is tumour suppressor gene negatively regulate Wnt signaling. (Baker *et al.*, 2019) APC is a component of the destruction complex in the Wnt/ $\beta$ -catenin signaling pathway, and which stabilize the cytoplasmic and leads the  $\beta$ -catenin translocation into the nucleus. Activated Wnt signaling subsequent transcript Wnt targeted genes including *axin2*, *LGR5*, *c-Myc*, and *cyclin D1*. (Clevers, 2006) The mutation in any one of the components of Wnt constitutive activation of this pathway, as observed

in cases of sporadic colorectal cancer. The aberrant Wnt signaling activation in the sporadic colon cancer has been shown to mark the cancer stem cells compartment. (Vermeulen *et al.*, 2010) Established reports supported that sporadic colorectal cancer is initiated by a rare population of crypt cells called colon cancer stem cells which showed increased Wnt activation and the normal colonic stem cells marker. The cancer stem cells in the colitis associated-colorectal cancer are still unclear. Several molecular consequences, such as the generation of reactive oxygen species, microsatellite instability, telomere shortening, and chromosomal instability, have been attributed to inflammation-driven genomic stress that leads to colorectal cancer. (Thorsteinsdottir, Gudjonsson, Nielsen, Vainer, & Seidelin, 2011) The emerging studies exhibiting that high Wnt signaling activity could be drives colitis to cancer transition. Also, ALDH expression of colon cancer stem cells leads to the tumorigenesis in the colitis to cancer transition, these results suggest that colitis and sphere and/or xenograft formation will be useful to survey colitis patients at risk of developing cancer.(Carpentino *et al.*, 2009; Huang *et al.*, 2009) The ALDH + and high Wnt more specific marker to screening for colon cancer stem cell in patients with colitis, recent findings indicate that evaluation of both CD133 and p53 expression by immunohistochemistry may be a useful diagnostic tool or biomarker for early detection of ulcerative colitis-associated colorectal cancer and demonstrated that CD133 expression was positively associated with ulcerative colitis-associated colorectal dysplasia and ulcerative colitis-associated colon cancer tumor grade. (Shenoy *et al.*, 2012) The common thread is activating mutations in components of the Wnt/ $\beta$ -catenin signaling pathway, which occur commonly as early events in sporadic colorectal cancer. The possible associations between Wnt/ $\beta$ -catenin signaling and colitis to cancer transition based on the cancer stem cell model shows that Wnt/ $\beta$ -catenin immunostaining indicated that ulcerative colitis patients have a level of Wnt-pathway active cells that is intermediate between a normal colon and colorectal cancer. These ulcerative cells exhibiting activation of the Wnt pathway constituted a major subpopulation 52% of the colonic epithelial cells positive for ALDH, a putative marker of colon cancer stem cells. Further, this subpopulation of colon cancer stem cells were successive passages, expresses the highest Wnt activity which exhibited higher clonogenic and tumorigenic potential. The Wnt signaling activity in driving cancer stem cells properties in ulcerative colitis-associated colorectal cancer transition. Notably, 5/20 single cell injected results high-Wnt cancer stem cells resulted in tumor formation, suggesting a correlation with colitis to cancer transition. Attenuation of Wnt/ $\beta$ -catenin in high-Wnt cancer stem cells by shRNA-mediated downregulation or pharmacological inhibition significantly reduced tumor growth rates. Overall, these studies exhibit that early activation of Wnt/ $\beta$ -catenin signaling is critical for colitis to cancer transition, high levels of Wnt/ $\beta$ -catenin signaling can further demarcate ALDH+ tumor-initiating cells



in the non-dysplastic epithelium of ulcerative colitis patients.(Carpentino *et al.*, 2009) LGR5 may play an important role in tumor development in the context of the inflammation–dysplasia–carcinoma sequence. With respect to LGR5 expression, that LGR5-positive may play a critical role in the development of ulcerative colitis-associated colorectal cancer in the setting of p53 loss of function. The loss of p53 function in LGR5 + stem cells enables colonic tumor formation only when combined with DNA damage and chronic inflammation in the colitis associate colorectal cancer model studies.(Davidson *et al.*, 2015)

## **8. CANCER STEM CELLS AND COLITIS-ASSOCIATED COLORECTAL CANCER**

Cancer stem cells are small sub-population, are the basis for tumor initiation, development, metastasis, recurrence and drug resistance. The colon cancer stem cells were identified initially by the surface marker such as CD133 (Ricci-Vitiani *et al.*, 2007). The colon cancer stem cells were well established in the common sporadic cancer. Whereas it's untested in cases of inflammation-associated cancers such as colitis-associated cancer. The benign colonic mucosa progresses into colon cancer is known as the adenoma to carcinoma sequence whereas in colitis-associated cancers is believed the accumulation of genetic alterations to proceed via a pathway known as the inflammation-dysplasia-cancer progression. The evaluation molecular mechanism involved of colitis to cancer transition reveals that activation of the Wnt signaling pathway constituted a major subpopulation (52%+7.21) of the colonic epithelial cells positive for aldehyde dehydrogenase ALDH, a putative marker of cancer stem cells.(Carpentino *et al.*, 2009; Shenoy *et al.*, 2012) Also, the colon cancer stem cells marker CD44 was increased in colitis-associated colon cancer as compared to the sporadic colon cancer. The CD44 and the variant of the CD44 expression whereas CD133 in unregulated in the colitis. Wnt signaling were upregulated in the colitis-associated colon cancer patient, which is downstream of CD44(Hynes, Huang, & Huang, 2009). Emerging research shreds of evidence exhibit that surface markers such as CD44+, CD166+, CD133+ and functional ALDH+ cells from the colitis patient and AOM and DSS model derived cells and enriched cells from xenografts in mice, recapitulate human tumour and set as the functional characteristics of colon cancer stem cells.(Carpentino *et al.*, 2009; Huang *et al.*, 2009)

## **9. CANCER STEM CELLS SIGNALING PATHWAYS IN COLITIS-ASSOCIATED COLORECTAL CANCER**

Cancer stem cells small subpopulation of tumor possess similar properties to the normal stem cells, and the evidence suggests that altered developmental signaling pathways such as Wnt Notch, Hedgehog etc. these signalings play an important role in maintaining cancer stem cells and thereby the tumor itself. Wnt signaling is a key player in the normal and pathological intestinal mucosa for maintenance epithelial homeostasis, the inhibition of this signaling in the intestine, colonic crypt loss and tissue degeneration, which is an evolutionarily conserved tissue regeneration program.(Clevers, 2006) The APC mutation which activated the Wnt signaling and induced the rapid proliferation of colon cancer stem cells. Whereas in Colitis associate colorectal cancer, the chronic inflammation-mediated tissue damage activates Wnt signaling.(Shenoy *et al.*, 2012) Wnt signaling is not generally considered an inflammatory pathway; the mounting evidence exhibits as a driver of injury repair in the colitis-associated colorectal cancer. Also, colitis to cancer transition the Wnt signaling highly expressed exhibits that increased cancer stem cell proliferation observed in the non-dysplastic epithelium of ulcerative colitis patients.(Romano *et al.*, 2016) Emerging evidence exhibits that Wnt signaling pathway used as a diagnostic marker and therapeutic target for the early event of colitis to cancer transition in colitis-associated colorectal cancer.

Notch signaling pathway is a highly conserved developmental pathway that regulates a vital role in proliferation, stem cell maintenance, differentiation, and homeostasis of the multicellular organism. Notch pathway plays a major role in the embryonic stem cell and Cancer stem cells self-renewal, also the maintenance of intestinal stem cells for a proper balance of differentiation between secretory and absorptive cell lineages. Notch signaling as a gatekeeper of the intestinal progenitor compartment (Fre *et al.*, 2005; Wang, Li, Banerjee, & Sarkar, 2009) Notch signaling is initiated by ligand binding to Notch receptor leads to the proteolytic cleavage of ADAM family protease and  $\gamma$ -secretase and releasing the NICD, which translocate and activate Notch target gene. Notch signaling is reported to 10-30 fold increase in colon cancer stem cells. Blocking the notch signaling induces apoptosis and loss of proliferating stem and progenitor cells and global secretory cell hyperplasia in the intestine.(Sikandar *et al.*, 2010) In chronic inflammatory bowel activated Notch, 1 mediate the cell proliferation, goblet cell depletion, and ectopic expression of PLA2G2A, thereby contributing to the regeneration of the damaged epithelia. Notch I and NICD increased expression was found in ulcerative colitis. Increased Notch signaling may be linked to the increased susceptibility of colon cancer development in precancerous conditions. Emerging reports support that notch signaling activated in the ulcerative colitis involved in intestinal tissue regeneration.(Okamoto *et al.*, 2009)

Hedgehog signaling plays a critical role in the growth differentiation and patterning of various tissue, including the gastrointestinal tract. Hedgehog signaling initiates by binding of ligands and leads to the release of the suppressed transmembrane protein Smoothed (Smo). The release of Smo subsequently activates the Gli transcription factors Hh signaling pathway has been implicated in the pathogenesis of various human cancers.(Cochrane *et al.*, 2015) The hedgehog signaling pathway is involved in the continuous renewal of the intestinal epithelial cells in adults, which leads to the speculation that dysfunctional of hedgehog signaling pathway would cause pathological hyperplasia of intestinal epithelial cells and contribute to the generation and progression of malignancies. But the role of the hedgehog signaling pathway in colorectal cancer remains controversial. The controversy due to the currently available data, most of the studies shows a correlation between the hedgehog and colorectal cancer (98 out of 101 studies), also few researches that claimed that hedgehog is not, or at least not directly, related to colorectal cancer. (Papadopoulos *et al.*, 2016) Inflammation triggers the tissue regeneration through numerous external clue cytokines growth factors, decides the homeostasis maintenance of epithelium during colitis. Also, the molecular level the clue are transduced by conserved signaling pathways. The inflammatory microenvironment of the ulcerative colitis hedgehog pathway stimulation exerts its effects. Genetic augmentation of the Hedgehog respons, and small-molecules potently ameliorate colitis and restrain initiation and progression of colitis-induced adenocarcinoma. In the DSS-induced colitis, Hedgehog pathway significantly reduced also the pharmacological activation of SAG21k which ameliorates the severity of colitis as well as progression to colitis-associated adenocarcinoma.(Lee *et al.*, 2016)

## **10. CONCLUSION**

Colitis associated colorectal cancer incidence and mortality increasing worldwide, it's growing alarmingly in the Asian countries due to the western lifestyle adaptation. Therapeutic approaches improve the quality of the ulcerative colitis-associated colorectal cancer patients. Recent therapeutic research approaches to identify new drug target for the complete cure, cancer stem cells and the developmental signaling pathway in the colitis-associated colorectal cancer have not been fully elucidated understanding the intricate molecular will leads to exploring the ideal target for diagnosis and treatment.

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# Chapter 10

## The Multifaceted Role of Natural Agents in Colitis–Associated Cancer Prevention and Therapy

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### ABSTRACT

*Inflammatory bowel disease (IBD) is comprised of ulcerative colitis (UC) and Crohn's disease (CD) that was recognized by the inflammation in the colon. There are no proper medications are available to control the IBD in patients. NASIDs such as Aspirin, diclofenac, and ibuprofen are widely used to control the inflammation. On the other hand, the untreated prolonged inflammation leads to the development of cancer in the colon termed as colitis-associated cancer or inflammation-driven colon cancer. Oxidative stress and inflammation play key roles in the pathogenesis of colitis-associated cancer. Single dose of azoymethane (AOM) and three cycles of 2% dextran sodium sulfate (DSS) induces colitis-associated cancer (CAC) in*

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*mouse. Hence, many natural products were tested in the preclinical model of colitis-associated cancer. Each of these natural agents modulate important signaling pathway to control the colitis-associated cancer (CAC). In this review, the authors tabulated all the natural agents that culminate the colitis-associated cancer in the preclinical models.*

## **INTRODUCTION**

Inflammatory bowel disease (IBD) is sub categorized into two major diseases namely ulcerative colitis (UC) and Crohn's disease (CD). The incidence of UC worldwide is in a steady rise due to the intake of unbalanced diet. It has been reported that UC is associated with an increased levels of various inflammatory markers such as myeloperoxidase (MPO), interleukin (IL)-1 $\beta$ , IL-6, IL-17, IL-21, tumor necrosis factor-alpha (TNF- $\alpha$ ), nuclear factor-kappa B (NF- $\kappa$ B), Inducible nitric oxide synthase (iNOS) and cyclooxygenase-2 (COX-2). It was also known that untreated chronic inflammation in the colon leads the development of colitis associated cancer (CAC) (Pandurangan and Esa, 2014). There several signalling pathways were identified in the transformation of UC to colitis associated cancer. Oxidative stress plays a vital role in the pathogenesis of UC. Hence, many natural products that possess antioxidant ability were used for the treatment of UC in the preclinical level (Pandurangan et al., 2015; Pandurangan et al., 2015a; Pandurangan et al., 2016). So far, Non-steroidal anti-inflammatory drugs such as 5-aminosalicylic acid (5-ASA), Balsalazide, mesalamine, olsalazine, and sulfasalazine were used in the treatment of UC. Still, the research is underway to find suitable drug to treat UC without any side effects.

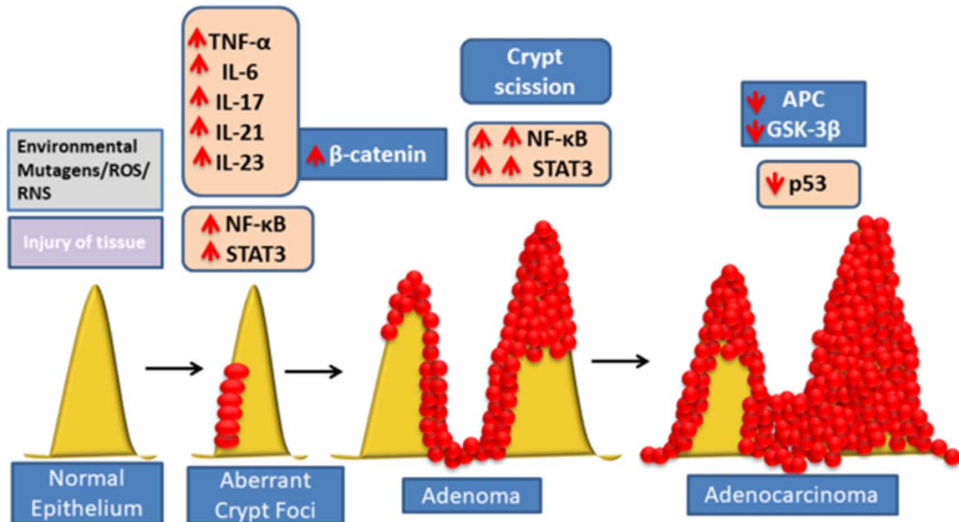
The pathogenesis of CAC was explained in detail in many published reports (Figure 1). When a normal epithelium undergo injury by the production of reactive oxygen species by various environmental mutagens. This injury leads to the formation of aberrant crypt foci (ACF) which is considered as a pre-neoplastic lesion of Colorectal cancer. Several pro-inflammatory cytokines

## **NF- $\kappa$ B Pathway and Colitis Associated Cancer**

The development of inflammation-related cancer is a long period of tumor promotion, and persistent inflammation facilitates tumor formation by activating the proliferation and antiapoptotic properties of premalignant cells. Moreover, the localized inflammatory microenvironment can promote accumulation mutations and genetic changes after tumor formation. Many reports have documented the critical link between inflammation and the development of CRC. Among the many signaling

pathways that are involved in colonic inflammation, the NF- $\kappa$ B pathway is central. NF- $\kappa$ B proteins are involved in the control of many normal cellular and physiological processes, including immune and inflammatory responses, developmental processes, cellular growth and apoptosis.

Figure 1.



## STAT3 Signaling and Colitis Associated Cancer

Signal transducer and activator of transcription (STAT) 3 protein is a member of the STAT family of transcription factors, which are initially located in the cytoplasm in their inactive form. After stimulation by extracellular signals, such as cytokines, growth factors and hormones, Janus kinases (AKs) are activated and then induce the phosphorylation of STAT3 at tyrosine residue 705 (Y705). Phosphorylated STAT3 proteins dimerize via their Src-homology 2 (SH2) domains and translocate to the nucleus, where they regulate the expression of numerous critical genes involved in cell-cycle progression, proliferation, migration, invasion and survival. However, the constitutive activation of STAT3 is frequently detected in clinical samples from a wide range of human carcinoma, particularly CRC. Hence, STAT3 is considered the most important target of chemoprevention and therapy in CAC.

## **Nrf2 Pathway and Colitis Associated Cancer**

The NF-E2-related factor 2 (Nrf2), a member of the Cap-N-Collar family of transcription factors, under normal conditions it is sequestered in the cytoplasm by the Kelch-like ECH associated protein 1 (Keap1), resulting in enhanced proteosomal degradation of Nrf2 (Dinkova-Kostova, 2005; Furukawa, 2005). During oxidative stress, Nrf2 is released from Keap1, either through oxidative modification of Keap1 or after phosphorylation by redox sensitive protein kinases. Nrf2 then translocates into the nucleus and in combination with other transcription factors, activates the gene transcription of genes containing an antioxidant response element (ARE), resulting in cytoprotective adaptive responses (Kobayashi, 2005). This adaptive response is characterized by the upregulation of antioxidative enzymes and decreased sensitivity to oxidative damage and cytotoxicity (Osburn, 2006; Zhu, 2005). Antioxidative enzymes also attenuate inflammatory damage and neutralize the ROS involved in inflammatory signaling pathways (Chen, 2004). Nrf2 plays a critical role in protecting against inflammation-associated CRC, supporting and extending a published report showing that Nrf2 knockout increases the susceptibility to inflammation-associated aberrant crypt foci (Osburn, 2007). Activation of Nrf2 signaling resulted in suppression of colitis associated CRC (Li, 2008; Chiou, 2012; Yang, 2014; Pandurangan, 2014). Nrf2 is activated by at least two mechanisms that include stabilization of Nrf2 via Keap1 cysteine thiol modification and phosphorylation of Nrf2 by upstream kinases (Surh, 2008). Many natural products had proven already, that activation of Nrf2 will protect from CAC.

## **Ziziphus Jujuba Fruit and Colitis Associated Cancer**

Ziziphus jujuba fruit possess bioactive molecules (flavonoids, polysaccharides, oleamide and triterpenoids). Traditionally, Ziziphus jujuba fruit is most commonly used for the treatment of various ailments including digestive complications, diabetes mellitus, diarrhea, liver, kidney complications and skin infections. Periasamy et al., (2015) reported that administration of Ziziphus jujuba fruit at the dose of 5% and 10% reduced the aberrant crypt foci formation in colitis associated cancer mice model induced by AOM/DSS. Further, Ziziphus jujuba fruit significantly reduced circulating white blood cells, monocytes, lymphocytes, platelets, basophils, neutrophils, and eosinophils, when compared to CAC mice. The authors of this article conclude that Ziziphus jujuba supplementation may delay the progression of CAC from hyperplasia to dysplasia and ultimately adenocarcinoma and cancer (Periasamy, 2015).

## **Cocoa and Colitis Associated Cancer**

Cocoa is a rich source of polyphenols, and cocoa beans contain 6-8% total polyphenols. Over 8,000 phenolic structures are known, ranging from simple molecules, such as phenolic acids, to highly polymerized substances, such as flavonoids (Ali, 2014). In particular, cocoa beans possess major polyphenolic contents, such as anthocyanidins/anthocyanins, catechins, flavonol, glycosides and proanthocyanidins (Kelm, 2006). Cocoa polyphenol has many beneficial effects, such as antioxidant (Rodriguez-Ramiro, 2011), anti-inflammatory (Becker, 2013), hypoglycemic (Sarmadi, 2012), and antiproliferative properties (Martin, 2013). Cocoa induces apoptosis in HepG2 hepatic cancer cells by activating caspase 3 (Yang, 2012). Cocoa also inhibits tumor formation by interfering with cell cycle pathways in prostate cancer (Peng, 2010). Pandurangan et al., (2014) (Pandurangan, 2015) demonstrates that cocoa improves the colonic antioxidant anti-inflammatory potential *via* the induction of enzymatic and nonenzymatic antioxidant enzymes, such as SOD, CAT, GPx, GR, and GSH, and by suppressing the expression of iNOS and COX-2. They also showed that 10% cocoa diet administration activates Nrf2 and its downstream targets, NQO1 and UDP-GT in AOM/DSS-induced mice and cocoa was identified as a potent inducer of Nrf2 and its downstream targets. The reduced tumor number in 10% cocoa diet treated mice is correlated with the upregulated expression of Nrf2. Polyphenolic contents of cocoa, such as catechin, (-) epicatechin and procyanidins B2, were previously shown to activate and enhance the translocation of Nrf2 (Granado-Serrano, 2007; Cheng, 2013). A study from Saadatdoust et al (Saadatdoust, 2015) showed that cocoa intensely inhibits tumorigenesis in the AOM/DSS model of CAC. Their findings revealed that the antitumorigenic effect of cocoa is mediated, in part, by limiting IL-6/STAT3 signaling. Cocoa diet (5% and 10%) significantly reduces colonic *IL-6* expression and the subsequent inhibition of STAT3. Cocoa was shown to inhibit the expression of NF- $\kappa$ B. The potent anti-inflammatory effect of cocoa diet was further demonstrated by the finding that cocoa significantly decreases the expression levels of *TNF- $\alpha$* , *IL-1 $\beta$*  and *IL-17* and the expression/activity levels of CD68<sup>+</sup> and Myeloperoxidase (MPO) in colonic tissues during CAC development.

## **Digitoflavone and Colitis Associated Cancer**

Digitoflavone (3, 0, 4, 5, 7-tetrahydroxyflavone), a flavone subclass of flavonoids, vegetables and fruits such as celery, parsley, broccoli, onion leaves, carrots, peppers, cabbages, apple skins, and chrysanthemum flowers are digitoflavone rich (Neuhouser, 2004; Mian, 2001; Gates, 2007). Plants rich in digitoflavone have been used as Chinese traditional medicine for hypertension, inflammatory diseases, and cancer (Harborne, 2000). Also, it has been known to have chemopreventive



effects against malignant tumors *in vivo* (Pandurangan, 2014; Pandurangan, 2014; Pandurangan, 2014; Pandurangan, 2014). Digitoflavone inhibits I $\kappa$ B $\alpha$  kinase and enhances apoptosis induced by TNF- $\alpha$  through downregulation of expression of nuclear factor  $\kappa$ B-regulated gene products in PANC-1 human pancreatic cancer cells (Cai, 2013). The chemopreventive role of digitoflavone in AOM-DSS induced colorectal cancer model. Digitoflavone was post-treated after the initiation of stage of colorectal cancer. Compared with AOM group, digitoflavone group shown lower cancer incidents (50% compare with AMO group 100%), reduced numbers and size of macroscopical tumors and recovered colon length. General histological observation found that digitoflavone retained a better colonic histoarchitecture with less loss of crypts. Further protein and mRNA level Analysis indicated the chemopreventive role of digitoflavone may through the activation of Nrf2 and inhibition of inflammation (Yang, 2014).

### **Astaxanthin and Colitis Associated Cancer**

Astaxanthin is a red-orange colored xanthophyll carotenoid with powerful biological antioxidant. This naturally occurred carotenoid is present in salmonid, shrimp, and crustacean aquaculture to provide the pink color characteristic of that species. Increasing evidence suggests that Astaxanthin is a potent anti-tumor agent in several experimental animal models. For example, Astaxanthin suppresses urinary bladder carcinogenesis in mice (Tanaka, 1994) and colon carcinogenesis in rats (Tanaka, 1995) and oral carcinogenesis in rats (Tanaka, 1995). Yasui et al (Yasui, 2011) revealed that, they evaluated the effects of Astaxanthin at three dose levels, 50, 100 and 200 ppm in diet, on CAC-induced mice. Also, immunohistochemical expressions of NF- $\kappa$ B, a proliferation marker of proliferating cell nuclear antigen (PCNA), an apoptosis marker of survivin, and certain inflammatory cytokines, including TNF- $\alpha$  and IL-1 $\beta$ , in colonic adenocarcinomas were analyzed. They found that dietary Astaxanthin inhibited the DSS-induced-inflammation and AOM/DSS-induced-CAC in mice through suppressing the expression of cytokines including NF- $\kappa$ B. They conclude that NF- $\kappa$ B signaling pathway may plays an important role and can be a potential target to treat CAC.

### **3,3'-Diindolylmethane and Colitis Associated Cancer**

3,3-Diindolylmethane (DIM) is a major dimer formed in acidic conditions from indole-3-carbinol, an autolysis product of the abundant glucobrassicin in cruciferous vegetables. DIM has antiproliferative effects against various cancers, including gastric, prostate, pancreatic, and breast cancer (Nachshon-Kedmi, 2003; Zhu, 2016; Goldberg, 2015; Nicastro, 2013). Moreover, a previous study showed that DIM

inhibits lipopolysaccharide (LPS)-induced production of inflammatory mediators in murine macrophages via downregulation of NF- $\kappa$ B signaling (Cho, 2008). These previous observations led Kim et al., (Kim, 2009) to examine the therapeutic effects of DIM on intestinal inflammation and CAC. They investigate the effects of DIM, a dextran sodium sulfate (DSS)-induced mouse colitis model and an azoxymethane (AOM)/DSS-induced colon cancer model were selected as the subjects for this study. They showed that DIM administration dramatically attenuated weight loss, colon shortening, and severe clinical signs in a colitis model. Further, DIM dramatically suppressed tumor formation in AOM/DSS-induced BALB/c mice. They conclude that DIM suppressed inflammatory reactions in DSS-induced colonic inflammation by inhibiting NF- $\kappa$ B activation and indicate that suppressed production of NO, PGE<sub>2</sub>, and proinflammatory cytokines such as IL-6, TNF- $\alpha$ , and IFN- $\gamma$  in DIM-treated colons might be the result of inhibited NF- $\kappa$ B activation.

## **American Ginseng and Colitis Associated Cancer**

American ginseng (*Panax quinquefolius*) (AG) is a perennial native of North America, and ginseng is one of the most popular medicinal herbs used in the world (O'Hara, 1998). American Ginsengs consists of saponins (generally called ginsenosides) and acidic polysaccharides have been the main focus of its pharmacologic activities (Wang, 2010; Kim, 1998; Park, 2011; Lee, 2006; Choi, 2008). Water-soluble polysaccharides also have medicinal properties, including immunomodulating and antiproliferative effects (Jia, 2009). A study from Poudyal et al., (Poudyal, 2012) revealed that, the hexane fractions of AG, inhibits the CAC tumors by inhibiting the expressions of iNOS and COX-2 and also induces apoptosis. Another study also revealed that AG inhibits the expression of c-Jun and other tumor promoting proteins along with the cell proliferation in CAC (Cui, 2010).

## **Juice of Sugar Beets and Colitis Associated Cancer**

It was first discovered in the juice of sugar beets (*Beta vulgaris*) in the 19th century (Craig, 2004), and since then has been found in various microorganisms, plants and animals (Steenge, 2003; Kim, 2002). Betaine is an essential biochemical molecule of the methionine/homeocysteine cycle and is synthesized by conversion of choline. It plays central roles in choline-mediated one-carbon metabolism, structural integrity and signaling functions of cell membranes, and neurotransmitter synthesis (Zeisel, 1994). A recent report enumerates that administration of betaine effectively suppressed AOM/DSS-induced mouse colon tumor incidence with inflammation by suppressing the expression of cytokines, such as TNF- $\alpha$ , IL-6, COX-2 and iNOS. In addition,

treatment with betaine decreased ROS generation and modulation of total glutathione concentration (Kim, 2014).

### **DA-6034 and Colitis Associated Cancer**

DA-6034 (7-carboxymethoxy-3,9,4,5-trimethoxyflavone), a synthetic derivative of eupatilin (flavonoid) prevents and improves acute murine colitis and inhibits inflammation-related colon carcinogenesis by the inhibition of COX-2 and phosphoIKK $\alpha$  and by the induction of apoptosis in AOM/DSS-induced CAC (Nam, 2008).

### **Canolol and Colitis Associated Cancer**

Canolol (4-vinyl-2,6-dimethoxyphenol) is a phenolic compound found in crude canola oil and recently reported to be strong anti-oxidant and anti-mutagenic compound compared to flavonoids (Kuwahara, 2004). In the AOM/DSS-induced CAC model, mice receiving canolol had a reduced occurrence of cancer, to 60%, compared with control mice, 100% of which had colon cancer. The numbers of tumors in each mouse were also significantly reduced in mice receiving the canolol-containing diet ( $5.6 \pm 2.0$ ) compared with azoxymethane/DSS control mice ( $10.8 \pm 4.2$ ). No apparent toxicity of canolol was observed. Moreover, inflammatory cytokines (*i.e.* cyclooxygenase-2, inducible nitric oxide synthase and tumor necrosis factor- $\alpha$ ) and oxidative responding molecules, *i.e.* heme oxygenase-1, in colon were suppressed during this treatment. In a mouse colon 26 solid tumor model, canolol significantly suppressed COX-2 expression; however, no significant tumor growth inhibition was observed, suggesting that canolol preferably shows chemopreventive effects during the stages of initiation/promotion. Canolol may, thus, be considered a potential cancer preventive agent or supplement (Fang, 2013).

### **Apple Oligogalactan and Colitis Associated Cancer**

An apple oligogalactan composed of five galacturonic acids and evaluated the protective effects on colitis and colon carcinogenesis using a mouse model of CAC. Liu et al., the effect of apple oligogalactan at different doses on LPS/TLR4/NF- $\kappa$ B signaling pathway by investigating the level and distribution of TLR4 and production of TNF- $\alpha$  at different stages of inflammation induced by 1,2-dimethylhydrazine (DMH)/DSS *in vivo* and the effect on the expression and localization of TLR4, phosphorylation of I $\kappa$ B and production of TNF- $\alpha$  induced by LPS *in vitro*. Apple oligogalactin, effectively controls the tumor formation as well as downregulates TLR4 and TNF- $\alpha$  expressions in CAC mice (Liu, 2010).

## **Curcumin and Colitis Associated Cancer**

Curcumin (1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione) is a polyphenol found in this dietary spice, derived from dried rhizomes of the perennial herb *Curcuma longa* Linn., a member of the ginger family. Interest in this dietary polyphenol has grown in recent years because of its vast array of beneficial pharmacological effects including chemosensitizing, radiosensitizing, wound healing activities, antimicrobial, antiviral, antifungal, cholekinetic, antioxidant, anti-inflammatory and immunomodulatory benefits. Villegas et al., (2011) demonstrated that the protective/preventive effect of curcumin in the progression of CAC, which was correlated with a lowered immunoreactivity of  $\beta$ -catenin, a non-modification of p53 expression, a reduction of proinflammatory cytokine levels and a decrease of inflammatory protein overexpression (Villegas, 2011).

## **O-1602 and Colitis Associated Cancer**

Cannabis has been known for a long time to relieve symptoms of cachexia and pain associated with cancer therapy, but what has emerged is that cannabinoids themselves (natural, synthetic, and endocannabinoids) have the ability to inhibit cancer development and progression. Many of the antineoplastic effects of cannabinoids involve the activity of CB1 and CB2. O-1602, an atypical cannabinoid, inhibits tumor growth in CAC through multiple mechanisms. In the mouse model, treatment with O-1602 (3 mg/kg, i.p., 12 $\times$ ) reduced tumor area by 50% and tumor incidence by 30%. Histological scoring revealed a significant decrease in tumor load. In tumor tissue, O-1602 decreased levels of proliferating cell nuclear antigen (PCNA), activation of oncogenic transcription factors STAT3 and NF $\kappa$ B p65, and expression of TNF- $\alpha$  while levels for proapoptotic markers, such as p53 and BAX, increased. The in vivo effects of O-1602 on PCNA, BAX, and p53 were also observed in HT-29 and SW480 colon cancer cells (Kargl, 2013).

## **Diallyl Trisulfide and Colitis Associated Cancer**

Diallyl trisulfide (DATS), was a organosulfur compound present in garlic and some of the other organosulfur compounds also derived from garlic, especially diallyl sulfide, diallyl disulfide, have been considered to contribute to its chemopreventive and cytoprotective activities (Wu, 2004). Among these three organosulfur compounds DATS is thought to be most potent in terms of anti-carcinogenic activities (Seki, 2008). From the published reports it is generally believed that the anti-carcinogenic activities of DATS are associated with induction of cancer cell apoptosis, inhibition of aberrant cell cycle progression, and induction of phase 2 carcinogen detoxifying

enzymes (Antony, 2011). DATS also inhibited capillary-like tube formation and migration of human umbilical vein endothelial cells *via* suppression of vascular endothelial growth factor secretion (Xiao, 2006) and invasion of COLO 205 human colon cancer cells by blocking expression of matrix metalloproteinase-2, -7, and -9 (Lai, 2012). DATS appears to exert its chemopreventive effects through modulation of multiple cellular signal transduction pathways involved in multi-stage carcinogenesis. Lee et al., (Lee, 2013) reported that DATS attenuated the DSS-induced colitis in mouse colon. DATS also inhibited overexpression of COX-2 and iNOS, which is attributable to its blockade of the nuclear transcription factor NF- $\kappa$ B signaling *via* suppression of phosphorylation of I $\kappa$ B- $\alpha$ . The inhibitory effect of NF- $\kappa$ B activity by garlic derived organosulfurs has also been reported. They also revealed that DATS suppressed the DNA binding activity and phosphorylation of STAT3 at Tyr 705 and expression of its target protein cyclin D1 (Lee, 2013).

## **Baicalein and Colitis Associated Cancer**

Baicalein is a natural plant flavone originally isolated from the roots of *Scutellaria baicalensis*. This compound exhibits various biological effects, including anti-inflammatory and antitumor activity. PPAR $\gamma$  agonists can inhibit the activities of signal dependent transcription factors, such as NF- $\kappa$ B. This function could contribute to the anti-inflammatory actions of PPAR $\gamma$ . Baicalein suppresses the NF- $\kappa$ B activity through the PPAR $\gamma$  activation and reduces the inflammation as well as tumor formation in CAC (Kim, 2013).

## **CONCLUSION**

Colitis associated cancer is a serious health problem in all over the world. Major researches are ongoing to develop new and novel drugs the cure colitis associated cancer. On the other hand, understanding the pathogenesis of colitis associated cancer is vital. Because, that will give the information on the molecular targets to treat colitis associated cancer. In this chapter we summarized the natural agents that alleviate the colitis associated cancer by modulating the key signaling pathways. We found that natural agents such as cocoa, Bufalin, Diallyl trisulfide, Baicalein, curcumin and canalol are effective against colitis associated cancer at the preclinical level. These natural agents can be used as chemotherapeutic drugs when it will be proven in clinical trials.

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## APPENDIX

S.No	Natural products	Mechanistic action in CAC	Reference
1	American Ginseng	Decreased the expressions of iNOS, COX-2 and apoptosis. Increased the expression of p53.	(Poudyal, 2012; Cui, 2010)
2	Apple Oligogalactin	Decreased expressions of TLR4, TNF- $\alpha$ and I $\kappa$ B	(Liu, 2010)
3	Astaxanthin	Decreased the expressions of PCNA, Survivin, NF- $\kappa$ B, TNF- $\alpha$ , IL-1 $\beta$ , COX-2 and iNOS.	(Yasui, 2011)
4	Baicalein	Increased the expressions of PPAR $\gamma$ , Procaspase 3, 8, 9 and PARP. Decreased the expressions of iNOS, p50-NF- $\kappa$ B and pI $\kappa$ B.	(Kim, 2013)
5	Betaine	Decreased the expressions of TNF- $\alpha$ , IL-6, p50-NF- $\kappa$ B, iNOS and COX-2.	(Kim, 2014)
6	O-1602	Decreased the expressions of PCNA, p-STAT3, NF $\kappa$ B p65 and TNF- $\alpha$ . Increased the expression of p53 and Bax	(Kargl, 2013)
7	Canolol	Decreased the expressions of TNF- $\alpha$ , iNOS, COX-2, HO-1 and IL-12	(Fang, 2013)
8	Curcumin	Decreased the expressions of COX-2, iNOS, PGES, TNF- $\alpha$ and $\beta$ -catenin	(Villegas, 2011)
9	Cocoa	Decreased the cell proliferation evidenced by ki67 and PCNA. Decreased the expressions of, IL-6, TNF- $\alpha$ , iNOS, COX-2, p-STAT3, p65-NF $\kappa$ B, Bcl2 Increased the expressions of Nrf2, UDP-GT and Bax.	(Pandurangan, 2015; Saadatdoust, 2015)
10	DA-6034	Decreased the expressions of Ki-67, p-IK $\kappa$ A and COX-2	(Nam, 2008)
11	Diallyl trisulfide	Decreased the expressions of p65-NF $\kappa$ B, p-I $\kappa$ B- $\alpha$ , iNOS, COX-2, p-STAT3, Cyclin D1 and p-ERK.	(Lee, 2013)
12	3,3-Diindolylmethane	Decreased the expressions of IL-6, TNF- $\alpha$ , iNOS, COX-2 and p65-NF $\kappa$ B.	(Kim, 2009) (Kim, 2009)

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### **About the Contributors**

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### ***About the Contributors***

scientific research projects. She has been conducting her research activity focused on the study of antioxidant and anti-inflammatory activities of polyphenols and carotenoids. Of the Scientific Performance, stand out the co-authorship of 3 book chapters, the publication of 47 articles in indexed international journals (h-index of 18, by Scopus) and the presentation of a total of 91 oral and poster communications in national and international scientific meetings, with 3 attributed awards. She is Editor and reviewer of several indexed international journals. She currently is the responsible researcher of a funded scientific project, at Associated Laboratory for Green Chemistry (LAQV) of the Network of Chemistry and Technology (REQUIMTE), being the work developed in the Laboratory of Applied Chemistry of FFUP.

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